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1 An Electromyography Study of Muscular Endurance during the Posterior Shoulder
2 Endurance Test

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13

14 **Key Words:** Fatigue; Shoulder horizontal abduction; Median frequency

15 The authors certify that they have no financial interest in the subject matter or materials
16 discussed in the article.

1 The primary purpose was to determine if there is a difference between the
2 median frequency slopes of 5 posterior shoulder muscles during the initial portion of the
3 Posterior Shoulder Endurance Test (PSET) at the 90⁰ and 135⁰ shoulder abduction
4 positions.

5 Fifty-five healthy volunteers (31 females) participated. The median frequency of
6 the posterior deltoid (PD), upper trapezius (UT), middle trapezius (MT), lower trapezius
7 (LT), and infraspinatus (INF) was measured during the PSET at 90⁰ and 135⁰ of
8 shoulder abduction. External torque of 13±1 Nm was used for females and 21±1 Nm for
9 males. A fixed effect multi-variable regression model was used to investigate the
10 median frequency slopes. Males and females were analyzed separately.

11 Median frequency slopes demonstrated fatigue in all 5 of the muscles. The PD
12 fatigued greater than the UT in males ($p=0.0215$) and greater than the LT in females
13 ($p=0.008$). The time to task failure (TTF) was greater at 90° than 135° for females and
14 males ($p=0.016$; $p=0.0193$) respectively.

15 The PSET causes fatigue in all of the muscles that were tested, with the PD
16 fatiguing at a greater rate compared to one muscle for each sex. This investigation
17 supports using TTF as a clinical measure of shoulder girdle endurance at 90° shoulder
18 abduction.

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21

22 **Introduction**

23 Muscular fatigue in the shoulder girdle has been cited as contributing to pain with
24 overhead, repetitive movements (Chopp-Hurley et al., 2015, Chopp et al., 2010).
25 Muscular endurance is the ability of a muscle to sustain activity performed as an
26 isometric or isotonic contraction. Local ischemia created by a fatigued muscle or
27 compressed tendon can cause structural weakness, whereby limiting local control, and
28 in the rotator cuff tendon, may lead to an inability to control the humeral head during
29 shoulder elevation (Firat and Turker, 2012). This notion supports the assumption that
30 tension overload creates changes to the stability and control of the shoulder girdle.
31 Examining elite swimmers identified training volume as a contributor to muscular pain
32 more than the presence of instability (Sein et al., 2010). Supporting that muscular
33 endurance is a contributing factor in preventing shoulder pain. However, muscular
34 fatigue in the shoulder girdle has received limited research attention (Day et al., 2015,
35 Ebaugh et al., 2006, Moore et al., 2013), and is not commonly evaluated clinically, as no
36 standard test exists.

37 The Posterior Shoulder Endurance Test (PSET) was initially described by Moore
38 et al. (Moore et al., 2013) as an isotonic test performed in a prone position while lifting
39 the arm to 90° of horizontal abduction at a shoulder abduction angle of 90° at 30 beats
40 per minute. An isometric version of the PSET at 135° of shoulder abduction was
41 modified for patients with lateral epicondylagia (Day et al., 2015). Patients with lateral
42 epicondylagia had significantly less endurance than a comparison group without
43 symptoms (Day et al., 2015). However, given that individuals with non-traumatic
44 shoulder pain often have limited range of motion (Chopp-Hurley and Dickerson, 2015),

45 the 135° shoulder abduction position may not be optimal. While the PSET shows
46 promise as a clinical measure for posterior shoulder endurance, the two variations need
47 further evaluation to determine which muscles are being fatigued, and to identify any
48 differences between the two positions.

49 Reductions in electrical conduction and availability of ATP are common causes of
50 local muscular fatigue (Brooks GA, 2005). Because surface EMG can detect the
51 electrical activity of the muscle, using the power spectrum, the median frequency (MF)
52 of the muscle is representative of muscular fatigue (Vollestad, 1997). Surface EMG has
53 been used in multiple studies examining the fatigue characteristics of the shoulder using
54 the power spectrum (Vollestad, 1997, Szucs et al., 2009, Tse et al., 2015, Minning et
55 al., 2007).

56 The primary purpose of this study was to determine if the posterior shoulder
57 muscles were selectively fatigued during the initial phase of the PSET in the 90° and
58 135° positions. A secondary purpose was to determine if there was a difference in the
59 time to task failure (TTF) of the PSET between the 90° and 135° positions.

60 **Methods**

61 There were 31 females (Age= 19.9±1.5 years; weight= 65.8±7.9 Kg;
62 height=166.0±7.0 cm) and 24 males (Age= 25.5±4.2 years; weight= 84.3±11.0 Kg;
63 height=175.7±7.4 cm) in this study. Potential participants were included if they had
64 normal pain-free shoulder mobility. Exclusion criteria included individuals with shoulder
65 pain, individuals that had a history of shoulder surgery, and individuals that had

66 neurological disorders that would exclude them from performing the PSET. All
67 participants were provided and signed an university approved informed consent

68 Participants completed an ordinal scale question which asked them to answer
69 “How many hours per week do you use weights for your upper body?”. Participants
70 could choose “1 hour”, “2-3 hours”, “4-5 hours”, “6-7 hours”, “8-9 hours” or “10+ hours”.
71 Participants also completed the Shoulder Activity Scale questionnaire (Brophy et al.,
72 2005) to determine level activity for their upper extremity.

73 The dominant arm was used in all cases during testing. Lean tissue mass of the
74 upper extremity was estimated using the Hayne’s equation. Hayne’s equation required
75 measuring the girth of the arm (at the midpoint between the angle of the acromion and
76 the tip of the olecranon process) and the triceps skin fold measurement is used
77 (McArdle WD, 2015). Skin was prepared for electrode placement by shaving any hair,
78 using sandpaper, and isopropyl alcohol (Soderberg, 1992). The length of the upper
79 extremity was measured from the acromioclavicular joint to the distal end of the radial
80 styloid process with the elbow straight. Body weight, and height were obtained. Using
81 the measured bodyweight and arm length the external torque needed to reach the
82 standardized level was determined. The external torque was standardized based on
83 published anthropometric data using the 50th percentile for both males and females
84 (Chaffin DB, 1999). Based on pilot testing, males used an external torque of 21 ± 1 Nm
85 and females used an external torque of 13 ± 1 Nm. Once the anthropometric data
86 estimated the torque provided by the arm alone, an additional external load was
87 provided to the nearest 0.23 kg. The external load in males ranged from 2.05-2.5 Kg,
88 and the external load ranged in females from 1.36-1.59 Kg. Prior to testing, participants

89 performed a 5-minute warm-up on a Biodex Upper Body Ergometer, and were
90 familiarized with testing procedures.

91 Electromyographic data were collected using Noraxon MyoMuscle v.MR3.8.6
92 (Noraxon USA, Inc., Scottsdale, AZ, USA) with the following characteristics: CMRR
93 was greater than 100 dB at 50 Hz; electromyographic signals were recorded at a
94 sampling rate of 1500 Hz. Noraxon dual self-adhesive Ag/AgCl snap electrodes with a
95 2.0cm inter-electrode distance were attached to Noraxon DTS sensors, which
96 communicated with Noraxon MyoMuscle transmitter.

97 Self-adhesive electrodes were placed parallel to the muscle fiber direction on the
98 posterior deltoid (PD), upper trapezius (UT), middle trapezius (MT), lower trapezius
99 (LT), and infraspinatus (INF) according to SENIAM standards (Hermens et al., 2000)
100 and published data (FIGURE 1) (Soderberg, 1992, Waite et al., 2010). The PD
101 electrodes were placed 3 cm inferior to the angle of the acromial process. The UT
102 electrodes were placed between the midpoint of C7 spinous process and the acromion
103 process. The MT electrodes were placed between the midpoint of T3 spinous process
104 and the medial border of the root of the scapula. The LT electrodes were placed 2/3
105 distance from the superior medial angle of the scapula to T8 spinous process. The INF
106 electrodes were placed 4 cm inferior from the middle spine of the scapula.

107 The PSET was performed with participant in prone with arm at 90° and 135°
108 shoulder abduction angles (FIGURE 2, 3) A stand-alone target was used to assured
109 participants remained in the testing position throughout each trial. Participants were
110 instructed to maintain contact with the target, but not to excessively push into the target.
111 The researchers provided verbal encouragement. The trial was finished when the

112 participant failed to maintain contact with the target, demonstrated excessive
113 substitution patterns, or voluntarily stopped. Researchers measured time to task failure
114 (TTF) with a stopwatch. Testing position was alternated between subjects, and
115 participants were given 15 minutes of recovery between the test positions (Lariviere et
116 al., 2003).

117 Noraxon MyoMuscle software was used to analyze the raw EMG signals. This
118 analysis converts the EMG signal into the power spectrum using the Fast Fourier
119 Transformation ($|\text{FFT}(x)|^2$) and then calculates the median frequency (MF) for each
120 second of activity creating a slope of median frequency. Median frequency for the first
121 20 seconds (MF20) of the activity were used for analysis in order to compare the same
122 amount of time across participants (90° Range = 31-91 seconds; 135° Range = 23-83
123 seconds). Male and female participants used differing external torque loads and were
124 analyzed separately.

125 Each repeated measures models subset utilized backward selection to look for
126 associations with MF20. Considered co-variants included were muscle (PD, UT, LT,
127 MT, and INF), position (90⁰ and 135⁰), BMI, triceps lean muscle mass, Shoulder activity
128 scale questionnaire (Brophy et al., 2005), and the ordinal scale question for time of
129 exercise. An a priori alpha level = 0.05 was set for all statistical tests, and Tukey-
130 Kramer (Adj. p) was used for post-hoc pair-wise comparisons when appropriate. TTF of
131 the PSET was measured in seconds for the total duration of the test. Paired t-tests
132 compared the TTF separately for males and females. All analyses were performed
133 using SAS (v. 9.4).

134 **Results**

135 The final model for the female subjects found significant differences in the MF20
136 slope by the posterior shoulder muscles (PD, UT, MT, LT, and INF), position (90° and
137 135°), and lean tissue mass of the humerus. The final model for males only found
138 significant differences in the MF20 slope by the posterior shoulder muscles (PD, UT,
139 MT, LT, and INF).

140 Female Results

141 The repeated measures regression model of the MF20 slopes showed that there
142 was a significant difference between muscles while controlling for position and triceps
143 lean muscle mass. Body Mass Index (BMI), shoulder activity scale questionnaire and
144 ordinal scale question were not retained in the final model. Pairwise comparisons
145 revealed the PD (mean \pm SE = -0.81 ± 0.04) was greater than the LT (-0.58 ± 0.04) (Adj.
146 $p= 0.0077$) for MF20 but all other muscles fatigued at the same rate (FIGURE 4). The
147 model identified a significant difference between in MF20 between the 135° ($-0.749 \pm$
148 $.03$) and 90° ($-0.63 \pm .03$, Adj. $p=.0009$) position (FIGURE 5). With every one unit of
149 area increase in triceps lean muscle mass (cm^2) the slope of fatigue was decreased by
150 0.01 ($p=.0002$). The paired t-test examining the TTF between positions revealed that
151 90° position (58.1 ± 2.4 seconds) required longer time than the 135° position (49.2 ± 2.5
152 seconds) ($p=.016$) (FIGURE 7).

153 Male Results

154 The repeated measures regression model of MF20 slopes showed that there was
155 a significant difference in slopes between muscles ($p = .018$). Position, BMI, shoulder
156 activity scale, exercise scale, and triceps lean muscle mass were not retained in the

157 final model. Pairwise comparison between the MF20 slopes revealed that only PD (-
158 0.87 ± 0.08) slope was greater than the UT (-0.59 ± 0.09) slope (Adj. $p= 0.02$), and all
159 other muscles fatigued at the same rate (FIGURE 6). MF20 was not significantly
160 difference by position ($p=.223$). The paired t-test examining TTF revealed that the 90°
161 position (68.5 ± 2.8 seconds) required a longer time to reach fatigue than the 135°
162 position (59.6 ± 2.4 seconds) ($p=.019$) (FIGURE 7).

163 **Discussion**

164 The results of the current investigation examined fatigue of 5 posterior shoulder
165 muscles during the PSET at two different shoulder abduction angles suggest the PSET
166 is a measure of multiple shoulder girdle muscles fatiguing at a similar rate. The MF20
167 slope was decreasing at nearly the same rate in all muscles tested for both men and
168 women (FIGURE 4, 6). Previous studies have demonstrated that many shoulder girdle
169 muscles work synergistically to control the position of the scapula for optimal function
170 (Cools et al., 2007, Cools et al., 2002, Merolla et al., 2010). While certain positions may
171 bias different scapular stabilizers (De Mey et al., 2013, Arlotta et al., 2011, Ha et al.,
172 2012), coordination of the muscle contraction varies amongst individuals (Phadke and
173 Ludewig, 2013, Hawkes et al., 2012).

174 Posterior deltoid is most active during horizontal abduction suggesting it is a
175 prime mover (Pearl et al., 1992). The current study showed that when accounting for
176 other controlling factors, the PD muscle fatigued similarly to all the other muscles except
177 for the UT in males and LT in females. Using the position of horizontal abduction, likely
178 accounts for the PD to fatigue at steeper slope than two of the muscles but not all. A
179 cross-sectional EMG study that examined the middle deltoid (MD), UT, LT, and serratus

180 anterior during a fatiguing task of shoulder elevation found the MD fatigued sooner than
181 the other muscles tested. Similar to the current investigation, all of the muscles
182 significantly fatigued during the task (Minning et al., 2007). While the current
183 investigation did not measure the MD or serratus anterior, the three trapezius muscles
184 behavior to fatigue were similar in both studies. Since torque is produced by
185 multiplying the force and moment arm, and mechanical advantage is the ratio of the
186 external moment arm and internal moment arm, adding the external load to the distal
187 segment would reduce the muscle's mechanical advantage. Therefore, it is reasonable
188 that the deltoid muscle, whether the MD or PD, would fatigue at a faster rate than the
189 other muscles. However, there was no statistical difference in the median frequency
190 slopes between the PD and the other muscles tested with the exception of one other
191 muscle in each sex. Therefore, one could argue that the PSET is actually measuring
192 muscle fatigue in multiple posterior shoulder girdle muscles. While the PD may be the
193 prime mover, the other synergist muscles are also fatiguing similarly in the current
194 investigation and the Minning et al. (2007) study.

195 When comparing the MF slopes between participants, it is important to calculate
196 MF across the same time window. MF20 of the PSET were used for analysis because
197 one participant was only able to hold the 135° position for 23 seconds. However, since
198 the majority of MF slope change occurred during the initial portion of the exercise, the
199 first 20 seconds should represent muscle fatigue (Cifrek et al., 2009).

200 The vast majority of kinematic studies attribute reductions in upward rotation of
201 the scapula, and posterior tilting to subacromial impingement (Ludewig and Reynolds,
202 2009). As shoulder abduction angles increases, scapular upward rotation and posterior

203 tilting also increase. Therefore, the authors hypothesize the 135° position of shoulder
204 abduction may create subacromial space narrowing, preventing individuals with
205 shoulder pain from performing the test. Additionally, exercise prescription for muscular
206 endurance includes resistance training at relatively light external torque loads while
207 performing static holds or a high number of repetitions (Campos et al., 2002).
208 Therefore, using the test position that typically requires a longer duration may be
209 beneficial to measured muscular endurance as opposed to merely muscular strength.
210 Since the 90° shoulder abduction position took approximately 10 second longer to
211 fatigue, it is reasonable to assume that the 90° position would ensure muscular
212 endurance assessment better than the 135° position in the absence of surface EMG
213 verification. Hence, the authors recommend using the 90° shoulder abduction PSET
214 position since the 90° position would likely be less painful in a population with shoulder
215 pathology, and this position would ensure assessment of muscular endurance rather
216 than muscular strength alone.

217 Since there were different external torques used between sexes, we were unable
218 to compare across male and female subjects. The decision to use different external
219 torques was based on pilot data a priori. Since the amount of external torque added to
220 the arm was determined from the participant's body weight and arm length, if similar
221 torques were used across sexes, the female participants would have had to hold larger
222 external loads than the male participants did. Therefore, females used an external
223 torque of 13 ± 1 Nm, while males used an external torque of 21 ± 1 Nm.

224 This study has limitations to acknowledge. While proper SEMIAM guidelines
225 were followed for surface EMG electrode placement and data collection (Soderberg,

226 1992), and the primary author consistently performed the electrode placement, surface
227 EMG is still susceptible to cross talk from neighboring muscles. The possibility of using
228 surface electrodes to estimate intramuscular muscle activity and using their
229 mathematical formulas found that cross talk ranged from 4.4% to 17.3%, with the cross
230 talk being greatest in muscles that overlap one another (Waite et al., 2010). Based on
231 their findings it is likely the supraspinatus was contributing to the surface EMG
232 placement of the upper trapezius, and posterior deltoid.

233 Additionally, a limitation of spectral frequency analysis is that the muscle volume
234 conductor may serve as a low-pass filter. This would also include differences in body
235 fat and skin impedance differences between subjects. Thus, a high-velocity motor unit
236 that is recruited deep in the tissue may be represented in the lower frequency portion of
237 the power spectrum (Farina et al., 2002). This limitation may be another explanation of
238 the PD fatiguing at a faster rate than the other muscles. While this limitation cannot be
239 denied, median frequency has been used to objectively observe muscle fatigue
240 elsewhere (Vollestad, 1997, Tse et al., 2015, Minning et al., 2007).

241 While clear definitions for muscle fatigue were used in this study, we could not
242 control for what was leading to fatigue. Both peripheral and central factors may
243 contribute to fatigue (Enoka and Duchateau, 2008). In fact, it appears that the cause of
244 muscle fatigue may be task-specific. The current study did not measure peak torque,
245 so presumably, the percentage of peak torque varied between participants. This
246 difference in percentage of peak torque may contribute to how one fatigues. In order to
247 improve the clinical utility of the PSET, the authors decided to use a standard external
248 torque rather than a percentage of peak torque, so the test could be performed based

249 on readily available information in a clinical setting. Additionally, the participant's
250 volitional effort is important for testing and control is limited in human studies.

251 Lastly, given the participants were young and free from shoulder pathology,
252 these results are not generalizable. Other studies have demonstrated that the amount
253 of muscle torque vary depending on training regimen (Garrandes et al., 2007),
254 neuromuscular activation patterns vary among sex (Clark et al., 2005), and peak torque
255 during a fatiguing task change depending on age (Baudry et al., 2007). Therefore, more
256 research is needed to make claims regarding these co-variants.

257 **Conclusion**

258 The findings conclude that the PSET causes fatigue in all of the muscles tested.
259 The PD fatigued significantly faster than the LT and UT in women and men respectively.
260 This study suggests that the PSET is testing the endurance of multiple posterior
261 shoulder girdle muscles, not a specific muscle. Further studies need to consider other
262 muscles that may impart some amount of stabilization to the shoulder complex. Time to
263 task failure may prove a useful clinical measure of shoulder girdle endurance at 90° of
264 shoulder abduction. Future studies should investigate if the PSET is can discriminate
265 between individuals with and without shoulder pain, and if the PSET is a clinically
266 reliable test.

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FIGURE 1. Electrode Placement of the 5 posterior shoulder muscles tested



FIGURE 2. Posterior Shoulder Endurance Test Position at 90° horizontal abduction

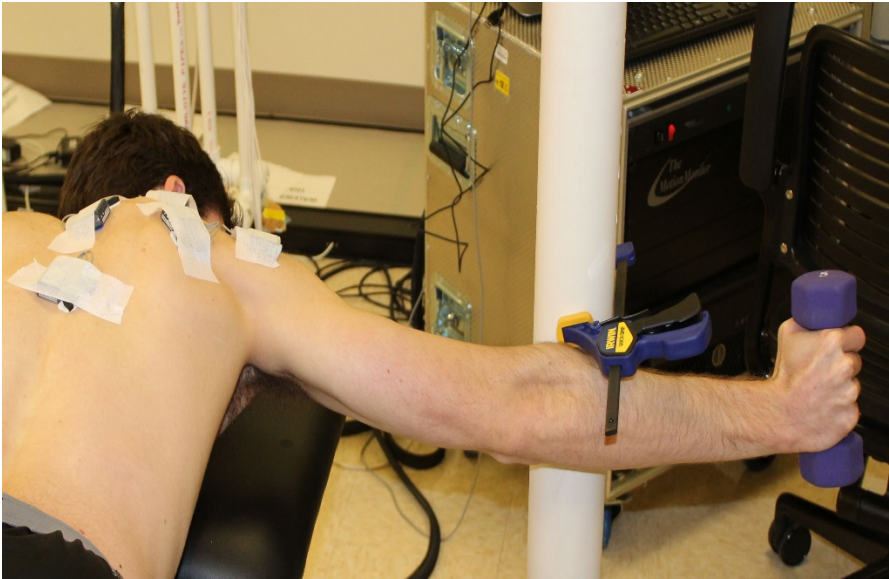


Figure 3. Posterior Shoulder Endurance Test Position at 135° horizontal abduction

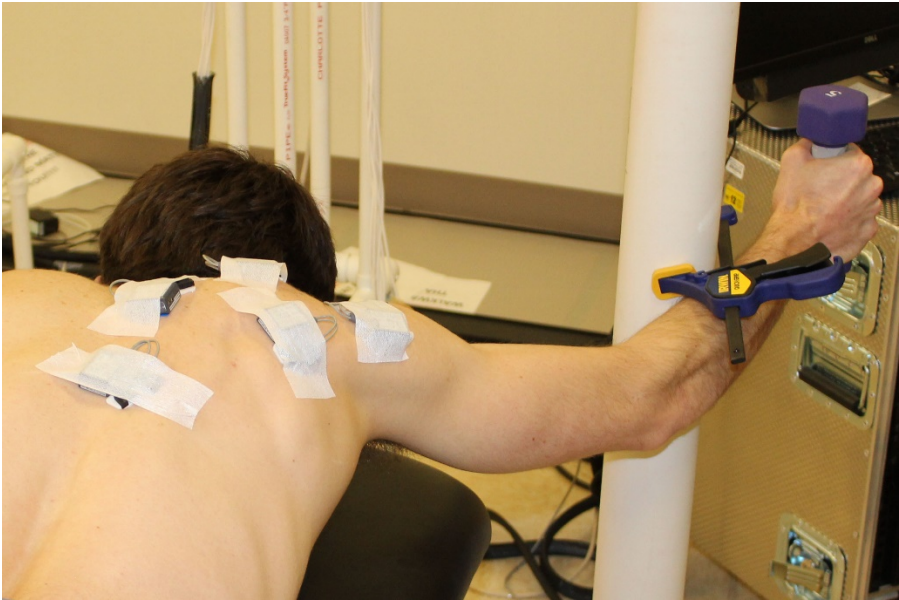


Figure 4. Female MF20 slope by muscles. The final model included position (90° and 135°) and lean tissue mass of the humerus. N=62 because each muscle was tested over both 90° and 135°.

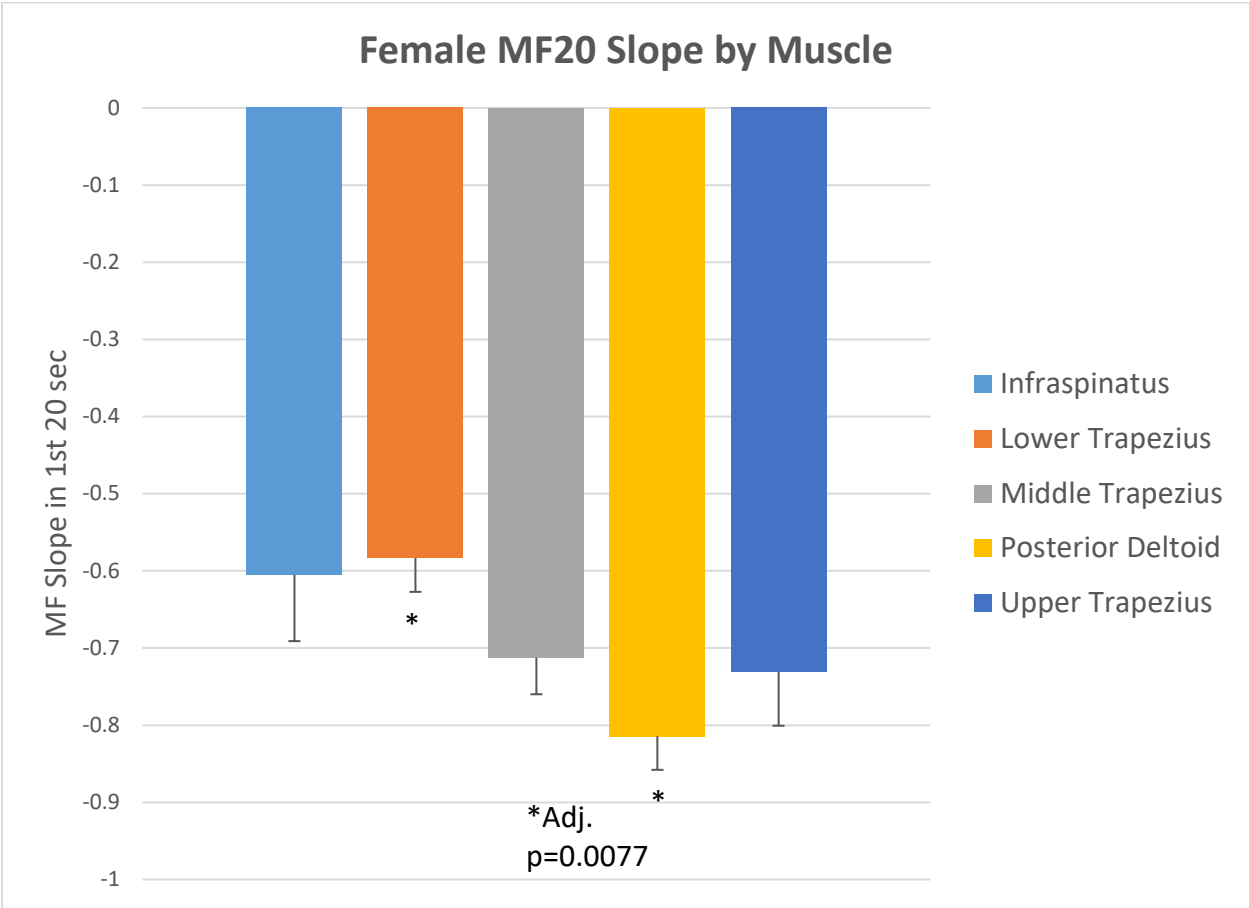


Figure 5. Female MF20 slope by position.

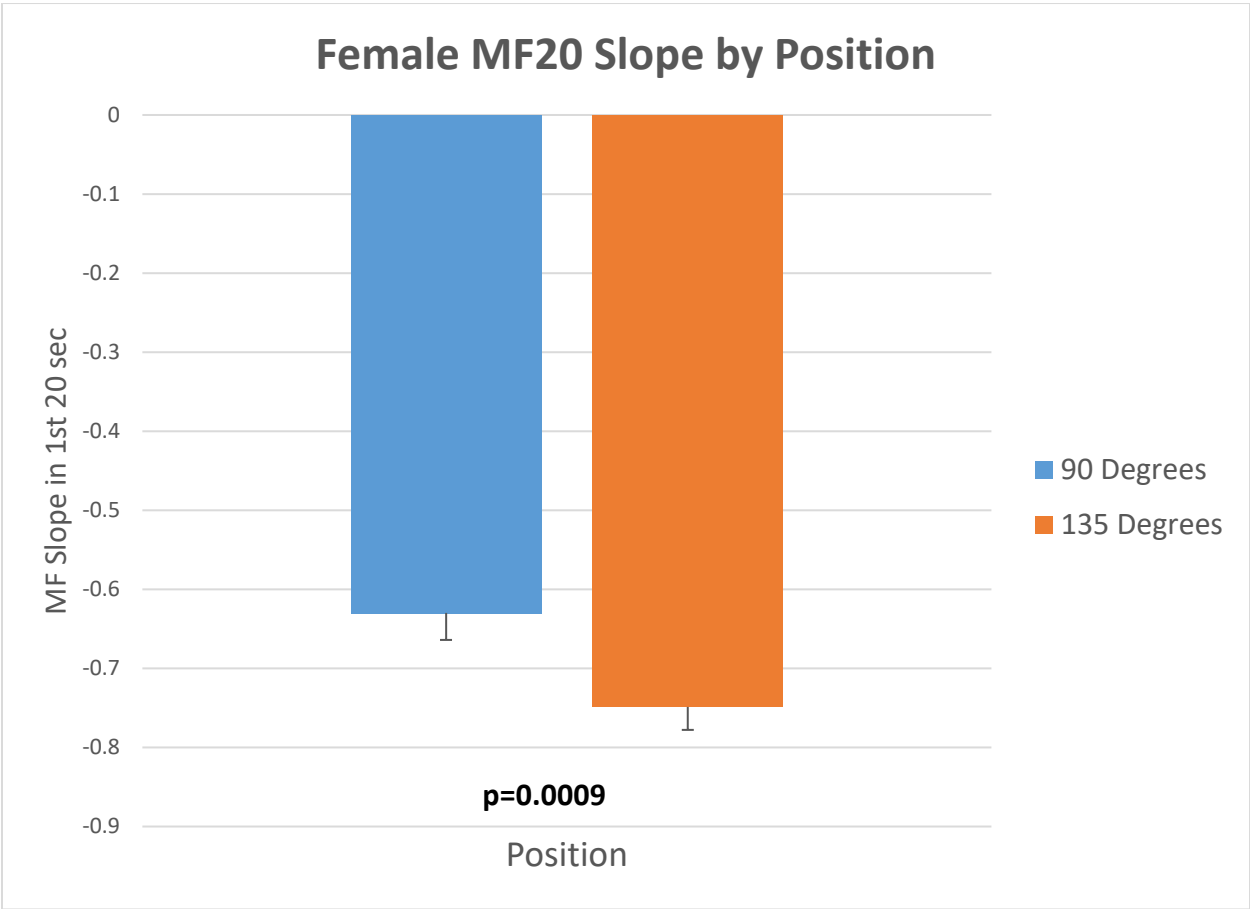


Figure 6. Male MF20 slope by muscles. The final model included muscle only. N=48 because each muscle was tested over both 90° and 135°.

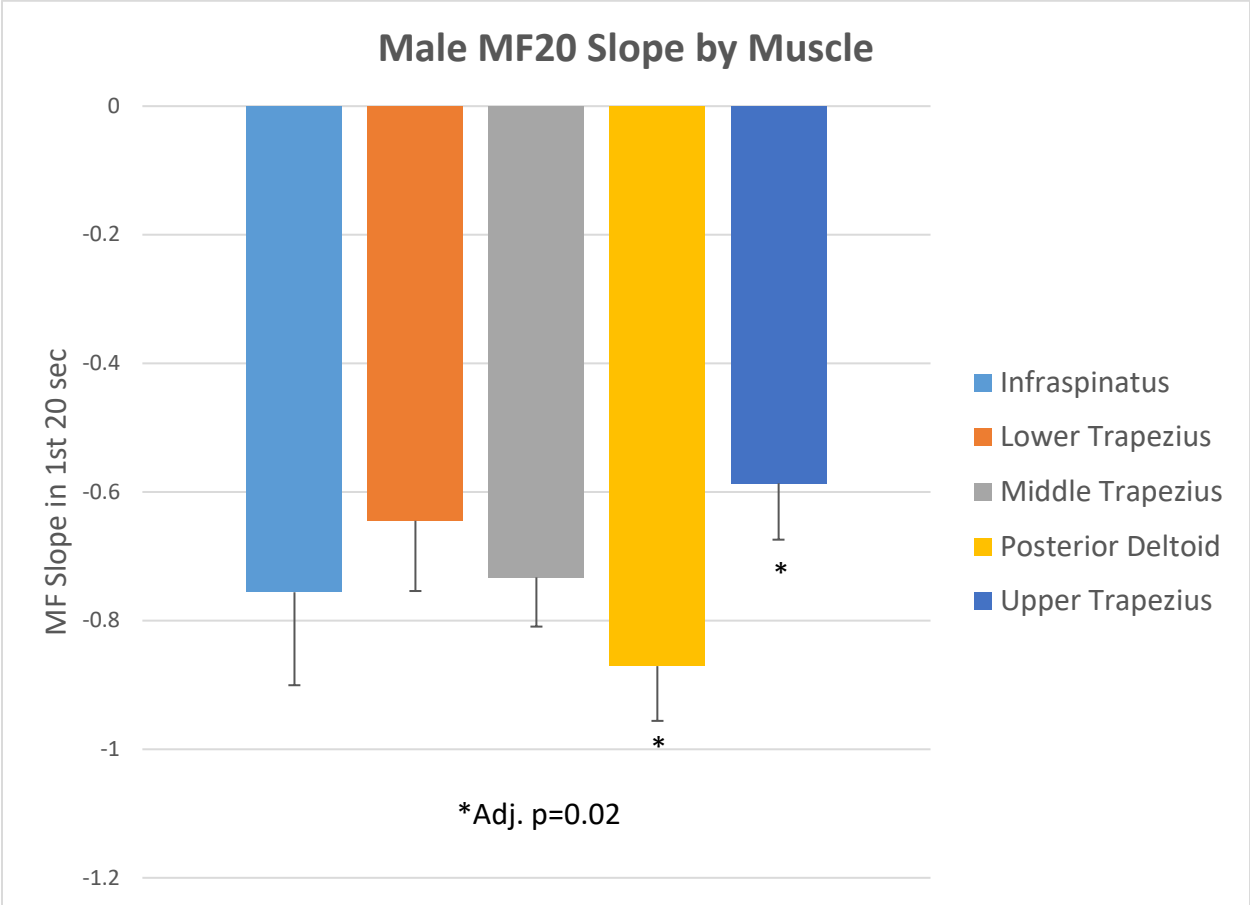


Figure 7. A comparison of the 90° and 135° position and TTF for females and males. Each sex was compared separately.

