



7-3-2017

Accelerator Based Fusion Reactor

Keh-Fei Liu

University of Kentucky, kehfei.liu@uky.edu

Alexander Wu Chao

Stanford University

Right click to open a feedback form in a new tab to let us know how this document benefits you.

Follow this and additional works at: https://uknowledge.uky.edu/physastron_facpub

 Part of the [Astrophysics and Astronomy Commons](#), and the [Physics Commons](#)

Repository Citation

Liu, Keh-Fei and Wu Chao, Alexander, "Accelerator Based Fusion Reactor" (2017). *Physics and Astronomy Faculty Publications*. 500.
https://uknowledge.uky.edu/physastron_facpub/500

This Article is brought to you for free and open access by the Physics and Astronomy at UKnowledge. It has been accepted for inclusion in Physics and Astronomy Faculty Publications by an authorized administrator of UKnowledge. For more information, please contact UKnowledge@lsv.uky.edu.

Accelerator Based Fusion Reactor

Notes/Citation Information

Published in *Nuclear Fusion*, v. 57, no. 8, p. 1-7.

© 2017 IAEA, Vienna

Original content from this work may be used under the terms of the [Creative Commons Attribution 3.0 license](#). Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.

Digital Object Identifier (DOI)

<https://doi.org/10.1088/1741-4326/aa7642>

LETTER • OPEN ACCESS

Accelerator based fusion reactor

To cite this article: Keh-Fei Liu and Alexander Wu Chao 2017 *Nucl. Fusion* **57** 084002

View the [article online](#) for updates and enhancements.

Related content

- [Intense pulsed ion beams for fusion applications](#)
S. Humphries Jr.
- [Neutral-beam-driven tokamak fusion reactors](#)
D.L. Jassby
- [Non-thermal fusion in a beam plasma system](#)
F. Santini

Letter

Accelerator based fusion reactor

Keh-Fei Liu¹ and Alexander Wu Chao²¹ Department of Physics and Astronomy, University of Kentucky, Lexington, KY 40506, United States of America² SLAC National Accelerator Laboratory, Stanford University, Stanford, CA 94309, United States of AmericaE-mail: liu@pa.uky.edu

Received 26 November 2016, revised 17 May 2017

Accepted for publication 1 June 2017

Published 3 July 2017

**Abstract**

A feasibility study of fusion reactors based on accelerators is carried out. We consider a novel scheme where a beam from the accelerator hits the target plasma on the resonance of the fusion reaction and establish characteristic criteria for a workable reactor. We consider the reactions $d + t \rightarrow n + \alpha$, $d + {}^3\text{He} \rightarrow p + \alpha$, and $p + {}^{11}\text{B} \rightarrow 3\alpha$ in this study. The critical temperature of the plasma is determined from overcoming the stopping power of the beam with the fusion energy gain. The needed plasma lifetime is determined from the width of the resonance, the beam velocity and the plasma density. We estimate the critical beam flux by balancing the energy of fusion production against the plasma thermo-energy and the loss due to stopping power for the case of an inert plasma. The product of critical flux and plasma lifetime is independent of plasma density and has a weak dependence on temperature. Even though the critical temperatures for these reactions are lower than those for the thermonuclear reactors, the critical flux is in the range of $10^{22} - 10^{24} \text{ cm}^{-2} \text{ s}^{-1}$ for the plasma density $\rho_t = 10^{15} \text{ cm}^{-3}$ in the case of an inert plasma. Several approaches to control the growth of the two-stream instability are discussed. We have also considered several scenarios for practical implementation which will require further studies. Finally, we consider the case where the injected beam at the resonance energy maintains the plasma temperature and prolongs its lifetime to reach a steady state. The equations for power balance and particle number conservation are given for this case.

Keywords: fusion reactor, accelerator, plasma, ion beam

(Some figures may appear in colour only in the online journal)

Harnessing energy from controlled fusion reaction has been a challenge for more than six decades. In the intervening years, great progress has been made toward attaining sufficient confinement time and density at the required temperature to sustain a net yield of energy from the fusion reaction. This has culminated in the ITER³ program which is designed to produce 500 MW power sustained up to 1000s with an energy gain of a factor of ~ 10 .

Despite much progress made in thermonuclear reactor and inertial confinement, the time scale of commercial production is still far off. There are alternative designs to Tokamak being explored, such as stellarator⁴, field reversed configurations (FRC)⁵ [1] and dense plasma focus (DPF) [2, 3]. Why do we want to consider yet another scheme involving accelerators? The thermonuclear reactor such as at ITER is at a temperature (12.5 keV) which is much lower than that of the



Original content from this work may be used under the terms of the [Creative Commons Attribution 3.0 licence](https://creativecommons.org/licenses/by/3.0/). Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.

³ <https://en.wikipedia.org/wiki/ITER>⁴ <https://en.wikipedia.org/wiki/Stellarator>⁵ https://en.wikipedia.org/wiki/Field-reversed_configuration

peak resonance energy of the $d + t$ reaction with a center of mass energy of 64 keV. Thus, it is the exponential tail of the Maxwell–Boltzmann distribution that is important in the integrated reaction rate $\langle\sigma v\rangle \sim 10^{-22} \text{ m}^3 \text{ s}^{-1}$. Whereas, direct d on t on the resonance yields a $\langle\sigma v\rangle = 1.6 \times 10^{-21} \text{ m}^3 \text{ s}^{-1}$ which is an order of magnitude larger. In fact, most of the light ion fusion reactions have resonances at center-of-mass energy of 64–300 keV with widths of 200–400 keV. It would be reasonable to ask if one can take advantage of this feature and explore the possibility of a fusion reactor with the fusion nuclei colliding at the energy where the fusion cross section peaks in order to maximize the reaction rate. This will require a beam at a particular energy. Consequently, an accelerator is a logical tool in this regard.

However, the reaction rate (i.e. reactivity) is not the only concern for a reactor to work. All the possible energy losses need to be taken into account. In the straightforward approach to the accelerator based fusion reactor (ABFR), where the beam from the accelerator is used as the fuel, there can be insurmountable difficulties. For example, simply bombarding the target at room temperature with a beam will not work. The ratio of fusion energy gain as denoted by the Q -value versus stopping power $R_e = \sigma Q / (|dE/dx|/\rho_t) = 0.013$ is much less than unity for 100–200 keV proton on Helium [4]. In other words, the stopping power due to the bound electrons in atoms, which includes ionization and beam bremsstrahlung, overwhelms the fusion energy production. There has been a design to consider colliding beams in storage rings [5] with beam density at $\sim 10^{15} \text{ cm}^{-3}$. However, the transverse momentum impulse due to Coulomb repulsion at 1 mm from the center for a beam size of 1 cm^2 , is an order of magnitude larger than the beam longitudinal momentum [6]. Thus, the beams will splash sideways instead of going through each other to initiate fusion reaction. Neutral beam shields long range Coulomb interaction, but the cross section for ionization, such as $\sigma(H_2 + H_e \rightarrow H_2 + H_e^+ + e)|_{100 \text{ keV}} = 5 \times 10^{-17} \text{ cm}^2$ is 7 orders of magnitude larger than that of the fusion cross section, so that the energy loss due to ionization is much larger than the fusion energy gain. One can also consider the laser wakefield setup [7] where the electrons are temporarily pushed to the rim of the bubble in the plasma by the laser and separated from the ions in the blow-out region. In this case, one can guide the beam into the blow-out region when the bubble is formed to avoid interaction with the electrons. However, the characteristic time scale of the bubble lifetime of pico-sec is too short for the non-relativistic beam with velocity of 1–3% of the speed of light to go through the bubble.

Notwithstanding the above examples which illustrate various difficulties of ABFR with or without electrons around, one notices that it is possible to have electrons around and yet be innocuous. This brings us to our proposal of making the plasma the target. Ion beams on plasma have been considered before, but not for ABFR. Neutron beam injection [9] has been utilized to heat up the plasma in Tokamak [8] and FRC [1] reactors. Heavy ion beams have been considered a promising driver option for fast ignition in inertial confinement

facilities [10, 11]. In the present work, we shall consider the external beam from the accelerator as the fuel itself for the fusion energy production in ABFR for the first time. The primary reason for considering this arrangement is to take advantage of a specific, perhaps unique, feature of the plasma in that the stopping powers of the beam due to the electrons and ions in the plasma decrease with temperature as $T^{-3/2}$ [12, 13]. Therefore, by raising the temperature of the plasma, sooner or later the energy loss due to stopping power will yield to fusion gain. We shall first consider the simplified case of an inert plasma, by which we mean the plasma in a volume V has a constant density ρ_t , constant temperature T , and a lifetime of τ_{pla} as given parameters and there is no dynamical response to the incoming beam. In this case, the net energy gain is

$$\Delta E_{\text{net}} = E_{\text{fus}} - E_{\text{sp}} - E_{\text{pla}} > 0, \quad (1)$$

where E_{fus} is the fusion energy production

$$E_{\text{fus}} = \rho_b v \rho_t V \sigma Q \tau_{\text{pla}} \epsilon_{\text{out}}, \quad (2)$$

with ρ_b/v being the beam density/velocity. Q is the energy gain. σ is the fusion reaction cross section. τ_{pla}/ρ_t is the plasma lifetime/density. ϵ_{out} is the output energy conversion efficiency to electricity. For charged particle production, the direct conversion is possible which gives $\epsilon_{\text{out}} \sim 0.9$. For neutron production, $\epsilon_{\text{out}} \sim 0.3 - 0.4$. We take 0.3 for the present work. The energy loss E_{sp} due to stopping power is

$$E_{\text{sp}} = \rho_b v \rho_t V (Z |dE/dx|/\rho_e) \tau_{\text{pla}}/\epsilon_b, \quad (3)$$

where $|dE/dx|/\rho_e$ is the stopping power per unit target electron density and we have used $\rho_e = Z\rho_t$ for the neutral plasma where Z is the charge of the ions. ϵ_b is the energy efficiency of producing the beam. High efficiency klystron for proton linac sources at proton energy of 115 keV has reached an efficiency of 65% [14]. The overall energy efficiency for the beam will be lower. We shall take $\epsilon_b = 0.5$ as a working number for the present work. The thermo-energy loss of the plasma during τ_{pla} is

$$E_{\text{pla}} = \rho_t V n_{\text{eff}} (3/2 T) / \epsilon_{\text{pla}}, \quad (4)$$

where n_{eff} is the effective number of charged particles per ion in the plasma which is 6 for ^{11}B , 3 for ^3He and 2 for t , assuming equipartition. ϵ_{pla} is the efficiency for producing the plasma. We take it to be 0.5 in this work. In general, one can consider the scenario where the electron and ion temperatures are different. In the present work, we shall consider them to be the same.

We note that equation (1) can be written as

$$\Delta E_{\text{net}} = \rho_t V \tau_{\text{pla}} \left\{ \phi \left[\overline{\sigma Q} \epsilon_{\text{out}} - \frac{Z |dE/dx|}{\epsilon_b \rho_e} \right] - n_{\text{eff}} (3/2 T) / (\tau_{\text{pla}} \epsilon_p) \right\} > 0, \quad (5)$$

where $\phi = \rho_b v$ is the beam flux density and $\overline{\sigma Q}$ is the average of σQ . We shall take it to be the average between $E_R + \Gamma/2$ and $E_R - \Gamma/2$ with $\overline{\sigma Q} \sim 3/4 \sigma_{\text{max}} Q$, where E_R/Γ is the resonance energy/width of the fusion reaction. As we see from equation (5), besides having to overcome E_{pla} , the expression

Table 1. Critical plasma temperature for criterion in equation (6), l_{eff} , and τ_{pla} for two scenarios of the plasma density. Other relevant parameters, i.e. the resonance energy E_R , the width Γ , the beam speed v/c , the Q value, the maximum fusion cross-section σ_{max} , and the beam energy E_b are also tabulated for reference.

Reaction	$T_c(\text{keV})$	$T_{\text{max}}(\text{keV})$	$E_R(\text{keV})$	$\Gamma(\text{keV})$	v/c	$Q(\text{MeV})$	$\sigma_{\text{max}}(\text{b})$	$E_b(\text{keV})$	$\rho_t = 10^{15} \text{ cm}^{-3}$		$\rho_t = 10^{21} \text{ cm}^{-3}$	
									$l_{\text{eff}}(\text{cm})$	$\tau_{\text{pla}}(\text{s})$	$l_{\text{eff}}(\text{cm})$	$\tau_{\text{pla}}(\text{s})$
d + t	1.8	17	160	210	1.07%	17.6	5.1	265	1.3×10^7	4.0×10^{-2}	13	4.0×10^{-8}
d + $^3\text{H}_e$	7.0	66	438	430	2.16%	18.4	0.81	653	8.5×10^7	1.3×10^{-1}	85	1.3×10^{-7}
p + ^{11}B	31	223	625	300	3.65%	8.7	0.80	775	1.3×10^8	1.2×10^{-1}	1.3×10^2	1.2×10^{-7}

inside the square brackets should be larger than zero so that the fusion energy production could offset the loss of stopping power. This leads to

• *Criterion 1:*

$$R_{\text{sp}} = \frac{\overline{\sigma Q} \epsilon_{\text{out}}}{Z |dE/dx| / (\rho_e \epsilon_b)} = \frac{\overline{\sigma Q} \rho_e v \epsilon_{\text{out}}}{Z |dE/dt| / \epsilon_b} \geq 1. \quad (6)$$

The second equality in equation (6) is just the ratio of fusion power production versus the power loss to the stopping power for each beam particle with the efficiencies ϵ_{out} and ϵ_b taken into account. The stopping power of plasma in the quantum regime with $T \geq 1 \text{ keV}$ for non-relativistic ions goes down with the plasma temperature as $T^{-3/2}$ [12] and is proportional to v , i.e. $|dE/dx|/\rho_e \propto v T^{-3/2}$ with a logarithmic correction [13]. An exact calculation with quantum correction to the order of $g^2 \ln g^2$ is given [15] for the plasma coupling $g = e^2 \kappa_D / 4\pi T$ where κ_D is the Debye wave number. A comparison of the stopping power for proton with speed $v_p = 0.0365c$ in the plasma with $\rho_e = 5 \times 10^{25} \text{ cm}^{-3}$ and $T = 1 \text{ keV}$ to that at $\rho_e = 10^{24} \text{ cm}^{-3}$ and $T = 0.2 \text{ keV}$ shows that the $T^{-3/2}$ scaling is good to $\sim 20\%$ and there is an approximate v scaling. While a more precise calculation will be given later, we shall adopt the $v T^{-3/2}$ scaling for the present study with the proviso that it is good to a factor of 2 for the range of T and beam velocity in this work. We take the proportionality constant from proton at $v_p/c = 0.0365$ which will produce the $p + ^{11}\text{B} \rightarrow 3 \alpha$ reaction at maximum cross section and obtain

$$|dE/dx|/\rho_e = a v T^{-3/2} \quad (7)$$

where $a = 7.27 \times 10^{-29} \text{ keV cm s (keV)}^{3/2}$ is from [15].

Following criterion 1 in equation (6), we determine the critical temperature T_c at $R_{\text{sp}} = 1$ which is $T_c = (aZv/(\epsilon_b \epsilon_{\text{out}} \overline{\sigma Q}))^{2/3}$ and tabulate it in table 1 for three reactions.

Next, we determine the lifetime and the length of the plasma in order to maximize the fusion reaction with the beam energy entering the plasma at $E_R + \Gamma/2$ and exiting at $E_R - \Gamma/2$. The energy loss is due to the stopping power, therefore we have the effective length of the plasma to be

$$l_{\text{eff}} = \frac{\Gamma}{|dE/dx|(\rho_e, T)}. \quad (8)$$

Here, the stopping power $|dE/dx|(\rho_e, T)$ depends on the electron density and the temperature of the plasma. The parameters of the three fusion reactions, such as the resonance energy (E_R)

in the lab frame, the width of the resonance (Γ), the projectile velocity v/c at E_R , the Q value, the maximum fusion cross section (σ_{max}) and the incoming beam energy (E_b) at $E_R + \Gamma/2$ are given in table 1. We shall consider a scenario for the low density at $\rho_t = 10^{15}/\text{cm}^3$ which is relevant to the characteristic density of Tokamak⁶, stellarator⁷, and FRC⁸ [1] and a high density one at $\rho_t = 10^{21} \text{ cm}^{-3}$ which is achievable in DPF [3, 16].

Taking the plasma lifetime τ_{pla} to be the beam traverse time, we obtain it from equations (7) and (8)

$$\tau_{\text{pla}} \equiv \tau_{\text{tra}} = l_{\text{eff}}/v = \frac{\Gamma T^{3/2}}{a v^2 Z \rho_t}. \quad (9)$$

We note that τ_{pla} increases with T as $T^{3/2}$ and is inversely proportional to ρ_t . We list the τ_{pla} in table 1 which is in the range of $10^{-2}/10^{-7} \text{ s}$ for the low-/high-density scenarios.

We should point out that τ_{pla} is not a criterion, it is the desired plasma lifetime that would maximize the fusion reaction with the traversing beam. On the other hand, the maximum useful lifetime of the plasma is when the fuel of the incoming beam is used up. We estimate this by dividing the mean-free-path of the beam particle by its speed, i.e. $\tau_{\text{max}} \sim 2/(\rho_t \sigma_{\text{max}} v)$. To compare with τ_{pla} , we look at the ratio $\frac{\tau_{\text{pla}}}{\tau_{\text{max}}} = \frac{\Gamma \sigma_{\text{max}} T^{3/2}}{2aZv}$, which, at T_c , equals $2\Gamma/(3\epsilon_b \epsilon_{\text{out}} Q)$ which is 0.033, 0.035, and 0.051 for the d t, d $^3\text{H}_e$ and p ^{11}B reactions. This means that the beam particles lose energy faster than they burn out through the fusion reaction. Since the ratio is less than unity, there is room for τ_{pla} to be longer than those at T_c . It can be achieved by increasing T until it reaches a maximum T_{max} where the ratio becomes unity. In this case, $T_{\text{max}} = (2aZv/(\Gamma \sigma_{\text{max}}))^{2/3}$. We tabulate this T_{max} in table 1 also.

We see from table 1 that the plasma lifetime and l_{eff} for $\rho_t = 10^{21} \text{ cm}^{-3}$ are commensurate with those achievable in DPF for the high-density scenario. For the low-density scenario, we find that while τ_{pla} is not a problem, the linear dimension of l_{eff} at $\sim 10^5 \text{ m}$ is too long for the size of a practical linear reactor. However, there is no need to be limited to a linear reactor with this length. One can consider curvilinear trajectories of the beam. We will discuss this later.

For the next step, we consider energy balance for the case of an inert plasma. We see from equation (5) that, to gain net energy, not only should the fusion energy gain offset the loss in the stopping power, it should also overcome the energy to

⁶ See footnote 3.

⁷ See footnote 4.

⁸ See footnote 5.

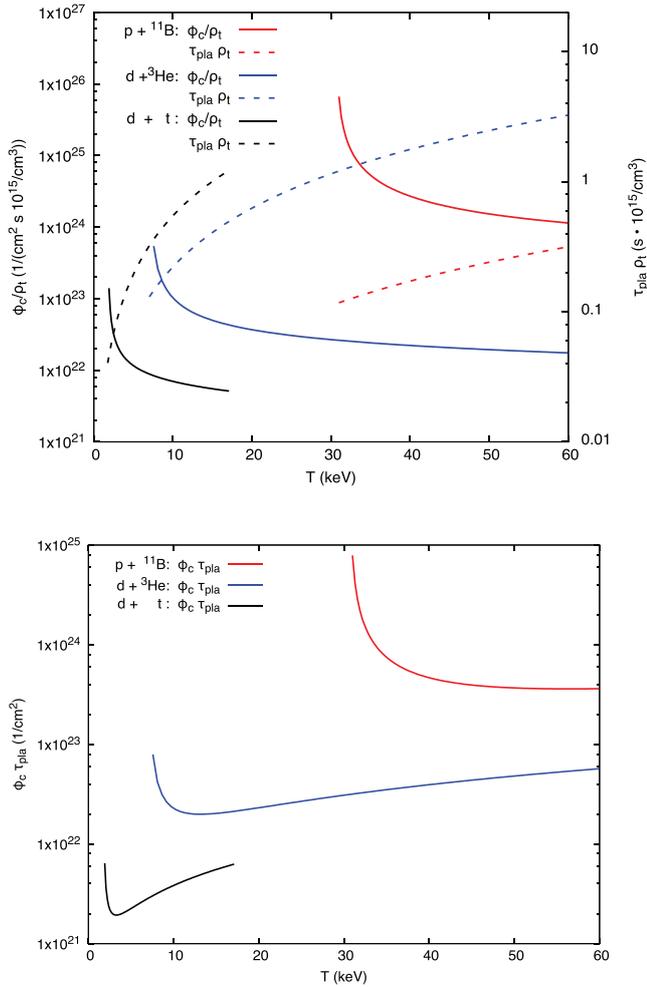


Figure 1. The critical flux divided by the plasma density ϕ_c/ρ_t in units of $1 \text{ (cm}^2 \text{ s } 10^{15} \text{ cm}^{-3})^{-1}$ and the associated plasma lifetime times its density $\tau_{\text{pla}} \rho_t$ in units of $\text{s} \cdot 10^{15} \text{ cm}^{-3}$ are plotted as a function of temperature for the three reactions in the upper panel. They terminate at $T_{\text{max}} = 17.1 \text{ keV}$ for the $d + t$ reaction. $\phi_c \tau_{\text{phy}}$ is plotted as a function of T for the three reactions in the lower panel.

produce the plasma with a certain lifetime. According to equations (5) and (9), the critical minimal beam flux ϕ_c is determined by

$$\phi_c = \frac{3/2 n_{\text{eff}} Z T}{\epsilon_{\text{out}} \epsilon_{\text{pla}} \overline{\sigma Q} g(T) \tau_{\text{pla}}} = \frac{3/2 n_{\text{eff}} Z a v^2 \rho_t}{\epsilon_{\text{out}} \epsilon_{\text{pla}} \overline{\sigma Q} g(T) T^{1/2}}, \quad (10)$$

where $g(T) = 1 - |dE/dx|/(\epsilon_{\text{out}} \epsilon_{\text{pla}} \overline{\sigma Q})$.

Since ϕ_c is proportional to ρ_t and τ_{pla} is inversely proportional to ρ_t , we plot ϕ_c/ρ_t in units of $1/(\text{cm}^2 \text{ s} \cdot 10^{15} \text{ cm}^{-3})$ and $\tau_{\text{pla}} \rho_t$ in units of $\text{s} \cdot 10^{15} \text{ cm}^{-3}$ in Figure 1 as a function of temperature. Also plotted in the lower panel of figure 1 is $\phi_c \tau_{\text{pla}} = \frac{3/2 n_{\text{eff}} T}{\epsilon_{\text{out}} \epsilon_{\text{pla}} \overline{\sigma Q} g(T)}$, which is independent of ρ_t and has minima at T only a little higher than T_c for the three reactions.

We note that the critical temperatures T_c are lower than those needed in thermonuclear reactors. For example, $T_c = 1.8 \text{ keV}$ for the $d + t$ case is lower than the target ITER temperature of 12.5 keV by a factor of 6.6. Similarly, $T_c = 7.0/31 \text{ keV}$

for the dH_c/pB case is much smaller than the corresponding temperature of $\sim 60/300 \text{ keV}$ for ignition in the thermonuclear reactor [17]. This is because, unlike the case of the thermonuclear reactor, ABFR aims to maximize $\langle \sigma v \rangle$ by colliding the beam on resonance. As a consequence, when one considers the triple product $\rho_t T_c \tau_{\text{pla}} = 7.2 \times 10^{19} \text{ keV s m}^{-3}$ for the $d t$ reaction with $\rho_t = 10^{15} \text{ cm}^{-3}$, it is smaller than the Lawson criterion which is $\sim 3 \times 10^{21} \text{ keV s m}^{-3}$ ⁹. However, this is not quite a germane comparison. Even though the constraint on the triple product is lessened, the challenge is shifted to the demand of high beam flux for ABFR at $\rho_t = 10^{15} \text{ cm}^{-3}$.

We see from the upper panel of figure 1 that at the minima of $\phi_c \tau_{\text{pla}}$ (lower panel), the critical flux is between $10^{22} \text{ (cm}^2 \text{ s)}^{-1}$ and $10^{24} \text{ (cm}^2 \text{ s)}^{-1}$ for the low density scenario (i.e. 10^{15} cm^{-3}). This is several orders of magnitude higher than the typical flux from linear accelerators with radio frequency quadrupole, such as $1 \text{ A cm}^{-2} \sim 10^{19} \text{ (cm}^2 \text{ s)}^{-1}$ in the first accelerating component at Spallation Neutron Source in ORNL [18]. A simple solution is to lower ρ_t by a few orders of magnitude at the expense of a proportionally increased τ_{pla} (equation (9)). Other possibilities include compression of the beam at injection which can reach $\sim 100 \text{ A cm}^{-2}$ at this energy range [19], H^- charge-exchange accumulator, cooling, and ultimately injection with multiple beams. The pros and cons of the various approaches or the combination of approaches should be studied during the design stage.

So far, we have considered the requirements for the beam with an inert plasma. When the dynamical response of the plasma to the beam is taken into account, one of the concerns is that when the energetic beam is injected to the plasma, it can cause two-stream instability [20] where the imaginary part of the frequency emerges so that the amplitude of the plasma oscillation and the electrostatic potential can grow exponentially. It was pointed out that for ion beams on plasma, the phase velocity of the waves in question is typically much smaller than that of the electrons. In this case, the latter can be treated as a neutralizing background fluid [21]. This applies to our case since the phase velocity of the wave is of the order of the beam velocity at $1 \sim 3\%$ of c ; whereas the thermal velocity of the electrons at 3 keV is 13% of c . For the case that the plasma frequency of the beam ions is much smaller than that of the plasma ions, i.e. $\omega_{\text{pb}} \ll \omega_{\text{pt}}$, the dispersion relation of the linearized fluid equations can have complex roots for the

frequency with the maximum growth rate $\gamma_t = \frac{\sqrt{3}}{2^{4/3}} (\frac{\omega_{\text{pb}}}{\omega_{\text{pt}}})^{2/3} \omega_{\text{pt}}$ [20]. Take, for example, the $d + t$ reaction with parameters from table 1 for $\rho_t = 10^{15}/\text{cc}$, for which $\omega_{\text{pb}} \ll \omega_{\text{pt}}$ is satisfied, the maximum growth rate is $\gamma_t = 6.0 \times 10^9 \text{ rad/s}$. For the duration of the plasma time from table 1, the exponential growth factor is $\gamma_t \tau_{\text{pla}} = 2.4 \times 10^8$. This is so large that it would render the ABFR considered so far with a monotonic continuous beam unfeasible. One way to ameliorate the growth rate is through the compression of the charged beam [22] and is confirmed in an NDCX experiment [23]. Another possibility is to consider a pulsed beam to prevent the buildup of the exponential growth

⁹ https://en.wikipedia.org/wiki/Lawson_criterion

due to the continuous beam. Perhaps the most effective way to evade the two-stream instability is to have a spread in the beam velocity. Since the fusion reaction resonance is broad with a width of 200–400 keV (see table 1), a beam with a spread much less than the resonance width will not affect the reactivity much. The stability limits of longitudinal Langmuir waves in ion beam-plasma interaction has been studied [21, 28]. For the case that $V = v_b/v_{th,i} \gg 1$ where $v_b/v_{th,i}$ is the beam/thermal plasma ion velocity, the stability limit for $\alpha = v_{th,i}/v_{th,b}$ which is the ratio of plasma ion velocity to the thermal velocity of the beam $v_{th,b}$ is $\alpha_{max} = \sqrt{2(n+1)T/n}V - 1$ where $n = \rho_b/\rho_i$ is the dimensionless beam density and $T = T_i/T_e$ which is unity in the present study with equal ion and electron temperatures. For our low-density scenario with critical ϕ_c with $V = 7.8, 7.9, 6.4$ for the $d+t, d+^3H_e, p+^{11}B$ reactions, this requires minimum beam temperatures of 0.90, 16, 230 eV respectively, which are much smaller than those of the beam energies. When the ion beam streams through plasma, there is also a Weibel instability [24] which causes the magnetic field to grow exponentially with the rate $\gamma_w = (v_b/c)\omega_{pb}$. Since $v_b/c \sim 1\%$ and $\omega_{pb} < \omega_{pt}$, we have $\gamma_w \ll \gamma_r$, i.e. it is much smaller than the growth rate of the two-stream instability. Thus, the above discussed approaches to avoid the two-stream instability should also apply to avoid the Weibel instability.

Specific reactor designs, particularly detailed engineering designs, are beyond the scope of the present work. They will require experimental tests and numerical simulations. In view of the fact that accelerator technology is mature, its parameters for the beam, such as the beam energy, flux and bunching can be better controlled and, furthermore, they are decoupled from those of the plasma, one can consider the parameters of the plasma and the accelerator separately. This affords the opportunity to consider a range of different setups. We shall consider a few scenarios where we discuss the potential caveats and challenges for future original design references. From table 1, we see that for high plasma density ($\rho_i = 10^{21}/cc$), the effective length of the plasma l_{eff} can be as short as 10 cm to 1 m, which can be a good size for the plasma. However, the required ϕ_c in this case will be $\sim 10^{27} \text{ cm}^{-2} \text{ s}$ for $d+t$. This is 8 orders of magnitude larger than the typical beam flux in use, e.g. at SNS [18]. It is not feasible with today's technology for a single beam. For the low density case ($\rho_i = 10^{15}/cc$), the required l_{eff} from table 1 is $\sim 10^7 \text{ cm}$. This is too large to be practical for a plasma device with this linear dimension. However, the charged beam can move in a circle in a constant perpendicular magnetic field so that its trajectory can be confined in a limited space region to be compatible with the physical size of the plasma¹⁰. One scenario is to embed the plasma in a straight section of a storage ring for the beam. A magnetic field perpendicular to the plane of the ring outside the plasma device is applied so that the beam bends in a circular path of the ring outside the plasma section. If the emittance growth of the beam due to Coulomb scattering of the plasma [25] (N.B. even though the plasma is neutral on the average, there is still Coulomb scattering due to the fact

that the charge is not neutral locally) is under control such that the beam can be recollected with high efficiency after it passes through the plasma section and continued on in the ring with perpendicular magnetic field so that it can be sent back and passed through the plasma multiple times. The strength of the magnetic field is determined from the Larmor radius which is the radius of the ring. For a ring with 10 m in radius, the magnetic field $B = \frac{m_b v}{|q| r} = 65 \text{ G}$ is needed for d beam with $v/c = 1.07\%$ (see table 1) for the $d+t$ reaction. We shall consider the $d+t$ reaction for the following scenarios. For the plasma, one needs to distinguish two situations. One is the case where the plasma lifetime is relatively long. From table 1, the required τ_{pla} is $\sim 40 \text{ ms}$ and $T_e = 1.8 \text{ keV}$. This long lifetime needs plasma confinement. If these plasma parameters can be met with the magnetic mirror device (Note the recent experiment with a magnetic mirror device has reached an electron temperature of 900 eV and a lifetime longer than 8 ms [26]), one can consider a storage ring of radius 10 m with the magnetic mirror in a straight section of the ring where the beam is to go through the axial direction of the mirror and interact with the plasma. In the middle section of the mirror where the magnetic field is parallel to the longitudinal axis, it has little effect on the beam. The challenge lies at the ends of the mirror where the magnetic field may have non-vanishing and non-uniform vertical components and will disperse the beam. The feasibility of this design will depend on how large the beam size is affected and if the emittance growth can be tolerated so that the beam can be collected back into the ring after it passes through the magnetic mirror device. The feasibility of this scenario can be explored with numerical simulation and experiments. Another case involving the storage ring is when the actual lifetime of the plasma is much shorter than τ_{tra} which is τ_{pla} (see equation (9)), the required plasma lifetime for the beam to traverse the distance of l_{eff} . It has been demonstrated that a picosecond laser can produce a plasma from a gas of hydrogen clusters at the density of $10^{15}/cc$ with the electron temperature over 5 keV for more than 200 ns [27]. The energy absorption efficiency can be as high as 90% [27]. A similar condition can be reached with dense plasma focus (DPF) [3]. For such a short lifetime, there is no need to consider plasma confinement. During the lifespan of 200 ns, the beam will travel a distance of 64 cm. Consider a ring with a circumference of 12.8 m which can be divided into 20 sections. Each section can be injected with tritium gas clusters to be irradiated by the laser in time for the beam to traverse. After the beam circles once around the ring under the appropriate magnetic field, it can be directed to a separate storage ring through a figure-8 configuration for example. The beam will be stored in this second ring and can be redirected back to the first ring when the next plasma is produced. While in the second ring without plasma, one can accelerate the beam with RF to regain the energy lost through the stopping power in the plasma ring so that the fusion reaction can be kept on resonance. This will depend on being able to recollect the beam

¹⁰We thank Crawford for pointing this out.

after circling around the plasma ring with high efficiency. The net power generation will be degraded in this storage ring reactor compared to the ideal situation where a continuous beam passes through the plasma with the length l_{eff} for the duration of the required τ_{pla} . The ratio of the power P_{sto} of this storage ring reactor to the ideal P_{ideal} is $P_{\text{sto}}/P_{\text{ideal}} = r_f \tau_{\text{pla}} C / l_{\text{eff}}$, where r_f is the repetition frequency of the laser and C is the circumference of the plasma ring. Taking r_f to be 10 Hz and $C = 12.8$ m, we find $P_{\text{sto}}/P_{\text{ideal}} = 4.1 \times 10^{-5}$ from the numbers in table 1. For a beam of the size of 0.1 cm in radius, it will be shown later that $P_{\text{ideal}} = 29$ MW. In this case, one has $P_{\text{sto}} = 1.2$ kW, adequate for the energy supply of a household. To design a higher power plant, one can increase the beam size (or have multiple beams in the storage ring pipe), the ring circumference, and the repetition frequency with multiple lasers. Neutral beam injection was developed in the late seventies and is now one of the main heating methods for most of the fusion experiments, such as the Tokamak¹¹, the Stellerator¹², and FRC¹³ devices. One can conduct experiments by sending a neutral deuterium beam into the magnetically confined tritium plasma right above the resonance with large flux ϕ_c to see if the idea of ABFR is feasible for this arrangement.

There have been proposals to consider non-Maxwellian plasmas where the energy of the alpha particles from the fusion reaction is transferred to the light ions to form a monoenergetic beam to increase reactivity and lower the ignition temperature [28]. However, it is not clear how this is to be realized practically and how the two-stream instability is to be controlled.

To the extent that the two-stream and other instabilities are under control, the temperature maintained by the injected beam and charged fusion products and the lifetime extended to have the fuel burned out, then the stringent requirement on the beam flux as prescribed in equation (10) can be reduced. This is because the stopping power is not totally lost, part of it will heat up the plasma or maintain its temperature in a steady state. If the non-Maxwellian plasma idea works, it can be adopted to rekindle the reactivity. In this case, $g(T)$ in equation (10) is closer to unity which serves to decrease ϕ_c . When and if such a steady state is achieved, both the power balance and nucleon number conservation are required. This brings up a more realistic criterion when the total system including the dynamical response of the plasma is taken into account.

• *Criterion 2:*

$$\begin{aligned} P_{\text{fus}} + P_{\text{reheat}} &= P_{\text{sp}} + P_{\text{b+pla}} + P_{\text{rad}} + P_{\text{cond}} + P_{\text{leak}}, \\ \frac{dN_{\text{b}}}{dt} + \frac{dN_{\text{pla}}}{dt} &= \frac{dN_{\text{fus+leak}}}{dt} + \frac{dN_{\text{lowE}}}{dt}, \end{aligned} \quad (11)$$

where P_{fus} is the power generated by fusion and P_{reheat} is the power of plasma reheating due to the transferring of kinetic energy from the stopping power loss of the beam. $P_{\text{b+pla}}$ is the power of the beam and plasma. $P_{\text{rad}}/P_{\text{cond}}$ is the power loss due to radiation/conduction. P_{leak} accounts for the particles leaking from the plasma including neutrons and energetic charged

particles which are not confined in the plasma. Similarly, dN_{b}/dt and dN_{pla}/dt are the rate of supply of the fuel from the beam and the plasma; whereas, $dN_{\text{fus+leak}}/dt$ is the rate of producing fusion products as well as particle leakage and dN_{lowE}/dt is the rate for increasing those beam particles which lose enough energy so that they are far below the resonance region to be eligible for fusion reaction.

As for power generation, it depends on many factors. To give an order of magnitude estimate, we take the plasma temperature to be close to the minimum of $\phi\tau_{\text{pla}}$ and $\phi = \phi_c$ at this temperature, and assume that the plasma repetition frequency is commensurate with τ_{pla} . Therefore, $P_{\text{net}} = \frac{\Delta E_{\text{net}}}{\tau_{\text{pla}}} = \rho_t A v n_{\text{eff}}(3/2T)/\epsilon_p$, where A is the beam cross-section. P_{net} depends linearly on ρ_t , A , and the plasma repetition frequency in this case. Given $\rho_t = 10^{15} \text{ cm}^{-3}$ and the radius of the beam size to be 0.1 cm, the power generated by the three reactions are 29 MW ($d t$, $T = 3 \text{ keV}$), 0.35 GW ($d H_e$, $T = 12 \text{ keV}$) and 5.1 GW ($p B$, $T = 50 \text{ keV}$). It can be scaled up by increasing the beam size or ρ_t . On the other hand, to scale it down to kW range, one can consider decreasing the repetition frequency of the plasma and beam supplies or the plasma density by several orders of magnitude. It has been concluded that the Bremsstrahlung loss in inertial electrostatic confinement (IEC) and thermonuclear systems is prohibitively large for $p + {}^{11}\text{B}$ reactor. We find that the electron Bremsstrahlung loss rate P_{Brem} are 0.95 MW ($d t$), 95 MW ($d H_e$), and 4.9 GW ($p B$). They are smaller than their respective P_{net} . The reason that the Bremsstrahlung problem for $p + {}^{11}\text{B}$ reactor is evaded here is due to the fact that the temperature is lower and the fusion reactivity $\langle\sigma v\rangle$ larger than those in the thermonuclear reactor.

In summary, we have considered the feasibility of fusion reactors based on a novel approach of using the beam from accelerators as the fuel to be injected into a plasma at the resonance energies. We set up a first criterion on the critical temperature by considering the stopping power of the beam in the plasma and the fusion energy production. They turn out to be several times smaller than those needed for the thermonuclear reactor for the three reactions we considered. Considering an inert plasma, we estimated the minimum plasma lifetime and beam flux from the resonance width and energy balance. For the more realistic case of a dynamical plasma, we considered several approaches including pulsed beam and a beam of modest temperature to avoid the two-stream instability and presented criteria due to energy and nucleon number conservations for a steady state of such a reactor. In this new approach, the parameters of the accelerator and the plasma are decoupled, this adds additional dimensions to the traditional thermonuclear reactors and has the potential of enriching the possibility of innovative designs of fusion reactors incorporating accelerators. Exploring this advantage of the ABFR approach, we have considered practical implementation and discussed the caveats and challenges in several scenarios. Each will require further studies and experimentation.

We thank M. Cavagnero, S. Cousineau, C. Crawford, T. Draper, Wei Lu, and J.S. Zhang for discussions and encouragement.

¹¹ See footnote 3.

¹² See footnote 4.

¹³ See footnote 5.

References

- [1] Guo H.Y. *et al* 2015 *Nat. Commun.* **6** 6897
- [2] https://en.wikipedia.org/wiki/Dense_plasma_focus
- [3] Lerner E., Murali S.K., Blake A.M. and Van Roessel F. 2012 *Phys. Plasmas* **19** 032704
- [4] Stopping-power and range tables for electrons, protons, and helium ions can be found at www.nist.gov/pml/data/star/index.cfm/
- [5] Ruggiero A.G. 2000 *Proc. of ICONE 8, 8th Int. Conf. on Nuclear Engineering (Baltimore, MD, USA, 2–6 April 2000)* p 1
- [6] Sands M. 1969 *Conf. Proc. C* 6906161 257
- [7] Tajima T. and Dawson J.M. 1979 *Phys. Rev. Lett.* **43** 267
- [8] Grisham L.R. *et al* 2012 *Fusion Eng. Des.* **87** 1805
- [9] Kelley G.G., Morgan O.B., Steward L.D. and Striling W.L. 1972 *Nucl. Fusion* **12** 169
- [10] Maschke A. 1975 *IEEE Trans. Nucl. Sci.* **22** 1825
- [11] Lindl J.D. 1995 *Phys. Plasmas* **2** 3933
- [12] Chu M.S. 1972 *Phys. Fluids* **15** 413
- [13] Hamada T. 1978 *Aus. J. Phys.* **31** 291
- Hamada T. and George E.P. 1978 *Nucl. Fusion* **18** 1168
- [14] Beunas A. *et al* 2013 *IEEE 14th Int. Vacuum Electronics Conf. (Paris, France, 21–23 May 2013)*
- [15] Brown L.S., Preston D.L. and Singleton R.L.Jr 2005 *Phys. Rep.* **410** 237
- [16] Brzosko J.S., Brzosko J.R.Jr, Robouch B.V. and Ingrosso L. 1995 *Phys. Plasmas* **2** 1259
- [17] Nevins W.M. 1998 *J. Fusion Energy* **17** 25
- [18] Cousineau S. 2015 private communication
- [19] Zelenski A. 2014 private communication
- [20] Mikhailovskii A.B. 1974 *Theory of Plasma Instability* vol 1 (New York: Consultants Bureau)
- [21] Fried B.D. and Wong A.Y. 1966 *Phys. Fluids* **9** 1084
- [22] Srtartsev E.A. and Davidson R.C. 2006 *Phys. Plasmas* **13** 062108
- [23] Roy P.K. *et al* 2005 *Phys. Rev. Lett.* **95** 234801
- [24] Weibel E.S. 1959 *Phys. Rev. Lett.* **2** 83
- Fried B.D. 1959 *Phys. Fluids* **2** 337
- [25] Montague B.W. 1985 *AIP Conf. Proc.* **130** 146–55
- Kirby N., Berry M., Blumenfeld I., Hogan M.J., Ischebeck R. and Siemann R. 2007 *Proc. of 22nd Conf. on Particle Accelerator, PAC07* p 3097
- [26] Bagryansky P.A. *et al* 2015 *Phys. Rev. Lett.* **114** 205001
- [27] Ditmier T., Smith R.A., Tisch J.W.G and Hutchinson M.H.R. 1997 *Phys. Rev. Lett.* **78** 3121
- [28] Hay M.J. and Fisch N.J. 2015 *Phys. Plasmas* **22** 112116