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1 An Electromyography Study of Muscular Endurance during the Posterior Shoulder
2 Endurance Test

3
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14 **Key Words:** Fatigue; Shoulder horizontal abduction; Median frequency

15 The authors certify that they have no financial interest in the subject matter or materials
16 discussed in the article.

1 The primary purpose was to determine if there is a difference between the
2 median frequency slopes of 5 posterior shoulder muscles during the initial portion of the
3 Posterior Shoulder Endurance Test (PSET) at the 90° and 135° shoulder abduction
4 positions.

5 Fifty-five healthy volunteers (31 females) participated. The median frequency of
6 the posterior deltoid (PD), upper trapezius (UT), middle trapezius (MT), lower trapezius
7 (LT), and infraspinatus (INF) was measured during the PSET at 90° and 135° of
8 shoulder abduction. External torque of 13±1 Nm was used for females and 21±1 Nm for
9 males. A fixed effect multi-variable regression model was used to investigate the
10 median frequency slopes. Males and females were analyzed separately.

11 Median frequency slopes demonstrated fatigue in all 5 of the muscles. The PD
12 fatigued greater than the UT in males ($p=0.0215$) and greater than the LT in females
13 ($p=0.008$). The time to task failure (TTF) was greater at 90° than 135° for females and
14 males ($p=0.016$; $p=0.0193$) respectively.

15 The PSET causes fatigue in all of the muscles that were tested, with the PD
16 fatiguing at a greater rate compared to one muscle for each sex. This investigation
17 supports using TTF as a clinical measure of shoulder girdle endurance at 90° shoulder
18 abduction.

Introduction

Muscular fatigue in the shoulder girdle has been cited as contributing to pain with overhead, repetitive movements (Chopp-Hurley et al., 2015, Chopp et al., 2010). Muscular endurance is the ability of a muscle to sustain activity performed as an isometric or isotonic contraction. Local ischemia created by a fatigued muscle or compressed tendon can cause structural weakness, whereby limiting local control, and in the rotator cuff tendon, may lead to an inability to control the humeral head during shoulder elevation (Firat and Turker, 2012). This notion supports the assumption that tension overload creates changes to the stability and control of the shoulder girdle. Examining elite swimmers identified training volume as a contributor to muscular pain more than the presence of instability (Sein et al., 2010). Supporting that muscular endurance is a contributing factor in preventing shoulder pain. However, muscular fatigue in the shoulder girdle has received limited research attention (Day et al., 2015, Ebaugh et al., 2006, Moore et al., 2013), and is not commonly evaluated clinically, as no standard test exists.

The Posterior Shoulder Endurance Test (PSET) was initially described by Moore et al. (Moore et al., 2013) as an isotonic test performed in a prone position while lifting the arm to 90° of horizontal abduction at a shoulder abduction angle of 90° at 30 beats per minute. An isometric version of the PSET at 135° of shoulder abduction was modified for patients with lateral epicondylagia (Day et al., 2015). Patients with lateral epicondylagia had significantly less endurance than a comparison group without symptoms (Day et al., 2015). However, given that individuals with non-traumatic shoulder pain often have limited range of motion (Chopp-Hurley and Dickerson, 2015),

the 135° shoulder abduction position may not be optimal. While the PSET shows promise as a clinical measure for posterior shoulder endurance, the two variations need further evaluation to determine which muscles are being fatigued, and to identify any differences between the two positions.

Reductions in electrical conduction and availability of ATP are common causes of local muscular fatigue (Brooks GA, 2005). Because surface EMG can detect the electrical activity of the muscle, using the power spectrum, the median frequency (MF) of the muscle is representative of muscular fatigue (Vollestad, 1997). Surface EMG has been used in multiple studies examining the fatigue characteristics of the shoulder using the power spectrum (Vollestad, 1997, Szucs et al., 2009, Tse et al., 2015, Minning et al., 2007).

The primary purpose of this study was to determine if the posterior shoulder muscles were selectively fatigued during the initial phase of the PSET in the 90° and 135° positions. A secondary purpose was to determine if there was a difference in the time to task failure (TTF) of the PSET between the 90° and 135° positions.

Methods

There were 31 females (Age= 19.9±1.5 years; weight= 65.8±7.9 Kg; height=166.0±7.0 cm) and 24 males (Age= 25.5±4.2 years; weight= 84.3±11.0 Kg; height=175.7±7.4 cm) in this study. Potential participants were included if they had normal pain-free shoulder mobility. Exclusion criteria included individuals with shoulder pain, individuals that had a history of shoulder surgery, and individuals that had

neurological disorders that would exclude them from performing the PSET. All participants were provided and signed an university approved informed consent

Participants completed an ordinal scale question which asked them to answer “How many hours per week do you use weights for your upper body?”. Participants could choose “1 hour”, “2-3 hours”, “4-5 hours”, “6-7 hours”, “8-9 hours” or “10+ hours”. Participants also completed the Shoulder Activity Scale questionnaire (Brophy et al., 2005) to determine level activity for their upper extremity.

The dominant arm was used in all cases during testing. Lean tissue mass of the upper extremity was estimated using the Hayne’s equation. Hayne’s equation required measuring the girth of the arm (at the midpoint between the angle of the acromion and the tip of the olecranon process) and the triceps skin fold measurement is used (McArdle WD, 2015). Skin was prepared for electrode placement by shaving any hair, using sandpaper, and isopropyl alcohol (Soderberg, 1992). The length of the upper extremity was measured from the acromioclavicular joint to the distal end of the radial styloid process with the elbow straight. Body weight, and height were obtained. Using the measured bodyweight and arm length the external torque needed to reach the standardized level was determined. The external torque was standardized based on published anthropometric data using the 50th percentile for both males and females (Chaffin DB, 1999). Based on pilot testing, males used an external torque of 21 ± 1 Nm and females used an external torque of 13 ± 1 Nm. Once the anthropometric data estimated the torque provided by the arm alone, an additional external load was provided to the nearest 0.23 kg. The external load in males ranged from 2.05-2.5 Kg, and the external load ranged in females from 1.36-1.59 Kg. Prior to testing, participants

performed a 5-minute warm-up on a Biodex Upper Body Ergometer, and were familiarized with testing procedures.

Electromyographic data were collected using Noraxon MyoMuscle v.MR3.8.6 (Noraxon USA, Inc., Scottsdale, AZ, USA) with the following characteristics: CMRR was greater than 100 dB at 50 Hz; electromyographic signals were recorded at a sampling rate of 1500 Hz. Noraxon dual self-adhesive Ag/AgCl snap electrodes with a 2.0cm inter-electrode distance were attached to Noraxon DTS sensors, which communicated with Noraxon MyoMuscle transmitter.

Self-adhesive electrodes were placed parallel to the muscle fiber direction on the posterior deltoid (PD), upper trapezius (UT), middle trapezius (MT), lower trapezius (LT), and infraspinatus (INF) according to SENIAM standards (Hermens et al., 2000) and published data (FIGURE 1) (Soderberg, 1992, Waite et al., 2010). The PD electrodes were placed 3 cm inferior to the angle of the acromial process. The UT electrodes were placed between the midpoint of C7 spinous process and the acromion process. The MT electrodes were placed between the midpoint of T3 spinous process and the medial border of the root of the scapula. The LT electrodes were placed 2/3 distance from the superior medial angle of the scapula to T8 spinous process. The INF electrodes were placed 4 cm inferior from the middle spine of the scapula.

The PSET was performed with participant in prone with arm at 90⁰ and 135⁰ shoulder abduction angles (FIGURE 2, 3) A stand-alone target was used to assured participants remained in the testing position throughout each trial. Participants were instructed to maintain contact with the target, but not to excessively push into the target. The researchers provided verbal encouragement. The trial was finished when the

participant failed to maintain contact with the target, demonstrated excessive substitution patterns, or voluntarily stopped. Researchers measured time to task failure (TTF) with a stopwatch. Testing position was alternated between subjects, and participants were given 15 minutes of recovery between the test positions (Lariviere et al., 2003).

Noraxon MyoMuscle software was used to analyze the raw EMG signals. This analysis converts the EMG signal into the power spectrum using the Fast Fourier Transformation ($|FFT(x)|^2$) and then calculates the median frequency (MF) for each second of activity creating a slope of median frequency. Median frequency for the first 20 seconds (MF20) of the activity were used for analysis in order to compare the same amount of time across participants (90° Range = 31-91 seconds; 135° Range = 23-83 seconds). Male and female participants used differing external torque loads and were analyzed separately.

Each repeated measures models subset utilized backward selection to look for associations with MF20. Considered co-variants included were muscle (PD, UT, LT, MT, and INF), position (90° and 135°), BMI, triceps lean muscle mass, Shoulder activity scale questionnaire (Brophy et al., 2005), and the ordinal scale question for time of exercise. An a priori alpha level = 0.05 was set for all statistical tests, and Tukey-Kramer (Adj. p) was used for post-hoc pair-wise comparisons when appropriate. TTF of the PSET was measured in seconds for the total duration of the test. Paired t-tests compared the TTF separately for males and females. All analyses were performed using SAS (v. 9.4).

Results

The final model for the female subjects found significant differences in the MF20 slope by the posterior shoulder muscles (PD, UT, MT, LT, and INF), position (90° and 135°), and lean tissue mass of the humerus. The final model for males only found significant differences in the MF20 slope by the posterior shoulder muscles (PD, UT, MT, LT, and INF).

Female Results

The repeated measures regression model of the MF20 slopes showed that there was a significant difference between muscles while controlling for position and triceps lean muscle mass. Body Mass Index (BMI), shoulder activity scale questionnaire and ordinal scale question were not retained in the final model. Pairwise comparisons revealed the PD (mean $\pm$ SE = -0.81 ± 0.04) was greater than the LT (-0.58 ± 0.04) (Adj. $p=0.0077$) for MF20 but all other muscles fatigued at the same rate (FIGURE 4). The model identified a significant difference between in MF20 between the 135° ($-0.749 \pm .03$) and 90° ($-0.63 \pm .03$, Adj. $p=.0009$) position (FIGURE 5). With every one unit of area increase in triceps lean muscle mass (cm^2) the slope of fatigue was decreased by 0.01 ($p=.0002$). The paired t-test examining the TTF between positions revealed that 90° position (58.1 ± 2.4 seconds) required longer time than the 135° position (49.2 ± 2.5 seconds) ($p=.016$) (FIGURE 7).

Male Results

The repeated measures regression model of MF20 slopes showed that there was a significant difference in slopes between muscles ($p = .018$). Position, BMI, shoulder activity scale, exercise scale, and triceps lean muscle mass were not retrained in the

final model. Pairwise comparison between the MF20 slopes revealed that only PD (-0.87 ± 0.08) slope was greater than the UT (-0.59 ± 0.09) slope (Adj. $p=0.02$), and all other muscles fatigued at the same rate (FIGURE 6). MF20 was not significantly different by position ($p=.223$). The paired t-test examining TTF revealed that the 90° position (68.5 ± 2.8 seconds) required a longer time to reach fatigue than the 135° position (59.6 ± 2.4 seconds) ($p=.019$) (FIGURE 7).

Discussion

The results of the current investigation examined fatigue of 5 posterior shoulder muscles during the PSET at two different shoulder abduction angles suggest the PSET is a measure of multiple shoulder girdle muscles fatiguing at a similar rate. The MF20 slope was decreasing at nearly the same rate in all muscles tested for both men and women (FIGURE 4, 6). Previous studies have demonstrated that many shoulder girdle muscles work synergistically to control the position of the scapula for optimal function (Cools et al., 2007, Cools et al., 2002, Merolla et al., 2010). While certain positions may bias different scapular stabilizers (De Mey et al., 2013, Arlotta et al., 2011, Ha et al., 2012), coordination of the muscle contraction varies amongst individuals (Phadke and Ludewig, 2013, Hawkes et al., 2012).

Posterior deltoid is most active during horizontal abduction suggesting it is a prime mover (Pearl et al., 1992). The current study showed that when accounting for other controlling factors, the PD muscle fatigued similarly to all the other muscles except for the UT in males and LT in females. Using the position of horizontal abduction, likely accounts for the PD to fatigue at steeper slope than two of the muscles but not all. A cross-sectional EMG study that examined the middle deltoid (MD), UT, LT, and serratus

anterior during a fatiguing task of shoulder elevation found the MD fatigued sooner than the other muscles tested. Similar to the current investigation, all of the muscles significantly fatigued during the task (Minning et al., 2007). While the current investigation did not measure the MD or serratus anterior, the three trapezius muscles behavior to fatigue were similar in both studies. Since torque is produced by multiplying the force and moment arm, and mechanical advantage is the ratio of the external moment arm and internal moment arm, adding the external load to the distal segment would reduce the muscle's mechanical advantage. Therefore, it is reasonable that the deltoid muscle, whether the MD or PD, would fatigue at a faster rate than the other muscles. However, there was no statistical difference in the median frequency slopes between the PD and the other muscles tested with the exception of one other muscle in each sex. Therefore, one could argue that the PSET is actually measuring muscle fatigue in multiple posterior shoulder girdle muscles. While the PD may be the prime mover, the other synergist muscles are also fatiguing similarly in the current investigation and the Minning et al. (2007) study.

When comparing the MF slopes between participants, it is important to calculate MF across the same time window. MF20 of the PSET were used for analysis because one participant was only able to hold the 135° position for 23 seconds. However, since the majority of MF slope change occurred during the initial portion of the exercise, the first 20 seconds should represent muscle fatigue (Cifrek et al., 2009).

The vast majority of kinematic studies attribute reductions in upward rotation of the scapula, and posterior tilting to subacromial impingement (Ludewig and Reynolds, 2009). As shoulder abduction angles increases, scapular upward rotation and posterior

203 tilting also increase. Therefore, the authors hypothesize the 135° position of shoulder
204 abduction may create subacromial space narrowing, preventing individuals with
205 shoulder pain from performing the test. Additionally, exercise prescription for muscular
206 endurance includes resistance training at relatively light external torque loads while
207 performing static holds or a high number of repetitions (Campos et al., 2002).
208 Therefore, using the test position that typically requires a longer duration may be
209 beneficial to measured muscular endurance as opposed to merely muscular strength.
210 Since the 90° shoulder abduction position took approximately 10 second longer to
211 fatigue, it is reasonable to assume that the 90° position would ensure muscular
212 endurance assessment better than the 135° position in the absence of surface EMG
213 verification. Hence, the authors recommend using the 90° shoulder abduction PSET
214 position since the 90° position would likely be less painful in a population with shoulder
215 pathology, and this position would ensure assessment of muscular endurance rather
216 than muscular strength alone.

217 Since there were different external torques used between sexes, we were unable
218 to compare across male and female subjects. The decision to use different external
219 torques was based on pilot data a priori. Since the amount of external torque added to
220 the arm was determined from the participant's body weight and arm length, if similar
221 torques were used across sexes, the female participants would have had to hold larger
222 external loads than the male participants did. Therefore, females used an external
223 torque of 13 ± 1 Nm, while males used an external torque of 21 ± 1 Nm.

224 This study has limitations to acknowledge. While proper SEMIAM guidelines
225 were followed for surface EMG electrode placement and data collection (Soderberg,

1992), and the primary author consistently performed the electrode placement, surface EMG is still susceptible to cross talk from neighboring muscles. The possibility of using surface electrodes to estimate intramuscular muscle activity and using their mathematical formulas found that cross talk ranged from 4.4% to 17.3%, with the cross talk being greatest in muscles that overlap one another (Waite et al., 2010). Based on their findings it is likely the supraspinatus was contributing to the surface EMG placement of the upper trapezius, and posterior deltoid.

Additionally, a limitation of spectral frequency analysis is that the muscle volume conductor may serve as a low-pass filter. This would also include differences in body fat and skin impedance differences between subjects. Thus, a high-velocity motor unit that is recruited deep in the tissue may be represented in the lower frequency portion of the power spectrum (Farina et al., 2002). This limitation may be another explanation of the PD fatiguing at a faster rate than the other muscles. While this limitation cannot be denied, median frequency has been used to objectively observe muscle fatigue elsewhere (Vollestad, 1997, Tse et al., 2015, Minning et al., 2007).

While clear definitions for muscle fatigue were used in this study, we could not control for what was leading to fatigue. Both peripheral and central factors may contribute to fatigue (Enoka and Duchateau, 2008). In fact, it appears that the cause of muscle fatigue may be task-specific. The current study did not measure peak torque, so presumably, the percentage of peak torque varied between participants. This difference in percentage of peak torque may contribute to how one fatigues. In order to improve the clinical utility of the PSET, the authors decided to use a standard external torque rather than a percentage of peak torque, so the test could be performed based

on readily available information in a clinical setting. Additionally, the participant's volitional effort is important for testing and control is limited in human studies.

Lastly, given the participants were young and free from shoulder pathology, these results are not generalizable. Other studies have demonstrated that the amount of muscle torque vary depending on training regimen (Garrandes et al., 2007), neuromuscular activation patterns vary among sex (Clark et al., 2005), and peak torque during a fatiguing task change depending on age (Baudry et al., 2007). Therefore, more research is needed to make claims regarding these co-variants.

Conclusion

The findings conclude that the PSET causes fatigue in all of the muscles tested. The PD fatigued significantly faster than the LT and UT in women and men respectively. This study suggests that the PSET is testing the endurance of multiple posterior shoulder girdle muscles, not a specific muscle. Further studies need to consider other muscles that may impart some amount of stabilization to the shoulder complex. Time to task failure may prove a useful clinical measure of shoulder girdle endurance at 90° of shoulder abduction. Future studies should investigate if the PSET is can discriminate between individuals with and without shoulder pain, and if the PSET is a clinically reliable test.

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FIGURE 1. Electrode Placement of the 5 posterior shoulder muscles tested

FIGURE 2. Posterior Shoulder Endurance Test Position at 90° horizontal abduction

Figure 3. Posterior Shoulder Endurance Test Position at 135° horizontal abduction

Figure 4. Female MF20 slope by muscles. The final model included position (90° and 135°) and lean tissue mass of the humerus. N=62 because each muscle was tested over both 90° and 135°.

Figure 5. Female MF20 slope by position.

Figure 6. Male MF20 slope by muscles. The final model included muscle only. N=48 because each muscle was tested over both 90° and 135°.

Figure 7. A comparison of the 90° and 135° position and TTF for females and males. Each sex was compared separately.