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Authority Control on a National Scale: Conceptual and Practical Changes to Authorities under RDA and UK Libraries’ NACO Training

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Authority Control on a National Scale

Conceptual and Practical Changes to Authorities under RDA and UK Libraries’ NACO Training

Julene Jones
Third Thursday
September 2015

Handouts distributed at this presentation:
(1) MARC 21 encoding... RDA elements in NARs and SARs from http://loc.gov/aba/pcc/naco/
(2) NAR for “Obama, Barack”, LCCN n 94112934 with core fields highlighted
(3) NAR for “Batman, (Fictitious character)”, LCCN nb2015003207 with core fields highlighted
Authorities in RDA
Conceptual Changes
Functions of authority control

- Gathers all works by the same author under the same access point
- Distinguishes between people / corporate bodies with similar or identical names
- Distinguishes between or collects works together with different titles and editions
- Provides standard terminology for concepts that can be expressed with several different terms or that could be potentially confusing
- Provides cross references to previous / successive names
FRAD conceptual model

Authority records no longer exist only to support access points in a bibliographic record

independent records describing entities

“Heading” in AACR2

now

“Authorized access point” (AAP) in RDA
FRAD entity: person

Public domain image of Barak Obama from commons.wikimedia.org/wiki/ and of Batman comicbook cover from batman.wikia.com/wiki/Category:Public_domain_files
Basic Linked Data!
FRAD entity: corporate body

corporate body

dates

languages

places

affiliations

fields of activity
Authorities in RDA

Practical Changes
Bibliographic rules in RDA → implications for authorities*

- No more rule of three
- No justification required for access points
- Use of relator terminology / codes

*newly created or updated to be RDA compliant
Authorities in RDA

- Definition of persons changed: now includes fictitious persons
- Eliminate abbreviations
- Changes in form of sacred text AAPs
- New MARC fields to record RDA attributes

Public domain image of Batman comicbook cover from batman.wikia.com/wiki/Category:Public_domain_files
abbreviations

b. or d.
ca.
fl.
cent.
Apr.
Dept.
O.T.

- approximately
  active
  century
  April
  Department
  Old Testament
new MARC fields for authorities

- 046 dates
- 368 other attributes of a person or corporate body
- 370 associated place
- 371 addresses (incl. postal and email)
- 372 field of activity
- 373 affiliation: employers, memberships, cultural identity
- 374 occupation
- 375 gender with which the person identifies
- 376 family
- 377 associated language(s) (publication)
- 378 fuller form of name (personal)
- 380 form of work
- 381 other distinguishing characteristics of work or expression
- 382 medium of performance
- 383 numeric designation of musical work
- 384 key of musical work
How do you know if you’re looking at an RDA authority record?

008/10  z for other
(source of descriptive cat “other”)

040  |e rda
(descriptive convention)
Establishing a new NAR

- Preferred name (RDA 9.2.2)
- Use most commonly known form
- Include terms indicating relationships as part of the preferred name (not just to differentiate)

100 1_ ‡a King, Martin Luther, ‡c Jr., ‡d 1929-1968
Additions to preferred name

- Fuller form of name
- Dates of birth/death
- Period of activity
- Profession or Occupation

Preferred name
Additions to preferred name

Always add, when available, even if not needed to distinguish (LC-PCC PS 9.19.1.3)
Additions to preferred name

Add if name incl. initial and need to distinguish (RDA 9.19.1.4)

Preferred name

- Fuller form of name
- Dates of birth/death
- Period of activity
- Profession or Occupation
Additions to preferred name

Fuller form of name

Period of activity

Only if needed to distinguish (RDA 9.19.1.5)

Dates of birth/death

Preferred name

Profession or Occupation
Additions to preferred name

- Fuller form of name
- Dates of birth/death
- Period of activity
- Profession or Occupation

Only if needed to distinguish (9.19.1.6)
Additions to preferred name

- Fuller form of name
- Dates of birth/death
- Period of activity
- Profession or Occupation
- Preferred name
NACO Training!
June 1-June 4, 2015
Hosted by UK Libraries
NACO

- Name Authority Cooperative Program, LC PCC

- Founded in 1977, now expanded so:

- Participants contribute new name authority records and update existing records in the name authority file
  - Personal
  - Family
  - Corporate
  - Series (separate training)
  - Geographic

- Plan for 2015: British Library will load 3-4 million ISNIs into NACO records!
“Libraries of all sizes and types can make valuable, upfront contributions. ... It is less expensive in the long run if libraries band together ... [to] share an abundance of wealth of expertise and knowledge that would be impossible for each library to obtain on its own.”

- Mark Watson, University of Oregon
NACO: a few facts

710 members (Sept. 2014)
   209 institutional members
   501 funnel members in 37 funnels

Name authority records contributed,
FY 2014

Total of 336,111 new or edited NARs by NACO participants
How to participate

Participation is free!*

- Submit application to PCC Steering Committee
- Schedule 5-day in-person training
- Create NARs under reviewer
- Meet quota / join funnel
- Become independent!

*Apply here!
12 librarians from UK, WKU, Morehead, West Virginia University and Marshall University were trained at UK in June 2015

Our trainer:
Roman Panchyshyn
Kent State University
# In-Person Training

| Day 1          | Foundations of NACO program  
|                | Review of theory (FRBR and FRAD)  
|                | Review of standards (MARC 21, RDA & LCRIs) |
| Day 2          | Personal name rules and coding |
| Day 3          | Families and corporate bodies |
| Day 4          | Geographic names works and expressions |
| Day 5          | Editing existing ARs, NACO procedures, use of OCLC, and review process for gaining independence |

Participants are encouraged to bring examples from their cataloging work for hands-on practice and contribution.
UK NACO workflow

1. Create/edit as part of **regular workflow**
2. Add records to OCLC save file
3. Notify NACO contact (Julene)
4. Julene submits to assigned reviewer
5. Reviewer emails comments
6. [Cataloger edits; Julene resubmits]
7. Julene adds to national file!
UK Cats Wiki page for NACO

http://ukcats.org/wiki/NACO
OCLC tip: use macro to create
OCLC tip: use indexes
Helpful website #1

Find A Grave

www.findagrave.com

Crowdsourced grave transcriptions

Can volunteer to transcribe and/or be a photographer
Helpful website #2

Roots Web

www.rootsweb.com

Free version of
www.ancestry.com
Helpful website #3

FamilySearch

www.familysearch.com

Searches state, national and international indexes incl SSDI and census

Can limit search to birth / residence / death locations and/or dates; names of relatives, sometimes profession