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Authority Control on a National Scale: Conceptual and Practical Changes to Authorities under RDA and UK Libraries' NACO Training

Julene L. Jones
University of Kentucky, julene.jones@uky.edu

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Authority Control on a National Scale

Conceptual and Practical Changes to Authorities under RDA and

UK Libraries' NACO Training

Julene Jones
Third Thursday
September 2015

Handouts distributed at this presentation:

(1) MARC 21 encoding… RDA elements in NARs and SARs from http://loc.gov/aba/pcc/naco/

(2) NAR for "Obama, Barack", LCCN n 94112934 with core fields highlighted

(3) NAR for "Batman, (Fictitious character)", LCCN nb2015003207 with core fields highlighted

Authorities in RDA

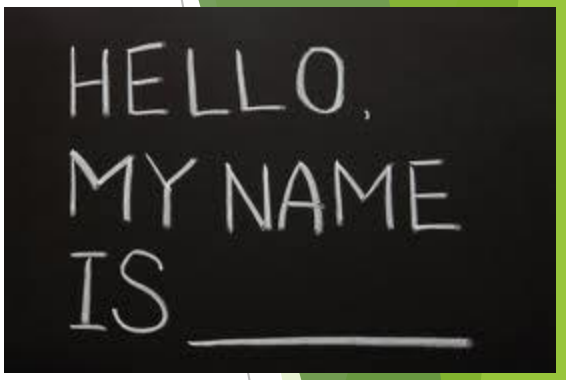
Conceptual Changes

Functions of authority control

- Gathers all works by the same author under the **same access point**
- **Distinguishes between people / corporate bodies** with similar or identical names
- **Distinguishes between or collects works together** with different titles and editions
- Provides **standard terminology** for concepts that can be expressed with several different terms or that could be potentially confusing
- Provides **cross references** to previous / successive names

FRAD conceptual model

Authority records no longer exist only to support access points in a bibliographic record



→ independent records describing entities

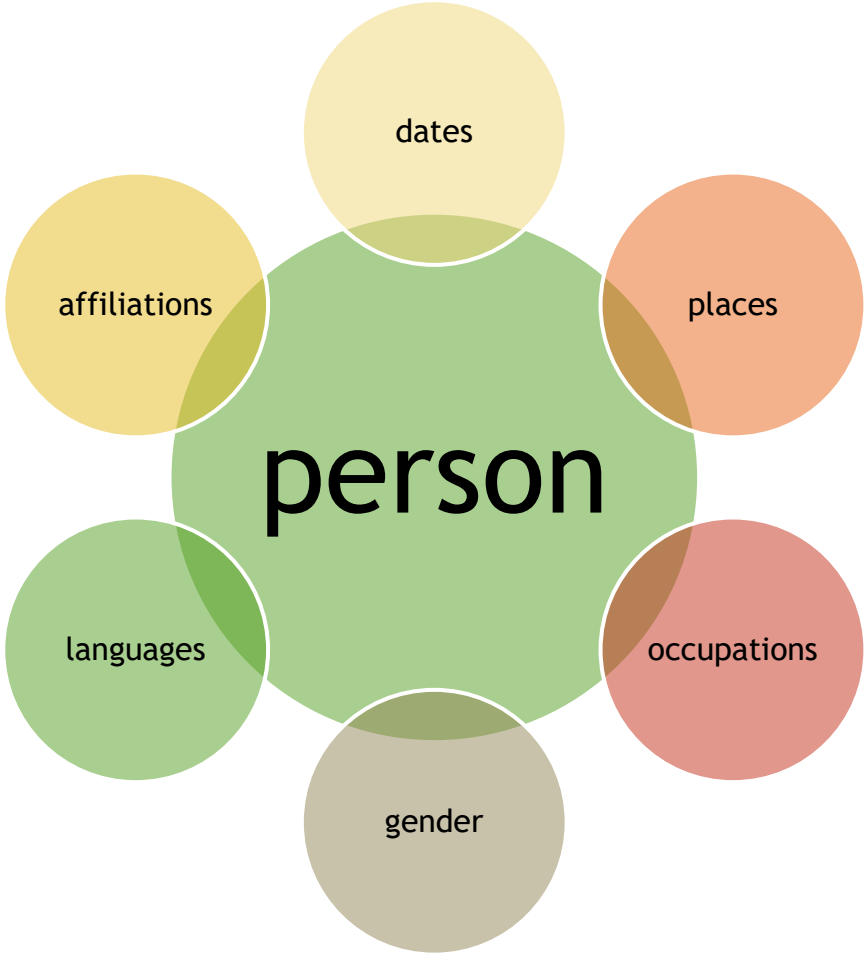
"**Heading**" in AACR2

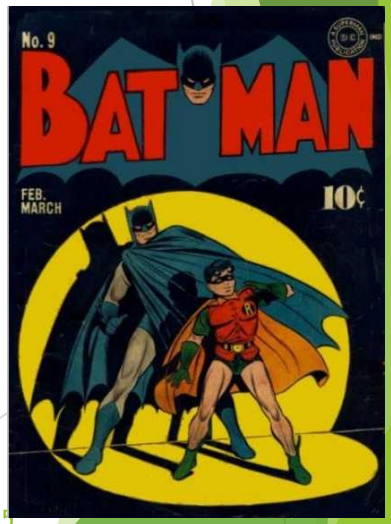
now

"**Authorized access point**"

(AAP) in RDA

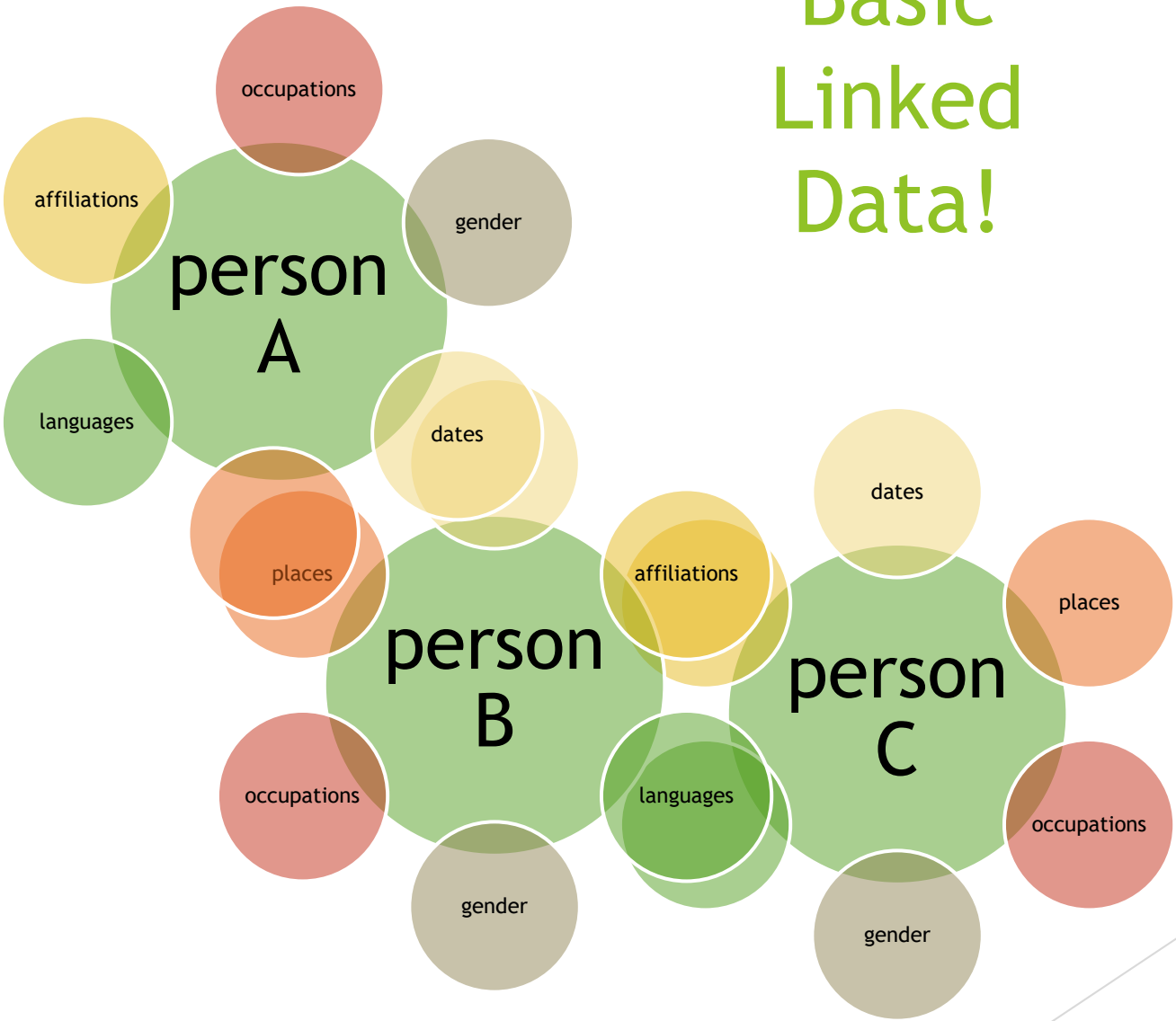
FRAD entity: person



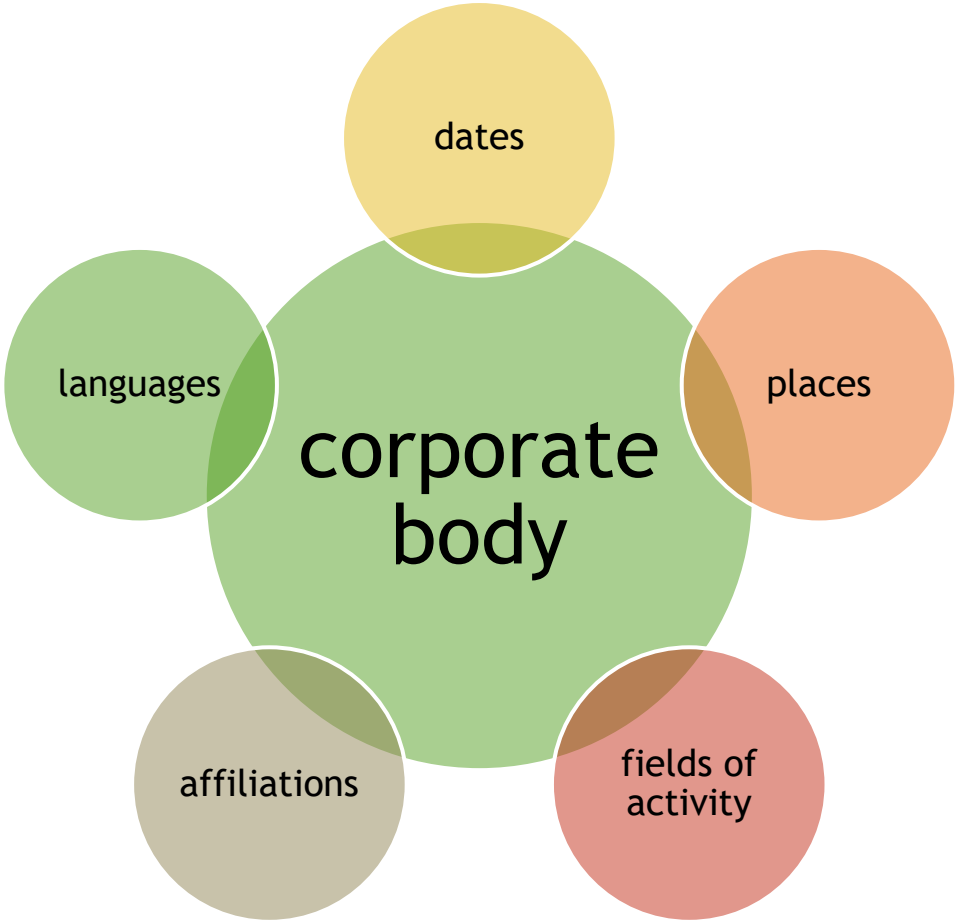


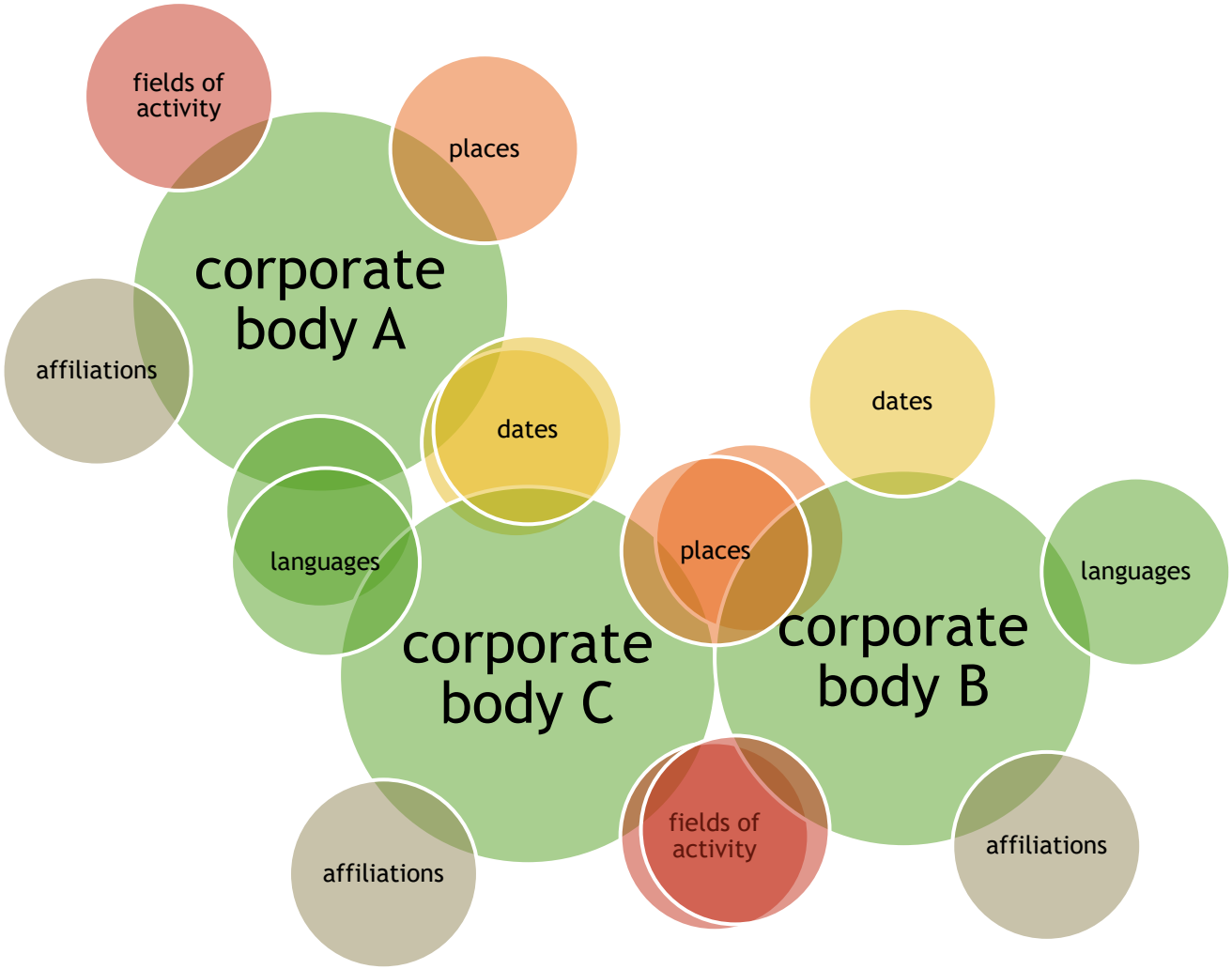
Public domain image of Barak Obama from commons.wikimedia.org/wiki/ and of Batman comicbook cover from batman.wikia.com/wiki/Category:Public_domain_files

Basic Linked Data!



FRAD entity: corporate body




fields of activity
places
corporate body A
affiliations
dates
languages
corporate body C
places
dates
corporate body B
languages
fields of activity
affiliations
affiliations

Authorities in RDA

Practical Changes

Bibliographic rules in RDA → implications for authorities*!

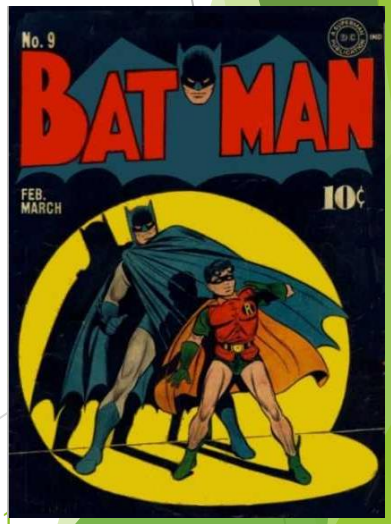
- No more rule of three
- No justification required for access points
- Use of relator terminology / codes

→ more AAPs!

*newly created or updated to be RDA compliant

Authorities in RDA

- Definition of persons changed: now includes fictitious persons
- Eliminate abbreviations
- Changes in form of sacred text AAPs
- New MARC fields to record RDA attributes



Public domain image of Batman comicbook cover from batman.wikia.com/wiki/Category:Public_domain_files

abbreviations

AACR2

b. or d.	-
ca.	approximately
fl.	active
cent.	century
Apr.	April
Dept.	Department
O.T.	Old Testament

RDA

new MARC fields for authorities

- 046 dates
- 368 other attributes of a person or corporate body
- 370 associated place
- 371 addresses (incl. postal and email)
- 372 field of activity
- 373 affiliation: employers, memberships, cultural identity
- 374 occupation
- 375 gender with which the person identifies
- 376 family
- 377 associated language(s) (publication)
- 378 fuller form of name (personal)
- 380 form of work
- 381 other distinguishing characteristics of work or expression
- 382 medium of performance
- 383 numeric designation of musical work
- 384 key of musical work

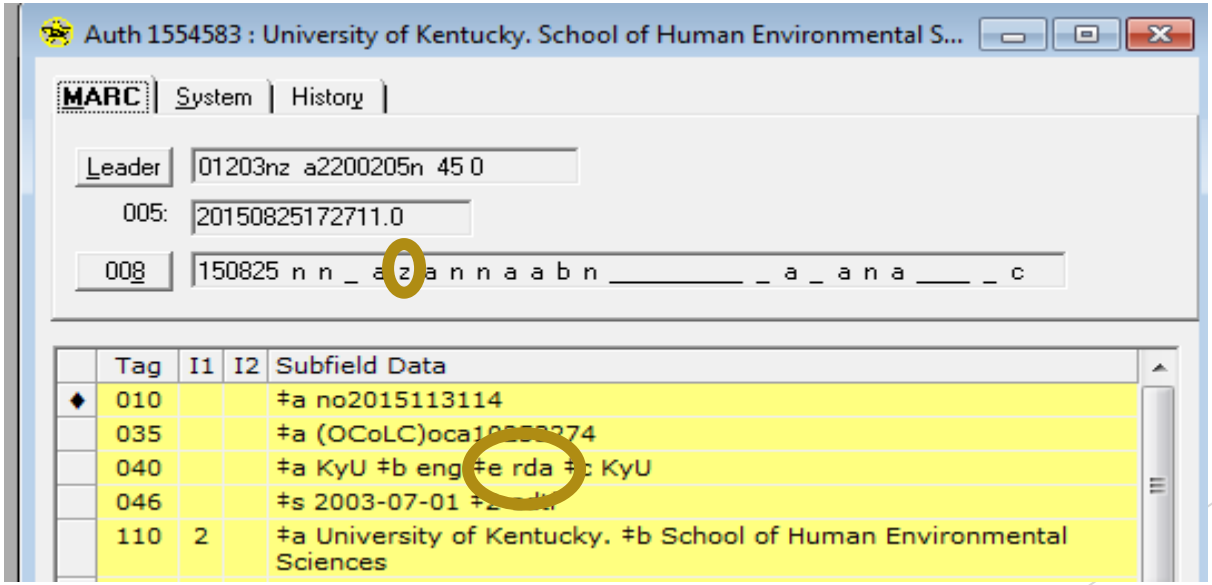
How do you know if you're looking at an RDA authority record?

008/10 z for other

(source of descriptive cat "other")

040 |e rda

(descriptive convention)

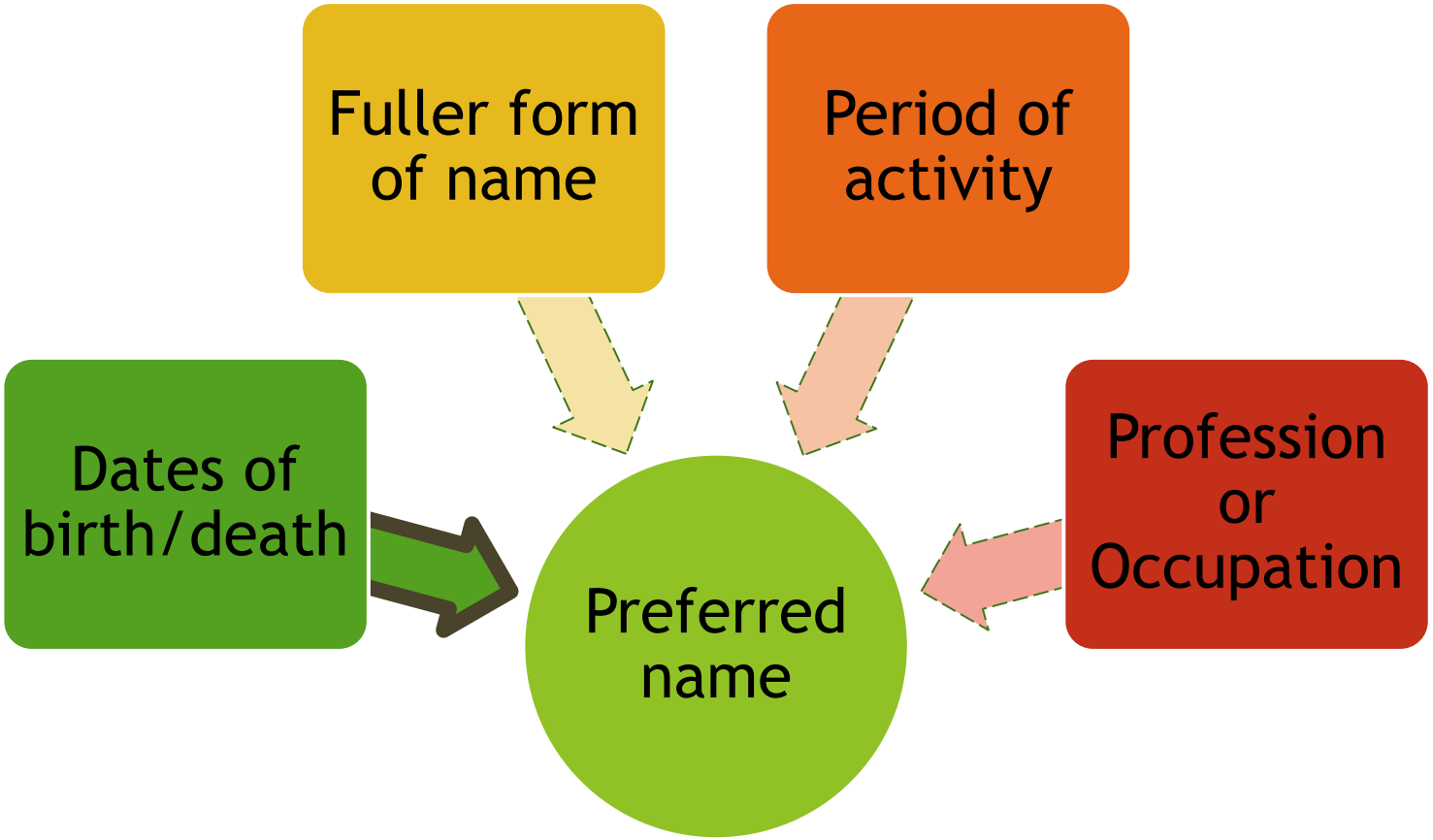


Establishing a new NAR

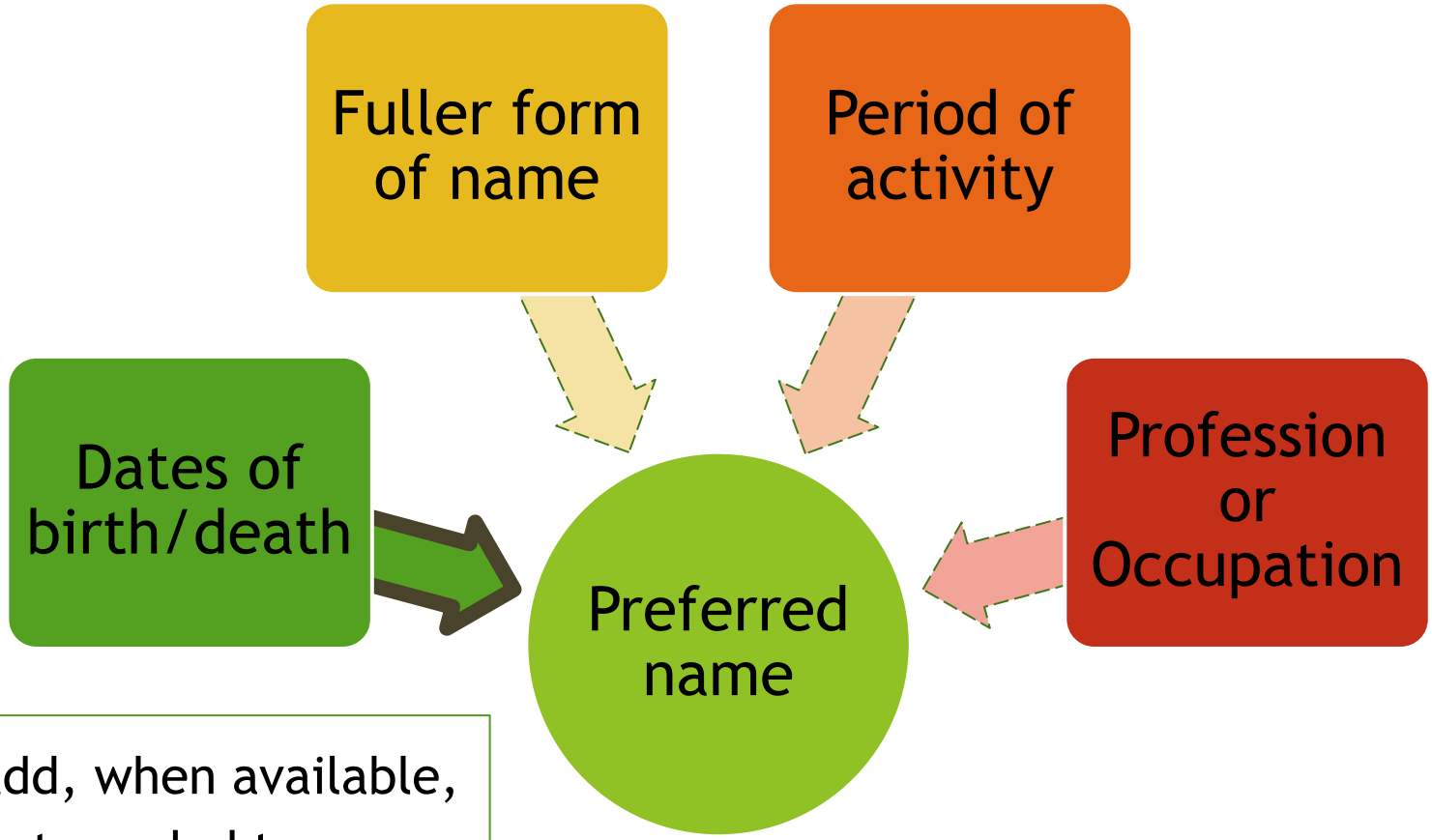
- Preferred name (RDA 9.2.2)
- Use most commonly known form
- Include terms indicating relationships as part of the preferred name (not just to differentiate)

100 1_ ‡ a King, Martin Luther, ‡c Jr., ‡d 1929-1968

Additions to preferred name



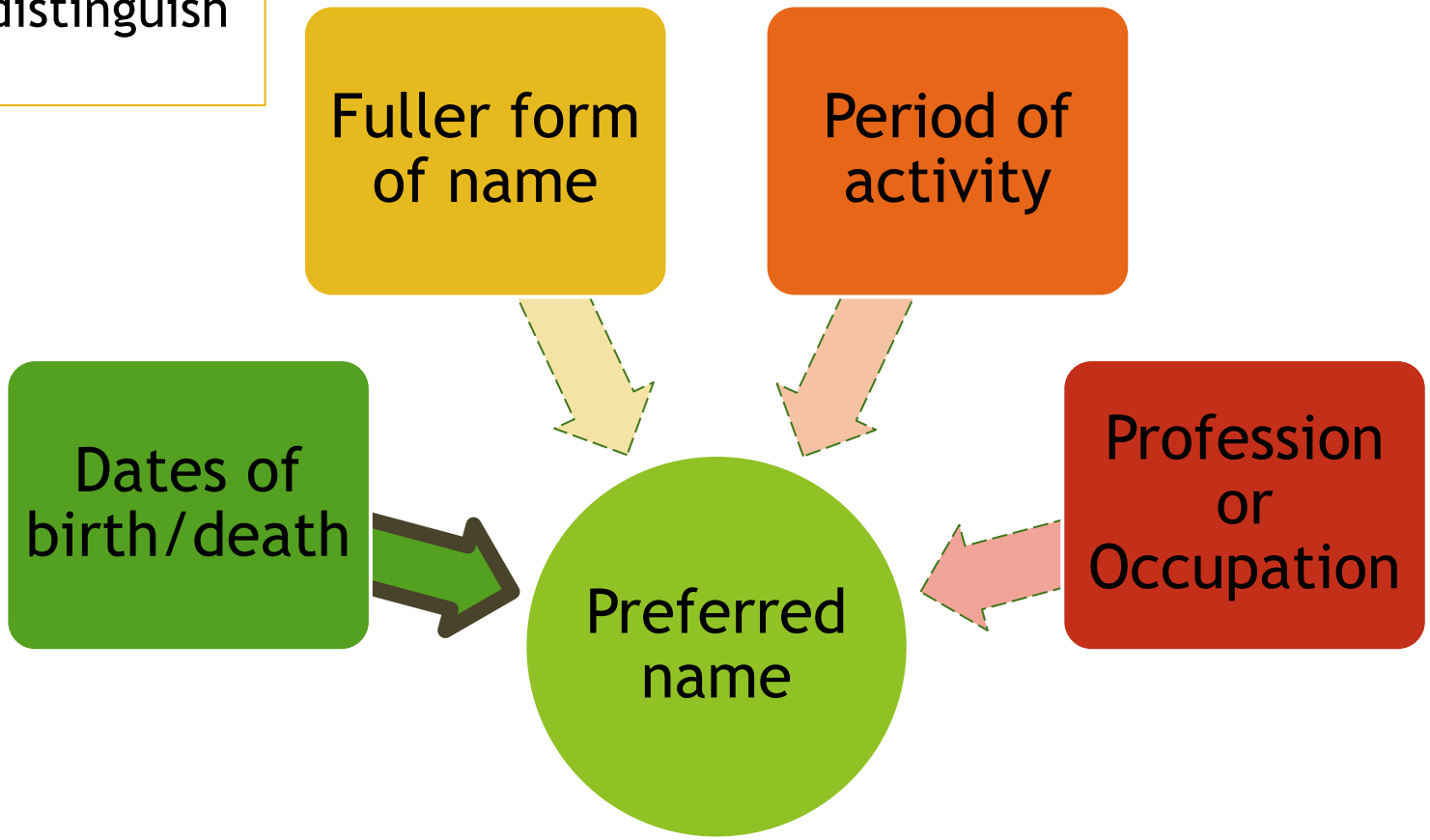
Additions to preferred name



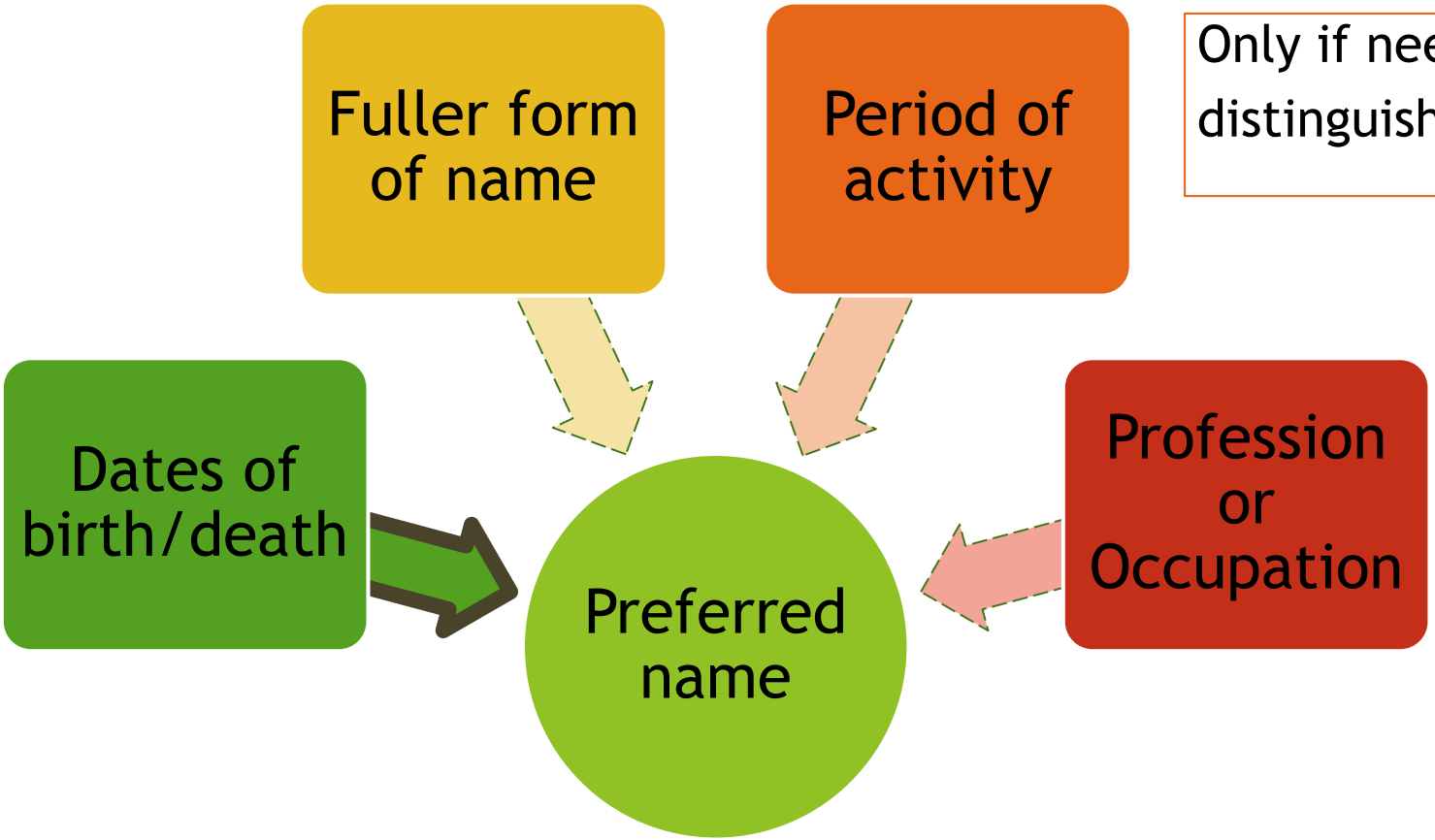
Always add, when available, even if not needed to distinguish (LC-PCC PS 9.19.1.3)

Additions to preferred name

Add if name incl. initial
and need to distinguish
(RDA 9.19.1.4)

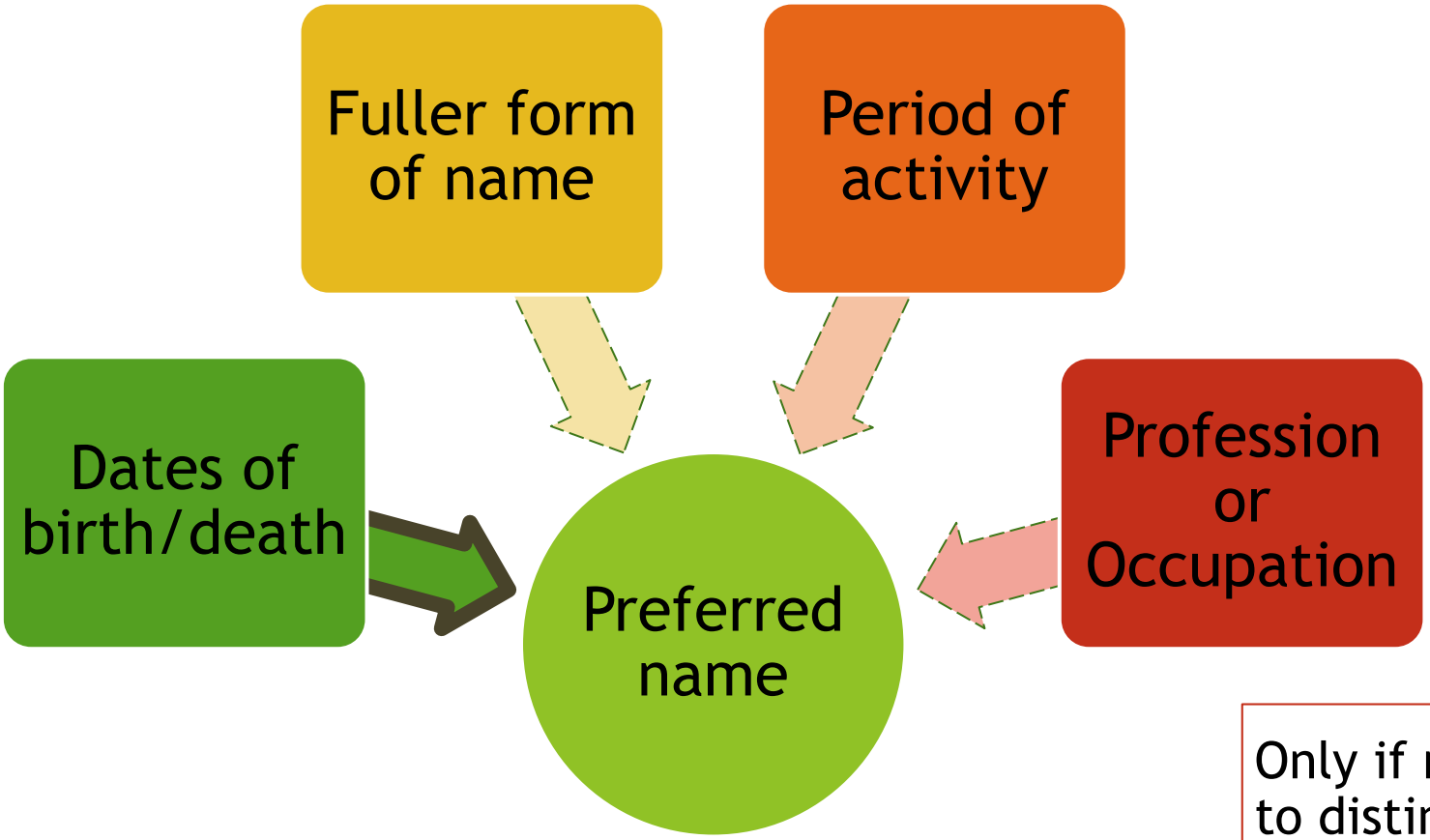


Additions to preferred name



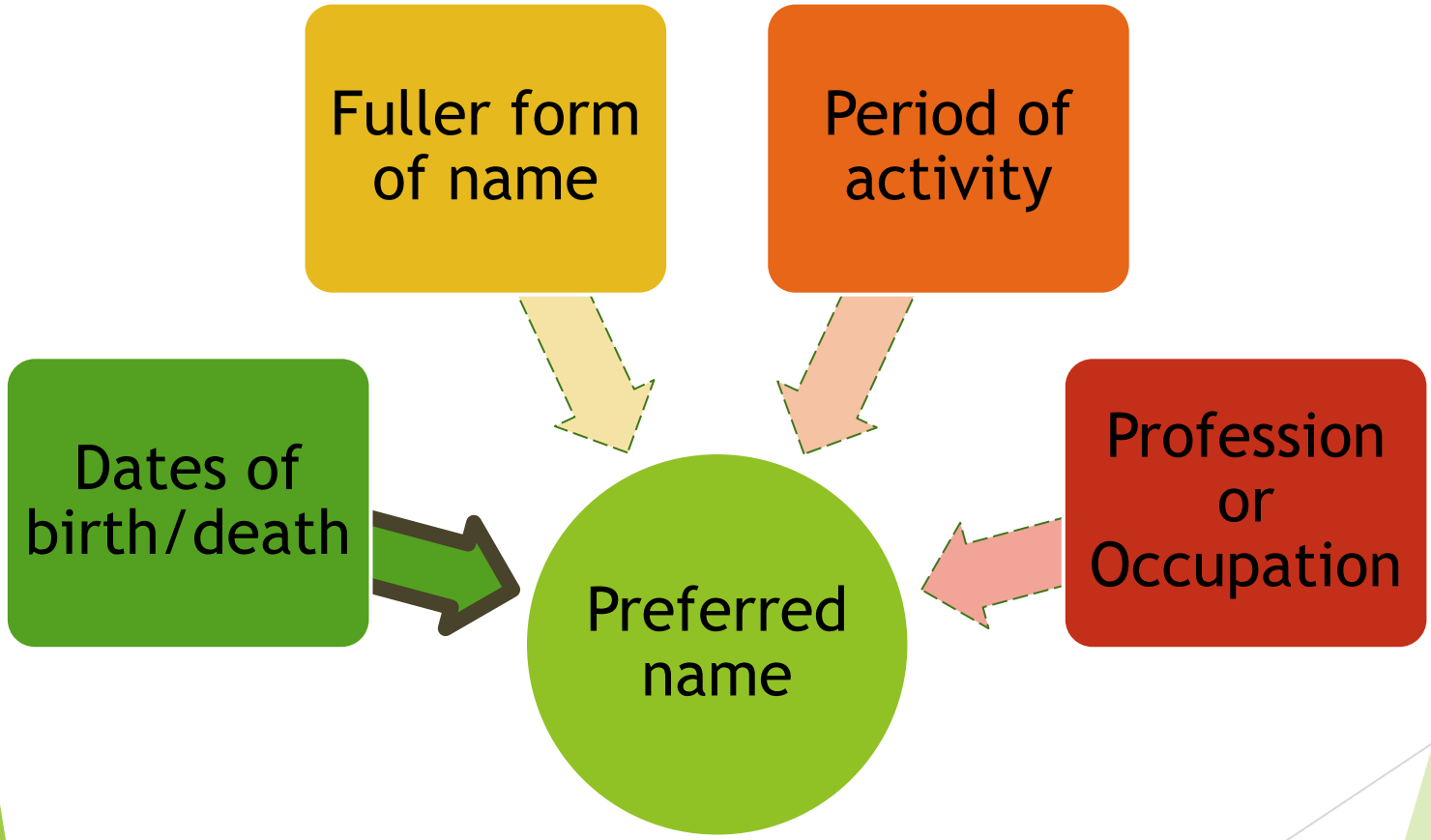
Only if needed to distinguish (RDA 9.19.1.5)

Additions to preferred name



Only if needed to distinguish
(9.19.1.6)

Additions to preferred name

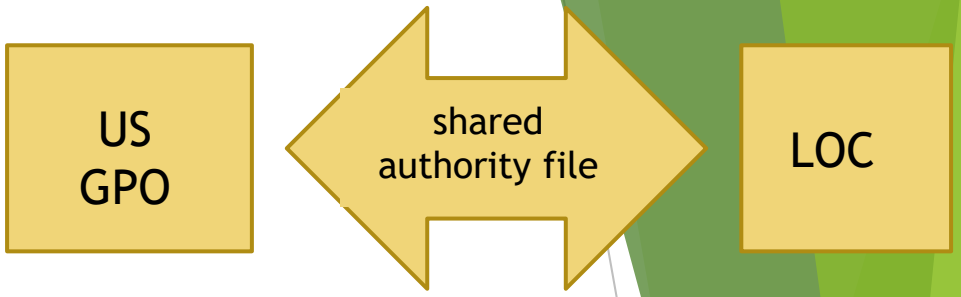


NACO Training!

June 1-June 4, 2015

Hosted by UK Libraries

NACO



- Name Authority Cooperative Program, LC PCC
- Founded in 1977, now expanded so:
- Participants contribute new name authority records and update existing records in the name authority file

Personal	Family
Corporate	Series (separate training)
Geographic	

- Plan for 2015: British Library will load 3-4 million ISNIs into NACO records!

PCC Program for Cooperative Cataloging
NACO: Name Authority Cooperative Program

"Libraries of all sizes and types can make valuable, upfront contributions. … It is less expensive in the long run if libraries band together … [to] share an abundance of wealth of expertise and knowledge that would be impossible for each library to obtain on its own."

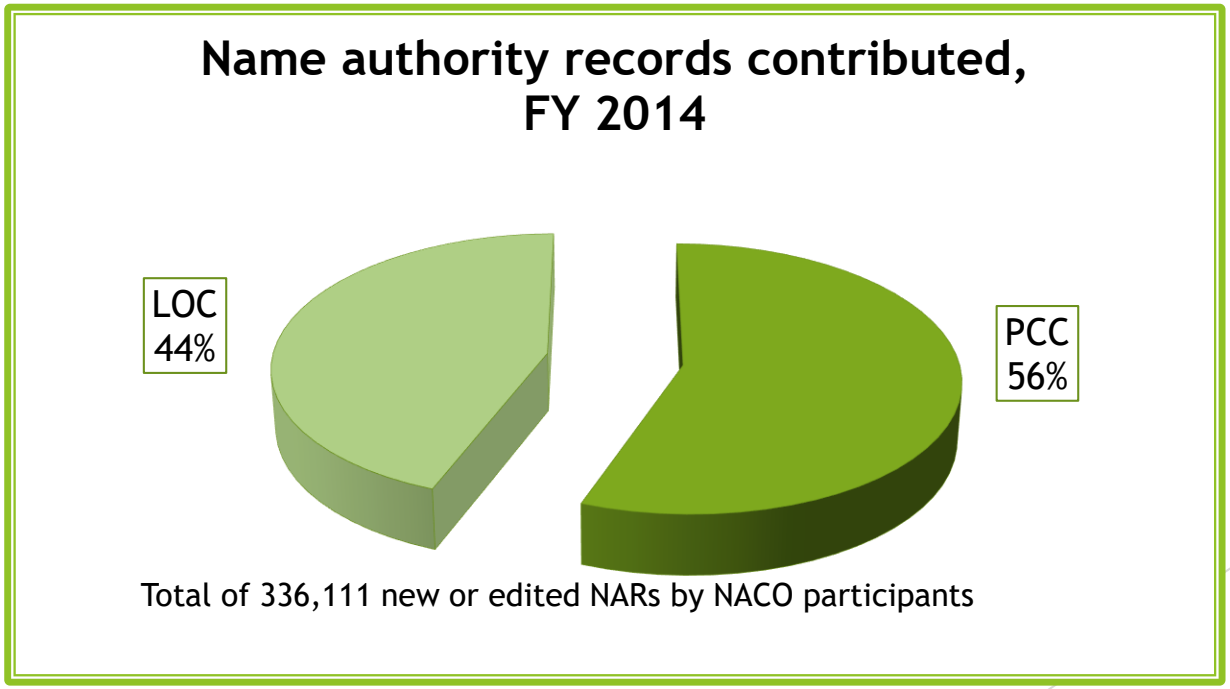
– Mark Watson, University of Oregon

NACO: a few facts

710 members (Sept. 2014)

209 institutional members

501 funnel members in 37 funnels



How to participate

Participation is free!*

Apply here!

- Submit application to PCC Steering Committee
- Schedule 5-day in-person training
- Create NARs under reviewer
- Meet quota / join funnel
- Become independent!

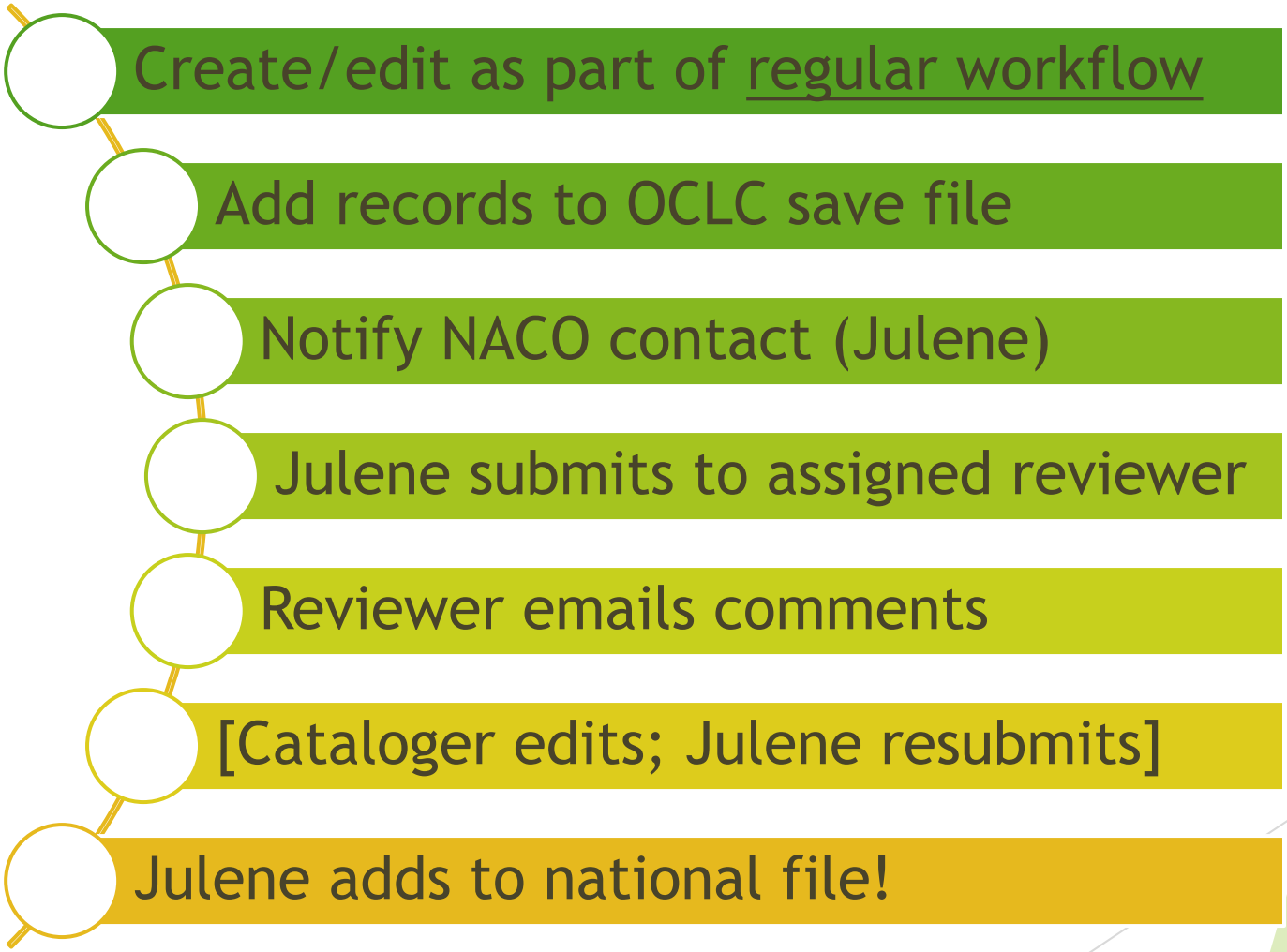
12 librarians from UK, WKU, Morehead,
West Virginia University and Marshall University
were trained at UK in June 2015

Our trainer:
Roman Panchyshyn
Kent State University

In-Person Training

Day 1	Foundations of NACO program Review of theory (FRBR and FRAD) Review of standards (MARC 21, RDA & LCRIs)
Day 2	Personal name rules and coding
Day 3	Families and corporate bodies
Day 4	Geographic names works and expressions
Day 5	Editing existing ARs, NACO procedures, use of OCLC, and review process for gaining independence
Participants are encouraged to bring examples from their cataloging work for hands-on practice and contribution	

UK NACO workflow



NACO

Contents [hide]

1 UK NACO workflow
2 General comments and links from Julene
3 General comments from Catherine Busselen, our NACO reviewer
4 How Catherine reviews records
5 046 field
6 1XX field
7 378 field
8 4XX field
9 670 field
10 690 field
11 Helpful websites for searching for NAR supporting/supplemental info
12 Email templates

UK NACO workflow [edit]

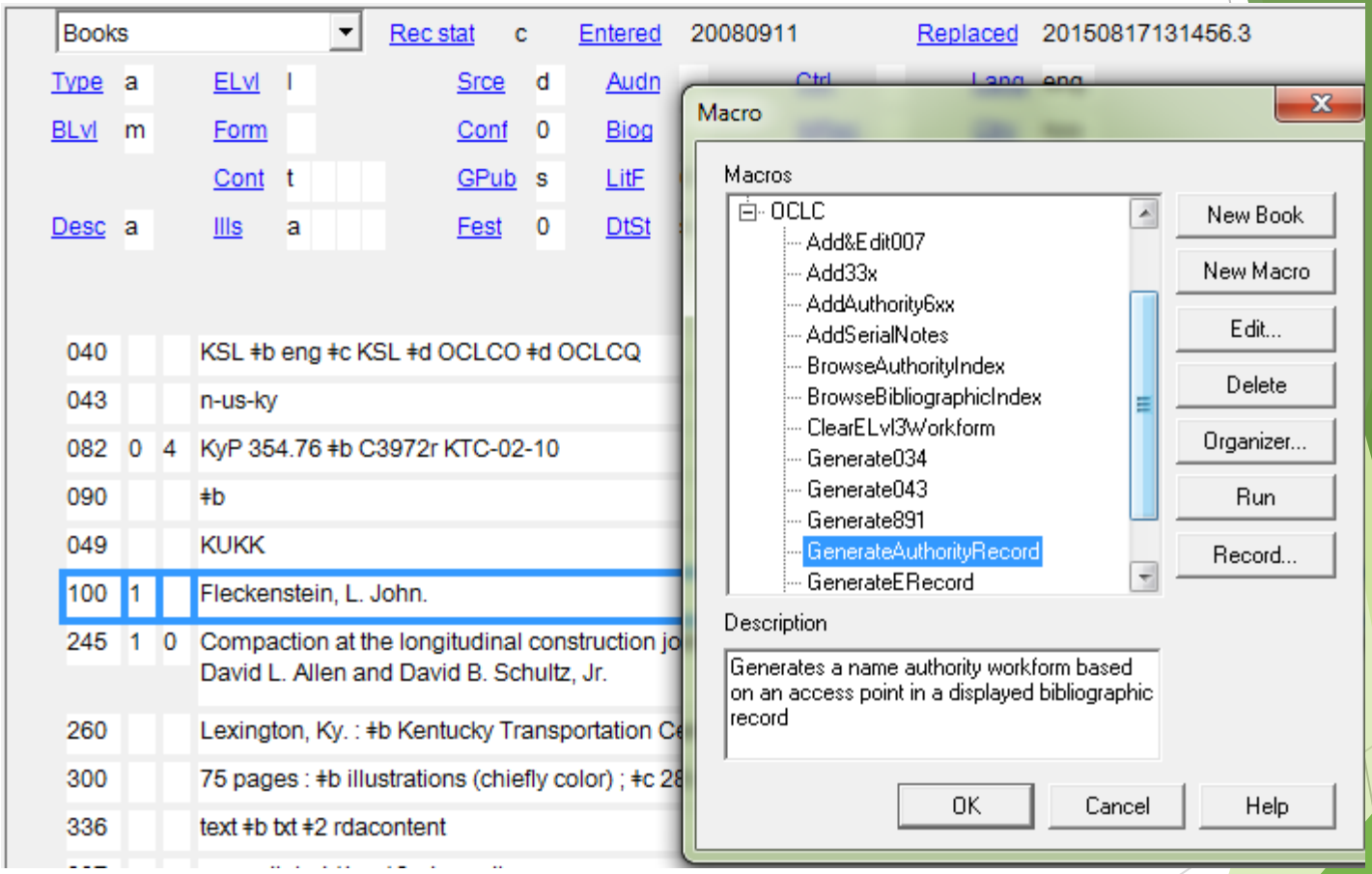
Before creating an authority in OCLC, ensure that you are using the RDA workforms: In Connexion: Tools / Options / RDA: click "use RDA workforms"

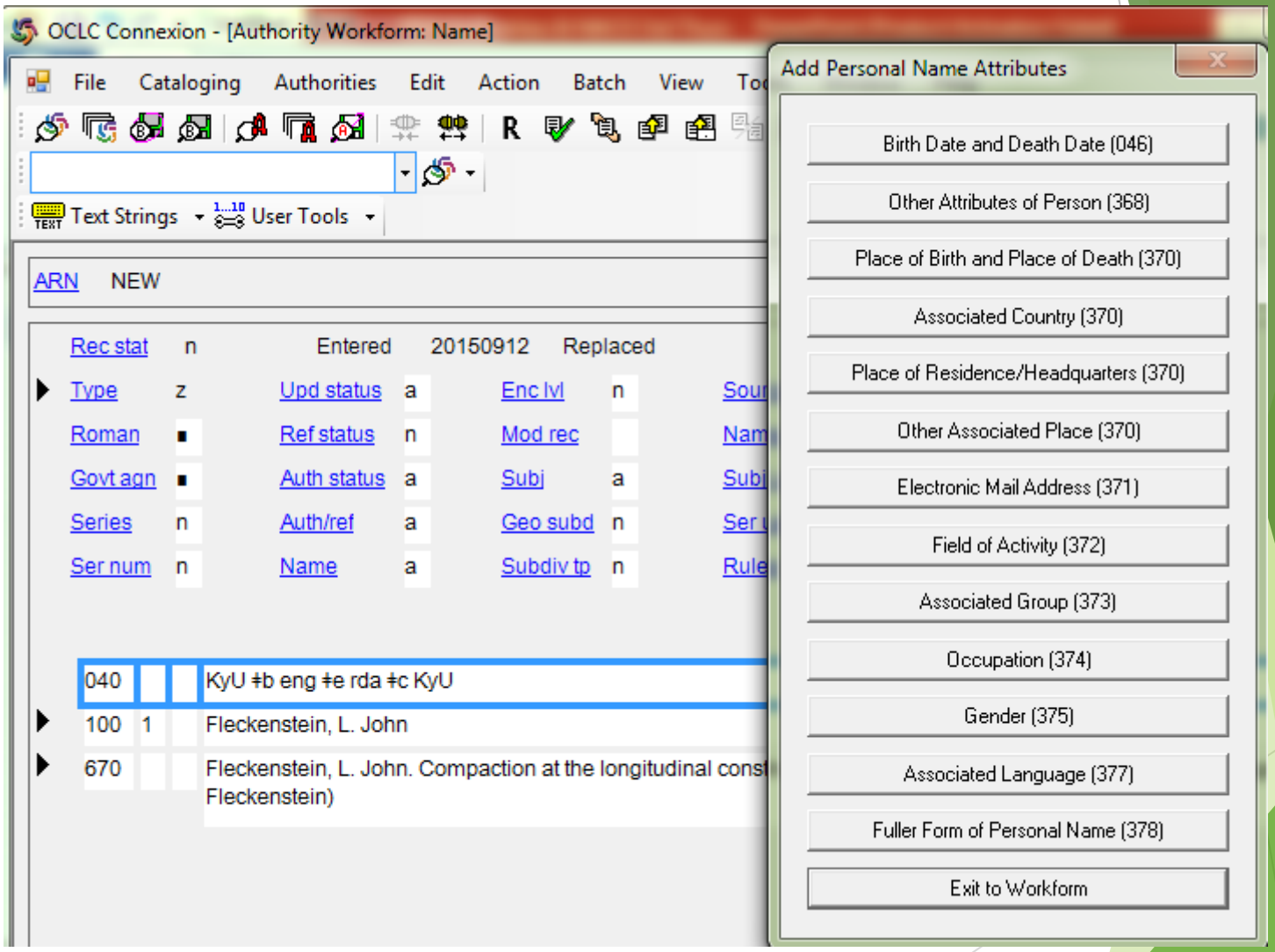
1. Create / update authority records found in daily cataloging activities

UK Cats Wiki page for NACO

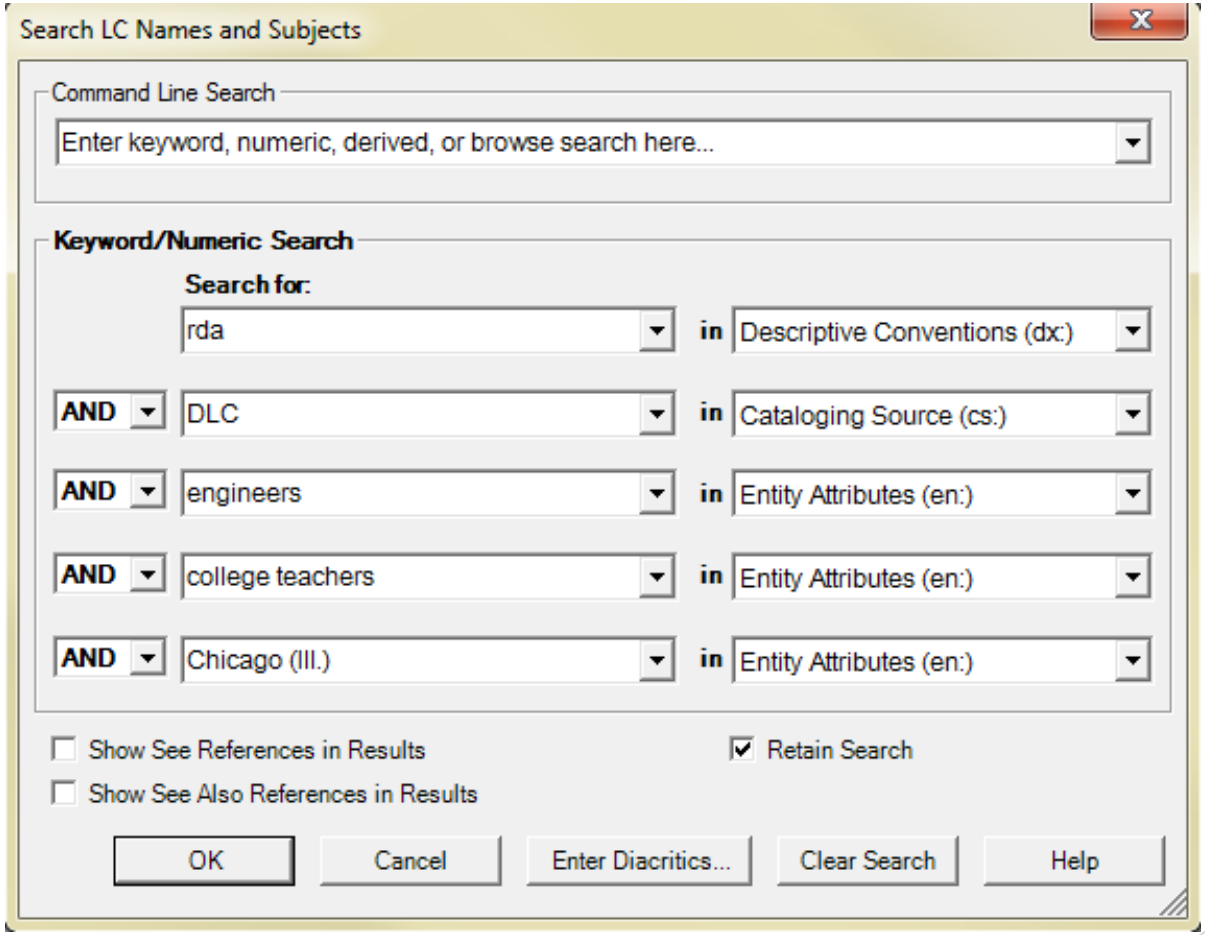
http://ukcats.org/wiki/NACO

OCLC tip: use macro to create




OCLC Connexion - [Authority Workform: Name]
File
Cataloging
Authorities
Edit
Action
Batch
View
Text Strings
User Tools
ARN NEW
Rec stat n Entered 20150912 Replaced
Type z
Upd status a
Enc lvl n
Roman
Ref status n
Mod rec
Govt agn
Auth status a
Subj a
Series n
Auth/ref a
Geo subd n
Ser num n
Name a
Subdiv tp n
040 KyU ǂb eng ǂe rda ǂc KyU
100 1 Fleckenstein, L. John
670 Fleckenstein, L. John. Compaction at the longitudinal cons
Fleckenstein)
Add Personal Name Attributes
Birth Date and Death Date (046)
Other Attributes of Person (368)
Place of Birth and Place of Death (370)
Associated Country (370)
Place of Residence/Headquarters (370)
Other Associated Place (370)
Electronic Mail Address (371)
Field of Activity (372)
Associated Group (373)
Occupation (374)
Gender (375)
Associated Language (377)
Fuller Form of Personal Name (378)
Exit to Workform

OCLC tip: use indexes



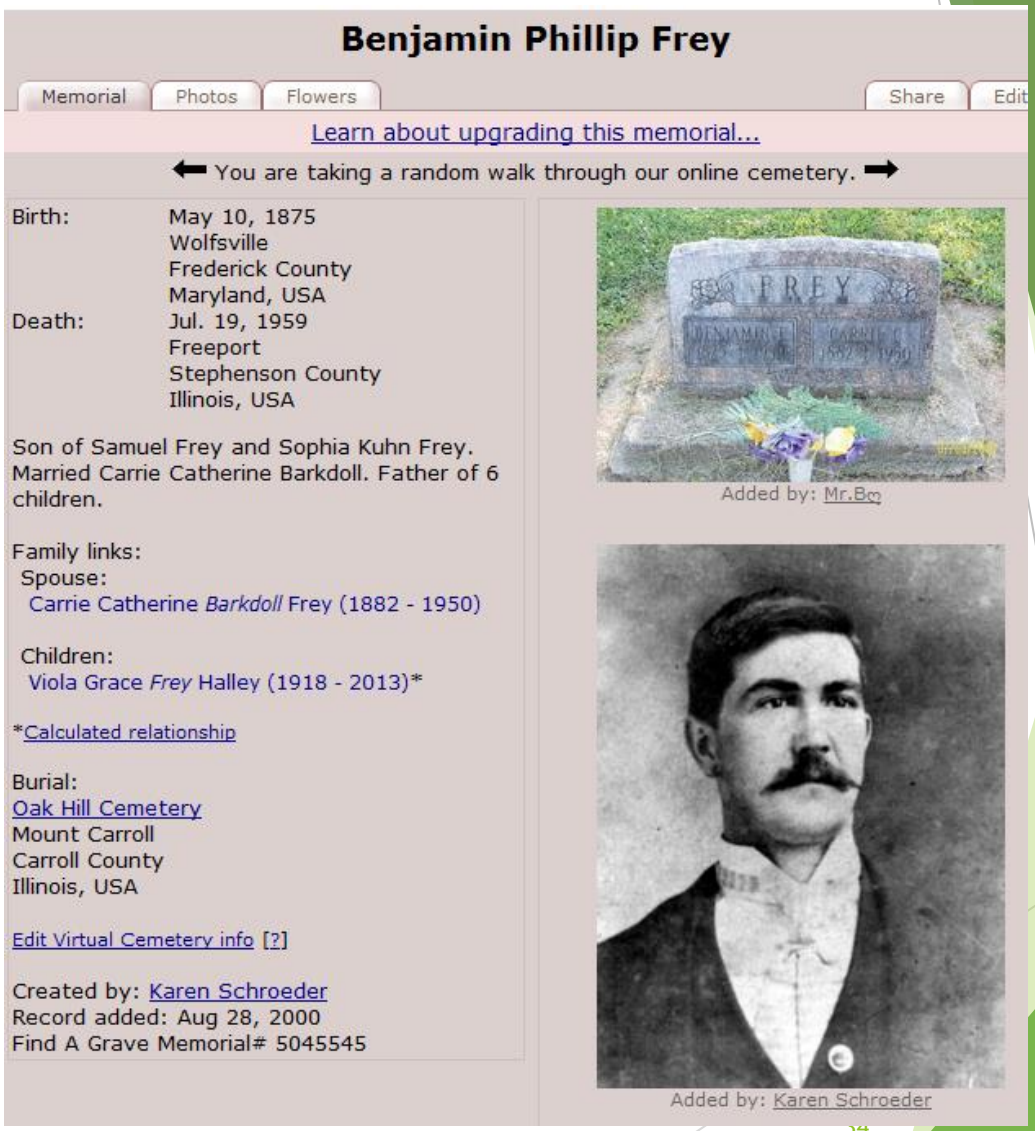
Helpful website #1

Find A Grave

www.findagrave.com

Crowdsourced grave transcriptions

Can volunteer to transcribe and/or be a photographer



Benjamin Phillip Frey

Memorial | Photos | Flowers | Share | Edit

Learn about upgrading this memorial...

You are taking a random walk through our online cemetery.

Birth: May 10, 1875
Wolfsville
Frederick County
Maryland, USA

Death: Jul. 19, 1959
Freeport
Stephenson County
Illinois, USA

Son of Samuel Frey and Sophia Kuhn Frey. Married Carrie Catherine Barkdoll. Father of 6 children.

Family links:
Spouse:
Carrie Catherine *Barkdoll* Frey (1882 - 1950)

Children:
Viola Grace *Frey* Halley (1918 - 2013)*

*Calculated relationship

Burial:
Oak Hill Cemetery
Mount Carroll
Carroll County
Illinois, USA

Edit Virtual Cemetery info [?]

Created by: Karen Schroeder
Record added: Aug 28, 2000
Find A Grave Memorial# 5045545

Added by: Mr.Bo

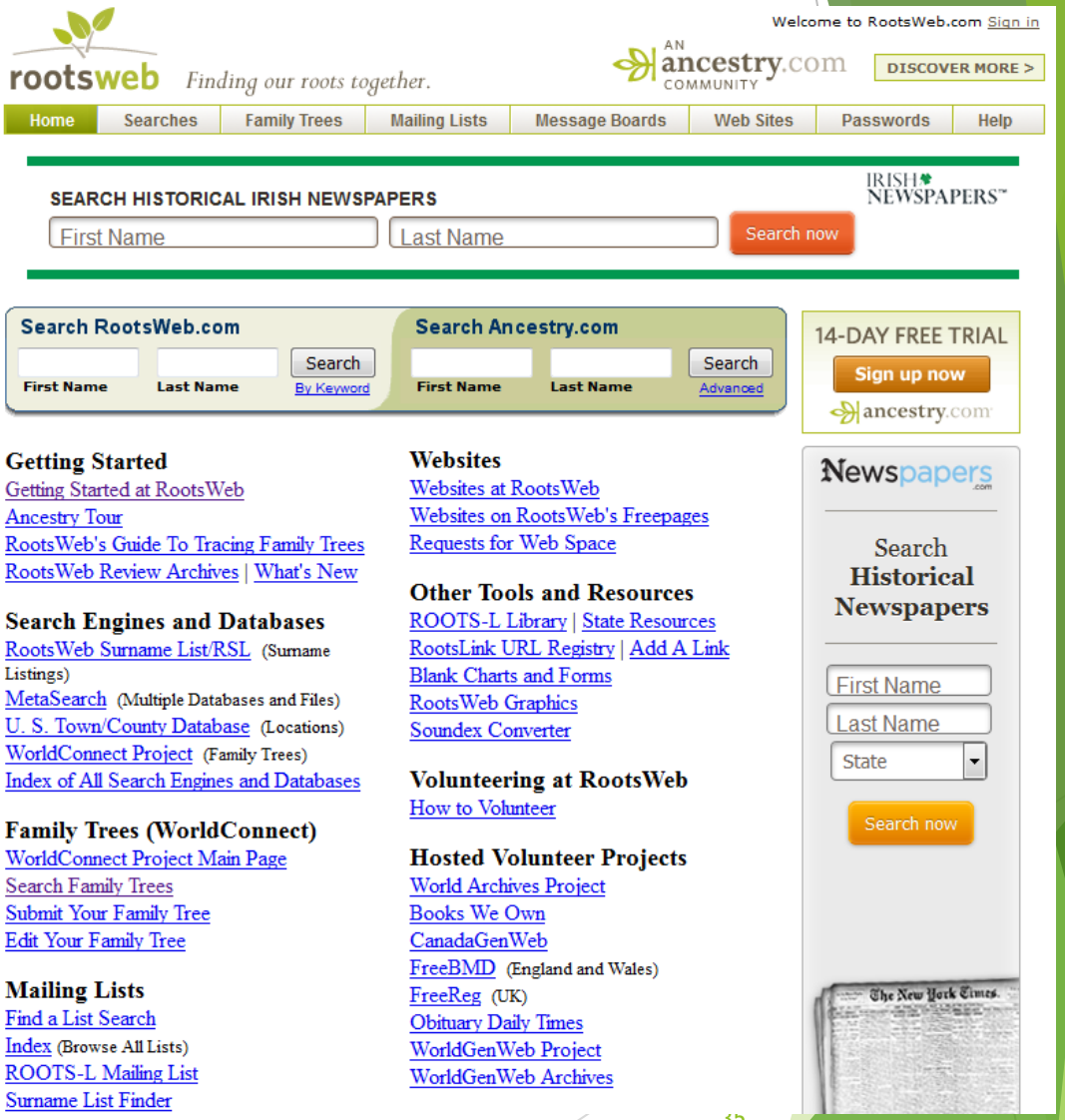
Added by: Karen Schroeder

Helpful website #2

Roots Web

www.rootsweb.com

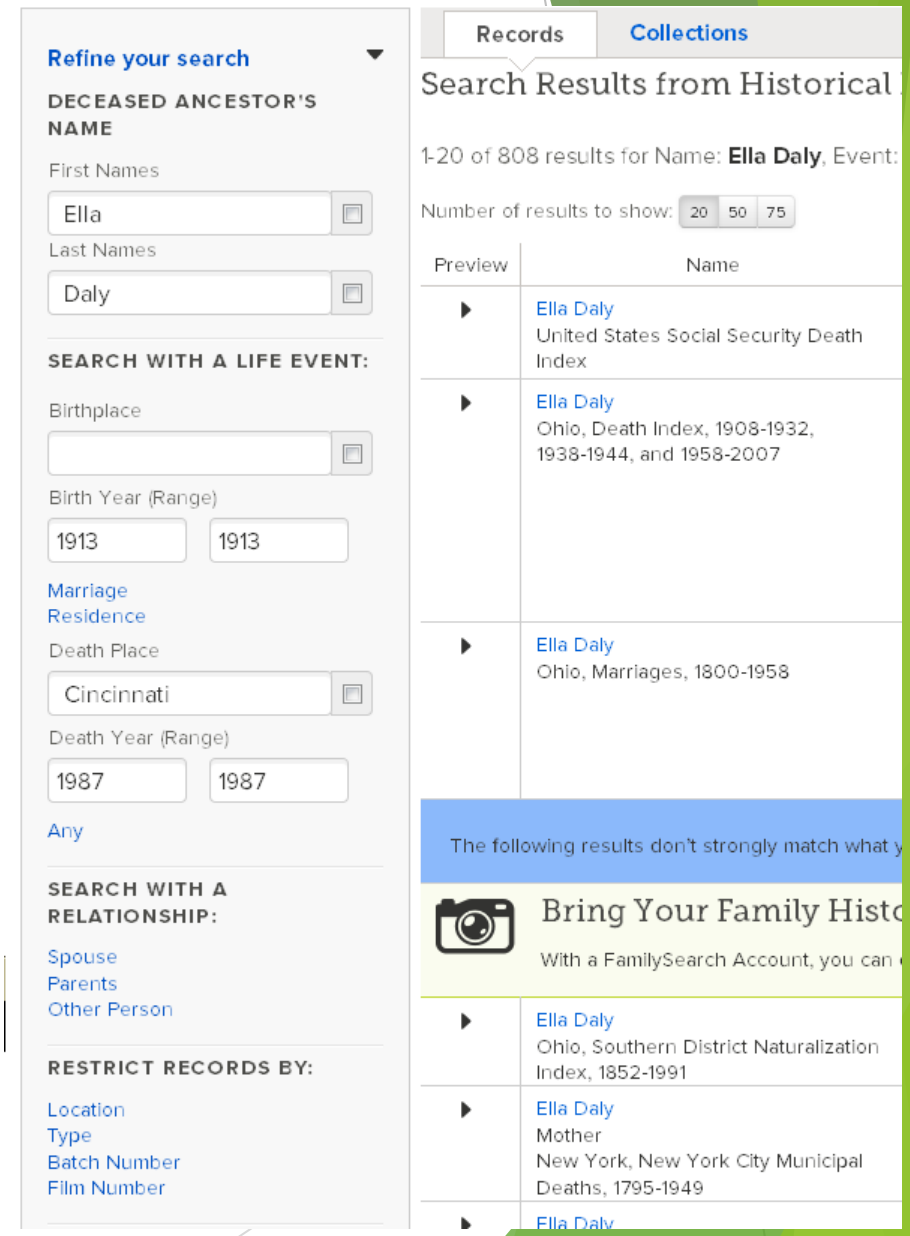
Free version of www.ancestry.com



Helpful website #3

FamilySearch

www.familysearch.com

Searches state, national and international indexes incl SSDI and census

Can limit search to birth / residence / death locations and/or dates; names of relatives, sometimes profession