Collaborating to Engage in Focused Collection Development at a Federal Regional Depository

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Collaborating to Engage in Focused Collection Development at a Federal Regional Depository

UK is participating as a Center of Excellence (COE) for the WPA and the Appalachian Regional Commission in the Association of SE Research Libraries’ (ASERL) Collaborative Federal Depository Program (CFDP). This collaborative effort is designed to distribute collection development for all Federal agencies across the entire SE.

We will describe how all depositories are working together to ensure that there are at least two complete collections for each federal agency somewhere in the SE, and how UK has focused its depository collection development efforts on non-COE agencies.

Presentation at Kentucky Library Association Annual Conference, September, 2013
Goals of the CFDP

• Create “Centers of Excellence” at depositories to ensure multiple, complete retrospective collections by agency
• Collections will be supported by subject matter experts, able to provide sophisticated, in-depth reference services
• Work within USC Title 44, including respect for the Regional depository library model
So, What is a COE?

The ASERL Center of Excellence (COE) model promotes the development of a complete collection of a single federal agency’s publications in at least two separate depositories in the southeastern United States.

For example, the American Folklife Center is being collected comprehensively by both Northern Kentucky University and the Tennessee State Library and Archives, and Congressional hearings are being collected by both the Univ. of Florida and the Univ. of North Carolina at Chapel Hill.
COE Responsibilities

• Inventory and evaluate holdings
• Catalog each item
• Create a bibliography of all known titles, owned and not owned
• Add records to the ASERL COE database
• Fill gaps to ensure comprehensive collection
• Make materials available
• Digitize
• Promote the use of the collection
ASERL COE Database

• COE’s must add cataloging records to the ASERL COE database
• Records include both owned and not owned titles for each COE
• Other ASERL libraries can add records for COE agencies, compare collections, analyze differences
What the ASERL COE Database Does

- Owned vs. Available Gap Report—compares what we own to other libraries’ holdings for WPA; tells us what we can acquire elsewhere
- Owned vs. Universe Gap Report—compares what we own to all known titles for WPA; tells us what we are missing
- Holdings condition report—compares condition of our WPA titles to condition of those same titles owned by other libraries
ASERL Shared Disposition Database

- Goal is to share withdrawn materials throughout the Southeast in an easy to use database; promotes the building of COE collections
- Developed at the University of Florida
- Regional, Selective, COE (can be either Regional or Selective) each participate at different points in 45-day offer cycle
- Also allows depositories to advertise their needs
Focusing Collection Development at a Regional Depository

• Identify agencies collected by other COEs in the SE that our institution no longer needs to collect retrospectively, and:
  --borrow materials from COE via ILL or link to digital copies of publications at COE from these agencies as necessary
  --edit offers submitted to ASERL Disposition Database by other depositories in our state to eliminate any offers from these agencies in order to reduce number of offers we have to review

• Continue to collect agencies important to UK even if there is another COE in the SE, e.g., US Geological Survey

• Continue to collect agencies for which there is not a COE in SE
Consulting with UK Libraries Collections Advisory Committee

Data included:

- Sudoc stem
- Circulation statistics
- ILL statistics
- COE institution
- Publication status
- Comments

Recommendations to the UK Libraries' Collections Advisory Committee for ceasing or continuing retrospective development in SuDoc collection

Federal Government agencies that have been adopted by someone else in ASERL as a Center of Excellence that are recommended for no further collection development here at UK are:

NOTE: Data in red is ILL volume for past two FYs; data in blue is circulation volume for the past two FYs. COE institution is in purple after dead/alive status.

Forest Service (A 13 [14] [alive--Clemson]
????—included similar agency, Fish and Wildlife, in "continue to collect" below, but since this is USDA and we did not initially select this agency as one of our ten USDA agencies, thought we shouldn't include it with "continue to collect"

Patent and Trademark Office (G 21) [alive--Georgia Tech]

Economic Analysis Bureau (C 59), [alive--Duke]
????—might be important to Gatton College or Martin School?

Environmental Protection Agency (EP [14] [9]), [alive--Georgia Tech]
????—important to state as a whole?

Federal Security Agency/Civilian Conservation Corps (FS 4), [dead--UT/K]

Homeland Security (HS 1 [1]), [alive--VCU]
????—didn't include FEMA in our "continue to collect" list last year—FEMA is part of Homeland Security

Women's Bureau (L 13, L 36.100 [7]), [one dead, one alive--Duke]

Copyright Office (LC 3), [alive--NKU]

National Library Service for the Blind and Physically Handicapped (LC 19 [1]), [alive--Tenn. State Library and Archives]

American Folk life Center (LC 38), [alive--NKU & Tenn. State Library and Archives]
????—important since we are an Appalachian state?

NASA (NAS [21] [5]), [alive--Georgia Tech]

Cuba Department (W 25), [dead--Univ. of Miami]

Cuban Census Office (MAP), [dead--Univ. of Miami]
Consulting with UK Libraries Collections Advisory Committee

Agencies identified for continued local development to be reviewed periodically to assess:

- Continued local value of tangible format
- Cost vs. benefit of continued development efforts

<table>
<thead>
<tr>
<th>Agency Name</th>
<th>Status</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Emergency Conservation Work Agency (Y 3 Em 3)</td>
<td>[dead--UT/K]</td>
<td></td>
</tr>
<tr>
<td>Congressional Budget Office (Y 10 [5])</td>
<td>[alive--Wm. &amp; Mary]</td>
<td>--important to Martin School of Public Policy?</td>
</tr>
<tr>
<td>Federal Government agencies that have been adopted by someone else in ASERL as a Center of Excellence that are recommended for continuing retrospective collection development based on UK’s needs and strength of program are:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Agricultural Research Service (A 77 [7])</td>
<td>[alive--Va. Tech]</td>
<td>--this is an agency we have already approved as one of our USDA COEs</td>
</tr>
<tr>
<td>International Trade Administration (C 61 [1])</td>
<td>[alive--Duke]</td>
<td>--used by Patterson School of Diplomacy &amp; Intl. Commerce</td>
</tr>
<tr>
<td>Military History Center (D 114 [13])</td>
<td>[alive--Duke]</td>
<td>--used by History Dept.</td>
</tr>
<tr>
<td>Naval Historical Center (D 221 [3])</td>
<td>[alive--Duke]</td>
<td>--used by History Dept.</td>
</tr>
<tr>
<td>Fish and Wildlife Service (J 49 [7])</td>
<td>[alive--Clemson]</td>
<td>--topic is important to Kentucky citizens</td>
</tr>
</tbody>
</table>
Evaluating Process and Results

Data collected:
• Offers claimed from agencies selected for continued development (9% of 2012 total)
• Offers ignored from agencies not selected for continued development (8% of 2012 total)
• No offers claimed for 63% of agencies selected for continued development

Measuring:
• Resource costs of continued development
• Resource savings of ceased development
• ROI for continued development in specific agencies
Additional Observations

- Needs list match process through ASERL Disposition Database costs or saves additional resources according to collection decisions.
- Agency-specific statistics will inform future FDU recommendations and CAC decisions.
Aligning with UK Libraries
Strategic Plan

✓ Objective 5.2 Share and promote the Libraries’ expertise and resources through engagement in dynamic community and state partnerships

✓ Strategy 5.2.5 Engage in collaborative resource sharing with our consortia partners

✓ Objective 5.3 Build partnerships and collaborations to leverage and augment library expertise

✓ Strategy 5.3.2 Support inter-institutional initiatives that help UK build a strong local, state, national, and international presence
Transforming the Library
Defining and Removing On-Campus Barriers to a Preferred End-State

<table>
<thead>
<tr>
<th>An Unsustainable Present State</th>
<th>Keys to Inclusive and Orderly Migration</th>
<th>The Digital Information Services Future</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proprietary collections arms race</td>
<td>Preserving Access and Service Quality</td>
<td>Collaborative collections and digital access</td>
</tr>
<tr>
<td>Acquisitions focused on collection building</td>
<td>Rightsizing Proprietary Print Collections</td>
<td>Acquisitions informed by usage data</td>
</tr>
<tr>
<td>No alternatives to journal publishers</td>
<td>Open Scholarship Support</td>
<td>Incentives for and promotion of open access models</td>
</tr>
<tr>
<td>Focused on “commodity” circulation and reference activity</td>
<td>Evolving Library Service Mix</td>
<td>Trading up to unique student and researcher support services</td>
</tr>
<tr>
<td>Space tied up in low-demand activities</td>
<td>Redeploying Staff and Space</td>
<td>Space used for collaborative learning</td>
</tr>
</tbody>
</table>
Thank you!

Questions?

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