

3-2017

Effectiveness of a Home-Based Eccentric-Exercise Program on the Torque-Angle Relationship of the Shoulder External Rotators: A Pilot Study

Timothy L. Uhl

University of Kentucky, tluhl2@uky.edu

Thomas Rice

Louisiana Tech University

Brianna M. Papotto

University of Kentucky, brianna.papotto@uky.edu

Timothy A. Butterfield

University of Kentucky, tim.butterfield@uky.edu

Right click to open a feedback form in a new tab to let us know how this document benefits you.

Follow this and additional works at: https://uknowledge.uky.edu/rehabsci_facpub

 Part of the [Rehabilitation and Therapy Commons](#)

Repository Citation

Uhl, Timothy L.; Rice, Thomas; Papotto, Brianna M.; and Butterfield, Timothy A., "Effectiveness of a Home-Based Eccentric-Exercise Program on the Torque-Angle Relationship of the Shoulder External Rotators: A Pilot Study" (2017). *Rehabilitation Sciences Faculty Publications*. 66.

https://uknowledge.uky.edu/rehabsci_facpub/66

This Article is brought to you for free and open access by the Rehabilitation Sciences at UKnowledge. It has been accepted for inclusion in Rehabilitation Sciences Faculty Publications by an authorized administrator of UKnowledge. For more information, please contact UKnowledge@lsv.uky.edu.

Effectiveness of a Home-Based Eccentric-Exercise Program on the Torque-Angle Relationship of the Shoulder External Rotators: A Pilot Study

Notes/Citation Information

Published in *Journal of Sport Rehabilitation*, v. 26, issue 2, p. 141-150.

© 2017 Human Kinetics, Inc.

The copyright holder has granted the permission for posting the article here.

The document available for download is the authors' post-peer-review final draft of the article.

Digital Object Identifier (DOI)

<https://doi.org/10.1123/jsr.2017-0020>

Effectiveness of a Home-based Eccentric Exercise Program on the Torque-Angle Relationship of the Shoulder External Rotators: A Pilot Study

Timothy L. Uhl^{1,2} Thomas Rice³ Brianna Papotto¹ Timothy A. Butterfield^{1,2,4}

1. Department of Rehabilitation Sciences, Division of Athletic Training, University of Kentucky
2. Center for Muscle Biology, University of Kentucky, Lexington, KY
3. Department of Athletics, Louisiana Tech University, Rushton, LA
4. Department of Physiology, University of Kentucky, Lexington, KY

Corresponding author.

Timothy A Butterfield, PhD ATC

Department of Rehabilitations Sciences

University of Kentucky

Lexington, KY 40536-0200

859-218-0840

tim.butterfield@uky.edu

1 **ABSTRACT**

2

3 Context: The role of the rotator cuff is to provide dynamic stability to the glenohumeral
4 joint. Human and animal studies have identified sarcomereogenesis as an outcome of
5 eccentric training indicated by more torque generation with the muscle in a lengthen
6 position.

7 Objective: We hypothesize that a home-based eccentric exercise program can increase
8 the shoulder external rotators eccentric strength at terminal internal rotation.

9 Design: Prospective case series.

10 Setting: Clinical laboratory and home exercising.

11 Participants: 10 healthy subjects (age=30 ±10 years)

12 Intervention: All participants performed two eccentric exercises targeting the posterior
13 shoulder for 6 weeks using a home based intervention program using side-lying external
14 rotation and horizontal abduction.

15 Main Outcome Measures: Dynamic eccentric shoulder strength measured at 60°/sec
16 through a 100° arc divided into four equal 25° arcs (ER 50-25°, ER 25-0°, IR 0-25°, IR
17 25-50°) to measure angular impulse to represent the work performed. Additionally,
18 isometric shoulder external rotation was measured at 5 points throughout the arc of
19 motion (45° IR, 30° IR, 15° IR, 0°, and 15° ER). Comparison of isometric and dynamic
20 strength from pre to post testing was evaluated with a repeated measure ANOVA using
21 time and arc or positions as within factors.

22 Results: The isometric force measures revealed no significant differences between the
23 five positions (P = 0.56, Table 1). The dynamic eccentric data analysis revealed a
24 significant difference between arcs (P = 0.02). The arc of Internal Rotation 25-50°
25 percent change score was found to be significantly greater than the arc of Internal
26 Rotation 0-25° (P = 0.007).

27

28 Conclusion: Following eccentric training the only arc of motion that had a positive
29 improvement in the capacity to absorb eccentric loads was the arc of motion that
30 represented eccentric contractions at the longest muscle length.

31 INTRODUCTION

32

33 The innate function of skeletal muscle is determined by its cell structure (fiber
34 morphology) and how these cells are arranged (muscle architecture). Fortunately, the
35 plasticity of skeletal muscle permits modifications to morphology and architecture when
36 the fibers are subjected to altered biochemical and mechanical stress during exercise-
37 induced loss of homeostasis.¹ The subsequent architectural and structural adaptations
38 attenuate these stresses, thereby modifying fiber and muscle function.^{2,3} For example,
39 chronic training-induced fiber type transitions reduce the biochemical stresses produced
40 by cell metabolism,⁴ whereas fiber specific hypertrophy attenuates mechanical
41 stresses.⁵ Arguably, the most clinically recognizable exercise-induced adaptation in
42 skeletal muscle is hypertrophy, or the cumulative effect of increased muscle fiber size.
43 At the cellular level, muscle fibers can increase their size through mechanisms of
44 myofibrillogenesis and / or sarcomerogenesis.

45 Myofibrillogenesis is muscle fiber hypertrophy in the axial direction and increases
46 the cross sectional area of the fiber, because sarcomeres are added in parallel.
47 Sarcomeres are force producing elements, and the forces produced by them are additive
48 in parallel. Therefore, increases in muscle cross sectional area is a good predictor of
49 peak isometric force⁶ which is easily tested in the clinic and used as an objective criteria
50 for return to play following injury.⁷ Muscle fiber activation and the production of internal
51 forces are essential stimuli to optimize exercise-induced myofibrillogenesis.⁸⁻¹⁰
52 However, if a muscle fiber is also subjected to an external load that results in positive
53 strain or stretch of the fiber, hypertrophy will also occur in the longitudinal direction,
54 increasing fiber length due to sarcomerogenesis.^{11, 12}

55 Sarcomereogenesis, or the addition of sarcomeres in series within a muscle
56 fiber, has been studied extensively with *in-vitro*^{13, 14}, *in-situ*^{15, 16} and *in-vivo*^{12, 17-23}
57 models. Although immobilizing a muscle in a lengthened position results in an increase

58 in serial sarcomere number^{21, 22, 24, 25} this addition is reversed if the stimulus is removed.
59 Subsequently, the lack of tension sensing in the sarcomeres returns the serial
60 sarcomere number to pre-stretch numbers within weeks, and demonstrates the plasticity
61 of sarcomere number and its relationship to joint angle, and muscle tension.

62 Serial sarcomere number within individual fibers demonstrates a high correlation
63 to joint angle²⁶, and signifies a mechanical advantage produced through the gain of
64 sarcomeres in series. Increased serial sarcomere number would be of benefit in a static
65 contraction, improving the muscle function by shifting the force-length relationship to the
66 right, producing peak isometric force at a longer muscle length, or greater torque at a
67 greater joint angle. During a dynamic contraction, this would reduce sarcomere strain for
68 a given joint angle during eccentric contractions^{3, 12}. Further adaptations to function
69 would be manifested as increases in contractile velocity²⁷, muscle power²⁸, and
70 extensibility¹¹. Clinically, this functional adaptation in serial sarcomere number may also
71 prevent injury when the muscle consistently works eccentrically at longer lengths^{11, 22, 29}.
72 These dynamic adaptations have been demonstrated in animal models using freely
73 walking rats^{20, 23, 30} and controlled eccentric exercise protocols in rabbits^{12, 15, 31}.

74 The adaptation of sarcomere addition in series following chronic eccentric
75 exercise supports a previously proposed mechanism whereby sarcomere length is
76 optimized for the muscle length at which force exerted on the tendon is the greatest³².
77 Therefore this adaptation in serial sarcomere number has clinical implications as a
78 potential injury preventing mechanism, due to the shift of the force-length (torque-joint
79 angle) relationship to produce greater force (torque) at longer muscle lengths¹¹.
80 Although sarcomere numbers have not been counted in human subjects following
81 eccentric exercise training, recent studies have demonstrated indirect evidence of
82 sarcomerogenesis in human subjects, including adaptations in muscle function^{33, 34} and
83 morphology^{35, 36} focused primarily on thigh³⁵⁻³⁹ and brachial^{34, 35} muscles. To date,

84 there are no data available as to the effectiveness of an eccentrically biased training
85 protocol on the function of the external rotators of the glenohumeral joint. Because these
86 muscles are integral to the deceleration of the humerus during throwing ⁴⁰, training
87 protocols that produce a rightward shift of the torque – joint angle relationship may prove
88 beneficial. Therefore, the purpose of this study was examine the effectiveness of a six
89 week home-based eccentric exercise program to enhance isometric and eccentric
90 external rotation strength in lengthened positions.

91

92 **METHODS**

93

94

95 **Setting and Participants**

96

97

98 Ten participants volunteered for this study from a sample of convenience at a
99 university setting. (Age: 30 ± 10 years, Height: 164 ± 10 cm, Mass: 79 ± 18 kg). Subjects were
100 excluded from participation if they reported a history of shoulder or neck pathology,
101 previous shoulder or neck surgery, or shoulder or neck pain within the last 6 months. All
102 healthy subjects not excluded and willing to participate read and signed a University of
103 Kentucky Institutional Review Board approved informed consent prior to
104 participation in the study.

105 Subjects filled out the Penn Shoulder Score before testing to evaluate level of
106 shoulder function prior to participating. The Penn shoulder score ranges from 0-100 with
107 100 representing highest level of function. The score has been found to be a reliable and
108 valid measure of shoulder function⁴¹. The Penn shoulder score averaged 97 with a range
109 (85 – 100) indicating that current participants demonstrated near normal function at the
110 onset of the study. All testing was completed at the Musculoskeletal Laboratory at the
111 University of Kentucky with a single unblinded investigator performing all testing.

112

113 **Study Design**

114

115 This prospective case series investigation was designed to investigate the
116 effectiveness of home-based eccentric exercises for the posterior shoulder to improve
117 external rotation strength and improve ability of the posterior shoulder to absorb dynamic
118 internal rotation forces. Three days of familiarization with 1 week of rest between testing
119 episodes was used to establish baseline values and evaluate reliability of testing
120 procedures. A six-week exercise intervention incorporating 2 exercises was carried out
121 by all participants. The same testing procedures were repeated after the program to
122 evaluate changes from the intervention. Participants were asked to not start a new
123 exercise program during the study however they could continue to perform their normal
124 exercise and activities of daily living during the study. The independent variable is time
125 identified as pre-exercise and post-exercise tests. There are 2 dependent variables
126 (isometric torque at 5 angles and dynamic eccentric shoulder external rotation angular
127 impulse) that were measured at every time point.

128

129 **Isometric and Isokinetic Testing Procedures**

130

131 Prior to shoulder testing all participants completed 3 shoulder stretches (cross
132 body, sleeper stretch, corner wall shoulder stretch) for 2 sets of 30 seconds each.
133 Participants then warmed up with two active range of motion exercises with no load
134 consisting of side-lying external rotation and side-lying horizontal shoulder abduction and
135 adduction. Each exercise was performed for approximately one minute. The same
136 warm-up occurred prior to each day of testing.

137 Next, shoulder strength testing was performed using an isokinetic dynamometer
138 (Cybex Norm, Ronkonkoma, NY) as previously reported.⁴² Participants were seated
139 with their dominant shoulder in 60 degrees of abduction and 30 degrees of horizontal

140 adduction. This was defined as the scapular plane in the Cybex Norm user's manual.
141 Both positions were confirmed using a hand held goniometer on all subjects. Isometric
142 testing was always performed first, isometric shoulder external rotation strength was
143 determined from the average of two trials taken at five test positions (45° IR, 30° IR, 15°
144 IR, 0°, and 15° ER). The order of the test position was randomly assigned using a
145 random number generator with Microsoft Excel on each testing day in order to minimize
146 length change biases related to the length-dependent and time-dependent properties of
147 muscle.^{43, 44}

148 In each test position, subjects performed one sub-maximal practice repetition for
149 3 seconds, rested for 20 seconds and then performed two maximal repetitions for 3-
150 seconds with a 60 second rest between each effort as previously established.³³
151 Standardized verbal encouragement was given during isometric strength testing for
152 maximal repetitions to attempt to maximize the subject's effort and strength potential.⁴⁵
153 Peak torque was recorded for both isometric contractions at every angle and averaged
154 to represent angle specific torque. The excellent reliability of these testing procedures
155 between days (ICC \geq 0.85) has been previously reported.⁴²

156 Following the collection of isometric torque data, dynamic eccentric shoulder
157 external rotation torque data were collected, while maintaining the shoulder in the same
158 test position and through a 100° arc of motion from 50° of external rotation to 50° of
159 internal rotation. The continuous passive motion (CPM) mode was used with the Humac
160 software (Computer Sports Medicine Inc, Stoughton, MA) on the Cybex Norm with an
161 internal rotation velocity set at 60°/second. From the start position of 50° external
162 rotation, the subject was instructed to maximally contract into external rotation to initiate
163 internal rotation. The subject was instructed to maximally resist internal rotation through
164 the entire range of motion in order to evaluate dynamic eccentric external rotation torque
165 production. The subject was asked to relax his/her arm as the isokinetic dynamometer

166 passively returned the arm into external rotation starting position at 15°/second. This
167 process removed all concentric activity during testing. Participants were given three
168 minutes to rest following the familiarization phase and then performed six maximal
169 efforts in a row, with 7 seconds of recovery during the passive return to 50° of external
170 rotation between trials. Standardized verbal encouragement was given during eccentric
171 testing. The middle four trials were averaged together to determine angular impulse later
172 used for data reduction and statistical analyses. A total of 3 baseline-testing sessions,
173 one week apart, were collected before initiating the home exercise eccentric program to
174 reduce the effect of motor learning during a novel task.^{46, 47} Post-intervention testing
175 occurred at 6 weeks after the start of the home exercise program, and consisted of the
176 same procedures described above. The reliability of the dynamic eccentric shoulder
177 external rotation strength as determined by angular impulse is excellent (ICC ≥ 0.97) as
178 previously reported.⁴²

179
180
181

Exercise Procedures

182 The home-based exercise program consisted of 2 eccentrically-biased exercises
183 consisting of side-lying horizontal adduction and side-lying external rotation. This
184 exercise protocol is modified from Blackburn et al., shown to be an excellent position to
185 activate the posterior shoulder musculature⁴⁸. Participants were all given the same
186 exercise instructions for performing two sets of each exercise with 15 repetitions per set,
187 4 times a week. In order to focus on the eccentric component of the exercise and
188 minimize the concentric portion, specific instructions were provided and initially
189 performed with investigator supervision. To bias the exercises for eccentric contractions,
190 subjects removed the weight from their own hand at the end of the eccentric contraction
191 phase, and rotated to a supine position to allow gravity to externally rotate the humerus

192 back to the starting position to minimize concentric activity. They then placed the weight
193 back in the hand of the experimental side, and rotated back to side laying for the next
194 repetition. All participants had to demonstrate proper form with both eccentric exercise
195 maneuvers. Form was deemed proper when subjects could effectively eliminate
196 concentric contractions from both exercises regimens, and perform eccentric
197 contractions through the full range of motion at the correct speed as per the instructions
198 (Appendix). To support the clinical instruction, detailed written methods and pictures
199 were given to participants to take home (Appendix). All eccentric exercises were
200 performed at a slow pace of eight seconds for lowering the weight to emphasize the
201 eccentric load to the posterior rotator cuff. Participants returned weekly to progress their
202 resistance loads and assure proper exercise form.

203 Starting resistance for the eccentric exercise was determined from the highest
204 dynamic eccentric shoulder external rotation average peak torque generated on one of
205 the 3 baseline testing days. Average peak torque (Nm) was divided by the length of the
206 subject's forearm (m) to estimate the force (N), which was then converted to pounds and
207 multiplied by 0.2 to determine the weight used for the first week of training. Subjects
208 were progressed on a weekly basis using a linear progression of increasing loads while
209 repetitions were held constant. After the first week, the initial load was increased 20%
210 and then subsequently increased by 25% weekly for the next 5 training weeks. Subjects
211 were given a log to track their weight, sets, and repetitions that was returned at the end
212 of the study. Additionally, a modified Borg perceived exertion scale was used to record
213 level of difficulty when performing exercise. The scale ranged from 0-10 with 10
214 representing maximal effort during an exercise. This allowed the researchers to monitor
215 exercise progress so that resistance loads could match perceived exertion during
216 exercise.

217

218 **Data Reduction and Statistical Analysis**

219

220

221

222

223

224

225

226

227

228

229

230

231

232

233

234

235

236

237

238

239

240

241

242

243

The two isometric trials for each day of testing were averaged together to represent external rotation torque at each shoulder angle. The post-exercise test data were subtracted from the pre-exercise test data for each subject to determine the change score. Shapiro-Wilk test for normality revealed that the isometric data were not normally distributed. Non-Parametric analysis was carried out using Friedman test to determine if change scores differed across the five positions (IR 45°, IR 30°, IR 15°, Neutral, ER 15°) for isometric data with alpha level set at $P \leq 0.05$. Wilcoxon Signed Rank Test was used to compare individual differences between positions if appropriate, with alpha level corrected for ten comparisons ($P \leq 0.005$).

Raw data from each dynamic eccentric testing day for each subject were extracted from the Cybex. The raw data provided time, speed, angle and torque at a rate of 100Hz. These data were imported into an excel (Microsoft, Redwood CA) template to calculate angular impulse. Angular impulse was calculated using the trapezoidal equation for area $\{\Sigma(1/2 [\theta \text{ at point A} + \text{torque at point B}] \cdot .01)\}$ for entire trial. The four middle efforts of the 6 trials were averaged together. The average total angular impulse was further divided into 4 equal 25° arcs of motion to clearly represent work production through the range of motion. The post-exercise test data were subtracted from the pre-exercise test data for each subject to determine the change score. Shapiro-Wilk test for normality revealed that the dynamic eccentric data were not normally distributed. Non-Parametric analysis was carried out using Friedman test to determine if change scores differed across the four arcs (ER 50-25°, ER 25-0°, IR 0-25°, IR 25-50°) for dynamic eccentric data with alpha level set at $P \leq 0.05$. Wilcoxon Signed Rank Test was used to compare individual differences between arcs if appropriate, with alpha level corrected for six comparisons ($P \leq 0.0083$).

244

245 **RESULTS**

246

247

248

249

250

251

252

253

254

255

256

The isometric data analysis is presented using median values and inter-quartile ranges as non-parametric analysis was performed which revealed no significant differences between the five positions ($P = 0.56$, Table 1). The dynamic eccentric data analysis revealed a significant difference between arcs ($P = 0.02$, Figure 1). Correcting for multiple comparisons between the four arcs, there was only one pairwise comparison to reach significant difference. The arc of Internal Rotation 25-50° percent change score was found to be significantly greater than the arc of Internal Rotation 0-25° ($P = 0.007$, Table 2). Following eccentric training the only arc of motion that had a positive improvement in the capacity to absorb eccentric loads was the arc of motion that represented eccentric contractions at the longest muscle length.

257

DISCUSSION

258

259

260

261

262

263

264

265

266

267

268

269

Although Fridén was the first to propose sarcomerogenesis as a beneficial, functional adaptation to eccentric exercise in 1984,⁴⁹ direct mechanistic evidence of increased serial sarcomere number following chronic training with eccentrically biased contractions has only been demonstrated in animal models to date. By training rats to walk on a treadmill, Lynn and Morgan were the first to show an exercise-specific adaptation in serial sarcomere number in the vastus intermedius muscle.³⁰ Although fiber strains were not directly measured, it was reasonably assumed that the quadriceps operated eccentrically during daily bouts of downhill walking, and eccentric training was associated with a significant increase in fiber length and serial sarcomere number, and therefore greater force at longer lengths.^{20, 30} By directly measuring fiber dynamics, Butterfield et al. associated positive active fiber strains to subsequent serial sarcomere

270 number increases of ~10% in the vastus intermedius after 10 days of eccentrically-
271 biased exercise.²³ Subsequently it was shown that higher positive fiber strains during
272 eccentric exercise resulted in greater serial sarcomere number adaptations, and this
273 could be accomplished by exercising the muscle through excursions involving long
274 muscle lengths near or at terminal ranges of motion.^{50 12}

275 Serial sarcomere number measurements, and therefore direct measurements of
276 sarcomerogenesis, are impractical, if not impossible in human subjects. Therefore,
277 architectural and functional measures previously associated with sarcomerogenesis in
278 animal models are used as indirect measures of a beneficial adaptation to eccentric
279 exercise in humans, including a rightward shift in the muscle's torque-joint angle
280 relationship,^{34, 37} adaptations in muscle architecture such as longer muscle fibers,^{35, 36, 51}
281 and/or increased fiber pennation angles.⁵²

282 In this study, by training the posterior shoulder muscles eccentrically, we were
283 interested to see if changes in both isometric and dynamic eccentric strength of the
284 shoulder external rotators would increase the ability of the posterior shoulder
285 musculature to absorb eccentric loads at the end range of the eccentric motion. We
286 found that our eccentrically biased training program for the posterior shoulder muscles
287 did not have an effect on their isometric torque-joint angle relationship. Although a
288 rightward shift following repeated bouts of eccentric exercise training has been
289 associated with serial sarcomerogenesis in human muscle,³⁷ there is evidence that
290 sarcomere number adaptations can also occur without a significant shift in this
291 relationship. Chen et al., found a direct association between training load and torque-
292 angle shift following eccentric exercise training in human subjects.³⁴ In their study, only
293 subjects that performed eccentric exercises at 100% of maximal voluntary contraction
294 exhibited a rightward shift of the torque-angle curve on the biceps brachii, despite
295 additional groups that trained submaximally exhibiting other beneficial training

296 adaptations such as the repeated bout effect, or resistance to subsequent eccentric
297 exercise-induced injury.³⁴

298 It is therefore possible that our training program was not long enough or the
299 resistive load may not have been adequate to facilitate a measureable muscular
300 adaption in isometric torque. This is supported, in part, by the aforementioned eccentric
301 training studies in rabbits, whereby higher evoked forces during eight weeks of eccentric
302 training resulted in greater rightward shift of the torque-angle curves.^{12, 50} In addition,
303 the lack of a shift in the isometric torque-angle relationship may be associated with the
304 methodology in calculating the angle of isometric peak torque production.⁵³ By
305 necessity, the isometric torque measures in our study herein are discreet data points,
306 measured at every 15° of glenohumeral rotation. Therefore, it is possible that changes
307 in isometric peak torque may have occurred between two discreet measurements.
308 Lastly, the torque-angle relationship is a measurement that is sensitive to several
309 factors, and easily altered by factors such as reduced effort, fatigue, alterations in series
310 compliance, and/or changes in muscle / tendon stiffness.⁵³

311 Therefore, we also measured the dynamic eccentric torque-angle relationship as
312 a more robust indicator of the muscle's capacity for energy absorption.^{54, 55} The
313 mechanism of force production during an eccentric contraction differs significantly from
314 the traditional mechanism of cross-bridge produced force during isometric and
315 concentric contractions.⁵⁶⁻⁶⁰ Therefore, forces produced eccentrically are independent of
316 fiber type⁶¹ and although fiber transitions can modify the muscle's contractile velocity,
317 power, and rate of force development during concentric contractions, their influence on
318 force is essentially eliminated during isometric contractions, when the velocity is zero.⁶²
319 However, exercise-induced alterations in the elastic elements of the muscle and/or
320 tendon can modify force production.^{63, 64} Elastic energy storage is an essential
321 component of the shoulder musculature for throwing activities⁶⁵ and stiffening of the

322 parallel elastic component of the muscle by itself or in conjunction with
323 sarcomerogenesis could explain our results. The increase in angular impulse at the
324 longest muscle length is a significant adaptation to eccentrically biased exercise. It can
325 be produced by increasing the length of the muscle fibers²⁰, is indicative of serial
326 sarcomere number increases^{11, 12}, and it increases the amount of energy that the
327 external rotators can absorb while actively lengthening,^{3, 66} and reduces the potential for
328 eccentric exercise-induced strain damage and injury.^{11, 20, 29, 33, 34, 36, 37, 39, 51, 52, 54}

329 It is well documented that the posterior shoulder needs to act eccentrically to
330 decelerate the arm during the termination of a baseball pitch, tennis serve or similar
331 movement.⁶⁷⁻⁷⁰ We believe the ability to effectively activate the posterior shoulder
332 musculature eccentrically through the full range of motion is critical for avoiding injuries
333 in the shoulder, specifically for overhead throwing athletes. Although our subjects
334 performed the testing and exercise procedures with the shoulder in a different position
335 compared to that of a throwing motion, we propose that the functional adaptations
336 measured in this study are translatable. The posterior shoulder musculature must
337 decelerate the shoulder during both the deceleration phase and the follow-through
338 phase of pitching, as the loads are dissipated. Fleisig et al., calculated a significant
339 internal rotation torque at the shoulder that was still evident at terminal internal rotation.⁶⁹
340 At the time of ball release, Werner et al., calculated high distraction forces that were
341 dissipated over course of the following 200ms^{71, 72}, as the shoulder continues to
342 internally rotate to approximately 0° of glenohumeral rotation.⁷³

343

344 **Limitations**

345 We used two different positions for exercising and testing the muscles of the
346 posterior shoulder. It is reasonable to expect that exercise-induced adaptations in
347 skeletal muscle to be specific function; i.e. contraction type and muscle length.

348 Therefore, it is possible that the exact magnitude of the adaptations were not measured
349 due to the different position of testing. However, we did find an improved eccentric
350 impulse at long muscle lengths for the posterior shoulder musculature in a shoulder
351 position (and muscle position), which indicates the robustness of the adaptation at the
352 tissue level. Future studies will utilize a laboratory setting to test and measure in
353 identical positions.

354 It is possible that adaptations in motor unit recruitment occurred in our subjects
355 over the course of the study. However, the lack of a significant training effect in the
356 isometric torque data in conjunction with the systematic improvement in eccentric torque
357 production in only the terminal arc of motion makes this less likely. In addition, muscle
358 morphological and functional adaptations to eccentric loading are evident earlier
359 compared to adaptations from isometric and concentric training, which supports fiber
360 adaptation following a short, eccentrically-biased, four week training program.⁷⁴ In future
361 studies measuring eccentric exercise-induced adaptations in our laboratory, we will
362 include longer exercise durations and higher intensities, incorporate methods to assess
363 muscle activation such as EMG, and measure rate of torque development and muscle
364 stiffness to further separate viable mechanisms underlying the functional adaptations in
365 skeletal muscle.

366

367 **Conclusion**

368 In this pilot study, we have shown for the first time that an eccentrically-biased
369 home exercise program can improve the energy absorption capacity of the posterior
370 shoulder muscles by increasing the eccentric torque production at terminal internal
371 rotation. The exercises performed in this study can be translated easily for clinical use
372 by overhead athletes. While these exercises do not approach the velocity seen in
373 overhead sports, they could be good options for training program for overhead athletes

374 or during rehabilitation to facilitate eccentric strengthening of the posterior shoulder
375 musculature. The two posterior shoulder eccentric exercises used during this six week
376 intervention appear to support the concept of specific adaptation to imposed demand
377 principle and increases the ability to absorb forces with the muscle in a lengthened
378 position.

379

380 **Table 1.** Isometric Change scores

	Median Change Score	Interquartile Range
External Rotation 15°	4.79	(-5.6 – 11.4%)
Neutral 0°	1.18	(-5.1 – 21.6%)
Internal Rotation 15°	7.75	(-10.4 – 26.5%)
Internal Rotation 30°	-1.91	(-2.9 – 17.8%)
Internal Rotation 45°	1.64	(-1.2 – 17.4%)

381 (-) indicates that the isometric strength decreased from baseline value

382

383

384

385 **Table 2.** Dynamic Eccentric Percent Change Scores compared to the longest position of

386 Internal Rotation arc 25-50°

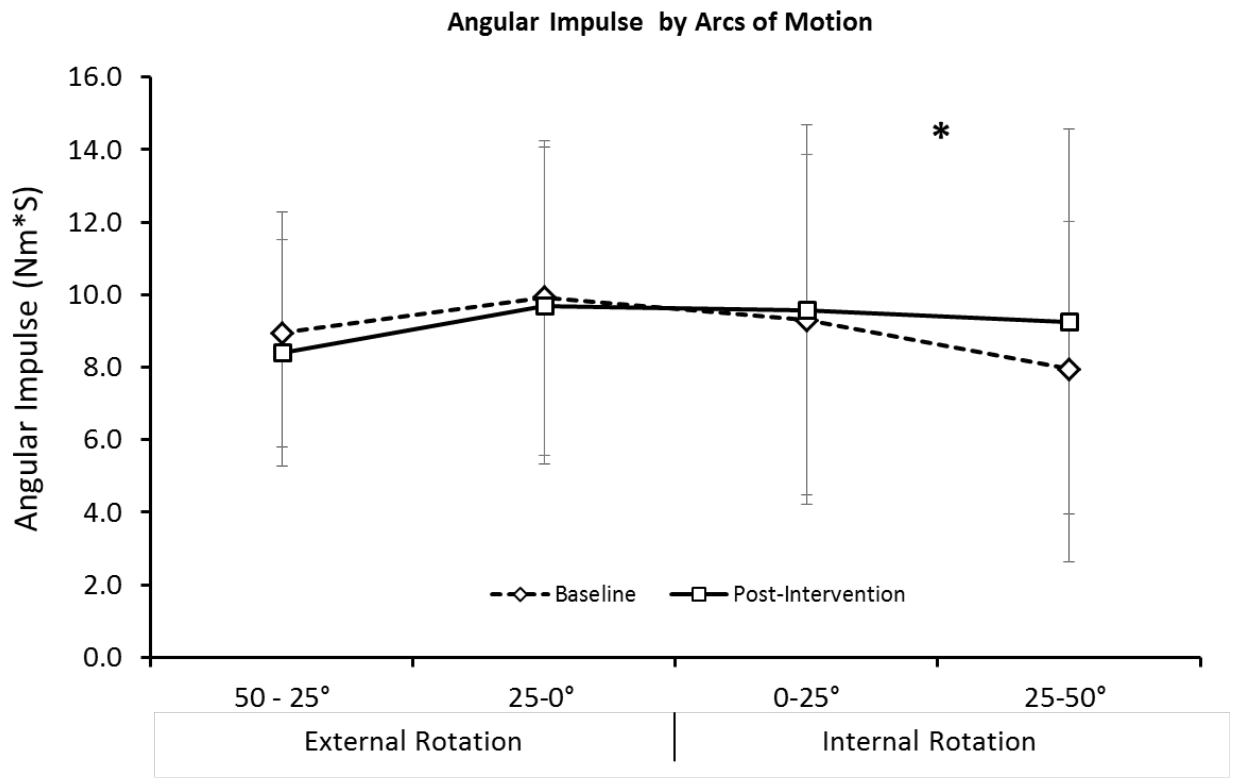
	Short		Long	
	External	External	Internal	Internal
	Rotation	Rotation	Rotation	Rotation
	50-25°	25-0°	0-25°	25-50°
Median Change Scores	-3.4	-3.0	+0.4	+9.5
Interquartile Range	(-21.8 – 12.7)	(-14.1 – 7.9)	(-8.9 – 12.9)	(2.2 – 31.0)
Significance	P = 0.059	P = 0.017	P = 0.007*	
Compared to Long IR 25-50°				

387 * Indicates that change scores is significantly different from Internal Rotation 25-50°

388 (-) indicates that the angular impulse decreased from baseline value

389

390 Figure 1



391

392 **Figure captions**
393

394 **Figure 1.** Mean eccentric angular impulse for the posterior shoulder muscles on day 1
395 (open triangles) and following and eccentrically biased training program (open squares)
396 for four arcs of motion. Eccentric contractions began with the posterior shoulder muscles
397 at their shortest length (50° of external rotation) and the muscles were lengthened during
398 contraction to their longest lengths (50° internal rotation). Following eccentrically biased
399 training, the area under the eccentric torque-angle curve (angular impulse) was
400 significantly greater (*) for the arc of motion that represented the longest muscle lengths
401 (25-50° internal rotation).

402
403

References

404

- 405 **1.** Vanrenterghem J, Nedergaard NJ, Robinson MA, Drust B. Training Load
406 Monitoring in Team Sports: A Novel Framework Separating Physiological and
407 Biomechanical Load-Adaptation Pathways. *Sports Med.* 2017.
- 408 **2.** Clarkson PM, Nosaka K, Braun B. Muscle function after exercise-induced muscle
409 damage and rapid adaptation. *Med Sci Sports Exerc.* 1992;24(5):512-520.
- 410 **3.** Butterfield TA. Eccentric exercise in vivo: strain-induced muscle damage and
411 adaptation in a stable system. *Exerc Sport Sci Rev.* 2010;38(2):51-60.
- 412 **4.** Simoneau JA, Lortie G, Boulay MR, Marcotte M, Thibault MC, Bouchard C.
413 Human skeletal muscle fiber type alteration with high-intensity intermittent
414 training. *Eur J Appl Physiol Occup Physiol.* 1985;54(3):250-253.
- 415 **5.** Fry AC. The role of resistance exercise intensity on muscle fibre adaptations.
416 *Sports Med.* 2004;34(10):663-679.
- 417 **6.** Blazevich AJ, Gill ND, Zhou S. Intra- and intermuscular variation in human
418 quadriceps femoris architecture assessed in vivo. *J Anat.* 2006;209(3):289-310.
- 419 **7.** Petersen W, Taheri P, Forkel P, Zantop T. Return to play following ACL
420 reconstruction: a systematic review about strength deficits. *Arch Orthop Trauma*
421 *Surg.* 2014;134(10):1417-1428.
- 422 **8.** Fluck M, Waxham MN, Hamilton MT, Booth FW. Skeletal muscle Ca(2+)-
423 independent kinase activity increases during either hypertrophy or running. *J Appl*
424 *Physiol (1985).* 2000;88(1):352-358.
- 425 **9.** Nader GA, McLoughlin TJ, Esser KA. mTOR function in skeletal muscle
426 hypertrophy: increased ribosomal RNA via cell cycle regulators. *Am J Physiol*
427 *Cell Physiol.* 2005;289(6):C1457-1465.
- 428 **10.** Esser K. Regulation of mTOR signaling in skeletal muscle hypertrophy. *J*
429 *Musculoskelet Neuronal Interact.* 2008;8(4):338-339.
- 430 **11.** Proske U, Morgan DL. Muscle damage from eccentric exercise: mechanism,
431 mechanical signs, adaptation and clinical applications. *J Physiol.* 2001;537(Pt
432 2):333-345.
- 433 **12.** Butterfield TA, Herzog W. The magnitude of muscle strain does not influence
434 serial sarcomere number adaptations following eccentric exercise. *Pflugers Arch.*
435 2006;451(5):688-700.
- 436 **13.** De Deyne PG. Formation of sarcomeres in developing myotubes: role of
437 mechanical stretch and contractile activation. *Am J Physiol Cell Physiol.*
438 2000;279(6):C1801-1811.
- 439 **14.** Hofmann WW. Mechanisms of muscular hypertrophy. *J Neurol Sci.* 1980;45(2-
440 3):205-216.
- 441 **15.** Koh TJ, Herzog W. Eccentric training does not increase sarcomere number in
442 rabbit dorsiflexor muscles. *J Biomech.* 1998;31(5):499-501.
- 443 **16.** Koh TJ, Herzog W. Excursion is important in regulating sarcomere number in the
444 growing rabbit tibialis anterior. *J Physiol.* 1998;508 (Pt 1):267-280.
- 445 **17.** Burkholder TJ. Age does not influence muscle fiber length adaptation to increased
446 excursion. *J Appl Physiol (1985).* 2001;91(6):2466-2470.

- 447 **18.** Burkholder TJ, Lieber RL. Sarcomere number adaptation after retinaculum
448 transection in adult mice. *J Exp Biol.* 1998;201(Pt 3):309-316.
- 449 **19.** Williams PE, Goldspink G. Longitudinal growth of striated muscle fibres. *J Cell*
450 *Sci.* 1971;9(3):751-767.
- 451 **20.** Lynn R, Talbot JA, Morgan DL. Differences in rat skeletal muscles after incline
452 and decline running. *J Appl Physiol (1985).* 1998;85(1):98-104.
- 453 **21.** Tabary JC, Tabary C, Tardieu C, Tardieu G, Goldspink G. Physiological and
454 structural changes in the cat's soleus muscle due to immobilization at different
455 lengths by plaster casts. *J Physiol.* 1972;224(1):231-244.
- 456 **22.** Tabary JC, Tardieu C, Tabary C, Lombard M, Gagnard L, Tardieu G. [Neural
457 regulation and adaptation of the number of sarcomeres of the muscle fiber to the
458 length imposed upon it]. *J Physiol (Paris).* 1972;65:Suppl 1:168A.
- 459 **23.** Butterfield TA, Leonard TR, Herzog W. Differential serial sarcomere number
460 adaptations in knee extensor muscles of rats is contraction type dependent. *J Appl*
461 *Physiol (1985).* 2005;99(4):1352-1358.
- 462 **24.** Tardieu C, Tabary JC, Gagnard L, Lombard M, Tabary C, Tardieu G. [Change in
463 the number of sarcomeres and in isometric tetanic tension after immobilization of
464 the cat anterior tibialis muscle at different lengths]. *J Physiol (Paris).*
465 1974;68(2):205-218.
- 466 **25.** Williams PE, Goldspink G. The effect of immobilization on the longitudinal
467 growth of striated muscle fibres. *J Anat.* 1973;116(Pt 1):45-55.
- 468 **26.** Tabary JC, Tardieu C, Tardieu G, Tabary C, Gagnard L. Functional adaptation of
469 sarcomere number of normal cat muscle. *J Physiol (Paris).* 1976;72(3):277-291.
- 470 **27.** Woledge RC, Curtin NA, Homsher E. Energetic aspects of muscle contraction.
471 *Monogr Physiol Soc.* 1985;41:1-357.
- 472 **28.** Goldspink G. Malleability of the motor system: a comparative approach. *J Exp*
473 *Biol.* 1985;115:375-391.
- 474 **29.** Friden J. Changes in human skeletal muscle induced by long-term eccentric
475 exercise. *Cell Tissue Res.* 1984;236(2):365-372.
- 476 **30.** Lynn R, Morgan DL. Decline running produces more sarcomeres in rat vastus
477 intermedius muscle fibers than does incline running. *J Appl Physiol (1985).*
478 1994;77(3):1439-1444.
- 479 **31.** Butterfield TA, Best TM. Stretch-activated ion channel blockade attenuates
480 adaptations to eccentric exercise. *Med Sci Sports Exerc.* 2009;41(2):351-356.
- 481 **32.** Herring SW, Grimm AF, Grimm BR. Regulation of sarcomere number in skeletal
482 muscle: a comparison of hypotheses. *Muscle Nerve.* 1984;7(2):161-173.
- 483 **33.** Philippou A, Bogdanis GC, Nevill AM, Maridaki M. Changes in the angle-force
484 curve of human elbow flexors following eccentric and isometric exercise. *Eur J*
485 *Appl Physiol.* 2004;93(1-2):237-244.
- 486 **34.** Chen TC, Nosaka K, Sacco P. Intensity of eccentric exercise, shift of optimum
487 angle, and the magnitude of repeated-bout effect. *J Appl Physiol (1985).*
488 2007;102(3):992-999.
- 489 **35.** Lau WY, Blazevich AJ, Newton MJ, Wu SS, Nosaka K. Reduced muscle
490 lengthening during eccentric contractions as a mechanism underpinning the
491 repeated-bout effect. *Am J Physiol Regul Integr Comp Physiol.*
492 2015;308(10):R879-886.

- 493 **36.** Potier TG, Alexander CM, Seynnes OR. Effects of eccentric strength training on
494 biceps femoris muscle architecture and knee joint range of movement. *Eur J Appl*
495 *Physiol.* 2009;105(6):939-944.
- 496 **37.** Brockett CL, Morgan DL, Proske U. Human hamstring muscles adapt to eccentric
497 exercise by changing optimum length. *Med Sci Sports Exerc.* 2001;33(5):783-790.
- 498 **38.** Brughelli M, Nosaka K, Cronin J. Application of eccentric exercise on an
499 Australian Rules football player with recurrent hamstring injuries. *Phys Ther*
500 *Sport.* 2009;10(2):75-80.
- 501 **39.** McHugh MP, Tetro DT. Changes in the relationship between joint angle and
502 torque production associated with the repeated bout effect. *J Sports Sci.*
503 2003;21(11):927-932.
- 504 **40.** Kibler WB, Kuhn JE, Wilk K, et al. The disabled throwing shoulder: spectrum of
505 pathology-10-year update. *Arthroscopy.* 2013;29(1):141-161 e126.
- 506 **41.** Leggin BG, Michener LA, Shaffer MA, Brenneman SK, Iannotti JP, Williams
507 GR, Jr. The Penn shoulder score: reliability and validity. *J Orthop Sports Phys*
508 *Ther.* 2006;36(3):138-151.
- 509 **42.** Papotto BM, Rice T, Malone T, Butterfield T, Uhl TL. Reliability of Isometric
510 and Eccentric Isokinetic Shoulder External Rotation. *J Sport Rehabil.*
511 2016;Technical Report.
- 512 **43.** Maganaris CN, Baltzopoulos V, Sargeant AJ. Repeated contractions alter the
513 geometry of human skeletal muscle. *J Appl Physiol (1985).* 2002;93(6):2089-
514 2094.
- 515 **44.** Rassier DE. The effects of length on fatigue and twitch potentiation in human
516 skeletal muscle. *Clin Physiol.* 2000;20(6):474-482.
- 517 **45.** McNair PJ. Verbal encouragement of voluntary muscle action: reply to
518 commentary by Roger Eston. *Br J Sports Med.* 1996;30(4):365.
- 519 **46.** Lagasse PP, Katch FI, Katch VL, Roy MA. Reliability and validity of the
520 Omnitron hydraulic resistance exercise and testing device. *Int J Sports Med.*
521 1989;10(6):455-459.
- 522 **47.** Mayer F, Horstmann T, Kranenberg U, Rocker K, Dickhuth HH. Reproducibility
523 of isokinetic peak torque and angle at peak torque in the shoulder joint. *Int J*
524 *Sports Med.* 1994;15 Suppl 1:S26-31.
- 525 **48.** Blackburn TA, MacLeod WD, White B, Wofford L. EMG analysis of posterior
526 rotator cuff exercises. *Journal of Athletic Training.* 1990;25:5.
- 527 **49.** Friden J, Seger J, Sjostrom M, Ekblom B. Adaptive response in human skeletal
528 muscle subjected to prolonged eccentric training. *Int J Sports Med.*
529 1983;4(3):177-183.
- 530 **50.** Butterfield TA, Herzog W. Effect of altering starting length and activation timing
531 of muscle on fiber strain and muscle damage. *J Appl Physiol (1985).*
532 2006;100(5):1489-1498.
- 533 **51.** Baroni BM, Geremia JM, Rodrigues R, De Azevedo Franke R, Karamanidis K,
534 Vaz MA. Muscle architecture adaptations to knee extensor eccentric training:
535 rectus femoris vs. vastus lateralis. *Muscle Nerve.* 2013;48(4):498-506.
- 536 **52.** Blazeovich AJ, Cannavan D, Coleman DR, Horne S. Influence of concentric and
537 eccentric resistance training on architectural adaptation in human quadriceps
538 muscles. *J Appl Physiol (1985).* 2007;103(5):1565-1575.




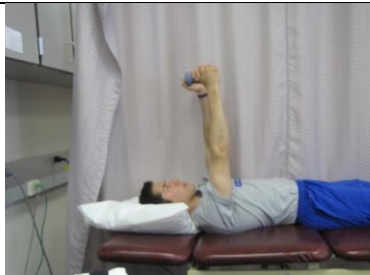





- 539 **53.** Butterfield TA, Herzog W. Is the force-length relationship a useful indicator of
540 contractile element damage following eccentric exercise? *J Biomech.*
541 2005;38(9):1932-1937.
- 542 **54.** Lindstedt SL, LaStayo PC, Reich TE. When active muscles lengthen: properties
543 and consequences of eccentric contractions. *News Physiol Sci.* 2001;16:256-261.
- 544 **55.** Kaminski TW, Wabbersen CV, Murphy RM. Concentric versus enhanced
545 eccentric hamstring strength training: clinical implications. *J Athl Train.*
546 1998;33(3):216-221.
- 547 **56.** Herzog W. The role of titin in eccentric muscle contraction. *J Exp Biol.*
548 2014;217(Pt 16):2825-2833.
- 549 **57.** Herzog W. Mechanisms of enhanced force production in lengthening (eccentric)
550 muscle contractions. *J Appl Physiol (1985).* 2014;116(11):1407-1417.
- 551 **58.** Herzog W, Leonard T, Joumaa V, DuVall M, Panchangam A. The three filament
552 model of skeletal muscle stability and force production. *Mol Cell Biomech.*
553 2012;9(3):175-191.
- 554 **59.** Hoppeler H, Herzog W. Eccentric exercise: many questions unanswered. *J Appl*
555 *Physiol (1985).* 2014;116(11):1405-1406.
- 556 **60.** Schappacher-Tilp G, Leonard T, Desch G, Herzog W. A novel three-filament
557 model of force generation in eccentric contraction of skeletal muscles. *PLoS One.*
558 2015;10(3):e0117634.
- 559 **61.** Hortobagyi T, Zheng D, Weidner M, Lambert NJ, Westbrook S, Houmard JA.
560 The influence of aging on muscle strength and muscle fiber characteristics with
561 special reference to eccentric strength. *J Gerontol A Biol Sci Med Sci.*
562 1995;50(6):B399-406.
- 563 **62.** Komi PV. Training of muscle strength and power: interaction of neuromotoric,
564 hypertrophic, and mechanical factors. *Int J Sports Med.* 1986;7 Suppl 1:10-15.
- 565 **63.** Magnusson SP, Narici MV, Maganaris CN, Kjaer M. Human tendon behaviour
566 and adaptation, in vivo. *J Physiol.* 2008;586(1):71-81.
- 567 **64.** Urlando A, Hawkins D. Achilles tendon adaptation during strength training in
568 young adults. *Med Sci Sports Exerc.* 2007;39(7):1147-1152.
- 569 **65.** Roach NT, Venkadesan M, Rainbow MJ, Lieberman DE. Elastic energy storage
570 in the shoulder and the evolution of high-speed throwing in Homo. *Nature.*
571 2013;498(7455):483-486.
- 572 **66.** Garrett WE, Jr., Safran MR, Seaber AV, Glisson RR, Ribbeck BM.
573 Biomechanical comparison of stimulated and nonstimulated skeletal muscle
574 pulled to failure. *Am J Sports Med.* 1987;15(5):448-454.
- 575 **67.** Ellenbecker TS, Davies GJ, Rowinski MJ. Concentric versus eccentric isokinetic
576 strengthening of the rotator cuff. Objective data versus functional test. *Am J*
577 *Sports Med.* 1988;16(1):64-69.
- 578 **68.** Escamilla RF, Andrews JR. Shoulder muscle recruitment patterns and related
579 biomechanics during upper extremity sports. *Sports Med.* 2009;39(7):569-590.
- 580 **69.** Fleisig GS, Andrews JR, Dillman CJ, Escamilla RF. Kinetics of baseball pitching
581 with implications about injury mechanisms. *Am J Sports Med.* 1995;23(2):233-
582 239.
- 583 **70.** Jobe FW, Tibone JE, Perry J, Moynes D. An EMG analysis of the shoulder in
584 throwing and pitching. A preliminary report. *Am J Sports Med.* 1983;11(1):3-5.

- 585 **71.** Werner SL, Guido JA, Delude NA, Stewart GW, Greenfield JH, Meister K.
586 Throwing arm dominance in collegiate baseball pitching: a biomechanical study.
587 *Am J Sports Med.* 2010;38(8):1606-1610.
- 588 **72.** Werner SL, Suri M, Guido JA, Jr., Meister K, Jones DG. Relationships between
589 ball velocity and throwing mechanics in collegiate baseball pitchers. *J Shoulder*
590 *Elbow Surg.* 2008;17(6):905-908.
- 591 **73.** Dillman CJ, Fleisig GS, Andrews JR. Biomechanics of pitching with emphasis
592 upon shoulder kinematics. *J Orthop Sports Phys Ther.* 1993;18(2):402-408.
- 593 **74.** Douglas J, Pearson S, Ross A, McGuigan M. Eccentric Exercise: Physiological
594 Characteristics and Acute Responses. *Sports Med.* 2016.
595

Appendix

Side-lying Eccentric Horizontal Adduction

- 1) Lie on your back near the edge of a firm surface; preferably the floor or a firm mattress. (Figure 1)
- 2) Extend the non-exercising arm straight up in the air while holding the weight. (Figure 2)
- 3) Extend your exercising arm straight up in the air, transfer the weight to the opposite (exercising) hand and drop your non-exercising hand to your side. (Figures 3-5)
- 4) Roll on to your non-exercising side keeping the weight still extended straight up in the air. (Figure 6)
- 5) Now, using an 8 count, slowly lower the weight, keeping your thumb pointing towards the ceiling, your arm straight, and your arm in-line with your mouth. (Figures 7-8)
- 6) Let the weight lower as far as the surface will permit, hanging off if possible (Figure 8)
- 7) Once the weight has been fully lowered, roll on to your back (Figure 9) and assume the starting position. (Figure 1) Repeat the steps for 2 sets of 15 repetitions.

		
Figure 1	Figure 2	Figure 3
		
Figure 4	Figure 5	Figure 6
		
Figure 7	Figure 8	Figure 9

Side-Lying Eccentric External Rotation

- 1) Lie on your side on a firm surface, with a rolled up towel or bolster placed under your arm, with the weight held by your non-exercising arm as shown. (Figure 1)
- 2) Roll onto your back and bring the weight up to your exercising arm, making sure to keep the towel under your arm. (Figure 2)
- 3) Roll back on to your side, your arm should rotate up towards the ceiling. (Figure 3)
- 4) Slowly lower the weight towards the surface, keeping the elbow bent at a right angle. (Figures 4-6)
- 5) Once you have gone through your available range of motion, drop the weight to the surface. (Figure 7)
- 6) With the non-exercising arm, pick up the weight. (Figure 8) and position your arm back in the starting position to repeat the exercise for the given number of repetitions. (Figures 9, 1)

