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Authority Control on a National Scale: Conceptual and Practical Changes to Authorities under RDA and UK Libraries' NACO Training

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Authority Control on a National Scale

Conceptual and Practical Changes to Authorities under RDA and UK Libraries' NACO Training

Julene Jones
Third Thursday
September 2015



Handouts distributed at this presentation:

- (1) MARC 21 encoding... RDA elements in NARs and SARs from <http://loc.gov/aba/pcc/naco/>
- (2) NAR for “Obama, Barack”, LCCN n 94112934 with core fields highlighted
- (3) NAR for “Batman, (Fictitious character)”, LCCN nb2015003207 with core fields highlighted

Authorities in RDA

Conceptual Changes

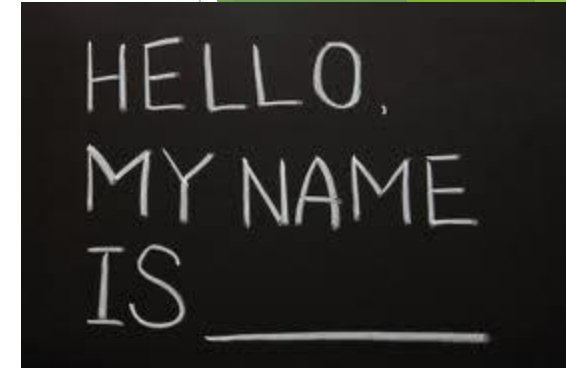
Functions of authority control

- ▶ Gathers all works by the same author under the **same access point**
- ▶ **Distinguishes between people / corporate bodies** with similar or identical names
- ▶ **Distinguishes between or collects works together** with different titles and editions
- ▶ Provides **standard terminology** for concepts that can be expressed with several different terms or that could be potentially confusing
- ▶ Provides **cross references** to previous / successive names



FRAD conceptual model

Authority records no longer exist only to support access points in a bibliographic record



 independent records describing **entities**

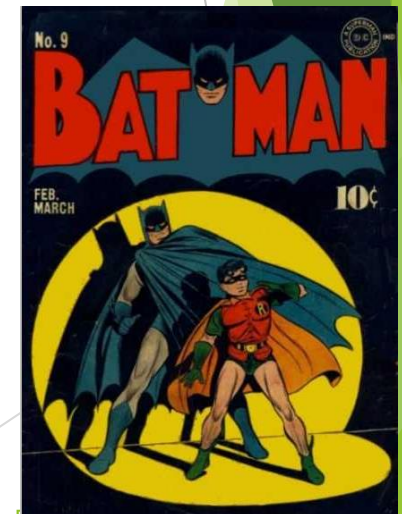
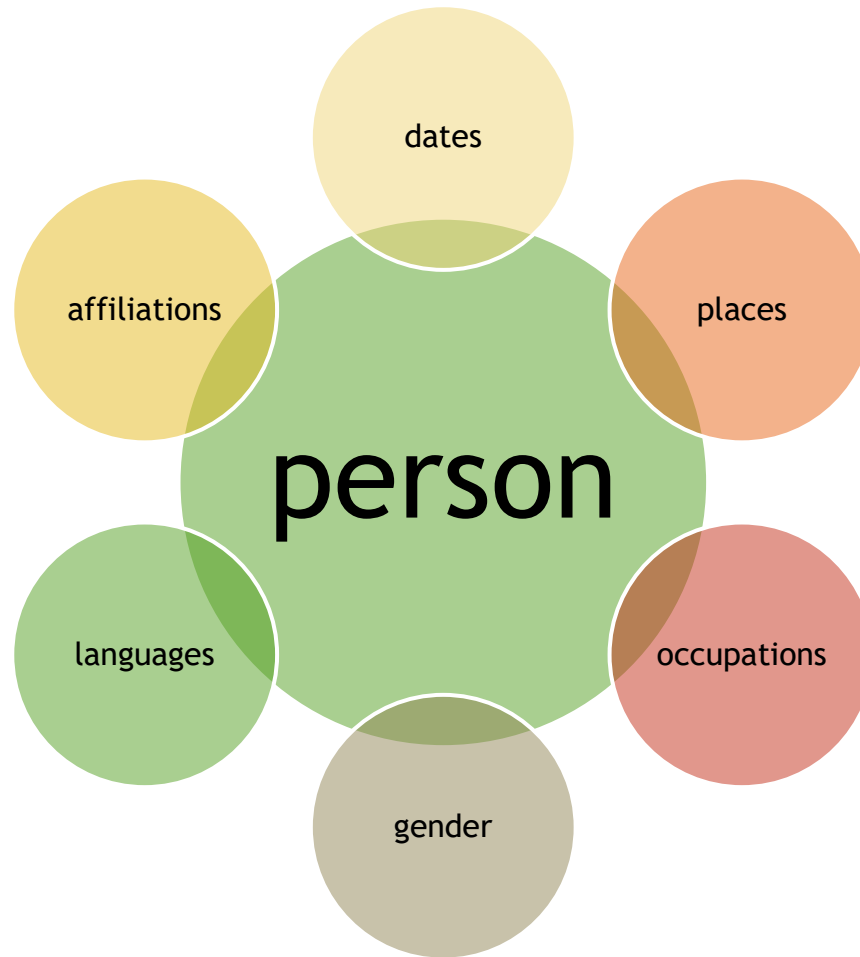
“Heading” in AACR2

now

“Authorized access point”

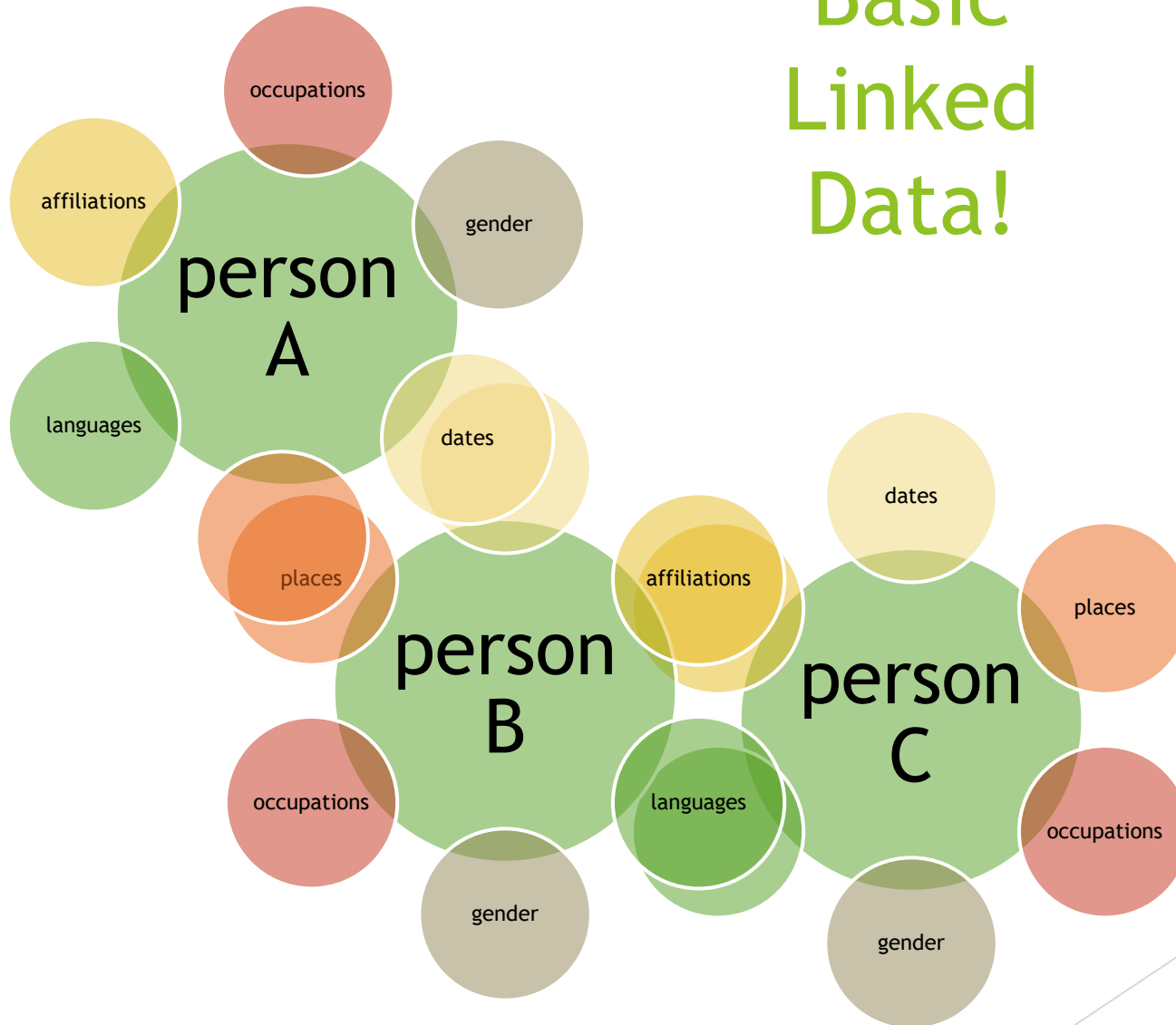
(AAP) in RDA

FRAD entity: person

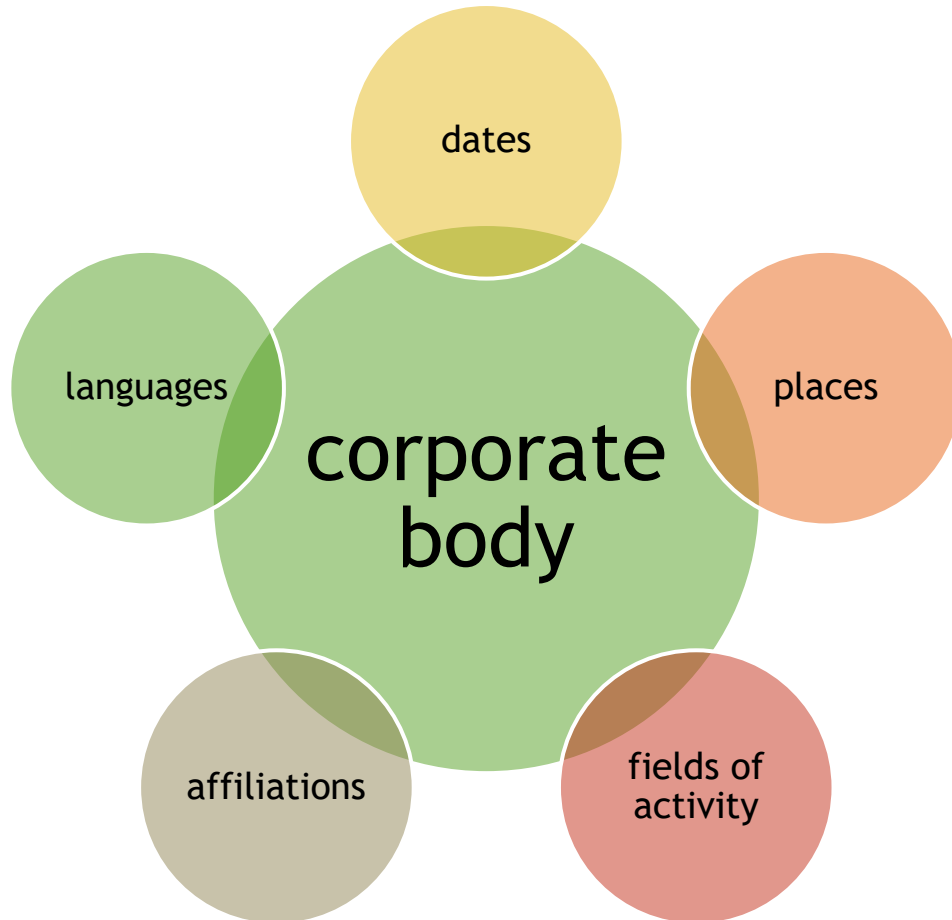


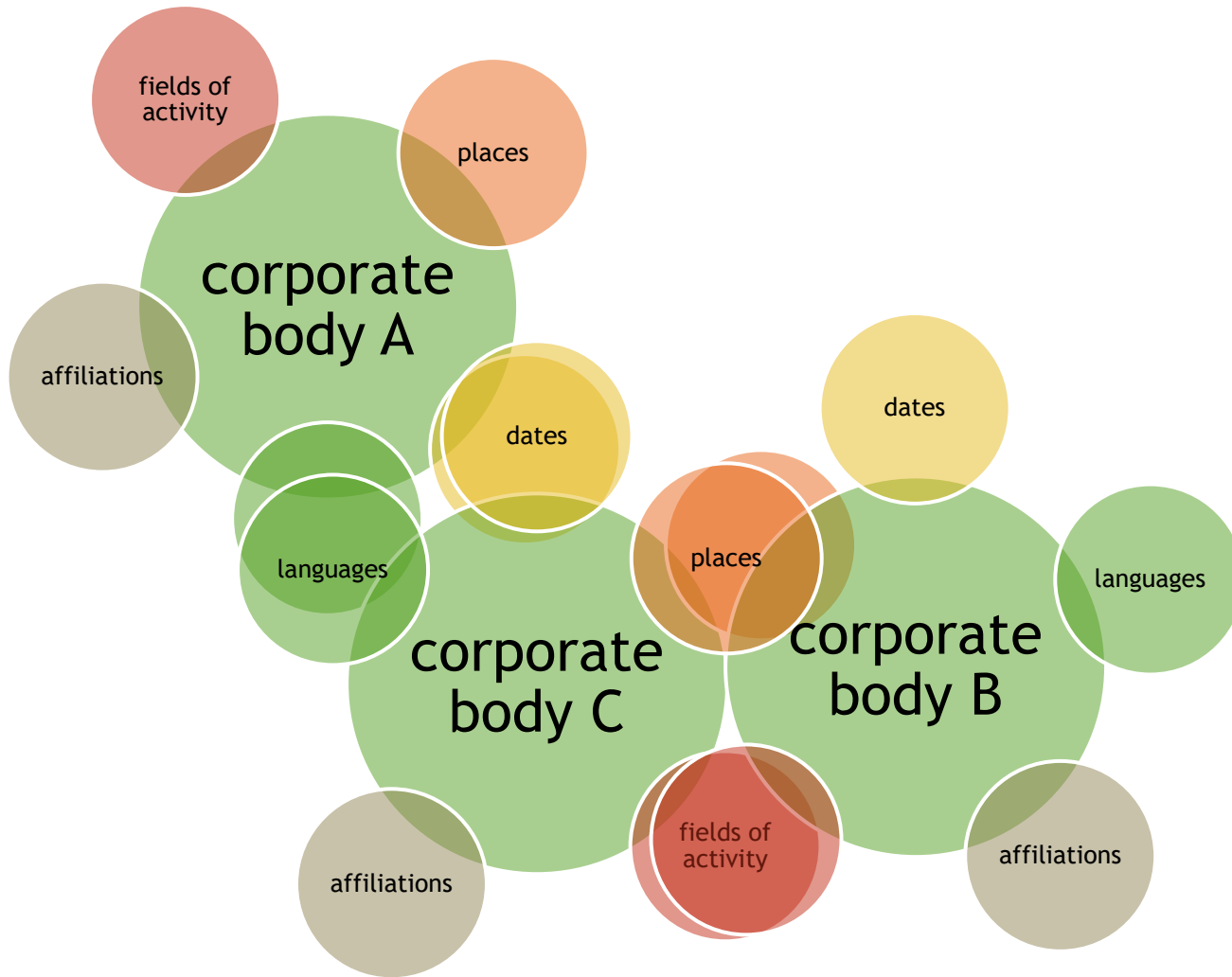
Public domain image of Barak Obama from commons.wikimedia.org/wiki/
and of Batman comicbook cover from batman.wikia.com/wiki/Category:Public_domain_files

Basic Linked Data!



FRAD entity: corporate body





Authorities in RDA

Practical Changes

Bibliographic rules in RDA → implications for authorities*!

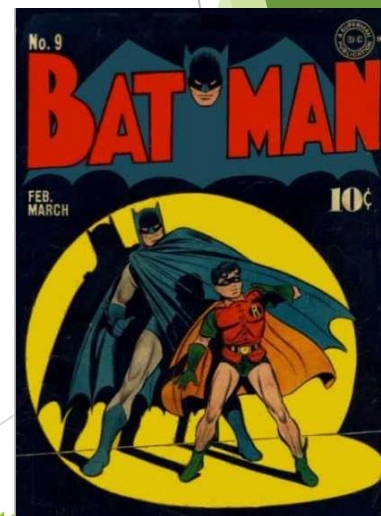
- ▶ No more rule of three
- ▶ No justification required for access points
- ▶ Use of relator terminology / codes

more
AAPs!

*newly created or
updated to be RDA
compliant

Authorities in RDA

- ▶ Definition of persons changed: now includes fictitious persons
- ▶ Eliminate abbreviations
- ▶ Changes in form of sacred text AAPs
- ▶ New MARC fields to record RDA attributes



Public domain image of Batman comicbook cover from batman.wikia.com/wiki/Category:Public_domain_files

abbreviations

AACR2

b. or d.
ca.
fl.
cent.
Apr.
Dept.
O.T.

-
approximately
active
century
April
Department
Old Testament

RDA

new MARC fields for authorities

- ▶ 046 dates
- ▶ 368 other attributes of a person or corporate body
- ▶ 370 associated place
- ▶ 371 addresses (incl. postal and email)
- ▶ 372 field of activity
- ▶ 373 affiliation: employers, memberships, cultural identity
- ▶ 374 occupation
- ▶ 375 gender with which the person identifies
- ▶ 376 family
- ▶ 377 associated language(s) (publication)
- ▶ 378 fuller form of name (personal)
- ▶ 380 form of work
- ▶ 381 other distinguishing characteristics of work or expression
- ▶ 382 medium of performance
- ▶ 383 numeric designation of musical work
- ▶ 384 key of musical work

How do you know if you're looking at an RDA authority record?

008/10 z for other
(source of descriptive cat “other”)

040 |e rda
(descriptive convention)

Auth 1554583 : University of Kentucky. School of Human Environmental S...

MARC System History

Leader 01203nz a2200205n 45 0

005: 20150825172711.0

008 150825 n n _ a z a n n a a b n _ _ a _ a n a _ _ c

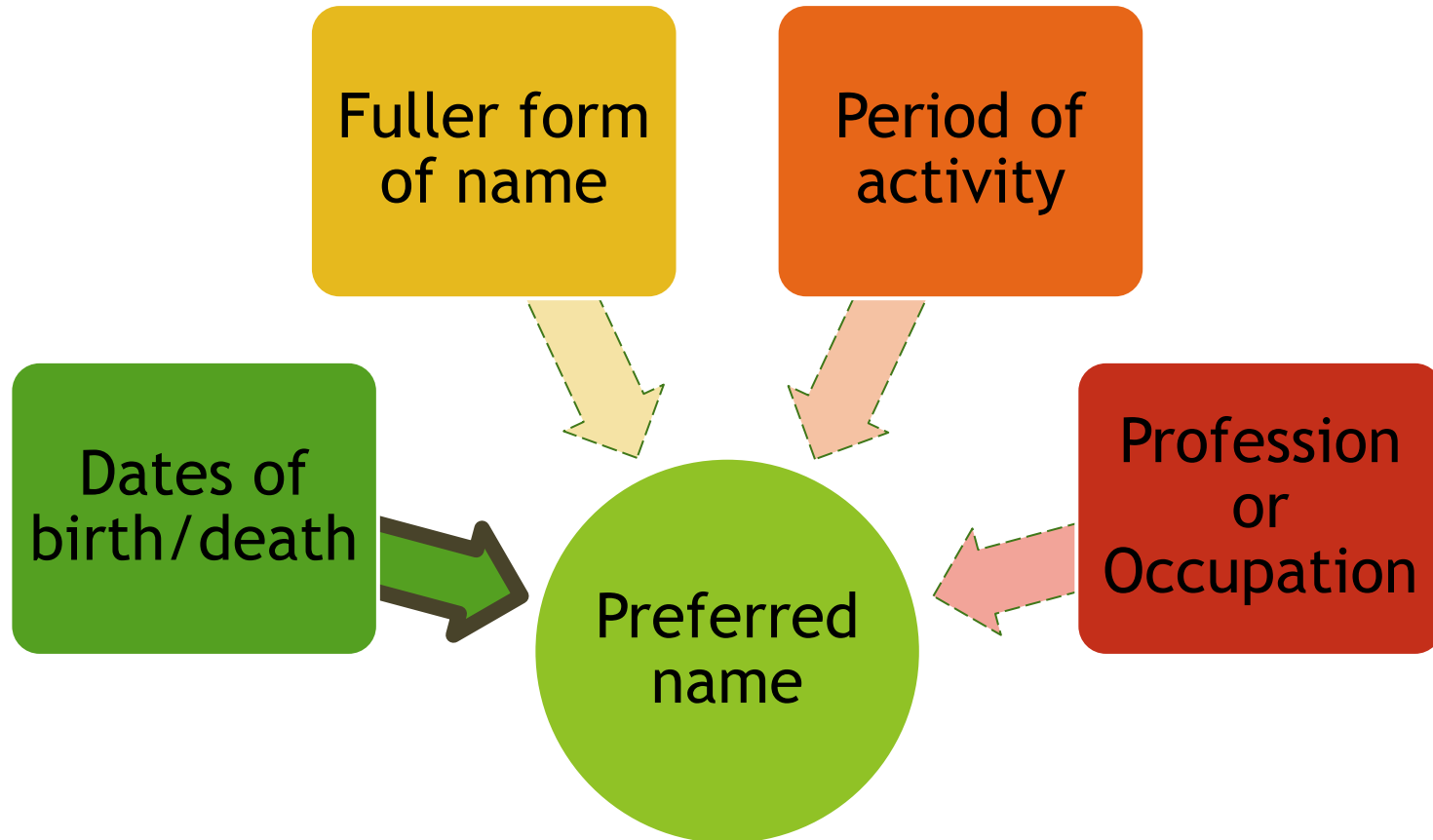
Tag	I1	I2	Subfield Data
◆ 010			#a no2015113114
035			#a (OCoLC)oca19888274
040			#a KyU #b eng #e rda #c KyU
046			#s 2003-07-01 #z
110	2		#a University of Kentucky. #b School of Human Environmental Sciences

Establishing a new NAR

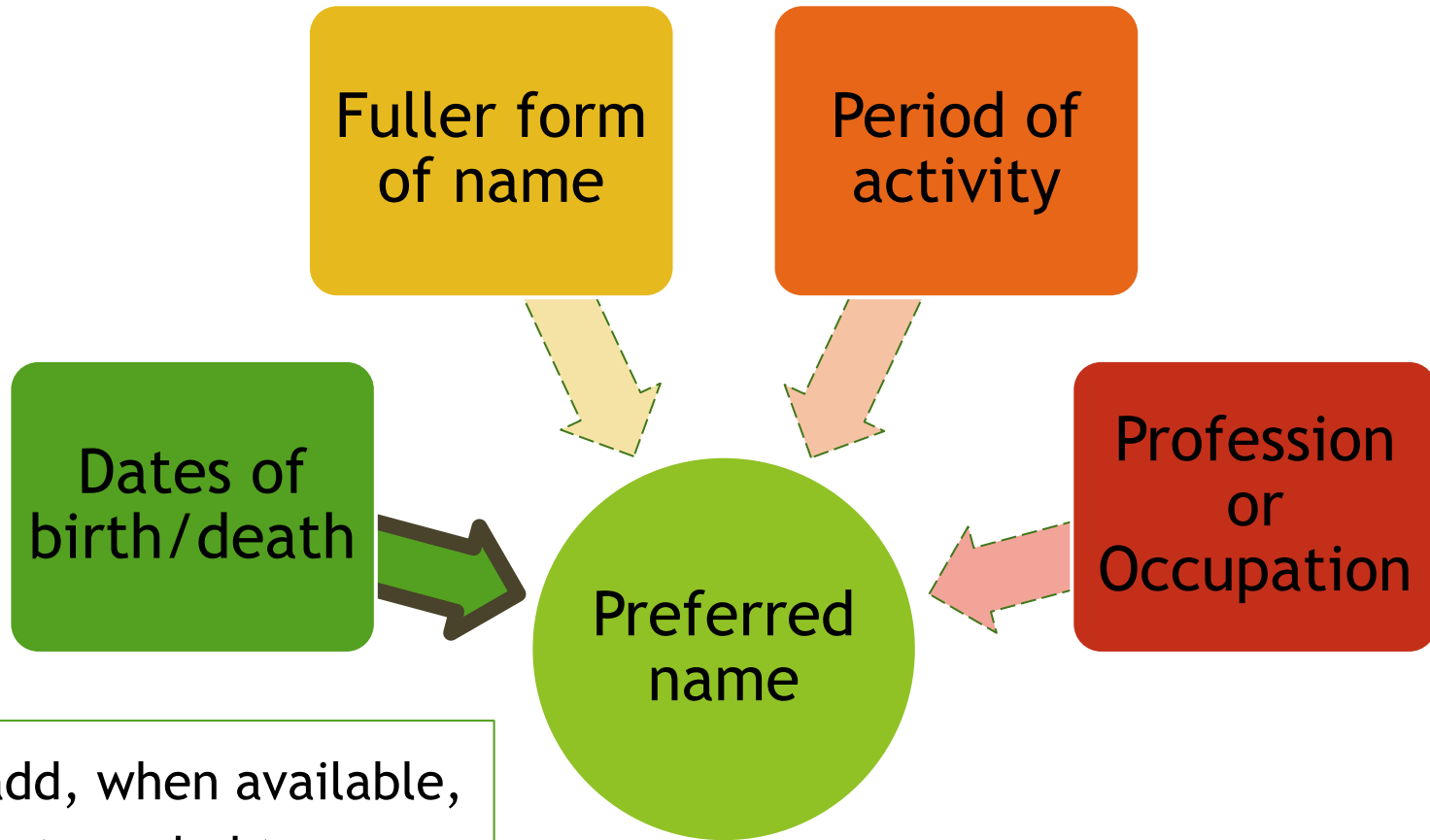
- ▶ Preferred name (RDA 9.2.2)
- ▶ Use most commonly known form
- ▶ Include terms indicating relationships as part of the preferred name (not just to differentiate)

100 1_ † a King, Martin Luther, †c Jr., †d 1929-1968

Additions to preferred name



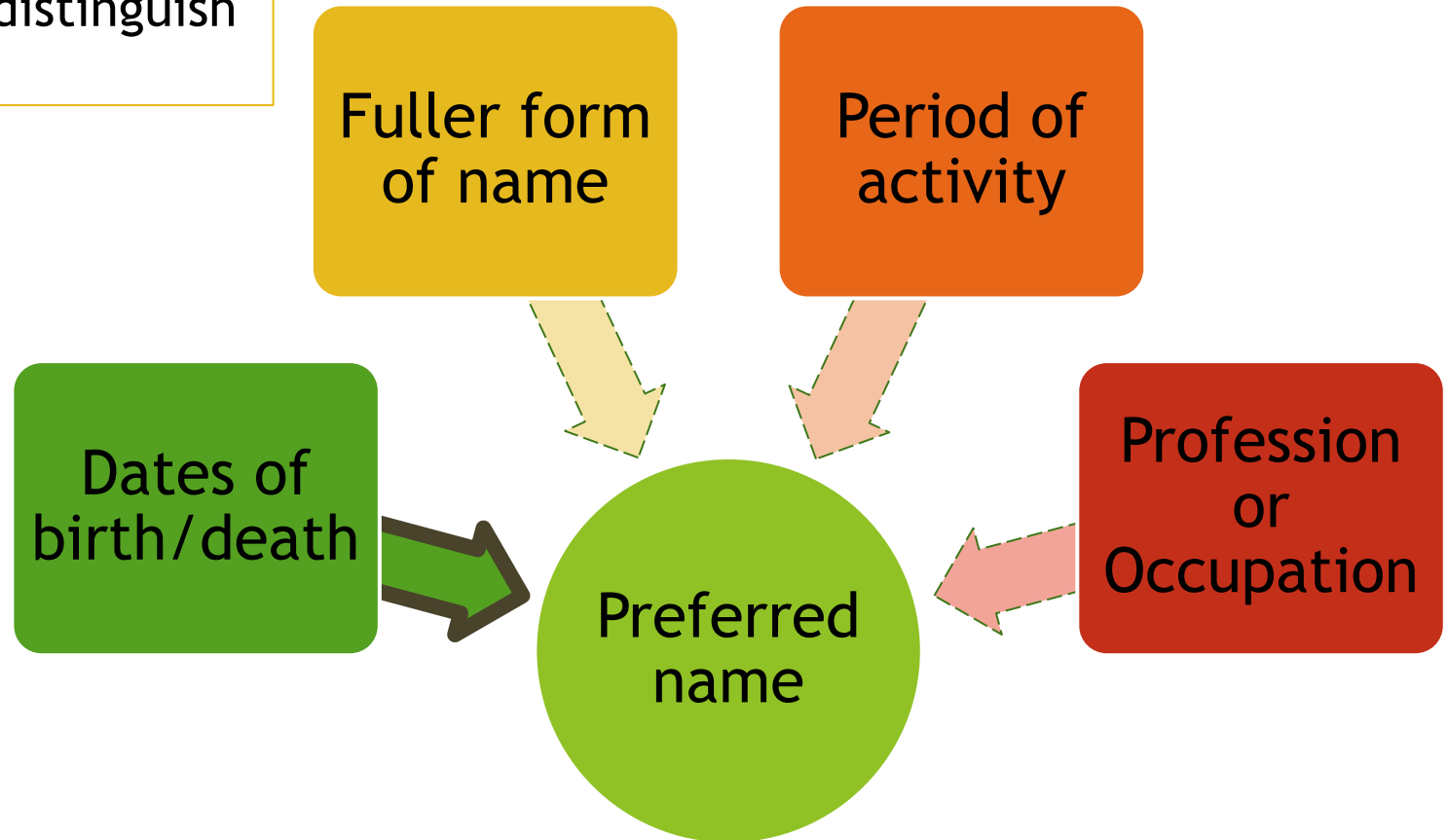
Additions to preferred name



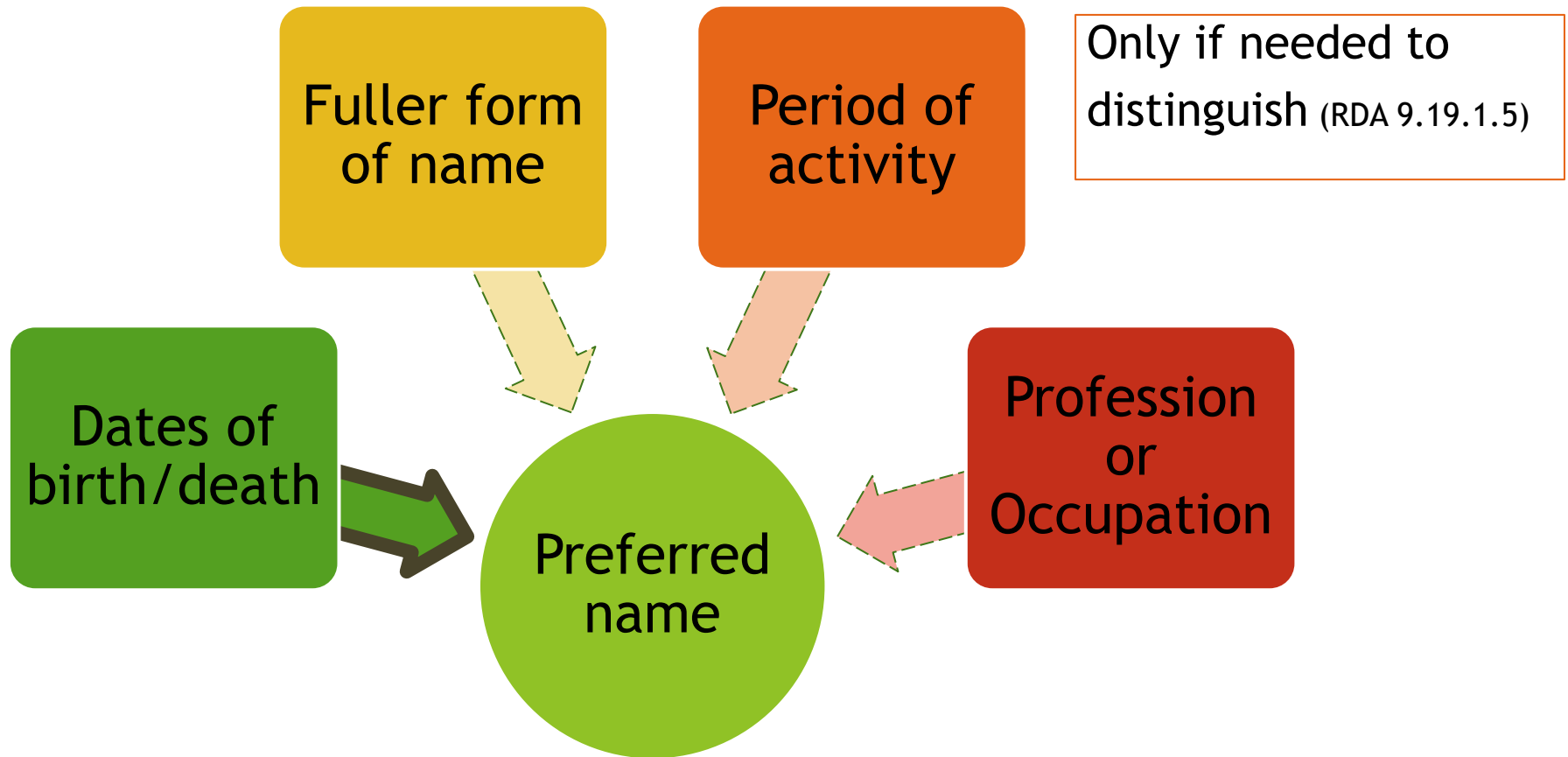
Always add, when available, even if not needed to distinguish (LC-PCC PS 9.19.1.3)

Additions to preferred name

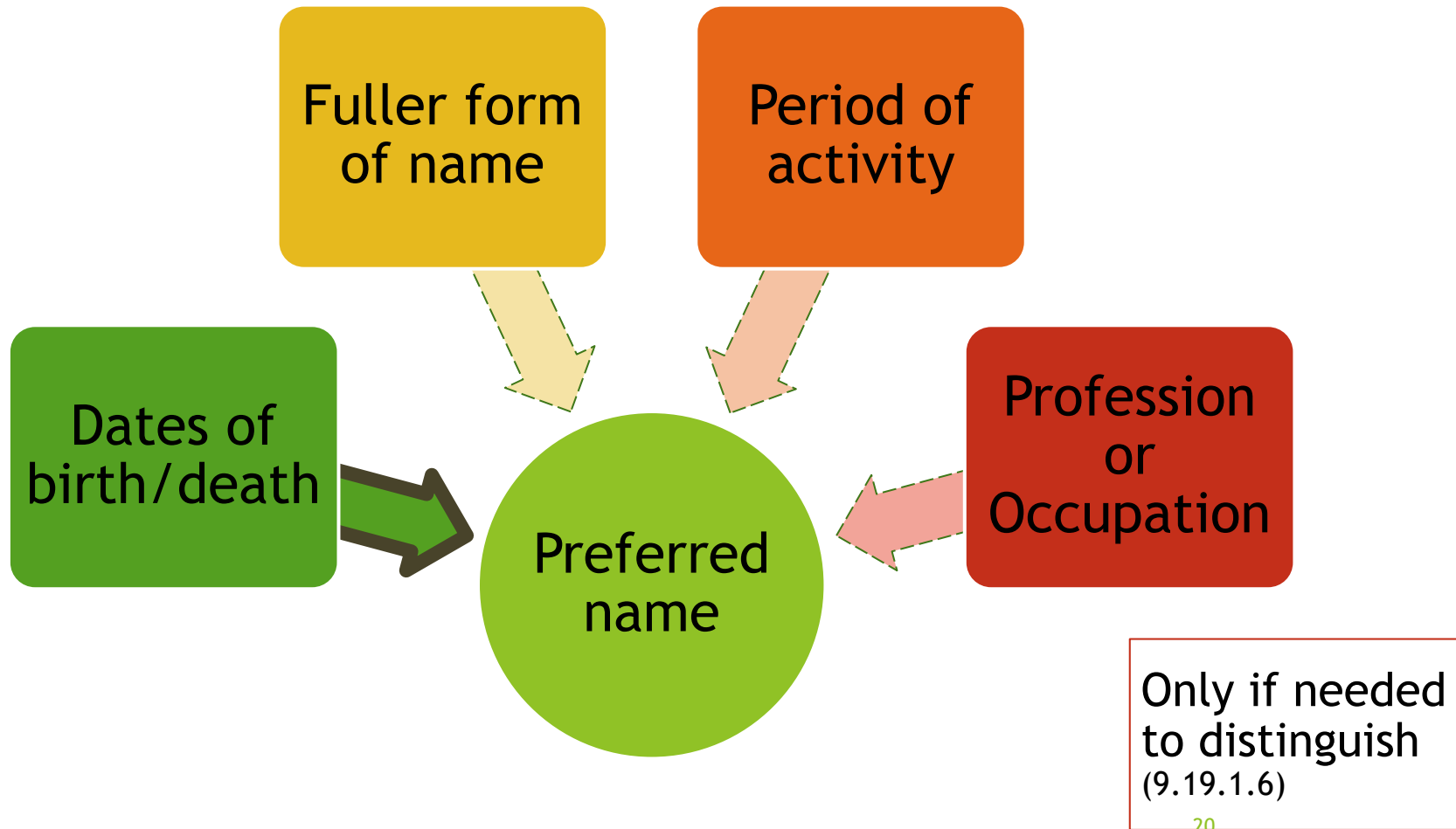
Add if name incl. initial
and need to distinguish
(RDA 9.19.1.4)



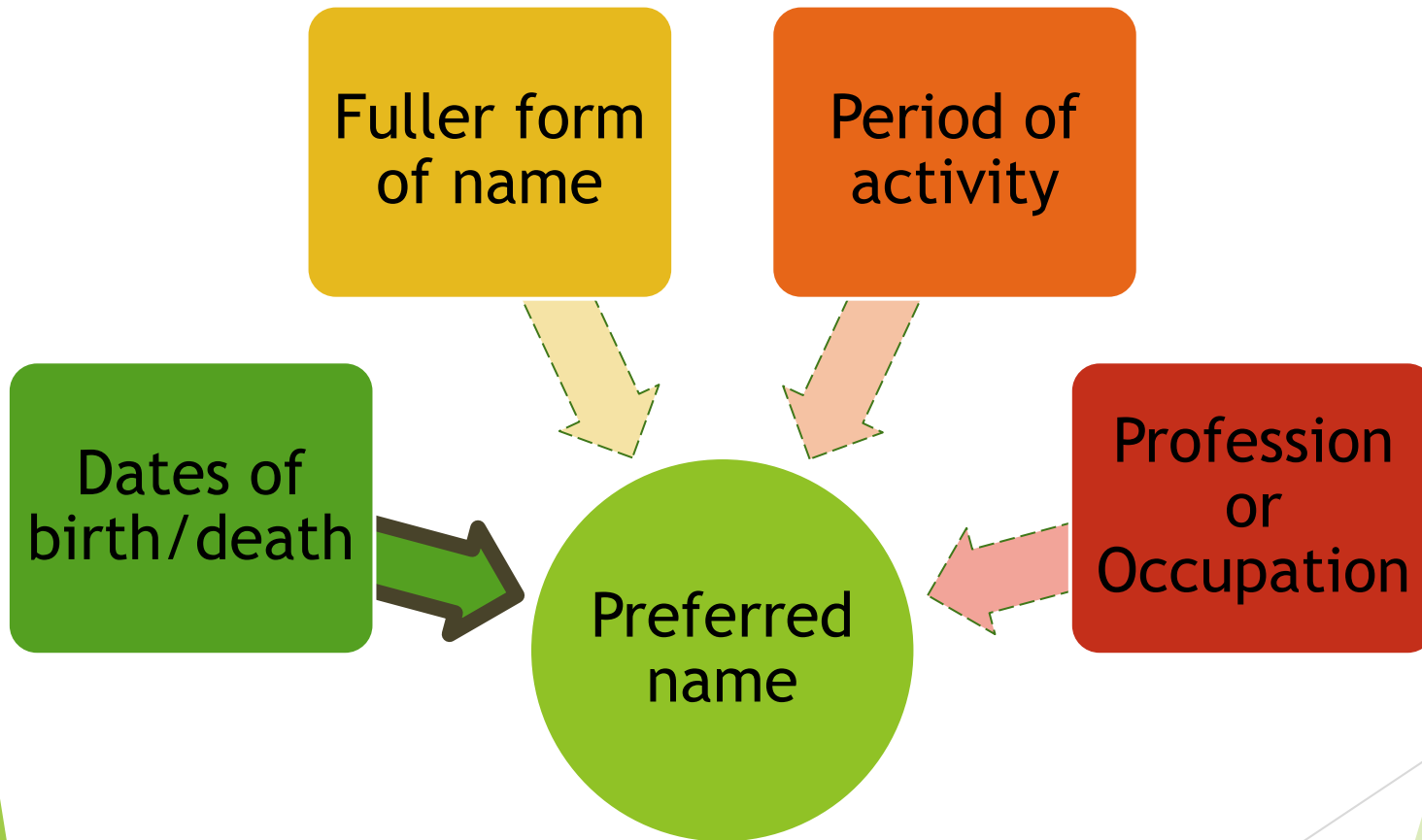
Additions to preferred name



Additions to preferred name



Additions to preferred name



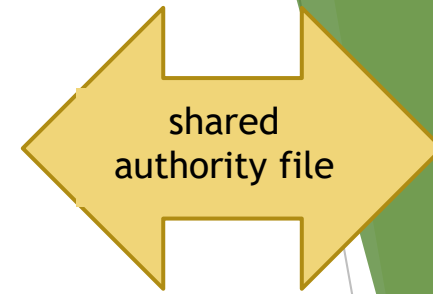
NACO Training!

June 1-June 4, 2015

Hosted by UK Libraries

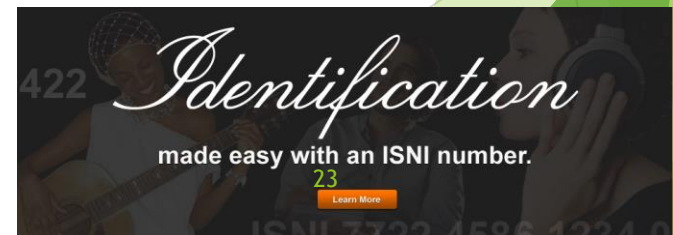
NACO

US
GPO



LOC

- ▶ Name Authority Cooperative Program, LC PCC
- ▶ Founded in 1977, now expanded so:
- ▶ Participants contribute new name authority records and update existing records in the name authority file
 - Personal Family
 - Corporate Series (separate training)
 - Geographic
- ▶ Plan for 2015: British Library will load 3-4 million ISNIs into NACO records!





Program for Cooperative Cataloging
NACO: Name Authority Cooperative Program

“Libraries of all sizes and types can make valuable, upfront contributions. ... It is less expensive in the long run if libraries band together ... [to] share an abundance of wealth of expertise and knowledge that would be impossible for each library to obtain on its own.”

- Mark Watson, University of Oregon

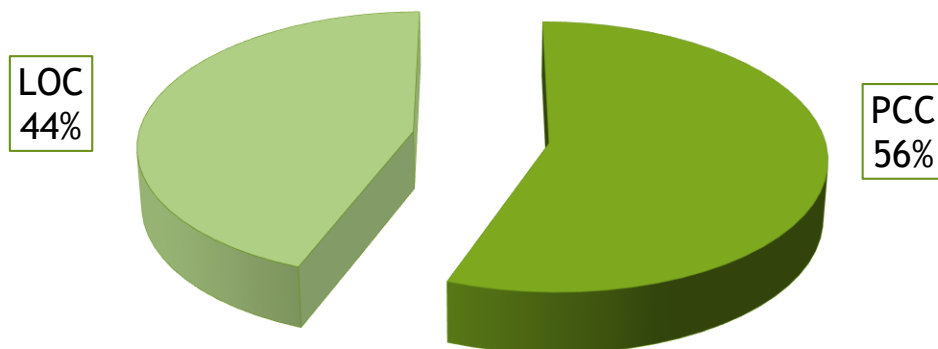
NACO: a few facts

710 members (Sept. 2014)

209 institutional members

501 funnel members in 37 funnels

Name authority records contributed, FY 2014



Total of 336,111 new or edited NARs by NACO participants

How to participate

Participation is free!*



Apply here!

Submit application to PCC Steering Committee

Schedule 5-day in-person training

Create NARs under reviewer

Meet quota / join funnel

Become independent!



12 librarians from UK, WKU, Morehead,
West Virginia University and Marshall University
were trained at UK in June 2015

Our trainer:
Roman Panchyshyn
Kent State University

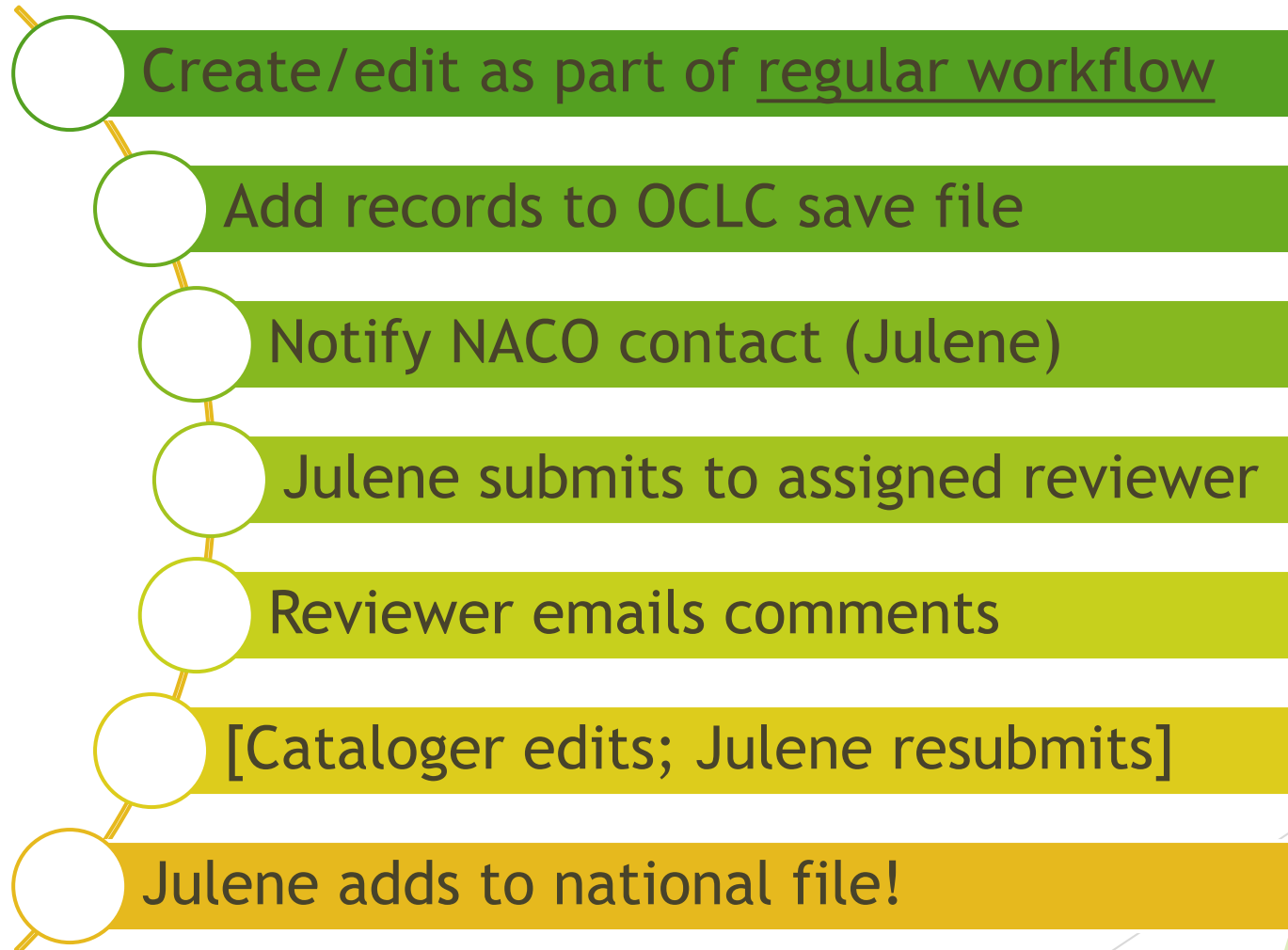


In-Person Training

Day 1	Foundations of NACO program Review of theory (FRBR and FRAD) Review of standards (MARC 21, RDA & LCRIs)
Day 2	Personal name rules and coding
Day 3	Families and corporate bodies
Day 4	Geographic names works and expressions
Day 5	Editing existing ARs, NACO procedures, use of OCLC, and review process for gaining independence

Participants are encouraged to bring examples from their cataloging work for hands-on practice and contribution

UK NACO workflow



NACO

Contents [\[hide\]](#)

- 1 UK NACO workflow
- 2 General comments and links from Julene
- 3 General comments from Catherine Busselen, our NACO reviewer
- 4 How Catherine reviews records
- 5 046 field
- 6 1XX field
- 7 378 field
- 8 4XX field
- 9 670 field
- 10 690 field
- 11 Helpful websites for searching for NAR supporting/supplemental info
- 12 Email templates

UK NACO workflow [\[edit\]](#)

Before creating an authority in OCLC, ensure that you are using the RDA workforms: In Connexion: Tools / Options / RDA: click "use RDA workforms"

1. Create / update authority records found in daily cataloging activities

UK Cats Wiki page for NACO

<http://ukcats.org/wiki/NACO>

OCLC tip: use macro to create

The image shows a screenshot of the OCLC software interface. In the background, a table of bibliographic records is visible. The record with ID 100 is highlighted, showing the author 'Fleckenstein, L. John.' and a description 'Compaction at the longitudinal construction job David L. Allen and David B. Schultz, Jr.'.

In the foreground, a 'Macro' dialog box is open. The 'Macros' list on the left contains the following items:

- ... Add&Edit007
- ... Add33x
- ... AddAuthority6xx
- ... AddSerialNotes
- ... BrowseAuthorityIndex
- ... BrowseBibliographicIndex
- ... ClearELvI3Workform
- ... Generate034
- ... Generate043
- ... Generate891
- ... **GenerateAuthorityRecord**
- ... GenerateERRecord

The 'GenerateAuthorityRecord' macro is selected and highlighted. The 'Description' field for this macro reads: 'Generates a name authority workform based on an access point in a displayed bibliographic record'. On the right side of the dialog, there are buttons for 'New Book', 'New Macro', 'Edit...', 'Delete', 'Organizer...', 'Run', and 'Record...'. At the bottom, there are 'OK', 'Cancel', and 'Help' buttons.

OCLC Connexion - [Authority Workform: Name]

File Cataloging Authorities Edit Action Batch View Tools

Text Strings 1.10 User Tools

ARN NEW

Rec stat	n	Entered	20150912	Replaced		
Type	z	Upd status	a	Enc lvl	n	Source
Roman	■	Ref status	n	Mod rec		Name
Govt agn	■	Auth status	a	Subj	a	Subject
Series	n	Auth/ref	a	Geo subd	n	Series
Ser num	n	Name	a	Subdiv tp	n	Rule

040			KyU #b eng #e rda #c KyU
100	1		Fleckenstein, L. John
670			Fleckenstein, L. John. Compaction at the longitudinal const Fleckenstein)

Add Personal Name Attributes

Birth Date and Death Date (046)

Other Attributes of Person (368)

Place of Birth and Place of Death (370)

Associated Country (370)

Place of Residence/Headquarters (370)

Other Associated Place (370)

Electronic Mail Address (371)

Field of Activity (372)

Associated Group (373)

Occupation (374)

Gender (375)

Associated Language (377)

Fuller Form of Personal Name (378)

Exit to Workform

OCLC tip: use indexes

Search LC Names and Subjects

Command Line Search
Enter keyword, numeric, derived, or browse search here...

Keyword/Numeric Search

Search for:

rda in Descriptive Conventions (dx:)

AND DLC in Cataloging Source (cs:)

AND engineers in Entity Attributes (en:)

AND college teachers in Entity Attributes (en:)

AND Chicago (Ill.) in Entity Attributes (en:)

Show See References in Results Retain Search
 Show See Also References in Results

OK Cancel Enter Diacritics... Clear Search Help

Helpful website #1

Find A Grave

www.findagrave.com

Crowdsourced grave transcriptions

Can volunteer to transcribe and/or be a photographer

Benjamin Phillip Frey

Memorial Photos Flowers Share Edit

[Learn about upgrading this memorial...](#)

← You are taking a random walk through our online cemetery. →

Birth: May 10, 1875
Wolfsville
Frederick County
Maryland, USA

Death: Jul. 19, 1959
Freeport
Stephenson County
Illinois, USA

Son of Samuel Frey and Sophia Kuhn Frey.
Married Carrie Catherine Barkdoll. Father of 6 children.

Family links:
Spouse:
[Carrie Catherine Barkdoll Frey \(1882 - 1950\)](#)


Children:
[Viola Grace Frey Halley \(1918 - 2013\)*](#)

[*Calculated relationship](#)


Burial:
[Oak Hill Cemetery](#)
Mount Carroll
Carroll County
Illinois, USA

[Edit Virtual Cemetery info \[?\]](#)

Created by: [Karen Schroeder](#)
Record added: Aug 28, 2000
Find A Grave Memorial# 5045545



Added by: [Mr.Bm](#)



Added by: [Karen Schroeder](#)

Helpful website #2

Roots Web

www.rootsweb.com

Free version of
www.ancestry.com

The screenshot displays the RootsWeb website interface. At the top, the logo for rootsweb is accompanied by the tagline "Finding our roots together." To the right, it says "Welcome to RootsWeb.com" with a "Sign in" link and the Ancestry.com logo with the text "AN ancestry.com COMMUNITY" and a "DISCOVER MORE >" button. A navigation menu includes links for Home, Searches, Family Trees, Mailing Lists, Message Boards, Web Sites, Passwords, and Help.

A prominent search section is titled "SEARCH HISTORICAL IRISH NEWSPAPERS" and includes input fields for "First Name" and "Last Name", along with a "Search now" button. Below this, there are two search boxes: "Search RootsWeb.com" and "Search Ancestry.com", each with "First Name" and "Last Name" fields and a "Search" button. The Ancestry.com search button also has a "By Keyword" and "Advanced" link.

On the right side, there is a "14-DAY FREE TRIAL" offer with a "Sign up now" button and the Ancestry.com logo. Below that is a "NewsPapers.com" section titled "Search Historical Newspapers" with input fields for "First Name", "Last Name", and a "State" dropdown menu, plus a "Search now" button. At the bottom right, there is a small image of a newspaper page titled "The New York Times".

The main content area on the left is organized into several sections:

- Getting Started**
 - [Getting Started at RootsWeb](#)
 - [Ancestry Tour](#)
 - [RootsWeb's Guide To Tracing Family Trees](#)
 - [RootsWeb Review Archives](#) | [What's New](#)
- Search Engines and Databases**
 - [RootsWeb Surname List/RSL](#) (Surname Listings)
 - [MetaSearch](#) (Multiple Databases and Files)
 - [U. S. Town/County Database](#) (Locations)
 - [WorldConnect Project](#) (Family Trees)
 - [Index of All Search Engines and Databases](#)
- Family Trees (WorldConnect)**
 - [WorldConnect Project Main Page](#)
 - [Search Family Trees](#)
 - [Submit Your Family Tree](#)
 - [Edit Your Family Tree](#)
- Mailing Lists**
 - [Find a List Search](#)
 - [Index](#) (Browse All Lists)
 - [ROOTS-L Mailing List](#)
 - [Surname List Finder](#)
- Websites**
 - [Websites at RootsWeb](#)
 - [Websites on RootsWeb's Freepages](#)
 - [Requests for Web Space](#)
- Other Tools and Resources**
 - [ROOTS-L Library](#) | [State Resources](#)
 - [RootsLink URL Registry](#) | [Add A Link](#)
 - [Blank Charts and Forms](#)
 - [RootsWeb Graphics](#)
 - [Soundex Converter](#)
- Volunteering at RootsWeb**
 - [How to Volunteer](#)
- Hosted Volunteer Projects**
 - [World Archives Project](#)
 - [Books We Own](#)
 - [CanadaGenWeb](#)
 - [FreeBMD](#) (England and Wales)
 - [FreeReg](#) (UK)
 - [Obituary Daily Times](#)
 - [WorldGenWeb Project](#)
 - [WorldGenWeb Archives](#)

Helpful website #3

FamilySearch

www.familysearch.com

Searches state, national and international indexes incl SSDI and census

Can limit search to birth / residence / death locations and/or dates; names of relatives, sometimes profession

The screenshot displays the FamilySearch search interface. On the left, the search filters are set for a deceased ancestor named 'Ella Daly' with the last name 'Daly'. The search is refined by birth year (1913) and death year (1987). The search is restricted by location (Cincinnati) and type (Any). The search results on the right show 1-20 of 808 results for the name 'Ella Daly'. The results are displayed in a table with columns for 'Preview' and 'Name'. The results include:

Preview	Name
▶	Ella Daly United States Social Security Death Index
▶	Ella Daly Ohio, Death Index, 1908-1932, 1938-1944, and 1958-2007
▶	Ella Daly Ohio, Marriages, 1800-1958
The following results don't strongly match what you searched for.	
	Bring Your Family History With a FamilySearch Account, you can...
▶	Ella Daly Ohio, Southern District Naturalization Index, 1852-1991
▶	Ella Daly Mother New York, New York City Municipal Deaths, 1795-1949
▶	Ella Daly