



2-23-2016

Development of a Spirometry T -score in the General Population

Sei Won Lee

Ulsan University, South Korea

Hyun Kuk Kim

Inje University, South Korea

Seunghee Baek

Ulsan University, South Korea

Ji-Ye Jung

Yonsei University, South Korea

Young Sam Kim

Yonsei University, South Korea

See next page for additional authors

Click here to let us know how access to this document benefits you.

Follow this and additional works at: https://uknowledge.uky.edu/pmeh_facpub

 Part of the [Environmental Public Health Commons](#), [Pulmonology Commons](#), and the [Respiratory Tract Diseases Commons](#)

Repository Citation

Lee, Sei Won; Kim, Hyun Kuk; Baek, Seunghee; Jung, Ji-Ye; Kim, Young Sam; Lee, Jae Seung; Lee, Sang-Do; Mannino, David M.; and Oh, Yeon-Mok, "Development of a Spirometry T -score in the General Population" (2016). *Preventive Medicine and Environmental Health Faculty Publications*. 40.

https://uknowledge.uky.edu/pmeh_facpub/40

This Article is brought to you for free and open access by the Preventive Medicine and Environmental Health at UKnowledge. It has been accepted for inclusion in Preventive Medicine and Environmental Health Faculty Publications by an authorized administrator of UKnowledge. For more information, please contact UKnowledge@lsv.uky.edu.

Authors

Sei Won Lee, Hyun Kuk Kim, Seunghee Baek, Ji-Ye Jung, Young Sam Kim, Jae Seung Lee, Sang-Do Lee, David M. Mannino, and Yeon-Mok Oh

Development of a Spirometry *T*-score in the General Population**Notes/Citation Information**

Published in *International Journal of COPD*, v. 11, issue 1, p. 369-379.

© 2016 Lee et al.

This work is published and licensed by Dove Medical Press Limited. The full terms of this license are available at <https://www.dovepress.com/terms.php> and incorporate the Creative Commons Attribution – Non Commercial (unported, v3.0) License (<http://creativecommons.org/licenses/by-nc/3.0/>). By accessing the work you hereby accept the Terms. Non-commercial uses of the work are permitted without any further permission from Dove Medical Press Limited, provided the work is properly attributed. For permission for commercial use of this work, please see paragraphs 4.2 and 5 of our [Terms](#).

Digital Object Identifier (DOI)

<https://doi.org/10.2147/COPD.S96117>

Development of a spirometry T -score in the general population

Sei Won Lee¹
 Hyun Kuk Kim²
 Seunghee Baek³
 Ji-Ye Jung⁴
 Young Sam Kim⁴
 Jae Seung Lee¹
 Sang-Do Lee¹
 David M Mannino⁵
 Yeon-Mok Oh¹

¹Department of Pulmonary and Critical Care Medicine, Clinical Research Center for Chronic Obstructive Airway Diseases, Asan Medical Center, University of Ulsan College of Medicine, Seoul,

²Department of Pulmonary and Critical Care Medicine, Haeundae Paik Hospital, Inje University College of Medicine, Busan, ³Department of Clinical Epidemiology and Biostatistics, Asan Medical Center, University of Ulsan College of Medicine, Seoul, ⁴Division of Pulmonary, Department of Internal Medicine, Institute of Chest Disease, Severance Hospital, Yonsei University College of Medicine, Seoul, Korea;

⁵Department of Preventive Medicine and Environmental Health, University of Kentucky College of Public Health, Lexington, KY, USA

Correspondence: Yeon-Mok Oh
 Department of Pulmonary and Critical Care Medicine, Clinical Research Center for Chronic Obstructive Airway Diseases, Asan Medical Center, University of Ulsan College of Medicine, 86 Asanbyeongwon-gil, Songpa-gu, Seoul 138-736, Korea
 Tel +82 2 3010 3136
 Fax +82 2 3010 6968
 Email ymoh55@amc.seoul.kr

Background and objective: Spirometry values may be expressed as T -scores in standard deviation units relative to a reference in a young, normal population as an analogy to the T -score for bone mineral density. This study was performed to develop the spirometry T -score.

Methods: T -scores were calculated from lambda-mu-sigma-derived Z -scores using a young, normal age reference. Three outcomes of all-cause death, respiratory death, and COPD death were evaluated in 9,101 US subjects followed for 10 years; an outcome of COPD-related health care utilization (COPD utilization) was evaluated in 1,894 Korean subjects followed for 4 years.

Results: The probability of all-cause death appeared to remain nearly zero until -1 of forced expiratory volume in 1 second (FEV_1) T -score but increased steeply where FEV_1 T -score reached below -2.5 . Survival curves for all-cause death, respiratory death, COPD death, and COPD utilization differed significantly among the groups when stratified by FEV_1 T -score ($P < 0.001$). The adjusted hazard ratios of the FEV_1 T -score for the four outcomes were 0.54 (95% confidence interval, 0.48–0.60), 0.43 (95% CI: 0.37–0.50), 0.30 (95% CI: 0.24–0.37), and 0.69 (95% CI: 0.59–0.81), respectively, adjusting for covariates ($P < 0.001$).

Conclusion: The spirometry T -score could predict all-cause death, respiratory death, COPD death, and COPD utilization.

Keywords: spirometry, T -score, COPD

Introduction

Spirometry can indicate various lung diseases and help determine their treatment and prognosis.¹ The role of spirometry values, including forced expiratory volume in 1 second (FEV_1) and the ratio of FEV_1 to forced vital capacity (FEV_1/FVC), is well established in the diagnosis, the classification of disease severity, and the prediction of mortality for patients with COPD.²

However, the method used to define the cut-off value of airflow limitation for the diagnosis of COPD has been debated by two groups. One group suggested that the cut-off value of airflow limitation be defined by a fixed ratio ($FEV_1/FVC < 0.70$ or/and $FEV_1 < 80\%$ of predicted value),³ while the other group suggested that the cut-off value of airflow limitation by the lower limit of normal (FEV_1/FVC or/and FEV_1 less than the bottom 5% percentile of normal reference value) which has the same meaning as “the Z -score of FEV_1/FVC or/and $FEV_1 < -1.64$ ”.⁴ In addition to both of these suggestions, there is a third method used to define the cut-off value of airflow limitation, a spirometry T -score. Similar to defining the T -score of bone mineral density, spirometry T -score can be defined by the spirometry values corrected with the young age where the lung function is at peak. Older subjects are more vulnerable to, and have poorer outcomes due to respiratory diseases even though they may have the same spirometry values that are expressed in spirometry Z -score.^{5,6} In the present study, we hypothesized that

spirometry *T*-score may better predict the four outcomes of all-cause death, respiratory death, COPD death, and COPD-related health care utilization (COPD utilization).

In this study, we developed the spirometry *T*-score. In addition, we compared the three methods of the fixed ratio, the spirometry *Z*-score, and *T*-score to answer the question as to which method has the best prediction performance for the four outcomes.

Methods Overview

We performed this study using representative samples of the two general populations, the US and Korean. In relation to the spirometry values, the three outcomes of all-cause death, respiratory death, and COPD death were evaluated in 9,101 subjects followed for 10 years in the Third National Health and Nutrition Examination Survey (US NHANES III). The fourth outcome of COPD utilization was evaluated in 1,894 subjects followed for 4 years in the Second Korean National Health and Nutritional Examination Survey (Korean NHANES II).

Calculation of spirometry *Z*-scores and *T*-scores

We developed the spirometry *T*-score by analogy to the bone mineral density *T*-score, which is expressed in standard deviation units relative to a reference in a young, normal population.⁷ However, in addition to age, spirometry values are also dependent on height. Therefore, taking into consideration age and height for the development of a spirometry *T*-score, we used the lambda-mu-sigma (LMS) method, where spirometry values of median (μ), coefficient of variation (σ), and skewness (λ) were modeled.⁸

For the 9,101 US subjects, we calculated spirometry *Z*-scores and *T*-scores using look-up tables using a Microsoft Excel (Microsoft Corporation, Redmond, WA, USA) add-in the module, which has been developed by Stanojevic et al.^{9,10} The young, normal reference for FEV₁ and the FEV₁/FVC ratio in the US population was the value at 23 years of age in males and 22 years of age in females, which are the ages when the median FEV₁ is the highest.

As for the 1,894 Korean subjects, we calculated spirometry *Z*-scores and *T*-scores using Korean reference equations developed with the LMS method (Table S1). The age of the young, normal reference for FEV₁ and the FEV₁/FVC ratio, was 19 years in males and 32 years in females for Koreans.

US NHANES III data

We used anonymous, publically available data of 9,101 subjects from the US NHANES III, which is a large,

representative, stratified, random survey of the US population from 8 to 80 years of age.¹¹ For this study, we used only the data of non-Hispanic white participants 17–80 years of age, who completed at least three acceptable spirometry maneuvers and also whose mortality status and height were available (Figure S1). The spirometry methods used in the US NHANES III have been described previously by Hankinson et al¹¹ and in Centers for Disease Control and Prevention.¹²

All-cause death, respiratory death, and COPD death after 10 years of follow-up

The US NHANES III recorded all-cause deaths, which were ascertained from a public-use-linked mortality file that contains information based on the National Death Index. Deaths from a respiratory cause were defined by the International Classification of Diseases (ICD) J10–J98 codes; deaths from COPD were defined the ICD codes of J40–J47 (but not including the asthma codes J45 or J46).

Survival curves and hazard ratios of spirometry *T*-scores

The Kaplan–Meier survival curves for the groups stratified by their spirometry *T*-scores were calculated with the log-rank test. The stratification of groups for the Kaplan–Meier curves was determined arbitrarily, but the first level of cut-off was determined with FEV₁ *T*-score of -2.5 , below which the probability of all-cause death appeared to increase steeply (Figure 1). Hazard ratios of the spirometry *T*-score for death was calculated by Cox proportional hazard analysis with adjustment for age, sex, smoking history, and co-morbidity, including cancer, heart attack, heart failure, and diabetes.

COPD utilization during 4 years of follow-up

We linked the data of 1,894 subjects that were 40 years of age or older of the Korean NHANES II with the Korean National Health Insurance claims (Figure S2).¹³ In this study, we considered that health care utilization related to COPD occurred if a participant in KHANES II in 2001 used health care services including any procedures, tests, or treatments with a primary diagnosis of COPD between 2002 and 2005 based on data from Korean National Health Insurance claims.

Statistical analyses

Statistical analyses were performed using SPSS software, version 18.0 (SPSS Inc., Chicago, IL, USA) and SAS 9.2 (SAS Institute Inc., Cary, NC, USA). In addition, pROC in the R 2.15.2 software package for statistical computing

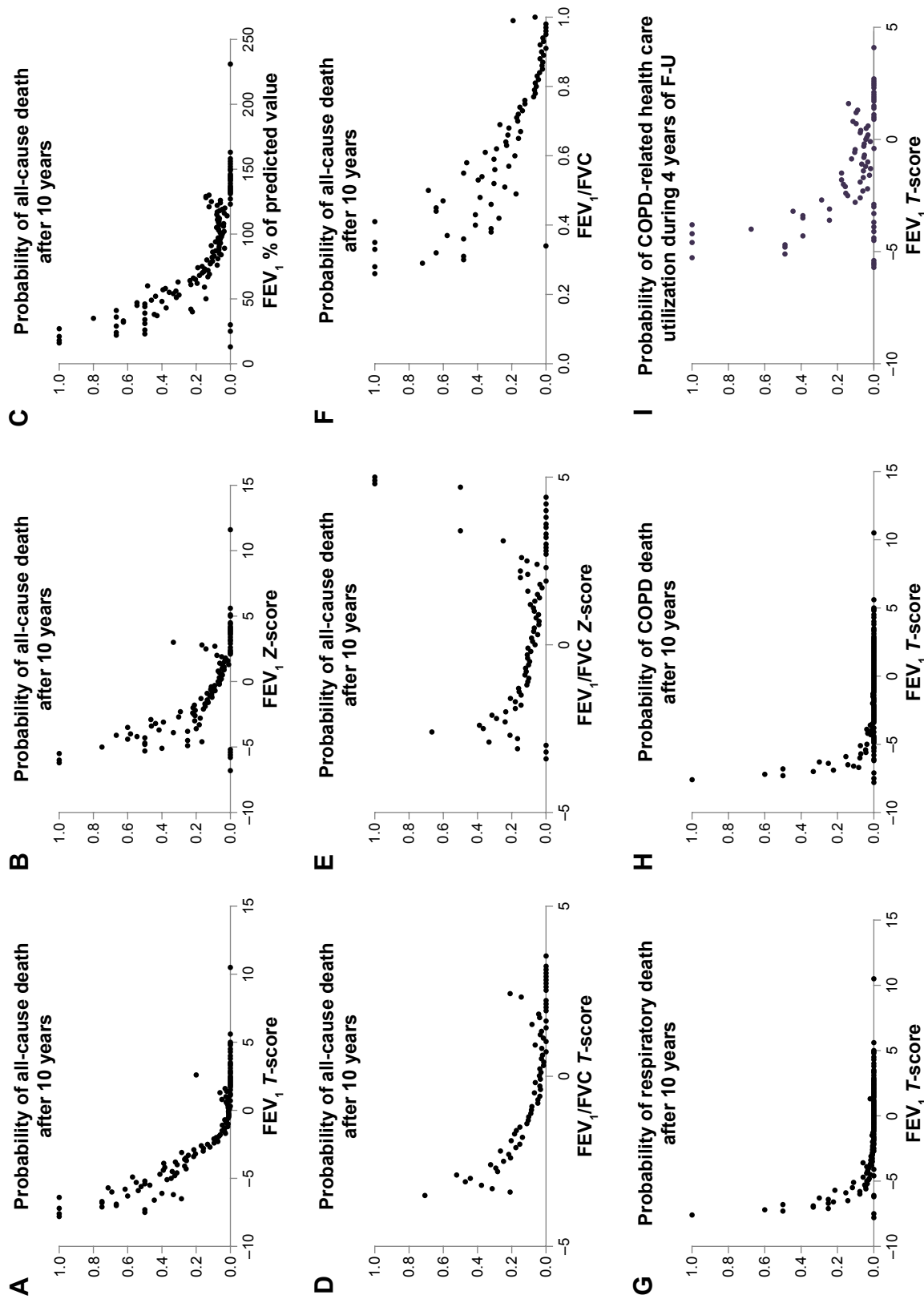


Figure 1 Probability of all-cause death (A–F), respiratory death (G), COPD death (H), and COPD-related health care utilization (I).

Notes: Probability of all-cause death after 10 years was shown according to FEV₁ expressed in T-score, Z-score, and % of predicted value (A–C) and according to the ratio of FEV₁/FVC expressed in T-score, Z-score, and the ratio itself (D–F) in the 9,101 US subjects. Probability of respiratory death and COPD death after 10 years was shown according to FEV₁ T-score (G and H) in the 9,101 US subjects. Probability of COPD-related health care utilization during 4 years of follow-up was shown according to FEV₁ T-score (I) in the 1,894 Korean subjects.

Abbreviations: FEV₁, forced expiratory volume in 1 second; FVC, forced vital capacity; F-U, follow-up.

(<https://www.r-project.org>) was used for Delong's method of comparison between areas under the receiver operating characteristic (ROC) curves. To estimate Harrell's concordance index (c-index) from each Cox proportional hazard model, the package 'survival' in R 2.15.2 was used. The 95% confidence intervals (CI) of the c-index were computed using bootstrapping method (repetition 200 times) with R package "boot". The peak ages of FEV₁ were selected, where the medians of FEV₁ were the maximum in the reference equation models of the LMS method. A *P*-value of less than 0.05 was considered statistically significant.

Ethics statement

This study was approved by the institutional review board of the Asan Medical Center with the approval number of 2011-0907. The de-identified secondary data of US NHANES III and Korean NHANES II are open to the public, and no US institutional review board approval is required.

Results

Results from the analyses of the US NHANES III

Subject characteristics of the US NHANES III

Among the 9,101 subjects, 51% were female. The mean age was 45 years and 52% had a smoking history (Table 1). Death from any cause occurred in 929 (10.2%) subjects during the 10 years of follow-up. Eighty-five subjects (0.9%) died of respiratory causes; 43 deaths (0.5%) were caused by COPD.

Spirometry *T*-score for the prediction of all-cause death, respiratory, and COPD death

The probability of all-cause death, respiratory death, and COPD death during 10 years of follow-up appeared to increase steeply as FEV₁ *T*-score decreased to negative values (Figure 1). The probability of all-cause death appeared to remain zero or nearly zero until an FEV₁ *T*-score of approximately -1, and this increased slowly until reaching a score of approximately -2.5, after which the probability of death appeared to increase steeply (Figure 1A).

For the prediction of all-cause, respiratory, and COPD death, the prediction performance of the FEV₁ *T*-score was higher than that of the FEV₁ *Z*-score or the FEV₁ percent of predicted value (*P*<0.001 for all comparisons; Figure 2A–C). As for the *T*-score of FEV₁/FVC, the prediction performance was lower than FEV₁ *T*-score (*P*<0.05 for all comparisons; Figure 2A–C and E–G).

Table 1 Baseline characteristics of subjects included in this study

	US subjects 9,101 (100%)	Korean subjects 1,894 (100%)
	Number of subjects (%)	
Age (years)		
17–39	4,092 (45.0%)	N/a
40–64	3,247 (35.7%)	1,618 (85.4%)
≥ 65	1,762 (19.4%)	276 (14.6%)
Sex		
Male	4,460 (49.0%)	924 (48.8%)
Female	4,641 (51.0%)	970 (51.2%)
Smoking status		
Never smoker	4,395 (48.3%)	1,112* (59.9%)
Current or ex-smoker	4,706 (51.7%)	745 (40.1%)
Comorbidity		
Cancer	676 (7.4%)	N/a
Heart attack	334 (3.7%)	N/a
Heart failure	223 (2.5%)	N/a
Diabetes	605 (6.7%)	N/a
Hypertension	2,122 (23.5%)	N/a
	Mean ± standard deviation	
Spirometry values		
FEV ₁ <i>T</i> -score	-1.52±1.76	-0.83±1.26
FEV ₁ <i>Z</i> -score	-0.35±1.24	0.21±1.10
FEV ₁ % of predicted value	89.0±16.8	95.0±14.6
FEV ₁ /FVC <i>T</i> -score	-0.89±1.03	-0.67±0.79
FEV ₁ /FVC <i>Z</i> -score	-0.21±0.97	-0.26±0.86
FEV ₁ /FVC ratio	0.78±0.09	0.78±0.08

Notes: The US subjects and Korean subjects were the participants of the Third National Health and Nutrition Examination Survey (US NHANES III) and the Second Korean National Health and Nutritional Examination Survey (Korean NHANES II), respectively. *The smoking history of 37 subjects was missing.

Abbreviations: FEV₁, forced expiratory volume in 1 second; FVC, forced vital capacity; N/a, not applicable.

The prediction performance of FEV₁/FVC ratio itself was comparable to that of FEV₁/FVC *T*-score for the prediction of respiratory death and COPD death (*P*=0.93 and 0.68, respectively; Figure 2F and G), and was even higher than that of FEV₁/FVC *T*-score for the prediction of all-cause death (*P*<0.001 for both comparisons; Figure 2E). The prediction performance of the FEV₁/FVC ratio was higher than that of FEV₁/FVC *Z*-score for the prediction of all-cause death, respiratory death, COPD death, and COPD utilization (*P*<0.0001 for all comparisons; Figure 2E–G).

Cut-off values of spirometry *T*-scores for the prediction of all-cause death

The cut-off values of the FEV₁ *T*-score that reached the maximum Youden index (sensitivity + specificity - 1) for the prediction of all-cause death were -2.5 in males and -2.4 in females. The corresponding FEV₁/FVC *T*-scores were -1.1 in males and -1.6 in females. For the prediction of 10-year all-cause death, an FEV₁ *T*-score of -2.5 had a sensitivity

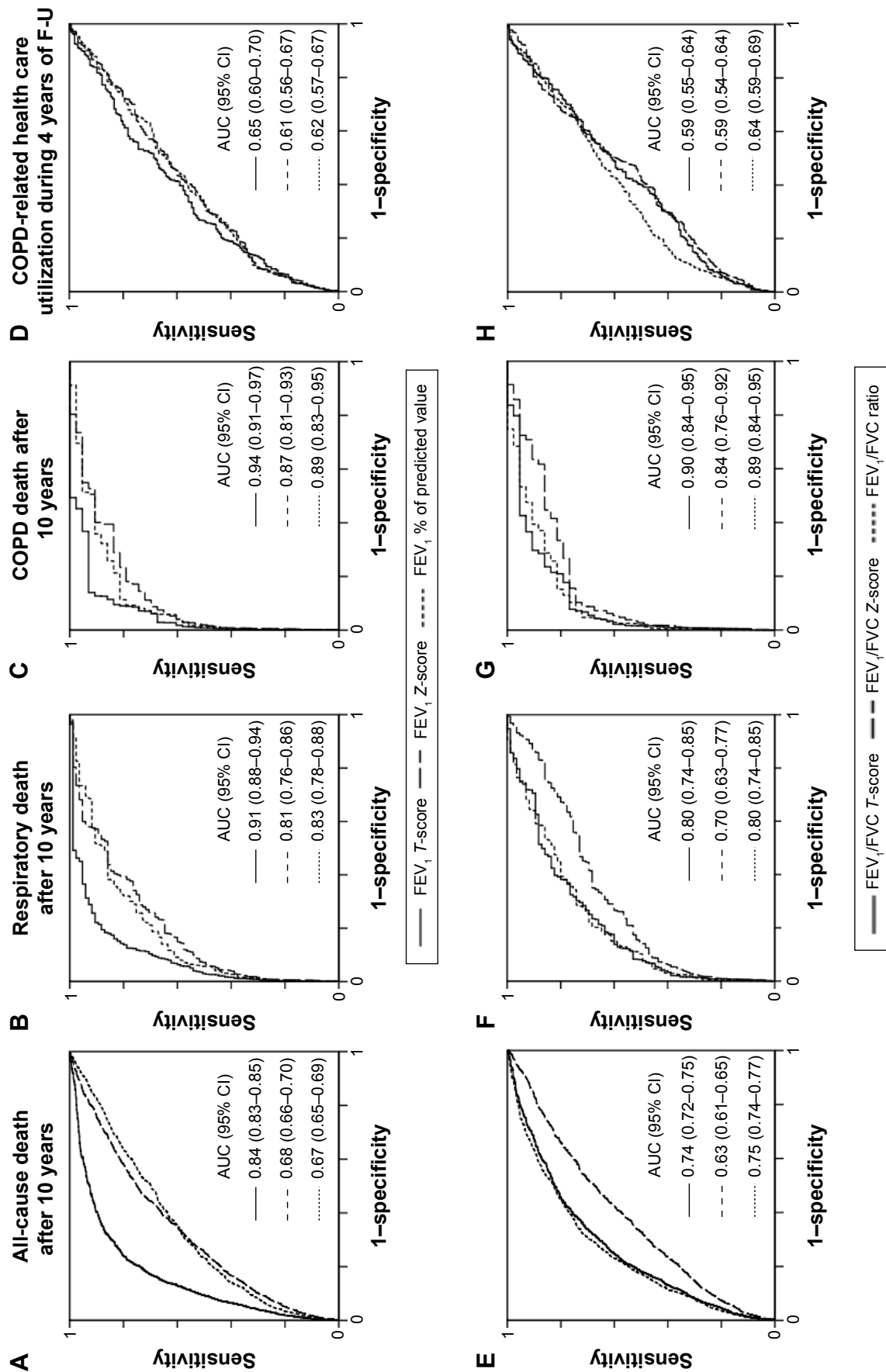


Figure 2 Receiver operating characteristic (ROC) curves for the prediction of all-cause death (A and E), respiratory death (B and F), COPD death (C and G), and COPD-related health care utilization (D and H). **Notes:** ROC curves for the prediction of death were shown according to FEV₁ expressed in T-score, Z-score, and % of predicted value (A-C) and according to the ratio of FEV₁/FVC expressed in T-score, Z-score, and the ratio itself (E-G) in the 9,101 US subjects. ROC curves for the prediction of COPD-related health care utilization were shown according to FEV₁ expressed in T-score, Z-score, and % of predicted value (D) and according to the ratio of FEV₁/FVC expressed in T-score, Z-score, and the ratio (H) in the 1,894 Korean subjects. **Abbreviations:** AUC, area under curve; CI, confidence interval; FEV₁, forced expiratory volume in 1 second; FVC, forced vital capacity; F-U, follow-up.

of 0.78 and a specificity of 0.78, which were higher than the indices calculated using the other criteria of abnormal spirometry (Table 2).

For the prediction of COPD death, the FEV₁/FVC ratio with the cut-off value of 0.70 appeared to be comparable to FEV₁ T-score with the cut-off value of -2.5 (Table 2).

Survival curves and hazard ratios of the FEV₁ T-score

Survival curves for all-cause death, respiratory death, and COPD death differed significantly among the groups when stratified into four groups (<-4.5, -4.5 to -3.6, -3.5 to -2.6, and ≥-2.5) by FEV₁ T-score (P<0.001 for all log-rank tests; Figure 3A-C).

The adjusted hazard ratios of the FEV₁ T-score for all-cause death, respiratory death, and COPD death were 0.54 (95% CI: 0.48-0.60), 0.43 (95% CI: 0.37-0.50), and 0.30 (95% CI: 0.24-0.37), respectively, adjusting for age, sex, smoking history, and co-morbidity in the US subjects (P<0.0001 for all Cox proportional hazard analyses).

Results from the analyses of the Korean NHANES II

Subject characteristics

Among 1,894 subjects from the Korean NHANES II data, 51% were female. The mean age was 53 years, and 40% had a smoking history (Table 1). COPD utilization was observed for 156 (8.2%) subjects during 4 years of follow-up.

Spirometry T-score for the prediction of COPD utilization

The probability of COPD utilization during 4 years of follow-up increased steeply as FEV₁ T-score decreased to negative values (Figure 11).

For the prediction of COPD utilization, the prediction performance of the FEV₁ T-score was higher than that of the FEV₁ Z-score or the FEV₁ percent of predicted value (P<0.05 for both comparisons; Figure 2D). As for the ratio of FEV₁/FVC, the prediction performance was higher than that of FEV₁/FVC T-score or Z-score for the prediction of COPD utilization (P<0.05 for both comparisons; Figure 2H).

As for the prediction of COPD utilization, the cut-off value of FEV₁ T-score was -1.5 and this reached the maximum of Youden index (Table S2).

Survival curves and hazard ratios of the FEV₁ T-score
Survival curves for COPD utilization differed significantly among the groups when stratified by FEV₁ T-score (P<0.001

Table 2 Various criteria of abnormal spirometry for the prediction of all-cause death, respiratory death, COPD death, and COPD-related health care utilization

Criteria of abnormal spirometry	US subjects				Korean subjects							
	All-cause death		Respiratory death		COPD death		COPD-related health care utilization					
	Sensitivity	Specificity	Youden index*	Sensitivity	Specificity	Youden index	Sensitivity	Specificity	Youden index			
FEV ₁ T-score < -2.5 [#]	0.78	0.78	0.56	0.92	0.72	0.64	0.93	0.72	0.65	0.23	0.92	0.15
FEV ₁ < LLN [#]	0.31	0.89	0.20	0.58	0.87	0.45	0.72	0.87	0.59	0.14	0.96	0.10
FEV ₁ < 80% of predicted value	0.50	0.77	0.27	0.73	0.75	0.48	0.84	0.74	0.58	0.32	0.89	0.21
FEV ₁ /FVC T-score < -1.5 [§]	0.60	0.75	0.35	0.71	0.72	0.43	0.86	0.72	0.58	0.29	0.86	0.15
FEV ₁ /FVC < LLN [‡]	0.17	0.94	0.11	0.41	0.93	0.34	0.63	0.93	0.56	0.16	0.94	0.10
FEV ₁ /FVC < 0.70	0.41	0.88	0.29	0.59	0.85	0.44	0.79	0.85	0.64	0.33	0.89	0.22

Notes: The sensitivity and specificity for the prediction of all-cause death, respiratory death, and COPD death after 10 years of follow-up in the US subjects and for the prediction of COPD-related health care utilization during 4 years of follow-up in the Korean subjects. *Defined as sensitivity + specificity - 1. [#]The cut-off values of the FEV₁ T-score that reached the maximum Youden index for the prediction of all-cause death were -2.5 in males and -2.4 in females. [‡]FEV₁ or FEV₁/FVC < LLN (lower limit of normal, bottom 5% percentile) has the same meaning as "the Z-score of FEV₁ or FEV₁/FVC < -1.64". [§]The cut-off values of the FEV₁/FVC T-scores that reached the maximum Youden index for the prediction of all-cause death were -1.1 in males and -1.6 in females. We arbitrarily chose the cut-off value of -1.5 between -1.1 and -1.6. The combined data of both males and females was presented here.

Abbreviations: FEV₁, forced expiratory volume in 1 second; FVC, forced vital capacity.

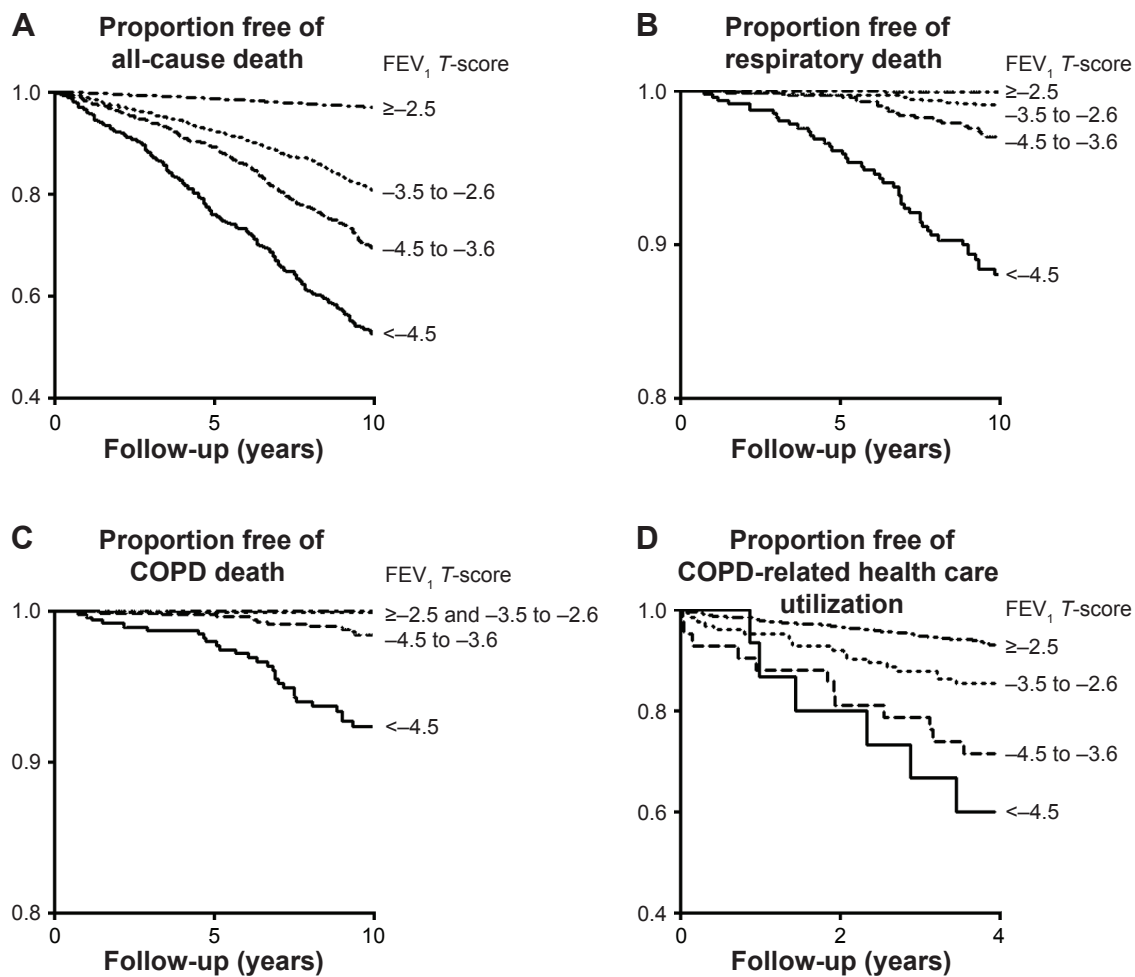


Figure 3 Kaplan–Meier survival curves for all-cause death (A), respiratory death (B), and COPD death (C) and also for COPD-related health care utilization (D).

Notes: A total of 9,101 subjects in the US (A–C) and 1,894 subjects in South Korea (D) were stratified by FEV₁ T-score. All-cause death, respiratory death, COPD death, and COPD-related health care utilization differed significantly among the four groups ($P < 0.001$ by the log-rank tests).

Abbreviation: FEV₁, forced expiratory volume in 1 second.

for log-rank test; Figure 3D). The adjusted hazard ratio of the FEV₁ T-score for COPD utilization was 0.69 (95% CI: 0.59–0.81) adjusting for age, sex, amount smoked, monthly income, presence of pulmonary symptoms, and physician-diagnosed COPD in the Korean subjects ($P < 0.001$; Cox proportional hazard analysis).

Discussion

In this study, we developed a spirometry T-score that could predict all-cause death, respiratory death, and COPD death in the US population and COPD utilization in the Korean population. This study suggests that spirometry values might also be corrected in the same way as that of the diagnosis of osteoporosis for which a young, normal reference is used to determine T-scores of bone mineral density. The rationale for using T-score might be that the age-related vulnerability should be taken into account;^{5,6} the rationale for Z-scores is that the age-related variability should be taken into account.

We found that the probability of all-cause death appeared to increase steeply when the FEV₁ T-score decreased below approximately -2.5 (Figure 1A). We also found that the optimal cut-off values of the FEV₁ T-score for the prediction of all-cause death were -2.5 in males and -2.4 in females, where the Youden index reached the maximum value. However, which cut-off value of spirometry should be chosen might be dependent on the outcome. As for the outcome of COPD utilization, the cut-off value of -1.5 in FEV₁ T-score was the value where Youden index was the maximum (Table S2).

We also found that the prediction performance of FEV₁/FVC ratio itself was comparable to, or even better than that of FEV₁/FVC T-score, for the prediction of all-cause death, respiratory death, COPD death, and COPD utilization (Figure 2E–H). In addition, the criteria of abnormal spirometry by “FEV₁/FVC < 0.70 ” were comparable to that by “FEV₁ T-score < -2.5 ” for the prediction of COPD death (Table 2).

However, the prediction performance of FEV₁/FVC Z-score did not appear to be as good as that of FEV₁/FVC ratio itself or T-score. The prediction performance for COPD death had a sensitivity of 0.63 and a specificity of 0.93, with a Youden index of 0.56 given that the criterion of abnormal spirometry was an FEV₁/FVC ratio less than the lower limit of normal, bottom 5% percentile (which has the same meaning as an FEV₁/FVC Z-score less than -1.64) (Table 2). If the criterion FEV₁/FVC < 0.70 was chosen, then the sensitivity would be 0.79 and specificity 0.85, with a Youden index of 0.64. These results might support the definition of airflow limitation using the FEV₁/FVC criterion of less than 0.70, suggested by the COPD clinical practice guidelines.^{14,15}

The T-score of bone mineral density is known to reflect future fracture risk. A recent meta-analysis found that fracture risk increases by 1.5-fold to 2.6-fold for every unit decrease in standard deviation of mean bone mineral density.¹⁶ In our study, one standard deviation decrease in FEV₁ T-score increased the risk of all-cause death by 1.9 times (the reciprocal of 0.54), respiratory death by 2.3 times (1/0.43), COPD death by 3.3 times (1/0.30), and COPD-related health care utilization by 1.4 times (1/0.69). Therefore, FEV₁ T-scores might be comparable to T-scores of bone mineral density.

There are some limitations to discuss. First, determining cause of death is not easy or clear, so ICD disease coding might not be as accurate as assumed in this study. However, the large sample size of this study would probably overcome a coding issue. In addition, ICD disease coding has already been validated in previous studies in both US and Korean subjects.^{13,17} Second, to apply these study results to COPD patients, post-bronchodilator spirometry may be needed, because the clinical practice guidelines of COPD management suggest post-bronchodilator spirometry for the diagnosis and classification of COPD. Unfortunately, post-bronchodilator spirometry data are not available for the general population in the US or in Korea. The importance of post-bronchodilator spirometry may be challenged, because the clinical significance of bronchodilator responsiveness is not universally accepted.¹⁸ Third, this study included only white US and Korean participants and therefore, general application to other ethnicities can be limited. For subjects of other ethnicities, a global lung function equation developed by Quanjer et al¹⁹ may be of assistance. Finally, although Youden index is used to determine which methods are superior to others, it is not always informative. The usefulness of Youden index, also the sensitivity and specificity, are mainly determined on the setting or the goal. For example, the FEV/FVC ratio below the LLN would be better than the

fixed FEV/FVC ratio in a situation where a physician would be in the clinical context of selecting patients who are not at risk of COPD.

Compared with the conventional diagnostic criteria of COPD, FEV₁ T-score appears to be superior in prediction performance of important outcomes. Therefore, with the superior prediction performance of spirometry T-score, should we use it in clinical practice? Without a consensus of experts or academic societies, we should be prudent to use it in clinical practice, because a vast majority of evidences have been built with the diagnostic criteria of FEV₁/FVC with a cut-off of 0.70 in research of COPD. Because this study shows that the criteria FEV₁/FVC of less than 0.70 works acceptably and because spirometry T-score seems to be too complicated to develop, we suggest that the conventional diagnostic criteria of FEV₁/FVC, with a cut off of 0.70, be acceptable for the diagnosis of COPD in clinical practice.

Conclusion

Spirometry T-score with the age of young, normal reference could predict all-cause death, respiratory death, COPD death, and COPD utilization.

Acknowledgments

This study was supported by a grant of the Korea Healthcare Technology R&D Project, Ministry for Health and Welfare, Republic of Korea (A102065 and HI10C2020) and the Obstructive Lung Disease Research Foundation (www.olderf.org). This study was also supported by grants from the Asan Institute for Life Sciences (14-306).

Author contributions

Sei Won Lee: study design, the US NHANES III data analysis, choosing results, discussing the significance of results, and writing a draft of the manuscript.

Hyun Kuk Kim: study design, the Korean NHANES II data analysis, choosing results, discussing the significance of results, and writing a draft of the manuscript.

Seunghye Baek: study design, the US NHANES III and the Korean NHANES II data analysis, statistical support, choosing results, discussing the significance of results, and writing a draft of the manuscript.

Ji-Ye Jung: study design, linking the Korean NHANES II data to the data of the Korean National Health Insurance claims, discussing the significance of results, and writing a draft of the manuscript.

Young Sam Kim: study design, linking the Korean NHANES II data to the data of the Korean National Health

Insurance claims, discussing the significance of results, and writing a draft of the manuscript.

Jae Seung Lee: study design, data analysis, discussing the significance of results, and writing a draft of the manuscript.

Sang-Do Lee: study design, data analysis, discussing the significance of results, and writing a draft of the manuscript.

David M Mannino: study design, data analysis, discussing the significance of results, and writing a draft of the manuscript.

Yeon-Mok Oh: study design, the US NHANES III and the Korean NHANES II data analysis, choosing results, discussing the significance of results, and writing a draft of the manuscript.

Disclosure

The authors report no conflicts of interest in this work.

References

- Hegewald MJ, Crapo RO. Pulmonary function testing. In: Mason RJ, editor. *Mason: Murray and Nadel's Textbook of Respiratory Medicine*. 5th ed. Philadelphia: Saunders Elsevier; 2010:522–553.
- Mannino DM, Buist AS, Petty TL, Enright PL, Redd SC. Lung function and mortality in the United States: data from the First National Health and Nutrition Examination Survey follow up study. *Thorax*. 2003;58:388–393.
- Mannino DM, Doherty DE, Sonia Buist A. Global Initiative on Obstructive Lung Disease (GOLD) classification of lung disease and mortality: findings from the Atherosclerosis Risk in Communities (ARIC) study. *Respir Med*. 2006;100:115–122.
- Vaz Fragoso CA, Concato J, McAvay G, et al. The ratio of FEV1 to FVC as a basis for establishing chronic obstructive pulmonary disease. *Am J Respir Crit Care Med*. 2010;181:446–451.
- Jokinen C, Heiskanen L, Juvonen H, et al. Incidence of community-acquired pneumonia in the population of four municipalities in eastern Finland. *Am J Epidemiol*. 1993;137:977–988.
- Fine MJ, Auble TE, Yealy DM, et al. A prediction rule to identify low-risk patients with community-acquired pneumonia. *N Engl J Med*. 1997;336:243–250.
- World Health Organization. *Prevention and Management of Osteoporosis: Report of a WHO Scientific Group*. Geneva: WHO; 2003. http://whqlibdoc.who.int/trs/who_trs_921.pdf. Accessed November 12, 2014.
- Cole TJ, Green PJ. Smoothing reference centile curves: the LMS method and penalized likelihood. *Stat Med*. 1992;11:1305–1319.
- Stanojevic S, Wade A, Stocks J. Reference values for lung function: past, present and future. *Eur Respir J*. 2010;36:12–19.
- Stanojevic S, Wade A, Stocks J. Become an expert in spirometry. www.growinglungs.org.uk. Accessed April 27, 2012.
- Hankinson JL, Odencrantz JR, Fedan KB. Spirometric reference values from a sample of the general U.S. population. *Am J Respir Crit Care Med*. 1999;159:179–187.
- Centers for Disease Control and Prevention. *Third National Health and Nutrition Examination Survey (NHANES III)*. <http://www.cdc.gov/nchs/nhanes.htm>. Accessed July 22, 2013.
- Jung JY, Kang YA, Park MS, et al. Chronic obstructive lung disease-related health care utilisation in Korean adults with obstructive lung disease. *Int J Tuberc Lung Dis*. 2011;15:824–829.
- Qaseem A, Wilt TJ, Weinberger SE, et al. Diagnosis and management of stable chronic obstructive pulmonary disease: a clinical practice guideline update from the American College of Physicians, American College of Chest Physicians, American Thoracic Society, and European Respiratory Society. *Ann Intern Med*. 2011;155:179–191.
- Vestbo J, Hurd SS, Agusti AG, et al. Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease: GOLD executive summary. *Am J Respir Crit Care Med*. 2013;187:347–365.
- Marshall D, Johnell O, Wedel H. Meta-analysis of how well measures of bone mineral density predict occurrence of osteoporotic fractures. *BMJ*. 1996;312:1254–1259.
- Holguin F, Folch E, Redd SC, Mannino DM. Comorbidity and mortality in COPD-related hospitalizations in the United States, 1979 to 2001. *Chest*. 2005;128:2005–2011.
- Soriano JB, Mannino DM. Reversing concepts on COPD irreversibility. *Eur Respir J*. 2008;31:695–696.
- Quanjer PH, Stanojevic S, Cole TJ, et al. Multi-ethnic reference values for spirometry for the 3–95-yr age range: the global lung function 2012 equations. *Eur Respir J*. 2012;40:1324–1343.

Supplementary materials

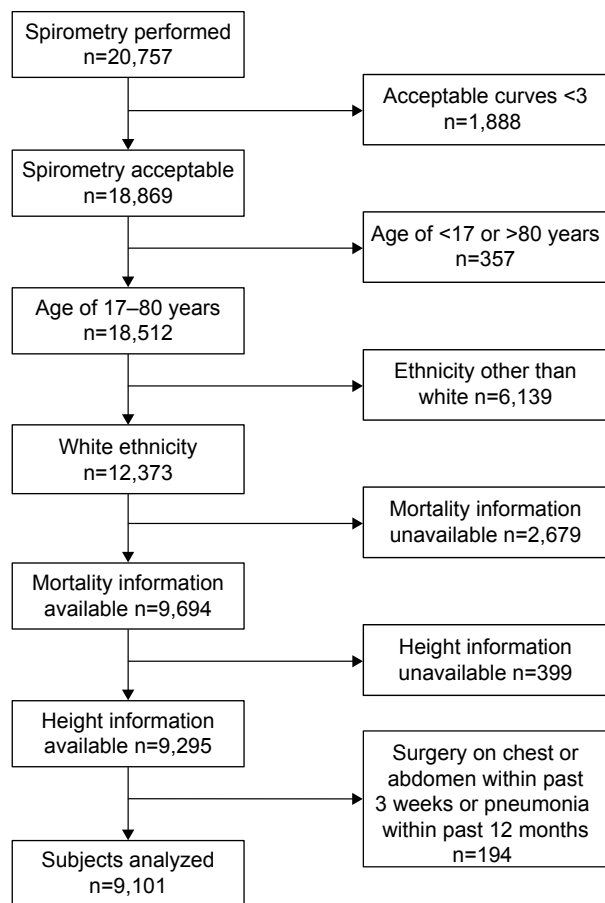


Figure S1 Flow of subjects' selection from the US NHANES III.

Abbreviation: NHANES III, Third National Health and Nutrition Examination Survey.

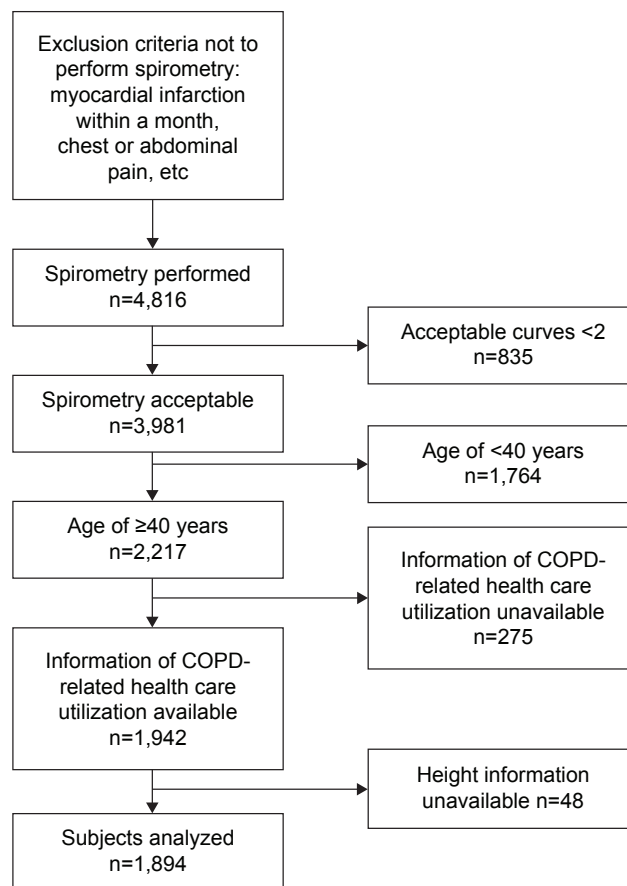


Figure S2 Flow of subjects' selection from the Korean NHANES II.

Abbreviation: NHANES II, Second National Health and Nutritional Examination Survey.

Table S1 Selection of "healthy" subjects for the development of spirometry reference values for Koreans

Reasons of exclusion	Number of subjects excluded	Number of subjects who remained
Total		4,927*
History of smoking ≥ 5 packs over whole life	2,038	2,889
Physician diagnosis of respiratory diseases		
Asthma	111	2,778
COPD	10	2,768
Lung cancer	0	2,768
Pulmonary tuberculosis	118	2,650
Bronchiectasis	14	2,636
Respiratory symptoms or limitation		
Wheezing during past year	132	2,504
Wheezing when exercise during past year	68	2,436
Phlegm more than 3 months during past year	3	2,433
Cough more than 3 months during past year	0	2,433
Activity limitation d/t respiratory problem	6	2,427
Occupational exposure to		
Noxious chemicals	72	2,355
Environmental pollutants	95	2,260
Missing values		
Height and weight	7	2,253
FEV ₁	1	2,252 [#]

Notes: *Total number of participants for spirometry. [#]Final number of subjects for the development of spirometric reference equations.

Abbreviations: FEV₁, forced expiratory volume in 1 second; d/t, due to.

Table S2 Various criteria of abnormal spirometry for the prediction of COPD-related health care utilization (COPD utilization)

Criteria* of abnormal spirometry	COPD-related health care utilization in the Korean subjects		
	Sensitivity	Specificity	Youden index [#]
FEV ₁ T-score <-1.5*	0.50	0.74	0.24
FEV ₁ Z-score <-1.0*	0.31	0.90	0.20
FEV ₁ % of predicted value <79	0.31	0.90	0.21
FEV ₁ /FVC T-score <-1.8*	0.24	0.92	0.16
FEV ₁ /FVC Z-score <-1.4*	0.21	0.92	0.12
FEV ₁ /FVC <0.71*	0.37	0.87	0.24

Notes: The sensitivity and specificity for the prediction of COPD utilization during 4 years of follow-up in the Korean subjects. *The cut-off values were chosen where their Youden indices were the maximum. [#]Defined as sensitivity + specificity - 1.

Abbreviations: FEV₁, forced expiratory volume in 1 second; FVC, forced vital capacity.

International Journal of COPD

Dovepress

Publish your work in this journal

The International Journal of COPD is an international, peer-reviewed journal of therapeutics and pharmacology focusing on concise rapid reporting of clinical studies and reviews in COPD. Special focus is given to the pathophysiological processes underlying the disease, intervention programs, patient focused education, and self management protocols.

This journal is indexed on PubMed Central, MedLine and CAS. The manuscript management system is completely online and includes a very quick and fair peer-review system, which is all easy to use. Visit <http://www.dovepress.com/testimonials.php> to read real quotes from published authors.

Submit your manuscript here: <http://www.dovepress.com/international-journal-of-chronic-obstructive-pulmonary-disease-journal>