Development of a Safety Management System

Kenneth R. Agent*          Jerry G. Pigman†

*University of Kentucky, ken.agent@uky.edu
†University of Kentucky, jerry.pigman@uky.edu

This paper is posted at UKnowledge.
https://uknowledge.uky.edu/ktc_researchreports/656
DEVELOPMENT OF A SAFETY MANAGEMENT SYSTEM

by

Kenneth R. Agent
Research Engineer

and

Jerry G. Pigman
Research Engineer

Kentucky Transportation Center
College of Engineering
University of Kentucky
Lexington, Kentucky

in cooperation with

Kentucky Transportation Cabinet
Commonwealth of Kentucky

and

Federal Highway Administration
U.S. Department of Transportation

The contents of this report reflect the views of the authors, who are responsible for the facts and accuracy of the data presented herein. The contents do not reflect the official views or policies of the University of Kentucky, the Kentucky Transportation Cabinet, or the Federal Highway Administration.

This report does not constitute a standard, specification, or regulation.

May 1995
The Safety Management System (SMS) is one of six management systems mandated by Section 1034 of the 1991 Intermodal Surface Transportation Efficiency Act (ISTEA). The overall goal of a SMS is to reduce the number and severity of traffic crashes. It is to be comprehensive in that it incorporates all public roads and all contributing factors associated with the driver, the vehicle, and the roadway. The objective of this study was to develop a procedure to implement a SMS for Kentucky. A recommended process is described. Agencies and organizations were identified which have a role or interest in traffic safety. Contacts were made with these agencies and organizations, and their activities in the area of traffic safety were described. The purpose of these contacts was to establish a safety inventory for the state.

The composition of a Safety Management Steering Committee was recommended along with subcommittees in the general areas of roadway, human, and vehicle factors, as well as information services and evaluation. Data needs to be used in the implementation of the SMS were identified. An evaluation process was described. A schedule for the implementation of the SMS was recommended.
# Table of Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Introduction</td>
<td>1</td>
</tr>
<tr>
<td>Management System Organization</td>
<td>2</td>
</tr>
<tr>
<td>The SMS Process in Kentucky</td>
<td>3</td>
</tr>
<tr>
<td>Identify Focal Agency and Focal Person</td>
<td>3</td>
</tr>
<tr>
<td>Goals and Objectives</td>
<td>3</td>
</tr>
<tr>
<td>Identification of Participating Partners</td>
<td>3</td>
</tr>
<tr>
<td>Documentation of the Safety Baseline</td>
<td>4</td>
</tr>
<tr>
<td>Establishment of the Steering Committee</td>
<td>4</td>
</tr>
<tr>
<td>Problem Identification</td>
<td>5</td>
</tr>
<tr>
<td>Data Needs</td>
<td>6</td>
</tr>
<tr>
<td>Establish Implementation Committee</td>
<td>7</td>
</tr>
<tr>
<td>Evaluation</td>
<td>8</td>
</tr>
<tr>
<td>Schedule</td>
<td>8</td>
</tr>
</tbody>
</table>

### Appendix A. Safety Baseline Inventory

- **Department of Highways**
  - Division of Traffic ............................................. 21
  - Division of Transportation Planning ................................. 22
  - Division of Operations ........................................... 23
  - Division of Operations, Pavement Management Branch ............... 24
  - Division of Bridge Design ....................................... 25
  - Division of Design ............................................... 26
  - Division of Equipment ........................................... 27
  - Division of Multimodal Program ................................... 28
  - Division of Materials ............................................ 29
  - Division of Right of Way and Utilities ............................ 30
  - Division of Construction ......................................... 31
  - Program Management Staff .......................................... 32

- **Department of Vehicle Regulation**
  - Division of Driver Licensing ..................................... 33
  - Division of Motor Carriers ........................................ 34
  - Division of Motor Vehicle Enforcement ............................. 35
  - Division of Motor Vehicle Licensing ................................ 36

- **Department of Administrative Services**
  - Division of Information Technology .................................. 37
  - Division of Management Services .................................... 38
  - Division of Employee Safety and Health ............................ 39
  - Division of Fleet Management ...................................... 40
<table>
<thead>
<tr>
<th>Department/Agency</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Department of Fiscal Management</td>
<td>41</td>
</tr>
<tr>
<td>Division of Toll Facilities</td>
<td></td>
</tr>
<tr>
<td>Office of General Counsel</td>
<td>42</td>
</tr>
<tr>
<td>Department of Rural and Municipal Aid</td>
<td>43</td>
</tr>
<tr>
<td>Secretary's Office</td>
<td>44</td>
</tr>
<tr>
<td>Office of Public Affairs</td>
<td>45</td>
</tr>
<tr>
<td>Department of Education</td>
<td></td>
</tr>
<tr>
<td>Division of Pupil Transportation</td>
<td>46</td>
</tr>
<tr>
<td>Driver Education</td>
<td>47</td>
</tr>
<tr>
<td>Department of Education</td>
<td></td>
</tr>
<tr>
<td>EMS Branch</td>
<td>48</td>
</tr>
<tr>
<td>Division of Maternal and Child Health</td>
<td>49</td>
</tr>
<tr>
<td>Division of Epidemiology - Health Promotion Branch</td>
<td>50</td>
</tr>
<tr>
<td>Kentucky Trauma Registry</td>
<td>51</td>
</tr>
<tr>
<td>Department of State Police</td>
<td></td>
</tr>
<tr>
<td>Highway Safety Branch</td>
<td>52</td>
</tr>
<tr>
<td>Driver Testing Section</td>
<td>53</td>
</tr>
<tr>
<td>Research and Development Section</td>
<td>54</td>
</tr>
<tr>
<td>Information Services</td>
<td>55</td>
</tr>
<tr>
<td>Department of Criminal Justice Training</td>
<td>56</td>
</tr>
<tr>
<td>Department of Local Government</td>
<td></td>
</tr>
<tr>
<td>Area Development Districts (transportation committee)</td>
<td>57</td>
</tr>
<tr>
<td>Legislative Research Commission</td>
<td>58</td>
</tr>
<tr>
<td>Department of Insurance</td>
<td>59</td>
</tr>
<tr>
<td>Administrative Office of the Courts</td>
<td>60</td>
</tr>
<tr>
<td>Department of Military Affairs</td>
<td></td>
</tr>
<tr>
<td>Disaster and Emergency Services (DES)</td>
<td>61</td>
</tr>
<tr>
<td>American Automobile Association (AAA)</td>
<td>62</td>
</tr>
<tr>
<td>American Association of Retired Persons (AARP)</td>
<td>63</td>
</tr>
<tr>
<td>American Public Works Association</td>
<td>64</td>
</tr>
<tr>
<td>Citizens Regional Awareness for Safe Highways</td>
<td>65</td>
</tr>
<tr>
<td>Eastern Kentucky Rural Office of Traffic Safety</td>
<td>66</td>
</tr>
<tr>
<td>Eastern Kentucky University</td>
<td></td>
</tr>
<tr>
<td>Traffic Safety Institute</td>
<td>67</td>
</tr>
<tr>
<td>State Traffic School Program</td>
<td>68</td>
</tr>
<tr>
<td>Federal Highway Administration</td>
<td></td>
</tr>
<tr>
<td>Traffic Safety Office</td>
<td>69</td>
</tr>
<tr>
<td>Office of Motor Carriers</td>
<td>70</td>
</tr>
<tr>
<td>Federal Railroad Administration</td>
<td></td>
</tr>
<tr>
<td>Highway Safety Leaders</td>
<td>71</td>
</tr>
<tr>
<td>Institute of Transportation Engineers</td>
<td>72</td>
</tr>
<tr>
<td>Insurance Company Representative</td>
<td>73</td>
</tr>
<tr>
<td>Kentucky Association of Counties</td>
<td>74</td>
</tr>
<tr>
<td>Kentucky Association of County Engineers and Road Supervisors</td>
<td>75</td>
</tr>
<tr>
<td>Kentucky Association of Chiefs of Police</td>
<td>76</td>
</tr>
<tr>
<td>Kentucky County Judge/Executive Association</td>
<td>77</td>
</tr>
<tr>
<td>Kentucky County Judge/Executive Association</td>
<td>78</td>
</tr>
</tbody>
</table>
Kentucky Driver and Traffic Safety Education Association ............. 79
Kentucky Hospital Association ........................................... 80
Kentucky League of Cities ................................................. 81
Kentucky Magistrates/Commissioners Association .......................... 82
Kentucky Motor Transport Association, Inc. .............................. 83
Kentucky Public Transit Association ...................................... 84
Kentucky SAFE KIDS Association ....................................... 85
Kentucky Sheriff's Association ........................................... 86
Kentucky Youth Traffic Safety Office .................................... 87
Kentuckians for Better Transportation ..................................... 88
Metropolitan Planning Organizations (MPO) Representative ............. 89
Mothers Against Drunk Driving (MADD) .................................. 90
National Highway Traffic Safety Administration .......................... 91
Northern Kentucky Rural Office of Traffic Safety ......................... 92
Operation Lifesavers ......................................................... 93
Students Against Drunk Driving (SADD) .................................. 94
University of Kentucky
Kentucky Transportation Center .......................................... 95

Appendix B. SMS Contacts ................................................. 97
EXECUTIVE SUMMARY

The Safety Management System (SMS) is one of six management systems mandated by Section 1034 of the 1991 Intermodal Surface Transportation Efficiency Act (ISTEA). The overall goal of a SMS is to reduce the number and severity of traffic crashes. It is comprehensive in that it incorporates all public roads and all contributing factors associated with the driver, the vehicle, and the roadway. The Kentucky Transportation Cabinet was designated as the focal agency for the SMS.

The objective of this study was to develop a procedure to use to implement a SMS for Kentucky. A recommended process is described. Agencies and organizations were identified which have a role or interest in traffic safety. Contacts were made with these agencies and organizations, and their activities in the area of traffic safety was described.

Contacts were made with 75 organizations and agencies to develop a safety baseline. The results of these meetings are summarized in a format which includes a listing of the purpose, safety-related activities, safety-related files, and safety-related reports for the given organization or agency as well as its interaction with other safety groups.

Following completion of the inventory process was the establishment of a SMS Steering Committee which is composed of representatives of a range of agencies and organizations which have various responsibilities in the general area of traffic and safety. One of the activities of the Steering Committee is to establish a list of priority objectives. Subcommittees are then formed with action plans recommended to attain the objectives.

Four subcommittees are recommended. They cover the broad areas of roadway, human, and vehicle factors, as well as information services and evaluation. Due to the broad scope of each subcommittee, it will be necessary to form smaller and more focused groups, or Task Forces, to discuss specific areas. As a result of the work of the various Task Forces, Subcommittees, and the Steering Committee, recommendations will be made to address identified problem areas.

Evaluation will be the final component of the SMS process. The overall evaluation process will concentrate on two areas. One will be agency accountability relative to goal setting and accomplishments. Secondly, the number of problem areas addressed by subcommittees and the goals accomplished will be documented using both quantitative and qualitative criteria.
INTRODUCTION

The Safety Management System (SMS) is one of six management systems mandated by Section 1034 of the 1991 Intermodal Surface Transportation Efficiency Act (ISTEA). Specifically, the requirement is that each state develop, establish, and implement a system for managing highway safety. This system will provide additional information needed by state and local officials to make informed decisions for optimum utilization of limited resources. It will provide a process to ensure that improvement opportunities are identified, evaluated, and implemented where appropriate.

The overall goal of a SMS is to reduce the number and severity of traffic crashes in a specific jurisdiction. With consideration given to the requirement that the SMS be applicable to all highways in a state, the need for communication, coordination, and cooperation is critical to the successful implementation of such a management system. Much of the framework for an SMS methodology is dependent upon the appropriate agencies assuming responsibility in their respective areas of expertise.

The SMS is broad in scope. It is comprehensive in that it incorporates all public roads and all contributing factors associated with the driver, the vehicle, and the roadway. How well it is able to address all these areas is a significant measure of the success of an SMS.

Traditional measures of effectiveness of safety improvement programs can be used but will not be the standard for SMS evaluation. While tracking of accident numbers and rates, along with accomplishments in upgrading safety hardware, should be included in the larger scope of any evaluation, it is also important to focus on the less quantitative measures of coordination and interaction of safety program elements. Inventories of the number and types of safety problems identified and investigated, categorized by agency and location, will become the baseline measurement unit of the SMS. Less quantitative criteria can be applied in the assessment of the degree of safety consideration in projects. Progress toward identifying needs of special highway safety user groups will also become a priority.

The objective of this study was to develop a procedure to use to implement a Safety Management System for Kentucky. A recommended process is described. Agencies and organizations were identified which have a role or interest in traffic safety. Contacts were made with these agencies and organizations, and their activities in the area of traffic safety was described.
MANAGEMENT SYSTEM ORGANIZATION

As previously noted, the Safety Management System is one of six management systems mandated by ISTEA. The other systems deal with pavements, bridges, traffic congestion, public transportation, and intermodal transportation. Communication must be maintained between the individuals responsible for the development of each of these systems to assure consistent goals, objectives, and performance measures are developed for each management system. This is being accomplished through an Executive Steering Committee (ESC). This committee is composed of the director of the division responsible for each of the management systems and the person responsible for developing the management systems, as well as the Director of the Division of Information Technology. The FHWA Division Administrator is also a member of the Executive Steering Committee. This committee will supervise the overall implementation of all the management systems and assure that all data bases are integrated among the various systems. The ESC reviewed the work plans for the management systems and will monitor progress.

The SMS will apply to all roads in Kentucky. In order to obtain input from local agencies, the Management System Advisory Group (MSAG) was established. The MSAG applies to all management systems. The MSAG membership includes representatives from Kentucky’s MPO’s, Area Development Districts (ADD), transit operators, several rural and small urban transit systems, and the Local Technology Assistance Program (LTAP) which is located at the Kentucky Transportation Center at the University of Kentucky. The MSAG provides a means of obtaining information from the local agencies as well as providing those agencies with the status of the various management systems. This committee will assist in mutually determining the roles and responsibilities of the state, MPOs, local officials, and other agencies. The membership of this committee will allow for the development of procedures for considering the results of the SMS in developing metropolitan and statewide transportation plans and improvement programs.

A Multidisciplinary Advisory Committee (MAC) was established for each management system. The Transportation Center at the University of Kentucky was employed to assist in the development of the SMS. The MAC guided the Transportation Center in its objective of developing a methodology for use in establishing a highway safety management system in Kentucky. This committee included representatives from the Transportation Cabinet (Department of Highways and Department of Vehicle Regulation), Kentucky State Police, Federal Highway Administration, and National Highway Traffic Safety Administration and was chaired by the focal person for the SMS. The Transportation Center project resulted in the preparation of the draft of the work plan and a report describing the development of the SMS in Kentucky.
THE SMS PROCESS IN KENTUCKY

Identify Focal Agency and Focal Person

The Kentucky Transportation Cabinet was designated as the focal agency for the SMS in Kentucky. It has the lead role in the development and implementation of all the management systems. The State Highway Engineer’s Office in the Department of Highways serves as the coordinator/facilitator for the various systems. Specific divisions within the Department were assigned responsibility for a specific system and a lead person was selected to guide the development.

Mr. G. A. Yates and Mr. Steve Coffey have been designated to serve as focal persons for the SMS. Mr. Yates is located in the State Highway Engineer’s Office in the Department of Highways. Mr. Coffey is located in the Division of Driver Licensing in the Department of Vehicle Regulation. Both are located within the Kentucky Transportation Cabinet. An organization chart for the Transportation Cabinet is attached with the locations of Mr. Yates and Mr. Coffey identified.

Goals and Objectives

The SMS process in Kentucky was initiated with the identification of goals. Some were taken from the guidelines published in the Federal Register, while others were identified directly through the interaction of safety agencies in Kentucky. Included were the following goals.

1) Reduce the number and severity of traffic crashes.
2) Identify cost-effective safety projects and countermeasures.
3) Optimize limited resources through the interaction of safety interest groups to improve overall safety.
4) Improve communication between various agencies and organizations by providing the proper framework and forum for safety dialogue and interaction.

Identification of Participating Partners

The next step was to identify the participating partners. The purpose of this process was to develop a census of the safety community in Kentucky. An advantage for the development of an SMS in Kentucky was an SMS Executive Presentation which was funded as a pilot program. A contract was awarded to the Kentucky Transportation Center for development of a videotape, a brochure, and a presentation to introduce and generate enthusiasm for the SMS concept in Kentucky. Most agencies and advocacy groups with an interest in safety attended the Executive Presentation in early 1994 and the basic communication link to many potential participating partners was established. In addition to their
application in Kentucky, the Executive Summary, videotape, and brochure were used both regionally and nationally.

A list of agencies and organizations both inside and outside state government was developed. The list was expanded as discussions were held with the various groups.

Documentation of the Safety Baseline

Contacts were made with the participating partners and follow-up meetings were held. The objective of the meetings was to document activities, files, and reports which were the responsibility of the agency or group. These meetings also served to identify areas of concern (problem identification) in highway safety and identified the potential for the agency or organization to impact a specific area.

A list of the 75 organizations and agencies contacted is given in Table 1. They can be divided into 25 in the Kentucky Transportation Cabinet, 16 in other areas of state government, and 34 outside state government. A format was established to summarize the results of the meetings. This format involved listing the purpose, safety-related activities, safety-related files, and safety-related reports for the given organization or agency as well as its interaction with other safety groups. This information is given in Appendix A. A listing of contact persons along with phone numbers and addresses for each organization or agency is given in Appendix B.

Establishment of the Safety Management Steering Committee

Following completion of the inventory process and identification of a focal agency was the establishment of a Safety Management Steering Committee. The composition of the Steering Committee is shown in Table 2. The Steering Committee is composed of representatives of a range of agencies and organizations which have various responsibilities in the general area of traffic and safety. The composition of this committee will ensure that there is interactive communication, coordination, and cooperation among the partners responsible for the roadway, human, and vehicle elements. The committee size will remain at approximately 40 members.

An organizational meeting was held to discuss the purpose of the committed and its future activities. One of the first activities of the Steering Committee is to establish a list of priority objectives. To reach this goal, subcommittees must be formed with action plans recommended to attain the objectives of each subcommittee. The steering committee may make recommendations to the subcommittees concerning the action plans, and the appropriate subcommittee will then develop the action plan. The action plan includes a process to accomplish
each goal or objective, a plan to identify possible funding to support activities associated with the targeted activity, an implementation strategy, and an outline of effectiveness measures to evaluate the safety activities.

The Steering Committee will review the action plans developed by the subcommittees. Recommendations will be made concerning the implementation of the various action plans.

Problem Identification

In order to achieve beneficial results from the Safety Management Steering Committee, subcommittees were formed to address specific problems or areas of concern. The following five major areas of concern, as specified in the guidelines, were addressed.

1) Coordinating and integrating broad based safety programs.
2) Identifying and investigating hazardous or potentially hazardous highway safety problems, locations, or features.
3) Ensuring early consideration of safety in all highway transportation programs and projects.
4) Identifying safety needs of special user groups.
5) Routinely maintaining and upgrading safety hardware, highway elements, and operational features.

Goals and objectives must be established for each area of concern. These goals and objectives can be modified as certain goals are met and other issues arise. A list of activities can then be developed to address the problem areas and implement action plans to achieve results. Organizations can then be identified and assigned responsibility for achieving the objectives. Specific types of necessary data will also be identified in conjunction with the organization responsible for collecting and maintaining the data. A final task in the overall process will be to develop an implementation schedule for each subcommittee.

The four recommended subcommittees, their scope, and the anticipated composition are given in Tables 3 and 4. These subcommittees cover the broad areas of roadway, human, and vehicle factors, as well as information services and evaluation. These subcommittees will develop procedures for addressing the roadway, human, and vehicle elements.

Due to the broad scope of each subcommittee, it will be necessary to form smaller and more focused groups, or Task Forces, to discuss specific areas. A recommended process for the function of each of the subcommittees is presented in the following six steps.
1. Define the scope of the problem
2. Identify problem areas
3. Establish goals
4. Formulate an action plan
5. Determine responsible personnel and agencies
6. Plan an implementation schedule

As part of this process, an attempt will be made to incorporate as many of the eight management system elements listed in the guidelines as appropriate. Following are those elements.

1) Establishment of long-and short-term goals.
2) Establishment of accountability.
3) Recognition of institutional and organizational initiatives.
4) Collection, maintenance, and dissemination of data.
5) Analysis of available data.
6) Evaluation of the effectiveness of safety activities.
7) Development and implementation of public information and education.
8) Identification of skills and resources to implement program.

Coordination will be required so that the projects and programs identified through the SMS will be included in the Highway Safety Plan, Motor Carrier Safety Assistance Program, State Enforcement Plan, and metropolitan and statewide transportation plans.

Data Needs

There are many types of data which will be used in the implementation of the SMS. Following is a list of the types of data bases and files which may be used.

1. traffic accident data base (KARS),
2. traffic volume data base,
3. Highway Information System (HIS) data base,
4. driver license file,
5. railroad crossing inventory,
6. maintenance management inventory file,
7. bridge file,
8. vehicle classification data,
9. pavement condition files,
10. equipment management system (EMS) file,
11. traffic signal file,
12. speed zone file,
13. sign inventory,
14. speed monitoring file,
15. permit file (traffic management system (TMS),
16. KYU file,
17. Board of Claims summary data,
18. Rural Secondary project files,
19. construction project files,
20. CDLIS (commercial driver license) file,
21. AVIS file (registered vehicles),
22. Safety Net file,
23. file of EMS activity,
24. driver citation file,
25. FARS (fatal accident reporting system) file, and
26. trauma registry file.

One of the four subcommittees involves information services. The responsibility of this subcommittee includes linkage of the various types of data so that there is proper integration and sharing of the data among the agencies responsible for the roadway, human, and vehicle elements. Procedures must be developed to assure the data bases consist of necessary information pertaining to: traffic accidents, traffic volumes, enforcement activities, drivers, vehicles, highways, medical services, pedestrians, and bicycles.

Establishment of Safety Management System Implementation Committee

As a result of the work of the various Task Forces, Subcommittees, and the Steering Committee, recommendations will be made to address identified problem areas. In order to implement these recommendations, various types of action must be taken. The required action may involve one or more agencies or may involve legislation. To assist in the implementation process, a Safety Management System Implementation Committee would be beneficial. This committee would consist of the head, or an appointed representative, of the agencies with the ability to implement the recommendations. Representatives from the following areas would be included on this type of committee.

1. Transportation Cabinet
2. Justice Cabinet
3. Education and Humanities Cabinet
4. Cabinet for Human Resources
5. Department for Local Government
6. Department of Insurance
7. Judicial Branch (Administrative Office of the Courts)
8. MPO Representative
9. Legislative Branch (Legislative Research Commission)
Evaluation

Evaluation will be the final component of the SMS process. It is expected that evaluation will be conducted by the staff responsible for developing the SMS system. Alternative approaches are available for identifying and quantifying the impacts of safety initiatives which may result from the SMS subcommittee approach. Approaches which may be applied as part of the evaluation process include the following:

1) Traditional "before and after analysis".
2) Comparison of documented actions with goals.
3) Trend analysis of past experience and expected results.
4) Comparisons of areas of the state with and without specific programs.
5) Control group analysis.
6) Assessment of interagency communication and coordination.

Criteria to evaluate the overall SMS process will be the responsibility of the Steering Committee. The overall evaluation process will concentrate on two areas. One will be the agency accountability relative to goal setting and accomplishments. Agency accountability will be the theme of a workshop to build interagency communication and coordination through a periodic review process. Secondly, the number of problem areas addressed by subcommittees and the goals accomplished will be documented. The previously identified subcommittees will be expected to work with the staff responsible for evaluation to identify quantitative and qualitative criteria for assessment of the status of the identified problem areas.

Schedule

The development and implementation of the SMS in Kentucky will follow the following schedule. The draft of the work plan was completed by October 1, 1994 with final revisions completed in early 1995. The SMS will be underway in accordance with the work plan by October 1, 1995. The various SMS committees will be established with initial meetings completed by that date. The report describing the development of the SMS was completed by June 1994. The SMS will be fully operational by October 1, 1996. Attached is a diagram listing the schedule of activity to October 1996.

The work on the SMS prior to 1995 involved development of the work plan and documentation of the safety baseline. This work was accomplished by the Transportation Center at the University of Kentucky. The draft of the work plan was completed in late 1994. This report describes the development of the SMS to date, including the work plan and documentation of the safety baseline.
Also, in early 1994, an Executive Presentation describing the purpose of the SMS was developed and presented to a group representing most agencies and advocacy groups with an interest in safety. A videotape and brochure were developed to introduce the SMS concept. In addition to use in Kentucky, the Executive Summary, videotapes, and brochures were used both regionally and nationally.

After completion of the work plan and safety baseline, the next phase of the SMS was the establishment of the Safety Management Steering Committee. The first meeting of the Steering Committee was held in April 1995. A general description of the SMS was made along with the schedule for its full implementation. The anticipated future objectives were discussed. A goal was set that, by July 1995, the Steering Committee will develop a preliminary list of goals and will establish the subcommittees to address roadway improvements, human factors, vehicles, and information services.

The schedule is that, prior to October 1995, procedures will be developed for the operation of the SMS. This will include the subcommittees developing their goals and objectives and action plans for attaining these goals. Specific agencies will be identified and assigned responsibilities. Within the subcommittees, task groups will be assigned to address specific issues. Data needs will be identified. An implementation schedule will be developed by the subcommittees and task groups to reach specific objectives.

The SMS Advisory Committee will continue and will serve as a facilitator and will provide support for the anticipated committee activity. It will provide support in the organization of committees, meeting arrangements, and data analysis to accomplish the SMS goals and objectives within the time frame specified in the ISTEA requirements.
TABLE 1. SMS CONTACTS (continued)

State Government - Outside Transportation Cabinet

Education and Humanities Cabinet
  Department of Education
    Division of Pupil Transportation
    Driver Education

Human Resources Cabinet
  Department for Health Services
    EMS Branch
    Division of Maternal and Child Health
    Division of Epidemiology - Health Promotion Branch
  Kentucky Trauma Registry

Justice Cabinet
  Department of State Police
    Highway Safety Branch
    Driver Testing Section
    Research and Development Section
    Information Services
  Department of Criminal Justice Training

Department of Local Government
  Area Development Districts (transportation committee)

Legislative Research Commission

Public Protection and Regulation Cabinet
  Department of Insurance

Judicial Branch
  Administrative Office of the Courts

Department of Military Affairs
  Disaster and Emergency Services (DES)
TABLE 1. SMS CONTACTS (continued)

Outside State Government

- American Automobile Association (AAA)
- American Association of Retired Persons (AARP)
- American Public Works Association
- Citizens Regional Awareness for Safe Highways
- Eastern Kentucky Rural Office of Traffic Safety
- Eastern Kentucky University
  - Traffic Safety Institute
  - State Traffic School Program
- Federal Highway Administration
  - Office of Motor Carriers
  - Traffic Safety Office
- Federal Railroad Administration
- Highway Safety Leaders
- Institute of Transportation Engineers
- Insurance Company Representative
- Kentucky Association of Counties
- Kentucky Association of County Engineers and Road Supervisors
- Kentucky Association of Chiefs of Police
- Kentucky County Judge/Executive Association
- Kentucky Driver and Traffic Safety Education Association
- Kentucky Hospital Association
- Kentucky League of Cities
- Kentucky Magistrates/Commissioners Association
- Kentucky Motor Transport Association, Inc.
- Kentucky Public Transit Association
- Kentucky SAFE KIDS Association
- Kentucky Sheriff's Association
- Kentucky Youth Traffic Safety Office
- Kentuckians for Better Transportation
- Metropolitan Planning Organizations (MPO) Representative
- Mothers Against Drunk Driving (MADD)
- National Highway Traffic Safety Administration
- Northern Kentucky Rural Office of Traffic Safety
- Operation Lifesavers
- Students Against Drunk Driving (SADD)
- University of Kentucky
  - Kentucky Transportation Center
TABLE 2. SAFETY MANAGEMENT SYSTEM STEERING COMMITTEE

This committee will consist of one representative from each of the following agencies/organizations (approximately 40 representatives). This list is divided into three categories: 1) Transportation Cabinet, 2) state government - outside the Transportation Cabinet, and 3) outside state government.

**Transportation Cabinet (KYTC)**

- Department of Highways (KDOH)
  - Division of Traffic
  - Division of Operations
  - Division of Highway Design
  - Division of Transportation Planning
  - Division of Multimodal Programs
  - Division of Construction

- Department of Vehicle Regulation (KDVR)
  - Division of Driver Licensing
  - Division of Motor Vehicle Enforcement

- Department of Rural and Municipal Aid

- Department of Administrative Services (KDAS)
  - Division of Information Technology

13
### TABLE 2. SAFETY MANAGEMENT SYSTEM STEERING COMMITTEE
(continued)

**State Government - Outside Transportation Cabinet**

**Justice Cabinet**
- Department of State Police (KSP)
  - Highway Safety Branch
  - Information Services
  - Driver Testing
- Department of Criminal Justice Training

**Education and Humanities Cabinet**
- Department of Education
  - Division of Pupil Transportation
  - Driver Education

**Judicial Branch**
- Administrative Office of the Courts

**Cabinet for Human Resources (CHR)**
- Department for Health Services
  - EMS Branch
  - Kentucky Trauma Registry

**Department for Local Government**
- Area Development Districts (ADD)

**Department of Insurance**

**Department of Military Affairs**
- Disaster and Emergency Services (DES)

**Legislative Branch**
- Legislative Research Commission
TABLE 2. SAFETY MANAGEMENT SYSTEM STEERING COMMITTEE (continued)

Outside State Government

American Automobile Association (AAA)
American Association of Retired Persons (AARP)
Eastern Kentucky University (EKU)
  Traffic Safety Institute
  State Traffic School Program
Federal Highway Administration
  Traffic Safety Office
  Office of Motor Carriers
Federal Railroad Administration
Insurance Company Representative
Kentucky Association of Counties
Kentucky Association of County Engineers and Road Supervisors
Kentucky Driver and Traffic Safety Education Association
Kentucky League of Cities
Kentucky Motor Transport Association, Inc.
Mothers Against Drunk Driving (MADD)
MPO Representative
National Highway Traffic Safety Administration (NHTSA)
Operation Lifesavers
University of Kentucky Transportation Center
  Local Technical Assistance Program
### TABLE 3. SAFETY MANAGEMENT SYSTEM SUBCOMMITTEES

**SUBCOMMITTEE:** Roadway Improvements  
**Scope:** To address problem areas related to various roadway features which impact the safety of travel on the highway system in Kentucky. Specific areas to be addressed include high accident locations, rail/highway grade crossings, pedestrians, bicyclists, and the roadway-related features of community/corridor safety improvement programs.

**SUBCOMMITTEE:** Human Factors  
**Scope:** To identify and investigate factors which directly affect the non-engineering and roadway-related elements of highway safety. Included are driver-related factors, interaction of enforcement activities and the judicial system with improvements in highway safety, human factor elements of community/corridor safety improvement programs, and the role of emergency medical services to reduce the severity of injuries in highway crashes.

**SUBCOMMITTEE:** Vehicles  
**Scope:** To identify and address all aspects of the vehicle as they relate to safety. Vehicle types to be included are passenger vehicles, buses, and commercial vehicles.

**SUBCOMMITTEE:** Information Systems/Evaluation  
**Scope:** To serve as the linkage between data requirements and data sources for the development and implementation of safety programs. To provide baseline data for the initial identification of problem areas and to provide data for the support of evaluation efforts. To evaluate the processes and results of the Safety Management System implemented in Kentucky.
### TABLE 4. MEMBERSHIP OF SAFETY MANAGEMENT SYSTEM SUBCOMMITTEES

#### ROADWAY IMPROVEMENTS

<table>
<thead>
<tr>
<th>Chairperson:</th>
<th>KDOH - Division of Traffic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Members:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>KDOH - Division of Highway Design</td>
</tr>
<tr>
<td></td>
<td>KDOH - Division of Construction</td>
</tr>
<tr>
<td></td>
<td>KDOH - Division of Transportation Planning</td>
</tr>
<tr>
<td></td>
<td>KDOH - Division of Operations</td>
</tr>
<tr>
<td></td>
<td>KDOH - Division of Multimodal Programs</td>
</tr>
<tr>
<td></td>
<td>KYTC - Department of Rural and Municipal Aid</td>
</tr>
<tr>
<td></td>
<td>FHWA - Traffic Safety</td>
</tr>
<tr>
<td></td>
<td>Federal Railroad Administration</td>
</tr>
<tr>
<td></td>
<td>KY Assoc. of Co. Engineers and Road Supervisors</td>
</tr>
<tr>
<td></td>
<td>ADD Representative</td>
</tr>
<tr>
<td></td>
<td>MPO Representative</td>
</tr>
<tr>
<td></td>
<td>Kentucky League of Cities</td>
</tr>
<tr>
<td></td>
<td>Kentucky Association of Counties</td>
</tr>
</tbody>
</table>

#### HUMAN FACTORS

<table>
<thead>
<tr>
<th>Chairperson:</th>
<th>KDVR - Division of Driver Licensing</th>
</tr>
</thead>
<tbody>
<tr>
<td>Members:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>KSP - Driver Testing</td>
</tr>
<tr>
<td></td>
<td>KSP - Highway Safety Branch</td>
</tr>
<tr>
<td></td>
<td>Kentucky Youth Traffic Safety Office</td>
</tr>
<tr>
<td></td>
<td>Dept. Education - Driver Education</td>
</tr>
<tr>
<td></td>
<td>MADD</td>
</tr>
<tr>
<td></td>
<td>AAA</td>
</tr>
<tr>
<td></td>
<td>AARP</td>
</tr>
<tr>
<td></td>
<td>NHTSA</td>
</tr>
<tr>
<td></td>
<td>KY Driver and Traffic Safety Education Assoc.</td>
</tr>
<tr>
<td></td>
<td>Operation Lifesavers</td>
</tr>
<tr>
<td></td>
<td>EKU - State Traffic School Program</td>
</tr>
<tr>
<td></td>
<td>FHWA - Office of Motor Carriers</td>
</tr>
<tr>
<td></td>
<td>FHWA - Traffic Safety</td>
</tr>
<tr>
<td></td>
<td>CHR - EMS Branch</td>
</tr>
<tr>
<td></td>
<td>Administrative Office of the Courts</td>
</tr>
<tr>
<td></td>
<td>Department of Criminal Justice Training</td>
</tr>
<tr>
<td></td>
<td>ADD Representative</td>
</tr>
</tbody>
</table>

17
<table>
<thead>
<tr>
<th>TABLE 4. MEMBERSHIP OF SAFETY MANAGEMENT SYSTEM SUBCOMMITTEES (continued)</th>
</tr>
</thead>
</table>

**VEHICLES**

| Chairperson: | KDVR - Division of Motor Vehicle Enforcement |
| Members:     | Dept. Education - Div. of Pupil Transportation  |
|             | Kentucky Motor Transport Association, Inc. |
|             | FHWA - Office of Motor Carriers |
|             | FHWA - Traffic Safety |
|             | KDOH - Division of Equipment |
|             | KDAS - Fleet Management |
|             | EKU - Traffic Safety Institute |
|             | Department of Insurance |
|             | Insurance Company Representative |

| INFORMATION SYSTEMS/EVALUATION |
| Chairperson: | KDAS - Division of Information Technology |
| Members:     | KSP - Information Services |
|             | CHR - Kentucky Trauma Registry |
|             | KDOH - Division of Operations |
|             | Administration Office of the Courts |
|             | KDOH - Division of Transportation Plannin |
|             | KDOH - Division of Traffic |
|             | KDOH - Division of Driver Licensing |
|             | KDVR - Division of Motor Vehicle Enforcement |
|             | FHWA - Traffic Safety |
APPENDIX A

SAFETY BASELINE INVENTORY
PURPOSE: This division is responsible for the formulation, distribution, and interpretation of the policies, rules and regulations that relate to the traffic functions of the Cabinet.

SAFETY-RELATED ACTIVITIES: Decisions are made relating to the placement of various traffic control devices (including signs, markings, and signals) on all state maintained roadways. The Hazard Elimination Program (HES) is implemented using a listing obtained from the accident buildup as well as projects submitted by the highway districts. Priority is based on benefit cost ratio with a current funding of about $9 million per year. The division is involved in corridor programs on US 60 in the Green River ADD and on US 23 in Pike County. The Safety Coordinator for Transportation Cabinet is in this division with the function of processing requests for 402 funds. Funds from NHTSA 402 grants are received for programs including Ghost Out (alcohol), occupant protection, corridor program, and work through KEEN related to safety belt usage and alcohol.

SAFETY-RELATED FILES: A KARS (Kentucky Accident Reporting System) file is maintained for accident analysis. A traffic signal file is maintained with a report which is prepared each month listing pending signals. A speed zone paper file of official orders (including school flashers) is maintained. A permit file is maintained (Traffic Management System-TMS). An accident surveillance file is maintained along with a file of high accident locations.

SAFETY-RELATED REPORTS: A speed monitoring report is prepared annually. An annual construction work zone report is also prepared.

INTERACTION WITH OTHER SAFETY GROUPS: Information related to accident surveillance and the file of high accident locations is provided to other divisions within the KYTC. Accident data files are shared with various other divisions within the Transportation Cabinet. In addition, significant interaction occurs with the Kentucky State Police in the assimilation of accident data provided by other police reporting agencies.
PURPOSE: The purpose of the Division of Transportation Planning is to collect and maintain data which can be used to support the design and construction of highways as well as intermodal transportation planning and programming.

SAFETY-RELATED ACTIVITIES: Maintaining the Highway Information System (HIS) includes collecting various highway characteristic data for the state-maintained system. This includes classification type, traffic volume, accidents, and geometric characteristics. In planning needs studies, accident rates are calculated along corridors and compared to average and critical rates.

SAFETY-RELATED FILES: A major file is the Highway Information System (HIS) unified database. Other files relate to traffic volumes, vehicle classifications, and truck weights.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: The Division of Transportation Planning interacts with other divisions within KYTC to identify and list a priority ranking of accident sites as part of planning needs studies and to provide information used in the design and construction process.
PURPOSE: This division is responsible for the maintenance of roads, streets, and bridges which are part of the State Highway System.

SAFETY-RELATED ACTIVITIES: The bridge section inspects all bridges in the state. This includes both state and non-state maintained. Areas of safety relate to maintenance of pavements, shoulders, guardrail, and right-of-way. A program currently in place determines guardrail locations eligible for maintenance replacement or enhancement and produces a priority ranking of those locations based on available funding. Another program related to safety is resurfacing with the responsibility shared with other divisions within the Transportation Cabinet.

SAFETY-RELATED FILES: Two major data files under Division of Maintenance responsibility are the bridge file and the maintenance management inventory data file. There are about 150 work items to account for maintenance activities. Work performed is placed on a computer file indicating work type, date, and location.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Interaction is primarily with other divisions within the Transportation Cabinet.
Kentucky Transportation Cabinet
Department of Highways
Division of Operations
Pavement Management Branch

PURPOSE: The primary functions related to safety are to collect and analyze data for input into the pavement management program, and to prepare recommendations on conditions.

SAFETY-RELATED ACTIVITIES: Skid data and rutting data are collected for input into the pavement management system. Condition evaluations are performed for all interstates, parkways, and selected other roads. Roughness testing is performed on a survey basis for all state-maintained roads. Guidance for construction and maintenance of highways in Kentucky is prepared.

SAFETY-RELATED FILES: Files are maintained which contain information on skid resistance, rutting, and roughness.

SAFETY-RELATED REPORTS: Various summary reports of data are prepared.

INTERACTION WITH OTHER GROUPS: Interaction occurs with other functions within the Transportation Cabinet for the purpose of determining the appropriate sections to be deslicked and changes to be made in surface mix guidelines and aggregate usage.
PURPOSE: The primary function is to design bridges for the state-maintained system of highways.

SAFETY-RELATED ACTIVITIES: Activities related to safety include development of the bridge replacement program and the design and retrofit of bridges. They deal with individuals in the County Bridge Replacement Program and Federal Bridge Replacement Program.

SAFETY-RELATED FILES: A file of bridge plans is maintained.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER GROUPS: Interaction occurs with the Divisions of Design, Traffic, and Maintenance within the Transportation Cabinet. In addition, county representatives are dealt with through the County Bridge Replacement Program.
PURPOSE: This division is responsible for the development of design criteria for the location and design of roadways, for the development of construction plans, and for the preparation and assembly of plans for construction segments of the State Highway System.

SAFETY-RELATED ACTIVITIES: The involvement with safety includes the preparation of design and drainage manuals and standard drawings, the railroad crossing program, and general design using safety criteria.

SAFETY-RELATED FILES: The Division maintains a historical project file for each design project that contains all reports, correspondence, and technical data related to the development of the project. The Division also maintains files relative to the production of all manuals, standard drawings, and specifications.

SAFETY-RELATED REPORTS: The following safety-related documents are produced: design manual, drainage manual, standard drawings, and specifications.

INTERACTION WITH OTHER SAFETY GROUPS: Interaction occurs with other groups such as: a) various committees associated with AASHTO's "A Policy on Geometric Design of Highways and Streets, b) AASHTO Joint Task Force on Pavements, c) Transportation Research Committees A2B02, A2B03, and A2B04 relative to Rigid and Flexible Pavement Design and Pavement Rehabilitation, d) the various highway district offices, and e) other divisions within the Transportation Cabinet such as the Divisions of Materials, Maintenance, Bridges, and Traffic. Design reviews are made by the Federal Highway Administration with various aspects of the review associated with safety.
Kentucky Transportation Cabinet  
Department of Highways  
Division of Equipment  

PURPOSE: This division is responsible for the road equipment requirements of the Kentucky Transportation Cabinet. This includes developing specifications and purchase of the equipment.

SAFETY-RELATED ACTIVITIES: The trucks and heavy equipment used by the Transportation Cabinet are purchased and maintained. Safety devices used in work zones (from flashing arrow equipment to individual devices such as safety vests) are purchased.

SAFETY-RELATED FILES: The Equipment Management System (EMS) file contains an inventory of trucks and heavy equipment. Records are maintained of trucks involved in accidents.

SAFETY-RELATED REPORTS: Each accident is investigated by a Transportation Safety Officer from the Employee Safety and Health Division while the employee files a Kentucky Uniform Traffic Accident Report.

INTERACTION WITH OTHER SAFETY GROUPS: It interacts with districts and divisions within the Transportation Cabinet through the procurement and maintenance of trucks and heavy equipment. Specific interaction is safety inspections with the Employee Safety and Health Division; disposal of toxic, non-toxic, and solid waste with the Division of Environmental Analysis, and fire inspection of facilities with the State Fire Marshall.
PURPOSE: This division provides technical assistance in the planning and implementation of public transportation systems.

SAFETY-RELATED ACTIVITIES: Drug and alcohol training is given to public transit drivers. Vehicle specifications are prepared with maintenance monitored. They interact with MPOs and Area Development Districts in the planning and implementation of transit systems. The bicycle/pedestrian plan is developed. A state rail plan is prepared.

SAFETY-RELATED FILES: The TRANSIT file is maintained. This file is an inventory of approximately 800 transit vehicles with such items as usage, mileage and revenue per mile.

SAFETY-RELATED REPORTS: Transit reports are prepared monthly for rural systems with semi-annual reports in urban areas. Specialized reports for the elderly and handicapped are prepared. An annual transit report is also prepared. The state rail plan and bicycle/pedestrian plans are prepared.

INTERACTION WITH OTHER SAFETY GROUPS: Interaction occurs with other divisions in the Department of Highway, MPOs, and with ADDs.
Kentucky Transportation Cabinet
Department of Highways
Division of Materials

PURPOSE: This division establishes standards and controls relating to the quality of materials used in the construction and operation of highways, assists in developing standards and specifications for materials, establishes test procedures that will assure compliance with specifications, controls testing procedures that are conducted in the field, and performs or provides for acceptance testing of materials.

SAFETY-RELATED ACTIVITIES: Safety related work involves the development of approved lists for pavement marking materials, aggregates for skid resistance, and signing material. In addition, the Rockfall Mitigation Program in the Geotechnical Branch is safety related.

SAFETY-RELATED FILES: Approved lists of various safety related materials are maintained.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: The division interacts with other divisions in the KDOH for issues related to skid resistance, pavement marking materials, and the rockfall program.
PURPOSE: The purpose is to insure compliance with regulations and requirements of the Transportation Cabinet for the use of the highway right of way.

SAFETY-RELATED ACTIVITIES: The Utilities Branch within the Division is involved with activities relating to highway safety. They include the review of design plans prepared by companies performing work on highway right of way (for such items as clear zone and overhead clearance), review of the submitted Traffic Control Plan (TCP), review of projects to insure compliance with the TCP, and the inspection of the construction project in such areas as clear zone and overhead clearance.

SAFETY-RELATED FILES: A permanent file of permits issued is maintained by the Permits Sections within each of the District offices.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Interaction occurs with other operational units within the Transportation Cabinet. In addition, the process of issuing permits involves interaction with construction companies and utility companies.
PURPOSE: This division is responsible for administering contracted highway construction from award until the project is completed and the contractor is paid in full, including checking and verification of final estimates.

SAFETY-RELATED ACTIVITIES: Safety related activities include supervision and inspection of construction projects. This includes such activities as revisions to the Traffic Control Plan (TCP), noting low shoulders, noting problems with supervision, and paint striping after resurfacing.

SAFETY-RELATED FILES: The only permanent file maintained by the Division is the project file. The files for federal projects are maintained for a period of five years after final payment to the Department by FHWA. State project files are maintained for a period of three years after final payment is made by the contractor by the Department. Items such as inspection reports, project diary, daily inspection report, and final and formal inspection reports are kept in this file.

SAFETY-RELATED REPORTS: The only formal report is an annual inspection of traffic control in work zones. One project is selected in six districts with an annual report prepared. The project is inspected by representatives from Divisions of Design and Traffic, and the District offices.

INTERACTION WITH OTHER SAFETY GROUPS: Interaction occurs during the inspection process with representatives within the Transportation Cabinet; specifically the Divisions of Design and Traffic, and the District offices. Inspection of federally funded projects is performed in conjunction with the Federal Highway Administration. In addition, there is interaction with contractors in the project management and monitoring activities.
PURPOSE: The purpose of the Program Management Staff as it relates to safety activities includes incorporating the federal-aid safety programs (hazard elimination, rail crossings, and rail separation) into the Cabinet's Six-Year Highway Plan. Also, it is responsible for tracking the status of these safety projects through the Preconstruction Status Report.

SAFETY-RELATED ACTIVITIES: The Six-Year Plan is updated every two years. Safety projects included in the Six-Year Plan are authorized for funding in accordance with the Six-Year Plan schedule.

SAFETY-RELATED FILES: The pre-construction status for safety projects in the Six-Year Plan is maintained until the project is awarded. After the project is awarded to construction, progress is tracked through the Contractor's Pay Estimate computer system used by the Division of Construction.

SAFETY-RELATED REPORTS: The Six-Year Plan report is updated every two years. A monthly report lists target letting schedules. State fund and federal aid fund usage for safety projects can be determined through the Cabinet's Project Authorization System (PAS).

INTERACTION WITH OTHER SAFETY GROUPS: The Programming Section interacts directly or indirectly with other functions within the Transportation Cabinet to schedule projects for implementation.
Kentucky Transportation Cabinet  
Department of Vehicle Regulation  
Division of Driver Licensing

PURPOSE: The function of this division is to provide overall direction and administration of license issuance to circuit court clerk offices, maintain records of drivers in Kentucky, and provide safety courses for drivers committing minor traffic offenses and motorcycle safety education.

SAFETY-RELATED ACTIVITIES: Major activities are to maintain the driving records, provide state traffic school, provide motorcycle safety education, conduct point system hearings for license suspension, probation, or revocation, and to control who obtains a license. The division also has driver license suspension authority.

SAFETY-RELATED FILES: A driver license file contains the driving records (violations, accidents, etc.) for all drivers.

SAFETY-RELATED REPORTS: Conviction information, driver demographics.

INTERACTION WITH OTHER SAFETY GROUPS: There is interaction with law enforcement agencies, circuit court clerks, FHWA, NHTSA, EKU - Traffic Safety Institute, EKU - State Traffic School, other states, AAMVA, AAMVAnet, MADD, KSP - Highway Safety Branch, KSP - Driver Testing, and the Kentucky Transportation Center.
Kentucky Transportation Cabinet  
Department of Vehicle Regulation  
Division of Motor Carriers

PURPOSE: This division is responsible for insurance and tax related activities dealing with motor carriers.

SAFETY-RELATED ACTIVITIES: Safety-related activities include the following: 1) maintenance of the KYU file containing insurance information, tax status, and outstanding citations; and 2) issuance of permits for oversize and overweight loads. They check with other units within the KYTC to determine if a road is adequate to accommodate overdimension and overweight special permitted vehicles.

SAFETY-RELATED FILES: The only file maintained which contains safety information is the KYU file.

SAFETY-RELATED REPORTS: They do not prepare any routine reports but a report summarizing permits could be generated upon request. They prepare a monthly status report for receipts.

INTERACTION WITH OTHER SAFETY GROUPS: They interact with other functions within the Transportation Cabinet to determine if roads and bridges are adequate to accommodate the vehicles which are being allowed to operate under special permit.
PURPOSE: The primary safety-related responsibility of this Division is the operational fitness of motor carrier vehicles and drivers operating on public highways in Kentucky.

SAFETY-RELATED ACTIVITIES: Employees of this Division perform the North American Standard (NAS) Driver-Vehicle inspection on commercial motor vehicles, private buses, and their drivers. These inspections are conducted at weight/inspection stations and on the roadside. Vehicles which fail such inspections are placed out of service on the spot, and are not allowed to proceed until necessary repairs are effected. Vehicles which pass inspection are awarded a CVSA (Commercial Vehicle Safety Alliance) inspection decal, which indicates to inspectors in other States and Provinces that the subject vehicle has passed inspection within the last ninety (90) days. Specialized units of the Division also perform; detailed inspections of transporters of hazardous materials; interdiction of transportation of illegal drugs, utilizing screening techniques and drug detection dogs; and detailed reviews of the records of Kentucky-based motor carriers to establish a Federal safety rating and for possible civil procedures against carriers by the FHWA. The Division also has a subsidiary role in the enforcement of traffic laws for non-commercial vehicles.

SAFETY-RELATED FILES: All safety-related documents are entered into a nationwide database known as "Safety Net", which is maintained by FHWA. Information on motor carriers is available from this system on a statewide and nationwide basis. The Division also utilizes the Commercial Driver License Information System (CDLIS) to check the driving records of drivers of commercial vehicles.

SAFETY-RELATED REPORTS: Quarterly reports are prepared detailing safety inspection, compliance review, and drug-related cases as one of the requirements of the annual Motor Carrier Safety Assistance Program grant from FHWA.

INTERACTION WITH OTHER SAFETY GROUPS: The Division interacts closely with the Office of Motor Carriers of the FHWA, the Commercial Vehicle Safety Alliance (CVSA), the Conference on Hazardous Materials Enforcement and Training (COHMED), the Kentucky Emergency Response Commission, the National Governor's Association (NGA), the Kentucky State Police, the Louisville Police Department, and the Lexington/Fayette County Metropolitan Police Department.
PURPOSE: The purpose of this division is to serve as the registrant of all motor vehicles being operated in Kentucky.

SAFETY-RELATED ACTIVITIES: The only direct involvement in safety is through inspection and issuance of new licenses for rebuilt vehicles.

SAFETY-RELATED FILES: A file of current and expired registrations is maintained in the AVIS file.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Some interaction with enforcement agencies occurs when access to the AVIS file is required to investigate criminal activities.
Kentucky Transportation Cabinet
Department of Administrative Services
Division of Information Technology

PURPOSE: The purpose is to develop and implement methods for efficient management of data.

SAFETY-RELATED ACTIVITIES: Primary activities related to safety is the management of data which has a safety function.

SAFETY-RELATED FILES: The Highway Information System (HIS) and Kentucky Accident Reporting System (KARS) files are the two main files related to safety. An imaging system is being operated by the Division of Traffic which stores an image of an accident report and is accessible via the wide area network (WAN).

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Interaction occurs with other functions within the Transportation Cabinet related to the management of data.
Kentucky Transportation Cabinet  
Department of Administrative Services  
Division of Management Services

PURPOSE: The three areas of responsibility include the following: 1) management of the mailroom, 2) editing and distribution of guidance manuals and policy and procedure forms, and 3) employee training and development.

SAFETY-RELATED ACTIVITIES: Safety involvement is associated with training, specifically technical training.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: An annual report is prepared giving a list of courses taught.

INTERACTION WITH OTHER SAFETY GROUPS: Interaction occurs with Transportation Cabinet personnel through training in the areas of engineering, management, and computer applications.
Kentucky Transportation Cabinet  
Department of Administrative Services  
Division of Employee Safety and Health

PURPOSE: The purpose of the division is to provide for the safety of the worker and the work site, through application and extension of the OSHA requirements.

SAFETY-RELATED ACTIVITIES: Safety related training is conducted for work zone safety and flagger training. Flagger training or certification is required every two years. Routine inspections of work zone safety are made by district representative. These inspections are for highway department maintenance. It is involved in the purchase of crash cushions for state vehicles. Safety for state workers is enforced through the use of vests and hard hats.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: An annual safety report is prepared which includes traffic accidents involving state vehicles.

INTERACTION WITH OTHER SAFETY GROUPS: Interaction occurs with state personnel through safety training and application of OSHA requirements.
PURPOSE: This division is responsible for all automotive requirements of the Commonwealth, except for trucks, State Police vehicles, and vehicles provided to elected state officials. Another responsibility is to analyze the transportation requirements of all state agencies and determine the vehicle models and quantities to obtain.

SAFETY-RELATED ACTIVITIES: One such activity is to insure that all drivers have a valid drivers license but no check of driving record is performed. Cars are upgraded to have air bags when possible but neither air bags or ABS are part of the standard specifications.

SAFETY-RELATED FILES: A file maintained is the Equipment Management System. This file contains information such as the vehicle ID number, location, type, and maintenance history. Each vehicle has an Equipment Master Record which is purged when the vehicle is sold. This file contains the license number, class code, size code, serial number, purge data, and price. A PC file of traffic accidents involving motor pool vehicles is maintained with license number of the vehicle. A paper file is maintained for each vehicle until it is sold. This file contains repair orders and tickets, accident reports, photos, and purchase documents.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: This Division interacts with other groups only in the capacity of providing vehicles for use by other state agencies.
PURPOSE: Their major activity is toll collection, with responsibility extending over the facilities in the area where tolls are collected.

SAFETY-RELATED ACTIVITIES: Involvement with safety includes analysis of accidents on toll roads, coordination with Department of Highways in making improvements, and safety training for individual employees. Another safety activity involves personnel safety training which includes CPR for all employees and standard first aid (Red Cross). All toll collectors are trained in the proper procedures to flag traffic through the standard school conducted by the Transportation Cabinet.

SAFETY-RELATED FILES: Accidents in the vicinity of the toll facilities are reviewed and summarized on an annual basis.

SAFETY-RELATED REPORTS: An annual inspection report is prepared for each toll facility, with a section of the report addressing personnel safety.

INTERACTION WITH OTHER SAFETY GROUPS: Interaction occurs with the Transportation Cabinet's Division of Traffic in the review and analysis of high accident locations on the toll road system.
Kentucky Transportation Cabinet
Office of General Counsel

PURPOSE: The purpose of the General Counsel is to provide legal support for the other functions within the Transportation Cabinet.

SAFETY-RELATED ACTIVITIES: A primary function is to defend the Transportation Cabinet in actions taken through the Board of Claims.

SAFETY-RELATED FILES: The only related file is the listing of Board of Claims cases.

SAFETY-RELATED REPORTS: The only report prepared is a tabulation of the settlement amounts or awards paid for Board of Claims cases each month.

INTERACTION WITH OTHER SAFETY GROUPS: They interact with other safety functions within the Transportation Cabinet to provide data and supporting documents in the defense of claims against the Transportation Cabinet.
PURPOSE: The primary function is to distribute funds for the Rural Secondary, County Road Aid, and Municipal Aid road systems. This represents about 55,000 miles of the total of about 70,000 miles in the state. The funds amount to 48.2 percent of the Road Fund (about $174 million per year). This department is also responsible for approval of projects on the Rural Secondary system with 90 percent of these funds used on state-maintained roads.

SAFETY-RELATED ACTIVITIES: Workshops are conducted for county and city officials to describe the Rural Secondary, County Road Aid, and Municipal Aid road programs.

SAFETY-RELATED FILES: A file is maintained of official orders tabulating funds distributed to each county and city. A program file is also maintained for each county which contains recommendations and approvals of various projects within the Rural Secondary System.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Primary contacts are with County Judges Association, Magistrates and Commissioners Association, League of Cities, and Association of Counties.
Kentucky Transportation Cabinet
Secretary's Office

PURPOSE: Responsible for preparation of proposed legislation. Proposed bills are either submitted by the Cabinet or offered for consideration through other groups with a direct interest in the legislation.

SAFETY-RELATED ACTIVITIES: Priorities for proposed legislation for the Transportation Cabinet are identified with legislation drafted. Examples of such legislation is license withdrawal for drug use, the mandatory safety belt law, and self insurance for all state vehicles.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Interaction occurs with numerous agencies advocating special interest legislation of which some is safety-related.
PURPOSE: This office is responsible for all matters relating to public relations, media relations, and information. Accurate information about the services, functions, work and activities of the Cabinet is disseminated by this office through issuance of informational/educational materials, promotional campaigns, special events, publications, and news releases. The Office also issues a monthly employee newsletter that covers issues, activities, and awards.

SAFETY-RELATED ACTIVITIES: The Office assists in the promotion of highway safety through the marketing and dissemination of information concerning various programs to the media and general public. Examples are highway safety corridor programs, seat belt and drunk driving campaigns, motor vehicle enforcement, the Kentucky Road Report, and traffic management systems.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: The Kentucky Road Report is computer generated from each highway district with a faxed hard copy sent to many areas such as the media, surrounding states, and motor vehicle enforcement. Press releases are distributed concerning such items as construction, accidents, weather conditions, special program activities, and road closures.

INTERACTION WITH OTHER SAFETY GROUPS: Related to safety issues affecting the Transportation Cabinet, the Office of Public Affairs interacts with numerous outside groups, particularly the various media sources, as well as the general public. Other interaction includes the Kentucky State Police and Division of Motor Vehicle Enforcement.
Education and Humanities Cabinet
Department of Education
Division of Pupil Transportation

PURPOSE: A basic function is to provide training for the personnel involved with school bus transportation.

SAFETY-RELATED ACTIVITIES: Responsibilities include formal training for trainers of bus drivers, preparation of a manual for school bus trainers, and administration of a school bus inspectors training program.

SAFETY-RELATED FILES: Files are maintained which list all certified bus drivers, inspection reports for each school bus, and accidents involving school buses.

SAFETY-RELATED REPORTS: Monthly reports for each bus, which give inspection and service information, are received. A Pupil Transportation Management Manual is given to each school district. A file is maintained and a report generated each year dealing with the area of service, pupils transported, and funds for each district. Bus specifications are listed in a report. There is a manual outlining Pupil Transportation Management which is provided to each district.

INTERACTION WITH OTHER SAFETY GROUPS: Interaction is with transportation personnel school districts throughout the state.
PURPOSE: The purpose is to serve as a resource to high school driver education teachers.

SAFETY-RELATED ACTIVITIES: The office creates documents which guide teachers as they design curriculum and assessment based on Kentucky's Academic Expectations. Further, the office responds to local schools and school districts who have questions regarding driver education programs.

SAFETY-RELATED FILES: Files are maintained on the following: current lists of driver education teachers, publications on driver and traffic safety, and catalogues of instructional materials.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: There is interaction with the Kentucky Driver and Traffic Safety Education Association, which is an organization of high school driver education teachers. Also, when appropriate, communication occurs with Traffic Safety Institute at Eastern Kentucky University and with the Kentucky State Police.
Cabinet for Human Resources  
Department for Health Services  
Emergency Medical Services (EMS) Branch

PURPOSE: The primary function is to insure qualified emergency response services through the licensing and certification of emergency response providers.

SAFETY-RELATED ACTIVITIES: The EMS Branch licenses individual Emergency Medical Services and certifies EMTs. They develop the certification test and contract to administer the test. A safety related area involves the transportation of injured occupants from a traffic accident to the hospital. Related activities or goals are for statewide EMS and Trauma systems, bringing advanced life support services to the entire state, and bringing 911 to the entire state.

SAFETY-RELATED FILES: Files are maintained for each ambulance service and for certified EMTs. There are about 275 ambulance services in Kentucky representing approximately 850 licensed ambulances. There are about 13,000 certified EMTs in Kentucky. Files are maintained representing about 500,000 ambulance runs per year. A form is filed for each run. About 150,000 are on disk with the rest in hard copy. A current project involves an effort to have this data placed on a computer at the hospital. A file of all ambulance accidents is maintained and used in EMS training.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Primary contacts are with the EMTs through training and certification.
Kentucky Cabinet for Human Resources
Department for Human Resources
Division of Maternal and Child Health

PURPOSE: In the area of transportation safety, reducing mortality and morbidity from injuries to children in traffic-related accidents.

SAFETY-RELATED ACTIVITIES: This division administers safety seat services through local health departments for the distribution of child safety seats in Kentucky communities, specifically targeting low-income families. Funding for this is provided through the Division of Maternal and Child Health Block Grant. This division is the lead agency of the Kentucky SAFE KIDS Coalition as part of the national campaign. The Coalition body includes public and private organizations, with the State Coordinator on staff within the Division. The mission of the state SAFE KIDS Coalition includes the prevention of unintentional injuries to children through increasing public awareness and providing education for environmental and public policy changes. The National Campaign’s top five risk areas of major concern are traffic injuries (passenger, pedestrian, and bicyclist), fires and burns, drowning, choking and poisoning, and falls.

SAFETY-RELATED FILES: Information related to the Kentucky SAFE KIDS Coalition.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Cooperative contact and activities with the Kentucky Department of Transportation, Kentucky State Police, Rural Offices of Traffic Safety, National Highway Traffic Safety Administration, Federal Highway Administration, Emergency Medical Services, Children’s Hospitals, and other agencies involved in children’s injury prevention.
PURPOSE: This division works with the various county health departments related to all aspects of health education, including injury prevention.

SAFETY-RELATED ACTIVITIES: A community health needs assessment and community health opinion survey is conducted by the health department in many county. It identifies specific health problems in their area. Traffic safety is an area which could be identified and targeted.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: Behavioral risk factor surveillance reports.

INTERACTION WITH OTHER SAFETY GROUPS: There is interaction with safety-related groups such as the Kentucky SAFE KIDS Coalition, highway safety officers, Kentucky State Police, and Safe Sitter programs.
PURPOSE: The KTR receives and analyses reports from the three Level 1 trauma centers in Kentucky (UK Medical Center, UL Medical Center, Kosair Children Hospital).

SAFETY-RELATED ACTIVITIES: A proposed project will support purchase and implementation of a statewide license for computer software which can be provided to each hospital to assist them with data input and maintenance of records. This agreement will involve providing the proposed Kentucky Injury Prevention and Research Center (KIPRC) with data. An objective is to link crash, EMS, and medical data so that the medical history of a traffic accident victim can be obtained.

SAFETY-RELATED FILES: The current file contains hospital trauma patients with the objective of including all emergency room patients. The plan is to use AUTOMATCH to link EMS and crash reports.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Primary interaction is with medical groups such as EMS. The Trauma Registry interacts with medical and health professionals involved with the three trauma centers in Kentucky. There is interaction with the Transportation and Justice Cabinets concerning linking crash and medical data.
PURPOSE: The purpose of this unit is to develop and implement a Highway Safety Plan for Kentucky.

SAFETY-RELATED ACTIVITIES: A major activity is preparation and implementation of the annual Highway Safety Plan. A secondary activity is planning the Lifesavers Conference.

SAFETY-RELATED FILES: Reference material is maintained for use by state and local agencies.

SAFETY-RELATED REPORTS: The Highway Safety Plan is prepared and submitted to the National Highway Traffic Safety Administration and Federal Highway Administration.

INTERACTION WITH OTHER SAFETY GROUPS: The grant program administered by the Highway Safety Branch results in significant interaction with other agencies/organizations responsible for safety in Kentucky. On the national level, the Safety Branch meets and shares information with other Governor's Highway Safety representatives. In addition, there is interaction with several safety groups through participation in the Lifesavers Conference.
Kentucky Justice Cabinet  
Department of State Police  
Driver Testing Section

PURPOSE: The purpose of the Driver Testing Section is to coordinate the activities related to driver training and testing.

SAFETY-RELATED ACTIVITIES: The safety related activities involve driver testing, preparing the drivers training book, preparing written tests for regular and motorcycle drivers, and licensing driver training schools.

SAFETY-RELATED FILES: Files maintained include; a list of private driver training schools and instructors, and a file of the CDL written and driving scores.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Interaction occurs with other administrators and other personnel associated with driver training schools. There is direct interaction with the Division of Driver Licensing of the Transportation Cabinet.
PURPOSE: In the area of traffic safety, this section analyses traffic accident data and administers traffic safety programs for the Kentucky State Police (KSP).

SAFETY-RELATED ACTIVITIES: This section administers KSP safety grants through the Highway Safety Branch such as the Night Hawk program for drunk driving and speed enforcement. Traffic safety related legislation is monitored. Locations in need of increased enforcement for alcohol and speed are identified. It serves as a clearinghouse for equipment in all areas. Examples of safety related equipment would be signs for roadblocks and vests for officers. Upon request, accident patterns are analyzed.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: Reports documenting the referenced activities are prepared.

INTERACTION WITH OTHER SAFETY GROUPS: Interaction occurs with the KSP Highway Safety Branch, the Legislature, and enforcement officers throughout the state.
PURPOSE: This unit serves an information management function with traffic safety responsibilities related to citations, the uniform accident report, and the Fatal Accident Reporting System.

SAFETY-RELATED ACTIVITIES: All traffic accident reports are coded and sent to the Transportation Cabinet who maintains this file. Several safety-related files are maintained. The current Uniform Police Traffic Accident Report is being revised.

SAFETY-RELATED FILES: Five computer files are maintained. They include: citations (uniform citation), criminal history (fingerprinted), NCIC (stolen property, wanted), uniform offense report (victim of crime), and FARS (fatal accidents).

SAFETY-RELATED REPORTS: There are two annual reports issued. One report is entitled Accident Facts and it is a compilation of accident statistics from the Kentucky Accident Reporting System (KARS) and the Fatal Accident Reporting System (FARS). The second report is entitled Crime in Kentucky and is a summary of citation data received from the Administrative Office of the Courts (AOC).

INTERACTION WITH OTHER SAFETY GROUPS: Coded accident data are sent to the Transportation Cabinet where a file of accident data is maintained. A plan is being made to develop the capability to access a computer file containing citation data which is maintained by the Administrative Office of the Courts.
PURPOSE: Training is provided to local police agencies.

SAFETY-RELATED ACTIVITIES: Safety-related areas are included in the training.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: The interaction would include enforcement personnel.
PURPOSE: The purpose of this unit is to provide technical and administrative support to a group of counties, in order to improve the services available.

SAFETY-RELATED ACTIVITIES: Each Area Development District (ADD) has a transportation coordinator who is responsible for transportation-related activities, including safety issues. The Green River Area Development District (GRADD) has a Regional Highway Safety Coordinator with a Citizen Advisory Board in each county.

SAFETY-RELATED FILES: A regional transportation planning contract exists between each county and the local ADD for such activities as road inventories, traffic counts, accident investigations, radar surveys, and railroad crossing inventories.

SAFETY-RELATED REPORTS: Reports are produced relating to accident investigations of specific cities. An example is the annual accident report for Owensboro prepared by the GRADD in which recommendations are made for improvements to reduce accidents.

INTERACTION WITH OTHER SAFETY GROUPS: The ADDs typically interact with various units within the Kentucky Transportation Cabinet, in addition to the local and county agencies responsible for transportation.
Legislative Research Commission

PURPOSE: The Legislative Research Commission (LRC) serves as the administrative office for the Kentucky General Assembly. The director of the LRC serves as the chief administrative officer for the Legislature when it is not in session. The LRC performs research and service functions for the members of the General Assembly.

SAFETY-RELATED ACTIVITIES: Safety-related research activities are performed by the LRC as they relate to the legislation being considered by the General Assembly.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Various groups may be contacted with regard to research and fact-finding efforts related to legislative proposals.
Public Protection and Regulation Cabinet
Department of Insurance

PURPOSE: The primary function of the Department of Insurance is regulatory. The department was established for the purpose of enforcing laws enacted by the Legislature.

SAFETY-RELATED ACTIVITIES: As part of the 1994 Legislature, the Department of Insurance was required to collect personal loss data for automobile accidents for the time period July 1995 through July 1996. The purpose is to attempt to link the passage of the seatbelt law with reductions in losses associated with traffic accidents.

SAFETY-RELATED FILES: Data maintained by the Department of Insurance related to traffic accidents include quarterly reports of bodily injury for claims of at least $10,000. These data received from insurance carriers are maintained in a file called the "Closed Claim Report".

SAFETY-RELATED REPORTS: A report produced by the Department of Insurance every two years is titled "Consumers Guide to Homeowners and Automobile Insurance". This report contains explanations of the various types of insurance available, including automobile and homeowners, and a basic explanation of the rules and requirements concerning obtaining and maintaining insurance. A comparison of rates available from insurance carriers operating in Kentucky is also tabulated.

INTERACTION WITH OTHER SAFETY GROUPS: The Department of Insurance interacts with insurance carriers to obtain data related to types of insurance offered, and to collect data on traffic accidents resulting in bodily injury claims.
Administrative Office of the Courts

PURPOSE: The primary responsibilities are to act as support staff for the Circuit Court Offices, maintain files on all transactions of the Circuit Courts, and disseminate statistical information related to the transactions of the Circuit Courts.

SAFETY-RELATED ACTIVITIES: Information on all Circuit Court activities is compiled on a quarterly basis. All traffic citations are collected in a central file. In 1994, 88 of the 120 counties transmitted court data electronically, with a requirement that all counties have such a system in place by the end of FY 1995.

SAFETY-RELATED FILES: A computer file is maintained on all traffic citations resulting from actions in the Circuit Courts. On a quarterly basis, AOC is required to determine the number of DUI cases pending in each county. Total traffic cases are available in paper copy.

SAFETY-RELATED REPORTS: Total traffic cases are available in paper copy and a report on all traffic convictions is routinely transmitted to the Transportation Cabinet.

INTERACTION WITH OTHER SAFETY GROUPS: Interaction is maintained with the Division of Driver Licensing in the Transportation Cabinet related to the disposition of traffic citations.
Department of Military Affairs
Disaster and Emergency Services

PURPOSE: The Division of Disaster and Emergency Services has the responsibility for coordinating state government response to disasters and emergencies including hazardous materials incidents. The Kentucky Emergency Operations Plan, Annex Q, specifies when more than two state agencies are at the scene of a hazardous materials incident, DES may assume coordination of the incident for all state agency response. DES also is responsible for determining if a rescue squad is in compliance with state regulations in order for the rescue squad to be eligible for grants and workers compensation.

SAFETY-RELATED ACTIVITIES: DES maintains a 24-hour state emergency operations center. The duty officer reports all emergency and situation calls to various staff members as well as State Area Coordinators. DES members may be dispatched to the scene to: a) assess the situation, b) support local response efforts, c) provide technical support with no management involvement, and d) have management involvement as part of an Incident Command System (ICS). The senior qualified DES official responding to a hazardous materials incident is the individual in charge of the ICS. A Unified ICS is utilized by DES for all actions to be taken at the scene of a hazardous materials incident.

DES certifies rescue squads and responds to incidents such as a hazardous materials spill. It serves as the method of dispatch for several agencies including the fire marshall, Natural Resources Cabinet, state medical examiner, National Guard, Governor's Office, Human Resources, KET, and county medical examiners.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: The Statewide Emergency Operations Plan is prepared.

INTERACTION WITH OTHER SAFETY GROUPS: DES interacts with a wide range of agencies responsible for emergency response and coordinates with those involved in resolving a disaster or emergency.
American Automobile Association (AAA)

PURPOSE: AAA provides various driver services including trip planning, insurance, emergency towing in addition to support for programs to improve driver safety.

SAFETY-RELATED ACTIVITIES: An 8-hour driver education/improvement course is provided for persons over 55 years of age. A 4-hour version of this course is sometimes presented to companies, such as fleet operators. This course has been revised and adapted as a presentation for youth. A 40-hour training course is provided to KSP to enable individuals to teach this course. About 800 to 900 per year take the mature drivers course, which must be taken every three years to retain the insurance discount. About 200 per year have been taking the young drivers course. AAA is involved in providing news releases to the media in the area of traffic safety. A goal is to increase public awareness of traffic safety issues. Another activity is to lobby for legislation that relates to traffic safety issues.

SAFETY RELATED FILES: AAA maintains a resource of literature and videos which provide education in this area. Areas of interest include school safety, driver education, pedestrian safety, bicycle safety, alcohol education, and safety belts. A film loan library is provided for use by schools and civic groups.

SAFETY-RELATED REPORTS: No reports are issued at the local level; however, the AAA Foundation for Traffic Safety is involved in safety research and reporting of results from efforts funded by the Foundation.

INTERACTION WITH OTHER SAFETY GROUPS: AAA plays an active role in the various forums available and participates in the safety-related programs for youth and the elderly. A focus during legislative sessions are those responsible for safety-related legislation.
American Association of Retired Persons

PURPOSE: This organization is comprised of individuals age 55 and older with the purpose of improving the quality of life for all individuals.

SAFETY-RELATED ACTIVITIES: A traffic safety activity is the 55 Alive Course. This involves two four-hour sessions for drivers 55 years of age and older. In order to retain certification, the course must be taken again after three years. There is a law in Kentucky which provides for a five percent discount on insurance premiums for anyone completing the course. The organization may support legislation related to traffic safety.

SAFETY-RELATED FILES: A file is maintained for all individuals taking the 55 Alive Course. Nationally, 515,870 attended in 1993 with 459,472 in 1992. The Kentucky goal in 1994 is 3,000 drivers.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: There is no organized interaction with other groups but interaction occurs when dealing with specific issues.
PURPOSE: The purpose of the APWA is to promote the advancement of improved practices in the broad field of public works engineering and administration to serve the best interests of the taxpaying public.

SAFETY-RELATED ACTIVITIES: A training program is provided along with the Kentucky Transportation Center for local governments which results in certification. Technology transfer is also provided through workshops, meetings, and information exchange.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: No formal reports but a quarterly newsletter is published.

INTERACTION WITH OTHER SAFETY GROUPS: The training program is administered along with the Kentucky Transportation Center.
Citizens Regional Awareness for Safe Highways (CRASH)

PURPOSE: This is a regional safety program administered by the Green River Area Development District. It combines the concepts of the Community Traffic Safety Program and the Corridor Safety Improvement Program.

SAFETY-RELATED ACTIVITIES: Citizen Advisory Boards (CAB) were organized in each of the seven counties in the ADD. Other activities involved working with the media to develop public service announcements, developing and distributing information about traffic safety using methods such as pamphlets and billboards, working with law enforcement, increasing public awareness through such activities as parades and school programs, and working with employers in the area of traffic safety.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Public and private organizations and companies were organized in the CABs. Most organizations are from the areas of education, enforcement, and medical services.
Eastern Kentucky Rural Office of Traffic Safety

PURPOSE: This office coordinates traffic safety public information activities in the eleven counties in an area within eastern Kentucky.

SAFETY-RELATED ACTIVITIES: County highway safety committees are formed and trained in highway safety issues. Various programs in such areas as safety belt usage and young drivers are established in the various counties. A resource center is provided for the area.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Contacts are made with individuals in the areas of education, enforcement, emergency medical services, and engineering which have an involvement in traffic safety in this geographical area.
PURPOSE: The major functions of the Traffic Safety Institute (TSI) are to provide academic traffic safety education programs and to provide traffic safety education service programs.

SAFETY-RELATED ACTIVITIES: The TSI offers academic course work toward a traffic safety minor and/or a driver education teaching endorsement as well as electives for general safety and motor vehicle safety; presents workshops and seminars in defensive and emergency driving procedures; coordinates activities for the Kentucky Driver and Traffic Safety Education Association; administers and maintains the Kentucky Motorcycle Rider Education Program; develops curricula for driver improvement programs; offers special interest courses in defensive driving and safety and driver education programs; sponsors conferences for organizations with traffic safety interests; publishes a newsletter (Connections) presenting traffic safety education and driver education issues; and develops and distributes public service education and informational brochures.

SAFETY-RELATED FILES: Files are maintained relating to the following subjects: audio-visual library of traffic safety topics; defensive driving techniques and programs; driving simulation systems; emergency driving programs; emergency vehicle driving programs; graduated licensing; fleet safety; high school driver safety; motorcycle safety; all-terrain vehicle safety; off-highway vehicle safety; safety belts; alcohol and driving; driver improvement. Files containing numerous traffic safety related publications, periodicals, and newsletters are maintained.

SAFETY-RELATED REPORTS: Reports have been prepared on the following subjects; motorcycle and driver license testing; advanced driving techniques for school bus drivers; child restraint loan program; Fayette County alcohol intensified enforcement program; state traffic school curriculum guide; alcohol driver education curriculum guide; written-oral driver licensing project; youth, alcohol, and traffic safety teacher's guide; youth, alcohol, and traffic safety project report; youth, alcohol, and traffic safety survey; traffic safety workshop for Kentucky traffic court judges; short course curriculum for traffic accident investigation; Kentucky traffic engineering and traffic safety administration short course; Kentucky state law enforcement training; and detection and apprehension of DWI offenses.

INTERACTION WITH OTHER SAFETY GROUPS: The TSI has affiliations with numerous private and public agencies and organizations.
Eastern Kentucky University
State Traffic School Program

PURPOSE: This program administers and maintains the educational phase of the State Traffic School Program. This program is mandated by statute which designated the Transportation Cabinet to establish a driver improvement program for new drivers and traffic offenders. Administrative functions are handled by the Division of Driver Licensing in the Transportation Cabinet.

SAFETY-RELATED ACTIVITIES: Instructors are trained to present information contained in the State Traffic School Curriculum Guide. Eight topics are covered in class through lecture, handouts, student exercises, and films. The eight topics are licensing and the point system, driving behavior, highway safety facts, traffic laws, traffic crash causation, defensive and perceptive driving, driving under the influence, and occupant protection.

SAFETY-RELATED FILES: A film/material lending program has been established where materials and films are provided, upon request, to individuals, schools, churches, civic groups, and businesses. Personal Data Questionnaires completed by students attending the State Traffic School are tabulated.

SAFETY-RELATED REPORTS: A State Traffic School Curriculum Guide is developed for use by instructors. An annual report is prepared. A report is prepared summarizing the Personal Data Questionnaires.

INTERACTION WITH OTHER SAFETY GROUPS: The State Traffic School works with many agencies. They work with the Division of Driver Licensing to update the curriculum. Other agencies with which they interact include: Department of Criminal Justice Training, Kentucky State Police, National Highway Traffic Safety Administration, and National Safety Council.
PURPOSE: FHWA is concerned with the total operation and environment of highway systems, including highway and motor carrier safety. It administers the Federal-aid highway program of financial assistance to the States for highway construction and improvement of efficiency in highway and traffic operations and is responsible for several of the highway safety programs undertaken by the Federal Government.

SAFETY-RELATED ACTIVITIES: Activities in this area include: the review and approval of the Highway Safety Plan developed by the Kentucky State Police’s Highway Safety Section, review of the HES listing of high accident locations, providing networking and technology transfer to state and local government in the area of traffic safety, roadside safety appurtenances, corridor safety improvement programs, regional highway safety improvement programs, review of safety related standards, safety and maintenance of traffic reviews of construction projects, sponsoring traffic safety research, and co-sponsoring Kentucky Lifesavers Conference.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: Numerous safety-related research reports are sponsored.

INTERACTION WITH OTHER SAFETY GROUPS: Interaction is maintained with the Transportation Cabinet, Kentucky State Police, Kentucky Operation Lifesavers, Kentucky Drivers and Traffic Safety Education Association, National Highway Traffic Safety Administration, and numerous other safety advocacy groups through the various safety-related activities.
U.S. Department of Transportation
Federal Highway Administration
Office of Motor Carriers (OMC)

PURPOSE: The primary purpose is to work with state agencies and enforce motor carrier safety regulations. The FHWA is authorized to establish and maintain a National Network for trucks, review state truck size and weight enforcement programs, and assist in obtaining uniformity among the states in the area of commercial motor carrier registration and taxation reporting.

SAFETY-RELATED ACTIVITIES: This office serves as the primary liaison with KYTC through the Division of Vehicle Enforcement and provides 80 percent of the expense for the Division of Vehicle Enforcement to conduct road inspections. This office conducts a very limited number of road checks. State employees perform Safety Reviews of motor carriers. All motor carriers are to have a safety rating but currently there are 3,400 such carriers that do not have such a rating. The OMC will conduct a Compliance Review if the Safety Rating is less than satisfactory, if the score indicates it is necessary, or if there is a complaint. They will initiate enforcement action (fine or shut down) if changes are not made. Some truck accidents are investigated. Training and the commercial drivers license (CDL) activities are monitored with a grant program for driver licensing for CDL. They are involved in seminars for operators, etc. to explain MCSR (Motor Carrier Safety Regulations). Accident countermeasure information is given to operators to indicate solutions for a given type of accident.

SAFETY-RELATED FILES: A file of all interstate carriers based in Kentucky (about 6,400) is maintained as well as a file of all Safety and Compliance Reviews. The Safety Net file is accessed for information.

SAFETY-RELATED REPORTS: No formal reports are prepared.

INTERACTION WITH OTHER SAFETY GROUPS: The most direct interaction occurs with the Division of Vehicle Enforcement in the Transportation Cabinet. Other contacts involve various enforcement agencies at state and national levels.
PURPOSE: The purposes are to provide Federal support for the activities associated with improved safety at rail/highway grade crossings, to promulgate and enforce rail safety standards, administer railroad financial assistance programs, and conduct research and development in support of improved railroad safety.

SAFETY-RELATED ACTIVITIES: The FRA is responsible for safety and regulation associated with railroad track, motor power and equipment, hazardous materials, operating practices, and signal and train control. In addition, the FRA is responsible for track safety inspections, investigation of accidents involving trains, and interaction with state agencies and private railroad companies to improve rail/highway crossing safety. It also works with state agencies and railroad companies to determine which railroad segments should remain in operation based on the function and usage.

SAFETY-RELATED FILES: A file is maintained which includes all rail/highway crossing accidents in Kentucky and other states.

SAFETY-RELATED REPORTS: Reports are available through the FRA offices in Washington, D.C. which provide accident histories at all rail/highway grade crossings.

INTERACTION WITH OTHER SAFETY GROUPS: The FRA works with the Planning and Design Divisions within the Kentucky Department of Highways to plan and implement crossing improvements. It also interacts with Operation Lifesaver in the areas of public information and education.
Highway Safety Leaders

PURPOSE: The goal is to promote highway safety, primarily through education and enforcement.

SAFETY-RELATED ACTIVITIES: The group consists of organizations having grants through the 402 Program administered by the Highway Safety Branch of the Kentucky State Police. Meetings are held in which programs and ideas in the area of traffic safety are discussed.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Interaction between the various organizations in charge of various highway safety grants is attained.
Institute of Transportation Engineers

PURPOSE: The purpose of the Institute is to enable engineers and other professionals with knowledge and competence in transportation and traffic engineering to contribute individually and collectively toward meeting human needs for mobility and safety and to promote the professional development of its members by supporting and encouraging education, stimulating research, developing public awareness, exchanging professional information, and maintaining a central point of reference and action.

SAFETY-RELATED ACTIVITIES: Regular meetings are held where safety-related issues are addressed. Specific committees are formed which deal with safety issues. For example, a recent committee recommended changes in the drivers manual.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: Committees periodically prepare reports on specific issues.

INTERACTION WITH OTHER SAFETY GROUPS: Members in the group represent various organizations inside and outside state government.
PURPOSE: The insurance industry promotes traffic safety with the objective of reducing the losses, deaths, injuries, and property damage from crashes on the nation's highways.

SAFETY-RELATED ACTIVITIES: Public service announcements and literature are provided to educate drivers in such areas as the use of safety belts and the effects of alcohol on the ability to drive. Research is sponsored in the area of traffic safety. This includes automobile crash testing, bumper testing, and the testing of various vehicle components for safety and durability. Safety-related legislation is supported. Organizations supported by the insurance industry include the Insurance Institute for Highway Safety, the American Insurers Highway Safety Alliance, the American Insurance Highway Safety Association, and the National Association of Independent Insurers Safety Association.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: Research reports related to various traffic issues are sponsored by the insurance industry.

INTERACTION WITH OTHER SAFETY GROUPS: There is interaction with public and private organizations.
PURPOSE: The primary functions of this Association are to provide technical assistance to the counties, serve as lobbyist representing the interest of the counties with the General Assembly, serve as liaison with the Transportation Cabinet and the Cabinet for Human Resources, and to provide insurance programs for the counties.

SAFETY-RELATED ACTIVITIES: Issues related to highway safety are deferred to the Transportation Cabinet or the Transportation Center at the University of Kentucky.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: There is an association linking the County Judges Association and the Magistrates/Commissioners Association and safety activities related to these groups frequently are the interest of the Association of Counties. In addition, safety-related information and expertise are obtained from the Transportation Cabinet and the Transportation Center.
Kentucky Association of County Engineers and Road Supervisors

PURPOSE: The purpose of the Association is to serve as a mechanism to facilitate communication and coordination among Association members and other governmental, academic, and private institutions and associations, with the overall goal of improving local government services to citizens of the Commonwealth.

SAFETY-RELATED ACTIVITIES: The county engineers and road supervisors are responsible for operations and maintenance-related activities on thousands of county-maintained roads.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: No formal reports but a quarterly newsletter is published.

INTERACTION WITH OTHER SAFETY GROUPS: They have been involved in the Transportation Forum at the University of Kentucky. They are affiliated with the Kentucky County Judge-Executive Association.
Kentucky Association of Chiefs of Police

PURPOSE: The objective of this organization is to promote the police organizations in Kentucky through training and public information.

SAFETY-RELATED ACTIVITIES: Programs on safety belts and DUI have been developed and implemented through the police organizations in Kentucky.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Interaction is primarily through local, state, and national police organizations.
Kentucky County Judge/Executive Association

PURPOSE: This association is involved in legislation and holds workshops and represents the interest of members.

SAFETY-RELATED ACTIVITIES: Safety related issues may be discussed in the workshops.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: A newsletter and directory are published.

INTERACTION WITH OTHER SAFETY GROUPS: The Association of County Engineers and Road Supervisors is affiliated with this group. They interact with the Department of Rural and Municipal Aid in the Transportation Cabinet as well as the Department of Local Government.
Kentucky Driver and Traffic Safety Education Association

PURPOSE: The overall purpose of the Association is to improve traffic safety through improvements in high school driver education.

SAFETY-RELATED ACTIVITIES: The association primarily consists of high school driver education teachers. The objectives relate to improved driver safety using methods such as legislation dealing with graduated licensing.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: The Association and its members interact with other state and national safety groups in the development and implementation of improved driver training for high school students.
Kentucky Hospital Association

PURPOSE: This association deals with areas relating to hospitals and is not directly involved with traffic safety. It is involved in related legislation and conducts workshops and seminars.

SAFETY-RELATED ACTIVITIES: The workshops and seminars may include topics related to the treatment of injuries resulting from traffic accidents.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: No reports but an annual directory is prepared as well as monthly newsletters and a quarterly magazine.

INTERACTION WITH OTHER SAFETY GROUPS: Any interaction would be through treatment of injuries resulting from traffic accidents.
Kentucky League of Cities

PURPOSE: The primary objective is to provide member cities with assistance that will help them in obtaining their goal of effective government. To achieve this objective, the League administers an array of service programs within the following five categories; legal and legislative services, insurance and risk management services, financial services, communications and intergovernmental services, and training and conference services.

SAFETY-RELATED ACTIVITIES: Workshops and training seminars are conducted on a variety of safety-related topics. Each year, the Kentucky Municipal Risk Management Association (KMRMA), the entity that administers the League's insurance programs, presents a two-day conference which addresses a number of safety topics related to risk management and municipal governance.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Interaction with other safety groups is limited to development of training and public information programs for League of Cities members.
Kentucky Magistrates/Commissioners Association

PURPOSE: The association strives to aid, encourage, and promote legislation which allows the magistrates and commissioners of Kentucky to more effectively operate their county governments.

SAFETY-RELATED ACTIVITIES: Support of certain legislation may relate to traffic safety issues.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: A newsletter is published.

INTERACTION WITH OTHER SAFETY GROUPS: They interact with the Department of Rural and Municipal Aid in the Transportation Cabinet as well as the Department of Local Government.
Kentucky Motor Transport Association, Inc.

PURPOSE: This organization is an affiliate of the American Trucking Associations, Inc. and represents the trucking industry in Kentucky.

SAFETY-RELATED ACTIVITIES: A pocketbook of the Federal Motor Carrier Safety Regulations is provided to motor carriers. It is involved in legislation relating to the trucking industry. It promotes safety through the KMTA safety council, Federal Motor Carrier Safety compliance seminars, and it supplies safety material to the industry.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: There is interaction with the KDOH and the Office of Motor Carriers of FHWA.
Kentucky Public Transit Association

PURPOSE: This association addresses the concerns of the various public transit companies across the state. It promotes public transit, is an advocate for funding and for and against state legislation, and sponsors training and conferences.

SAFETY-RELATED ACTIVITIES: Traffic safety activities have included defensive driving training for drivers.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: There is interaction with the Kentucky Transportation Cabinet and the MPOs.
Kentucky SAFE KIDS Coalition

PURPOSE: The purpose is to develop a Kentucky injury prevention coalition of public, private, and voluntary organizations which work to prevent unintentional injuries to children through increasing public awareness and providing education for environmental and public policy changes.

SAFETY-RELATED ACTIVITIES: Funding for many Coalition activities is provided by the Division of Maternal and Child Health as the lead agency. The Coalition is part of the National SAFE KIDS Campaign. The organization includes public and private groups with the state coordinator on staff in the Division of Maternal and Child Health. The National Campaign's top five risk areas of major concern are traffic injuries (passenger, pedestrian, and bicyclist), fires and burns, drowning, choking and poisoning, and falls.

SAFETY-RELATED FILES: A resource listing will give a list of agencies which can provide information and education in the area of injury prevention. Files are maintained by the Division of Maternal and Child Health, Department for Health Services, Kentucky Cabinet for Human Resources.

SAFETY-RELATED REPORTS: Related reports are provided by the National SAFE KIDS Campaign, the Children's Safety Network (CSN), and the National Center for Education in Maternal and Child Health.

INTERACTION WITH OTHER SAFETY GROUPS: The membership covers a range of organizations in the enforcement, medical, education, and engineering areas of traffic safety. There is contact with the Kentucky Transportation Cabinet, Kentucky State Police, Rural Offices of Traffic Safety, National Highway Traffic Safety Administration, Federal Highway Administration, Emergency Medical Services, Children's Hospitals, and other agencies involved in children's injury prevention.
PURPOSE: The objective of this organization is to promote the police profession in Kentucky.

SAFETY-RELATED ACTIVITIES: Safety related areas may be addressed in the various workshops and seminars sponsored by the association.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Interaction is primarily through local, state, and national police organizations.
Kentucky Youth Traffic Safety Office

PURPOSE: The mission of this office is to develop, coordinate, and identify programs related to youth traffic safety. The ages of interest range from preschool to 20 years of age.

SAFETY-RELATED ACTIVITIES: Two specific programs have been developed by this office. One is the Community Accident Prevention Program (CAPP) which has been tested in Versailles. This program will be packaged for distribution to other communities. The second program is the County Responding to Youth (CRY) program. This program involves training community leaders to interact with youth and address youth problems. An area of concern is alcohol and drug involvement education for middle school. An area of future emphasis is injury control.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: The primary interaction is with the Highway Safety Branch of the Kentucky State Police, but it also interacts with other groups and agencies as needed to develop and implement youth safety programs.
Kentuckians for Better Transportation

PURPOSE: The major purpose is to support the funding needs for transportation in Kentucky through interaction with the providers of transportation services and through legislative activities.

SAFETY RELATED ACTIVITIES: Kentuckians for Better Transportation (KBT) have been involved in a wide range of activities related to safety. The organization was supportive of more restrictive DUI laws and passage of a statewide safety-belt law through recent legislative initiatives. KBT has proposed a system of strategic highways, including support of the National Highway System, with the primary goal of developing a priority program for upgrades of the highway systems. A proposal for redefinition of the Federal highway system was submitted as part of the 2020 plan. A Federal policy paper was prepared in anticipation of the 1991 ISTEA legislation with a proposal to extend the Interstate System. A survey was performed by KBT in 1988 to determine the primary transportation concerns of major businesses throughout the state and several were identified which were related to safety.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: KBT has close working relationships with the Kentucky Transportation Cabinet and the U.S. DOT through its representation of contractors, consultants, and other providers of transportation services.
Metropolitan Planning Organizations

PURPOSE: These organizations provide regional planning services to metropolitan areas. They coordinate local input into the planning process.

SAFETY-RELATED ACTIVITIES: There are seven MPOs in Kentucky. Several of the MPOs extend into surrounding states. The following areas are included in an MPO: Louisville, Northern Kentucky, Lexington, Ashland, Owensboro, Henderson, and Fort Campbell. Three of the MPOs are managed by ADDs. The MPOs have a transportation manager who is involved primarily in planning. Safety-related issues would be relevant as related to the planning process.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: Quarterly and annual reports are prepared. These reports are planning related with no specific accident data.

INTERACTION WITH OTHER SAFETY GROUPS: There is direct contact with the Division of Mass Transportation in the KDOH and with various ADDs.
Mothers Against Drunk Driving (MADD)

PURPOSE: The mission of this organization is to stop drunk driving and to support the victims of impaired driving. MADD's programs center around public awareness, youth education, promoting effective legislative initiatives to eliminate the problem, and providing advocacy and emotional support to the victims of impaired driving.

SAFETY-RELATED ACTIVITIES: Primary involvement includes DUI public awareness campaigns, court monitoring and legislative advocacy, and providing victim assistance. MADD develops programs to promote public awareness and raise the nation's consciousness about the dangers of impaired driving. Community programs include "Project Red Ribbon," "KISS" (Keep It a Safe Summer), and the Designated Driver Campaign. Brochures and public service announcements (PSAs) are prepared and distributed as a means of contact with the public. Assistance to victims is provided through direct contact and advocacy, and distribution of victim information and emotional support materials.

SAFETY-RELATED FILES: Separate files are not maintained; however, drunk driving records are compiled and available from the MADD National MADD Office.

SAFETY-RELATED REPORTS: An internal activity report is generated and maintained by the MADD Kentucky State Office.

INTERACTION WITH OTHER SAFETY GROUPS: There is interaction with various police agencies, representatives of the courts, and other groups with similar goals (such as highway safety coalitions and student groups such as SADD and Champions Against Drugs), and coalitions promoting victim assistance.
National Highway Traffic Safety Administration

PURPOSE: The Administration carries out programs relating to the safety performance of motor vehicles and related equipment and motor vehicle drivers and pedestrians. This involves motor vehicle safety programs, traffic safety programs, and research and development.

SAFETY-RELATED ACTIVITIES: Activities in this area include the review and approval of Highway Safety Plan prepared by Kentucky State Police's Highway Safety Section, providing networking and technology transfer to state and local government in the area of traffic safety, sponsoring traffic safety research, and lobbying for traffic safety legislation. On a national level, Federal Motor Vehicle Standards are issued that prescribe safety features and levels of safety-related performance for vehicles and motor vehicle equipment. Federal matching funds are provided to assist states with their driver, pedestrian, and motor vehicle safety programs.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: Numerous safety-related research reports are sponsored.

INTERACTION WITH OTHER SAFETY GROUPS: Interaction is maintained with the Transportation Cabinet, Kentucky State Police, and numerous other safety advocacy groups through the various safety-related activities.
Northern Kentucky Rural Office of Traffic Safety

PURPOSE: This office coordinates traffic safety public information activities in the ten counties in the northern Kentucky Triangle.

SAFETY-RELATED ACTIVITIES: County highway safety committees are formed and trained in highway safety issues. Activities involve safety belts, child safety seats, current child safety seat recalls, underage drinking and driving, the impaired driver, bicycle safety, pedestrian safety, emergency medical services traffic safety education, and traffic crash data. A resource center is provided for the area which includes pamphlets, posters, paycheck stuffers, fact sheets, and videos. Programs, workshops, and displays are available on request. Educational programs can be provided for preschoolers through senior citizens.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Contacts are made with individuals in the areas of education, enforcement, medical, business, local government, emergency medical services, and engineering which have an involvement in traffic safety in this geographical area.
PURPOSE: The goals of Operation Lifesaver are to provide public information and education to help prevent and reduce crashes and improve driver performance at the nation's 300,000 public and private rail/highway grade crossings.

SAFETY-RELATED ACTIVITIES: The focus of Operation Lifesaver activities is centered around the public information and education efforts directed toward the three E's of Engineering, Education, and Enforcement. Engineering is limited to presentation of the function of equipment and control devices which can be installed at railroad crossings to improve safety. Education is aimed at the requirements to adhere to signals and warnings at railroad crossings, considering the deceleration limitations of trains approaching the crossings. Enforcement activities are directed toward encouraging adherence to existing traffic laws at rail/highway grade crossings.

SAFETY-RELATED FILES: None; however, files maintained by state and Federal agencies are accessible for statistical information related to rail/highway grade crossings.

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: Operation Lifesaver works closely with the Kentucky Transportation Cabinet and the Federal Highway Administration to insure coordination of the activities of mutual interest.
Students Against Drunk Driving

PURPOSE: Education in the areas of alcohol and drugs is the primary goal of the organization. The focus is on high school students, with attention also given to middle school and college students.

SAFETY-RELATED ACTIVITIES: Promotional campaigns are conducted in schools aimed at increased awareness of the safety and health consequences related to alcohol and drugs. SADD also supported a statewide safety belt law for Kentucky.

SAFETY-RELATED FILES: None

SAFETY-RELATED REPORTS: None

INTERACTION WITH OTHER SAFETY GROUPS: SADD interacts with the MADD organization on both the local and national levels. In addition, there is interaction with the Kentucky State Police on special projects related to alcohol and drugs.
PURPOSE: The Center serves as the link for bringing together academic, private, and governmental resources to develop an effective and efficient transport system in the Commonwealth by delivering information and training, technical assistance, and research for both transportation providers and users.

SAFETY-RELATED ACTIVITIES: These activities relate primarily to conducting research studies and providing training and information in the general area of traffic and safety. The Transportation Center is designated as the "Technology Transfer Center" for Kentucky and conducts the Local Technical Assistance Program (LTAP).

SAFETY-RELATED FILES: Names and addresses of individuals and organizations involved in transportation safety are maintained.

SAFETY-RELATED REPORTS: Numerous reports have been conducted dealing with various aspects of transportation safety. For example, a report has been prepared annually for the past several years which summarizes accident statistics on Kentucky highways. A Kentucky Transportation Directory is prepared annually.

INTERACTION WITH OTHER SAFETY GROUPS: An annual Transportation Forum is sponsored which includes participants from all areas of transportation. Activities involve interaction with the Kentucky Transportation Cabinet, the Federal Highway Administration, the Kentucky State Police, the National Highway Traffic Safety Administration, and the Area Development Districts.
APPENDIX B

SMS CONTACTS
SMS CONTACTS

Transportation Cabinet

Department of Highways
Division of Traffic - Simon Cornett (502) 564-3020
Division of Planning - Bruce Siria (502) 564-7183
Division of Operations - Jim Brown (502) 564-4556
Division of Operations, Pavement Management Branch - Rolands Rizenbergs (502) 564-4556
Division of Bridge Design - Richard Sutherland (502) 564-4560
Division of Design - Benn Powell (502) 564-3280
Division of Equipment - George Franke (502) 564-3916
Division of Multimodal Programs - Dwayne Clements (502) 564-7433
Division of Materials - Larry Epley (502) 564-3160
Division of Right of Way and Utilities - Greg Smith (502) 564-3210
Division of Construction - Paul Gravely (502) 564-4780
Program Management Staff - Mike Hancock (502) 564-3388

Department of Vehicle Regulation
Division of Driver Licensing - Steve Coffey (502) 564-7714
Division of Motor Carriers - Bill DeBord (502) 564-4540
Division of Motor Vehicle Enforcement - Kenneth Wood (502) 564-3276
Division of Motor Vehicle Licensing - Larry Moore (502) 564-5301

Department of Administrative Services
Division of Information Technology - Jon Clark (502) 564-8900
Division of Management Services - Dave Bryant (502) 564-3670
Division of Employee Safety and Health - Ted Thompson (502)-564-6963
Division of Fleet Management - Joseph Heady (502) 564-2260

Department of Fiscal Management
Division of Toll Facilities - Rod Smith (502) 564-4628

Office of General Counsel - Bill Sawyer (502) 564-7650

Department of Rural and Municipal Aid - Steve Taylor (502) 564-2060

Secretary's Office - Sandy Pullen (502) 564-4890

Office of Public Relations - Laura White (502) 564-4890
SMS CONTACTS (continued)

State Government - Outside Transportation Cabinet

Education and Humanities Cabinet
Department of Education
Division of Pupil Transportation - Mike Roscoe (502) 564-4718
Driver Education - Jean Cain (502) 564-2106

Human Resources Cabinet
Department for Health Services
EMS Branch - Bob Calhoun (502) 564-8963
Division of Maternal and Child Health - Patty Sewell (502) 564-2154
Kentucky Trauma Registry - Carl Spurlock (606) 257-4954
Division of Epidemiology, Health Promotion Branch - Janet Luttrell (502) 564-7112

Justice Cabinet
Department of State Police
Highway Safety Branch - Steve O'Daniel, Commander (502) 695-6356
Driver Testing Section - Capt. John Barton (502) 695-6338
Research and Development Section - Capt. Lonnie Moert (502) 695-6366
Information Services - Gary Bush (502) 227-8700

Department of Criminal Justice Training - Chuck Sayre
(606) 622-6165
Eastern Kentucky University
Richmond, KY 40475

Department of Local Government
Area Development Districts (transportation committee) - Jiten Shah (502) 926-4433
Green River ADD
Owensboro, KY

Legislative Research Commission - Kathy Campbell (502) 564-8100

Public Protection and Regulation Cabinet
Department of Insurance
Property and Casualty Division - John Goodin (502) 564-6046

Judicial Branch
Administrative Office of the Courts - Ed Crockett (502) 564-2350

Department of Military Affairs
Disaster and Emergency Services (DES) - Charles Bogart (502) 564-6345
Outside State Government

AAA Safety Foundation - Lilla Mason
155 Martin Luther King Blvd. N
Lexington, KY 40507
(606) 233-1111

American Association of Retired Persons (AARP) - Raymond Duran
State Director of AARP
207 South Lyndon Lane
Louisville, KY 40222
(502) 426-3266

American Public Works Association - W. Duane Campbell
Lexington-Fayette Urban County Government
1240 Lisle Road
Lexington, KY 40511
(606) 258-3460

Citizens Regional Awareness for Safe Highways (CRASH) - Edward W. West
Regional Highway Safety Coordinator
Green River ADD
3860 US Highway 60 West
Owensboro, KY 42301-0200
(502) 926-4433

Eastern Kentucky Rural Office of Traffic Safety - Anna Jean Brown
1903 Oakview Road
Ashland, KY 41101
(606) 324-0414

Eastern Kentucky University
Traffic Safety Institute - Ray Ochs
Associate Professor/Coordinator
Eastern Kentucky University
Richmond, KY 40475
(606) 622-2236

State Traffic School Program - Deborah Mills
Program Administrator
201 Funderburk Building
Eastern Kentucky University
Richmond, KY 40475
(606) 622-4285
Federal Highway Administration
Office of Motor Carriers - Buddy Yount
P.O. Box 536
Frankfort, KY 40602
(502) 223-6768

Traffic Safety Office - Terry Chism
P.O. Box 536
Frankfort, KY 40602
(502) 223-6750

Federal Railroad Administration - Dean Hollingsworth
629 4th Avenue
Theatre Bldg., Suite 302
Louisville, KY 40202
(502) 582-5530

Highway Safety Leaders - Carmon Booth
Highway Safety Branch
Kentucky State Police
919 Versailles Road
Frankfort, KY 40601
(502) 695-6356

Institute of Transportation Engineers - Duane Thomas
Division of Traffic
Kentucky Department of Highways
Frankfort, KY 40622
(502) 564-3020

Insurance Company Representative - Carl Sumner
State Farm Insurance
P.O. Box 2015
851 East-West Connector
Frankfort, KY 40602-2015
(502) 227-8301

Kentucky Association of Counties - John Grigg
Executive Director
380 King's Daughters Drive
Frankfort, KY 40601
(502) 223-7667

Kentucky Association of County Engineers and Road Supervisors - H. Leo McMillen
Street Supt./Engineer
Lex-Fayette Urban Co. Government
1555 Old Frankfort Pike
Lexington, KY 40504
(606) 258-3450
Kentucky Association of Chiefs of Police - Charlie Donaldson
Chief of Police
Independence Police Dept.
5290 Madison Pike
Independence, KY 41051
(606) 356-2697

Kentucky County Judge Executive Association - Mike Magee
Director
807 Louisville Road
Frankfort, KY 40601
(502) 223-5293

Kentucky Driver and Traffic Safety Education Association - Doug Wesley
1020 Goggin Lane
Danville, KY 40222
(606) 236-3322

Kentucky Hospital Association - Darrell Trent
Director of Risk Management
P.O. Box 24163
Louisville, KY 40224
(502) 426-6220

Kentucky League of Cities - Sylvia L. Lovely
Executive Director
2201 Regency Road, Suite 100
Lexington, KY 40503
(606) 277-2886

Kentucky Magistrates/Commissioners Association - Richard Tanner
Executive Director
400 King’s Daughters Drive
Frankfort, KY 40601
(502) 223-5210

Kentucky Motor Transport Association - Harold Bernard
President
134 Walnut Street
Frankfort, KY 40601
(502) 695-4055

Kentucky Public Transit Association - Buddy Fuqua
President
GRITS
P.O. Box 20004
Owensboro, KY 42304-0004
(502) 686-1600
Kentucky Safe Kids Association - Patty Sewell
Department for Health Services
Division of Maternal and Child Health
275 E. Main Street
Frankfort, KY 40621
(502) 564-2154

Kentucky Sheriff’s Association - Tom Scillion, President
Christian County Sheriff
Hopkinsville, KY
(502) 887-4141

Kentucky Youth Traffic Safety Office - Jim Campbell
Youth Coordinator
202 Beasley Drive
Versailles, KY 40383
(606) 873-2163

Kentuckians for Better Transportation - Jack Fish
Executive Director
10332 Bluegrass Parkway
Louisville, KY 40229
(502) 491-5600

Mother’s Against Drunk Drivers (MADD) - Paula Freeman
P.O. Box 274
Harrodsburg, KY 40330
(606) 734-0090

MPO Representative - Bob Kennedy
Lexington-Fayette Urban County Government
Division of Planning
200 E. Main Street
Lexington, KY 40507
(606) 258-3160

National Highway Traffic Safety Administration - Belinda Jackson
NHTSA
1720 Peachtree Road, NW
Atlanta, GA 30309
(404) 347-4537

Northern Kentucky Rural Office of Highway Safety - Patti Gaines
P.O. Box 134
109 South Madison St.
Owenton, KY 40359
(520) 484-5623
Operation Lifesaver - Wayne Gentry
NS Representative
645 S. 3rd St.
Crescent Center #117
Louisville, KY 40202
(502) 584-4801

Students Against Drunk Driving (SADD) - Sharon McAdams
118 Hermitage Road
Brandenburg, KY 40108
(502) 422-4584

University of Kentucky - Calvin G. Grayson
Director, Kentucky Transportation Center
University of Kentucky
CE/KTC Building
Lexington, KY 40506-0281
(606) 257-4513