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MATROID CONFIGURATIONS AND SYMBOLIC POWERS OF THEIR IDEALS

A.V. GERAMITA, B. HARBOURNE, J. MIGLIORE, AND U. NAGEL

ABSTRACT. Star configurations are certain unions of linear subspaces of projective space that have been studied extensively. We develop a framework for studying a substantial generalization, which we call matroid configurations, whose ideals generalize Stanley-Reisner ideals of matroids. Such a matroid configuration is a union of complete intersections of a fixed codimension. Relating these to the Stanley-Reisner ideals of matroids and using methods of Liaison Theory allows us, in particular, to describe the Hilbert function and minimal generators of the ideal of, what we call, a hypersurface configuration. We also establish that the symbolic powers of the ideal of any matroid configuration are Cohen-Macaulay. As applications, we study ideals coming from certain complete hypergraphs and ideals derived from tetrahedral curves. We also consider Waldschmidt constants and resurgences. In particular, we determine the resurgence of any star configuration and many hypersurface configurations. Previously, the only non-trivial cases for which the resurgence was known were certain monomial ideals and ideals of finite sets of points. Finally, we point out a connection to secant varieties of varieties of reducible forms.

1. INTRODUCTION

Let k be an infinite field and let $R = k[x_0, \ldots, x_n] = \bigoplus_{i \ge 0} R_i$ be the standard graded polynomial ring. Suppose ℓ_1, \ldots, ℓ_s are forms in R_1 and consider the hyperplanes defined by them. Under varying uniformity assumptions on the family of forms (e.g., for some $c \le n + 1$, any subset of c of the linear forms are linearly independent) the collection of codimension c linear subspaces obtained by intersecting subfamilies of these hyperplanes have appeared in the literature, often with motivations found in problems in algebra, geometry or combinatorics (see, e.g., [1], [2], [3], [5], [6], [7], [10], [14], [15], [16], [17], [23], [29], [30], [32], [33]). As one can see

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in these references, the questions asked involve the defining ideal of the collection of such linear spaces, a description of the symbolic powers of those ideals and their finite free resolutions, or more simply questions about the Cohen-Macaulayness and Hilbert function of these ideals.

In this paper we develop a framework for generalizations of these results. As we shall see, these generalizations also have interesting consequences in algebra, algebraic geometry and combinatorics.

The generalizations proceed in two steps. First let $\lambda = [d_1, \ldots, d_s]$ be a vector, where each d_i is a positive integer. Let f_1, \ldots, f_s be homogeneous forms in R with deg $f_i = d_i$ and let F_1, \ldots, F_s be the hypersurfaces they define in \mathbb{P}^n . For any $1 \leq c \leq n$ assume that the intersection of any c + 1 of these hypersurfaces has codimension c + 1. We do not require further generality for the f_i , not even that they be reduced. A λ -configuration of codimension c is the union, $V_{\lambda,c}$, of all the codimension c complete intersection subschemes obtained by intersecting c of the hypersurfaces. Notice that any such complete intersection may fail to be irreducible or even reduced. However, it follows from our assumptions that no two of them can have common components. If $\lambda = [1, 1, \ldots, 1]$ then the collection of $V_{\lambda,c}$ includes, what has been called in the literature, codimension c star configurations. We will refer to a $V_{\lambda,c}$, for a possibly unspecified λ (or c), as a hypersurface configuration (of codimension c). We will discuss the second step of the generalization when we describe the results of §3.

One purpose of this paper is to show how essentially the same construction as in [16] provides the description of the ideal and the Hilbert function of a λ configuration of codimension c. But a new idea is required to show that the Cohen-Macaulay property holds for all symbolic powers of the ideal of a hypersurface configuration.

Thus we have the surprising result that these more general configurations of complete intersections (in arbitrary codimension) are just as well-behaved as they are in the case that the components are linear.

The idea of replacing the hyperplanes by hypersurfaces is not new. For instance: [5] studies the minimal degrees of generators of the ideals of λ -configurations when the f_i are general in order to bound Waldschmidt constants; [1] describes minimal generators, Hilbert functions and minimal free resolutions of the configurations $V_{\lambda,c}$ assuming c = 2 and the f_i are general; [29] describes the same invariants when the f_i are general and c is arbitrary; and [2] describes the same invariants when c = 2and the f_i are replaced by their powers. Although the title of [2] refers to "fat" star configurations, the schemes they study are not what have traditionally been referred to as "fat" schemes, i.e., schemes defined by symbolic powers. Consequently our results on symbolic powers (see §3) do not overlap with the results of [2].

The purpose of this note is to put all of these results into a simple framework, and then to illustrate some applications of this method. To that end, the paper is organized in the following way: in §2 we set up the basic results we will need. We show that λ -configurations are ACM and find their degrees, Hilbert functions and the minimal generators of their defining ideals. These results were known but our approach to them (via methods from Liaison Theory) is new.

In §3 we begin developing a theory of specializing Stanley-Reisner ideals of simplicial complexes. This is the second step of our generalization of linear star configurations. This section contains the main results of the paper. We carry out this step for the class of matroid complexes. We refer to the ideals obtained by replacing the variables of the Stanley-Reisner ideal of these complexes by homogeneous polynomials as *specializations of matroid ideals*. We show that, under certain conditions, these specializations inherit many of the properties of the original matroid ideals. In particular, all their symbolic powers are Cohen-Macaulay. Our results extend most of the earlier investigations for star configurations. Indeed, star configurations and hypersurface configurations are obtained as special cases, namely as specializations of the Stanley-Reisner ideals of *uniform* matroids.

The final section gives applications of our results. We consider ideals coming from certain complete hypergraphs and ideals derived from tetrahedral curves. We also discuss connections to Waldschmidt constants and resurgences; in particular, we determine the resurgence of any star configuration and many hypersurface configurations. Previously, the only non-trivial cases for which the resurgence was known were certain monomial ideals and ideals of finite sets of points.

We also point out a connection to secant varieties of varieties of reducible forms.

2. The ideal and Hilbert function of a λ -configuration of codimension c

Let $R = k[x_0, \ldots, x_n] = \bigoplus_{i \ge 0} R_i$, where k is an arbitrary infinite field, be the standard graded polynomial algebra over k. Recall that a subscheme V of \mathbb{P}^n is arithmetically Cohen-Macaulay (ACM) if R/I_V is a Cohen-Macaulay ring, where I_V is the saturated ideal defining V. For a homogeneous ideal $I \subset R$, the Hilbert function of R/I is defined by

$$h_{R/I}(t) = \dim_k [R/I]_t.$$

When $I = I_V$ is the saturated ideal of a subscheme $V \subset \mathbb{P}^n$, we usually write $h_V(t)$ for $h_{R/I_V}(t)$. We denote by $\Delta h_{R/I}(t)$ the first difference $h_{R/I}(t) - h_{R/I}(t-1)$, and by $\Delta^2 h_{R/I}(t)$, $\Delta^3 h_{R/I}(t)$, etc. the successive differences. Suppose that δ is the Krull dimension of R/I. Then $\Delta^{\delta} h_{R/I}$ takes on only finitely many non-zero values. If we form the vector

$$(\Delta^{\delta} h_{R/I}(0), \dots, \Delta^{\delta} h_{R/I}(t))$$

(where the last entry in the vector is the last value of $\Delta^{\delta} h_{R/I}(t) \neq 0$), then this vector is referred to as the *h*-vector of R/I. If $I = I_V$ then this vector is called the *h*-vector of V.

As in [16], we will make substantial use of the construction described in the next proposition. This construction is known in Liaison Theory as *Basic Double Linkage* (see [19, Chapter 4]).

Proposition 2.1. Let I_C be a saturated ideal defining a codimension c subscheme $C \subseteq \mathbb{P}^n$. Let $I_S \subset I_C$ be an ideal which defines an ACM subscheme S of codimension c-1. Let f be a form of degree d which is not a zero-divisor on R/I_S . Consider the ideal $I = f \cdot I_C + I_S$ and let B be the subscheme it defines.

Then I is saturated, hence equal to I_B , and there is an exact sequence

$$0 \to I_S(-d) \to I_C(-d) \oplus I_S \to I_B \to 0.$$

In particular, since S is an ACM subscheme of codimension one less than C, we see that B is an ACM subscheme if and only if C is. Also,

$$\deg B = \deg C + (\deg f) \cdot (\deg S).$$

Furthermore, let H_f be the hypersurface section cut out on S by f. As long as H_f does not vanish on a component of C, we have $B = C \cup H_f$ as schemes. In any case the Hilbert function $h_B(t)$ of R/I_B is

$$h_B(t) = h_S(t) - h_S(t-d) + h_C(t-d).$$

Remark 2.2. In Liaison Theory the scheme B in 2.1 is often referred to as the basic double link of C with respect to f and S.

We note that V is an ACM subscheme of codimension zero if and only if $V = \mathbb{P}^n$ if and only if $I_V = (0)$. The following is the analogue for λ -configurations of codimension c of [16, Proposition 2.9], which dealt only with *linear* star configurations of codimension c.

Proposition 2.3. Let $\lambda = [d_1, \ldots, d_s]$ and $\mathcal{H} = \{F_1, \ldots, F_s\}$, where F_i is a hypersurface of degree d_i in \mathbb{P}^n (not necessarily reduced), defined by the form f_i . Let c be an integer such that $1 \le c \le \min(n, s)$. Assume that the intersection of any c + 1 of these hypersurfaces has codimension c + 1. Then we have the following facts.

- (1) $V_{\lambda,c}$ is ACM.
- (2) The Hilbert function of $V_{\lambda,c}$ is

$$h_{V_{\lambda,c}}(t) = [h_{V_{\lambda',c-1}}(t) - h_{V_{\lambda',c-1}}(t-d_s)] + h_{V_{\lambda',c}}(t-d_s).$$

(3) deg
$$V_{\lambda,c} = \sum_{1 \le i_1 \le i_2 \le \dots \le i_n \le s} d_{i_1} d_{i_2} \dots d_{i_c}$$
.

(4) The minimal generators of $I_{V_{\lambda,c}}$ are all the products of s-c+1 of the forms f_1, \ldots, f_s . That is,

$$I_{V_{\lambda,c}} = \left(\left\{ f_{i_1} f_{i_2} \cdots f_{i_{s-c+1}} \mid 1 \le i_1 < i_2 < \cdots < i_{s-c+1} \le s \right\} \right).$$

Proof. Nearly the entire proof proceeds exactly as in the proof of [16, Proposition 2.9]. As before, the idea is to proceed by induction on c and on $s \ge c$. For any c, if s = c then $V_{\lambda,c}$ is a complete intersection, and all parts are trivial. If c = 1 and s is arbitrary, then $V_{\lambda,1}$ is the union of s hypersurfaces with no common components, and again all parts are trivial. Also, (3) is trivial and is included only for completeness.

We now assume that the assertions are true for codimension c-1 and all s, and for λ -configurations of codimension c coming from collections of up to s-1hypersurfaces. Let $\mathcal{H}' = \{F_1, \ldots, F_{s-1}\}$ and $\lambda' = [d_1, \ldots, d_{s-1}]$. By induction, $V_{\lambda',c-1}$ and $V_{\lambda',c}$ are both ACM and the ideals are of the stated form. Furthermore, f_s is not a zero divisor on $R/I_{V_{\lambda',c-1}}$. By Proposition 2.1,

$$I_{V_{\lambda,c}} = f_s \cdot I_{V_{\lambda',c}} + I_{V_{\lambda',c-1}},$$

and $V_{\lambda,c}$ is ACM. This is (1). Statements (2) and (4) also follow immediately from this construction of the ideal, again using induction and Proposition 2.1.

We note that (4) was shown in [29].

For an *h*-vector $\underline{h} = (1, a_1, a_2, \dots, a_t)$ we interpret this to be an infinite vector of integers which are all zero except in degrees 1 through *t*. Then we define the shifted *h*-vector $\underline{h}(\delta)$ to be the infinite vector defined by

$$\underline{h}(\delta)_i = \underline{h}_{\delta+i}.$$

Corollary 2.4. Let $\lambda = [d_1, \ldots, d_s]$ and $\lambda' = [d_1, \ldots, d_{s-1}]$. Then for any $i \ge 1$ we have

$$\Delta^i h_{V_{\lambda,c}}(t) = [\Delta^i h_{V_{\lambda',c-1}}(t) - \Delta^i h_{V_{\lambda',c-1}}(t-d_s)] + \Delta^i h_{V_{\lambda',c}}(t-d_s)$$

In particular, let X be the hypersurface section of $V_{\lambda',c-1}$ by F_s . Let $\underline{h}_{V_{\lambda',c}}$ be the h-vector of $V_{\lambda,c}$, $\underline{h}_{V_{\lambda,c}}$ the h-vector of $V_{\lambda,c}$, and \underline{h}_X the h-vector of X. Then

$$\underline{h}_{V_{\lambda,c}} = \underline{h}_X + \underline{h}_{V_{\lambda',c}}(-d_s).$$

Proof. The first part is immediate and the second part comes from taking i = n - c + 1, and remembering that dim $V_{\lambda,c} = \dim V_{\lambda',c} = n - c$, while dim $V_{\lambda',c-1} = n - c + 1$.

Example 2.5. We illustrate the *h*-vector computation from Corollary 2.4. Suppose $n = 3, \lambda = [4, 3, 3, 2]$, and consider c = 2 and c = 3. Let us compute the *h*-vectors. We first find the *h*-vectors of the successive codimension 2 hypersurface configurations in \mathbb{P}^3 . The integer in column *t* (starting with t = 0) represents the value of the *h*-vector in degree *t*.

The first scheme, $V_{(4,3),2}$, is a complete intersection of degree 12, so the *h*-vector is well known:

$$V_{(4,3),2}: 1 2 3 3 2 1$$

To compute the *h*-vector of $V_{(4,3,3),2}$, note that $V_{(4,3),1}$ is a hypersurface of degree 4+3=7, and we are cutting it with a hypersurface of degree 3 to obtain X. Thus we have the following *h*-vector computation:

Next, we compute the *h*-vector of $V_{(4,3,3,2),2}$. Now X is the complete intersection of a hypersurface of degree 4 + 3 + 3 = 10 and one of degree 2.

We now turn to the *h*-vectors of codimension 3 hypersurface configurations. The first, $V_{(4,3,3),3}$, is again a complete intersection, so its *h*-vector is

$$V_{(4,3,3),3}: 1 \quad 3 \quad 6 \quad 8 \quad 8 \quad 6 \quad 3 \quad 1$$

Now X is the hypersurface section of $V_{(4,3,3),2}$ by F_4 , which has degree 2. To compute the *h*-vector of X we first "integrate" the *h*-vector of $V_{(4,3,3),2}$, and then we take a shifted difference:

	1	3	6	10	15	21	27	31	33	33	33	33	• • •
	—	—	1	3	6	10	15	21	27	31	33	33	
X:	1	3	5	7	9	11	12	10	6	2			

Finally, we apply Corollary 2.4:

$V_{(4,3,3),3}(-2):$	_	—	1	3	6	8	8	6	3	1
X:	1	3	5	7	9	11	12	10	6	2
	1	3	6	10	15	19	20	16	9	3

3. Specializations of Matroid Ideals and their Symbolic Powers

We begin with a lemma, whose proof was suggested to us by L. Avramov.

Lemma 3.1. Let $S = k[y_1, \ldots, y_s]$ and $R = k[x_0, \ldots, x_n]$ be polynomial rings over a field k. Let $f_1, \ldots, f_s \in R$ be an R-regular sequence of homogeneous elements of the same degree (with respect to the standard grading). Let $I = (g_1, \ldots, g_r)$ be a homogeneous ideal in S, so each g_i is a polynomial $g_i = g_i(y_1, \ldots, y_s)$. Let $p_i =$ $g_i(f_1, \ldots, f_s)$ and let $J = (p_1, \ldots, p_r)$. Then I and J have the same graded Betti numbers over S and R, respectively, except possibly with shifts which depend on the degrees of the f_i . In particular, S/I is Cohen-Macaulay if and only if R/J is Cohen-Macaulay.

If I is a monomial ideal then we can drop the requirement that the f_i all have the same degree.

Proof. We require the f_i to have the same degree in order that the g_i continue to be homogeneous, and also so that the maps in the minimal free resolution continue to be graded. When I is monomial, this restriction is not needed.

Define a homomorphism of k-algebras $\varphi : S \to R$ by $\varphi(y_i) = f_i$ for $i = 1, \ldots, s$. It is flat because the f_i form a regular sequence. Let \mathbb{F} be a graded minimal free resolution of S/I over S. Then $R \otimes_S \mathbb{F}$ is a graded minimal free resolution of R/J over R.

Example 3.2. Take $\deg(f_i) = 2$ for all *i* and suppose the Betti diagram for R/I is the one on the left in Figure 3.2. Then the Betti diagram for R/J is the one on the right in Figure 3.2.

	0	1	2	3		0	1	2	3
total:	1	10	12	3	total:	1	10	12	3
0:	1				0:	1			•
1:	•			•	1:	•			•
		•						•	
7:	•	•		•	16:		•		•
8:	•	4		•	17:		4		•
9:	•	3	6	•	18:		•		•
10:	•	2	4	2	19:	•	3		•
11:	•	1	2	1	20:	•	•	6	•
					21:		2		•
					22:		•	4	•
					23:		1		2
					24:		•	2	•
					25:	•	•	•	1

FIGURE 3.2. Comparing a Betti diagram with that of a specialization.

We now recall a few concepts for simplicial complexes. A matroid Δ on a vertex set $[s] = \{1, 2, \ldots, s\}$ is a non-empty collection of subsets of [s] that is closed under inclusion and satisfies the exchange condition, namely, if F, G are in Δ and |F| > |G|, then there is some $j \in F$ such that $G \cup \{j\}$ is in Δ . We will always consider Δ as a simplicial complex. Equivalently, a matroid is a simplicial complex Δ such that, for every subset $F \subseteq [s]$, the restriction $\Delta|_F = \{G \in \Delta \mid G \subseteq F\}$ is pure, that is, all its facets have the same dimension.

For a subset $F \subseteq [s]$, we write y_F for the squarefree monomial $\prod_{i \in F} y_i$. The Stanley-Reisner ideal of Δ is $I_{\Delta} = (y_F \mid F \subseteq [s], F \notin \Delta)$ and the corresponding Stanley-Reisner ring is $k[\Delta] = S/I_{\Delta}$, where $S = k[y_1, \ldots, y_s]$. It is Cohen-Macaulay. In fact, it was shown in [27, Theorem 3.3] that matroid complexes are, what is referred to there as squarefree glicci simplicial complexes (see [27] for the definition). We now explain this result in a more detailed way.

Let Δ be any simplicial complex on [s]. Each subset $F \subseteq [s]$ induces the following simplicial subcomplexes of Δ : the *link of* F

$$lk_{\Delta} F = \{ G \in \Delta \mid F \cup G \in \Delta, F \cap G = \emptyset \},\$$

and the deletion

$$\Delta_{-F} = \{ G \in \Delta \mid F \cap G = \emptyset \}.$$

For each vertex j of Δ , the link $lk_{\Delta} j$ and the deletion Δ_{-j} are simplicial complexes on $[s] \setminus \{j\}$. Moreover, if Δ is a matroid, then $lk_{\Delta} j$ and Δ_{-j} are again matroids (see, e.g., [28]), where dim $S/I_{\Delta_{-j}}S = \dim S/I_{\Delta} + 1$. Furthermore y_j is not a zerodivisor on $S/I_{\Delta_{-j}}$ and (see [27, Remark 2.4])

$$(3.2) I_{\Delta} = y_j I_{\mathrm{lk}_{\Delta} j} S + I_{\Delta_{-j}} S$$

It follows that I_{Δ} is a basic double link of $I_{lk_{\Delta}j}S$ with respect to y_j and $I_{\Delta_{-j}}S$, as in Proposition 2.1. Replacing I_{Δ} by $I_{lk_{\Delta}j}$, and iterating, one sees that I_{Δ} can be obtained from a complete intersection generated by variables via a series of basic double links through squarefree monomial ideals. This means that I_{Δ} is squarefree glicci.

We use these facts to establish the following result.

Theorem 3.3. Let Δ be a matroid on [s] of dimension s - 1 - c, and let P_1, \ldots, P_t be the associated prime ideals of $k[\Delta]$. Assume $n \geq c$ and that $f_1, \ldots, f_s \in R = k[x_0, \ldots, x_n]$ are homogeneous polynomials such that any subset of at most c + 1 of them forms an R-regular sequence. Consider the ring homomorphism

$$\varphi: S = k[y_1, \dots, y_s] \to R, \ y_i \mapsto f_i.$$

If I is an ideal of S we will write $\varphi_*(I)$ to denote the ideal in R generated by $\varphi(I)$. Then the following facts are true.

- (1) The ideal $\varphi_*(I_\Delta)$ is a Cohen-Macaulay ideal of codimension c.
- (2) $\varphi_*(I_\Delta) = \bigcap_{i=1}^{\circ} \varphi_*(P_i).$
- (3) If $\mathbb{F}_{k[\Delta]}$ is a graded minimal free resolution of $k[\Delta]$ over S, then $\mathbb{F}_{k[\Delta]} \otimes_S R$ is a graded minimal free resolution of $R/\varphi_*(I_\Delta)$ over R.

The ideal $\varphi_*(I_{\Delta})$ is said to be obtained by *specialization* from the matroid ideal I_{Δ} . The subscheme of \mathbb{P}^n defined by $\varphi_*(I_{\Delta})$ is called a *matroid configuration*.

Proof. We begin by showing the first two claims. We use induction on $c \ge 1$. If c = 1, then I_{Δ} is a principal ideal, and the assertions are clearly true.

Let $c \geq 2$. Now we use induction on $s \geq c$. If s = c, then I_{Δ} is a complete intersection, and again the claims are clear.

Let s > c. As pointed out above, $lk_{\Delta} s$ and Δ_{-s} are matroids on [s-1], and their Stanley-Reisner ideals have codimensions c and c-1, respectively. Thus claims (1)

and (2) hold true for these ideals by the induction hypothesis. The assumption on the forms f_i gives

$$\varphi_*(I_{\mathrm{lk}_\Delta s}S): f_s = \varphi_*(I_{\mathrm{lk}_\Delta s}S)$$

Moreover, Relation (3.2) yields

$$\varphi_*(I_\Delta) = f_s \varphi_*(I_{\mathrm{lk}_\Delta s}S) + \varphi_*(I_{\Delta_{-j}}S)$$

Hence $\varphi_*(I_{\Delta})$ is a basic double link of the Cohen-Macaulay ideal $\varphi_*(I_{lk_{\Delta}s}S)$, and thus it is Cohen-Macaulay of codimension c, proving (1).

To show (2), denote by P_1, \ldots, P_u the associated prime ideals of $k[\Delta]$ that do not contain y_s . For $j = u + 1, \ldots, t$, define monomial prime ideals P'_j generated by variables in $\{y_1, \ldots, y_{s-1}\}$ by $P_j = y_s R + P'_j$. Then

$$I_{\mathrm{lk}_{\Delta} s}S = \bigcap_{j=1}^{u} P_j$$
 and $I_{\Delta_{-j}}S = \bigcap_{j=u+1}^{t} P'_j.$

Moreover, since I_{Δ} is squarefree, we have

$$I_{\Delta} = I_{\mathrm{lk}_{\Delta} s} S \cap (y_s, I_{\Delta_{-j}}) S.$$

Applying the homomorphism φ we obtain

$$\varphi_*(I_\Delta) \subset \varphi_*(I_{\mathrm{lk}_\Delta s}S) \cap \varphi_*((y_s, I_{\Delta_{-j}})S) \subset \bigcap_{j=1}^u \varphi_*(P_j) \cap \bigcap_{j=u+1}^t (f_s, \varphi_*(P'_j)) = \bigcap_{j=1}^t \varphi_*(P_j)$$

Notice that the ideal on the right-hand side is unmixed and has degree $\deg(I_{lk_{\Delta}s}) + \deg(f_s) \cdot \deg(I_{lk_{\Delta}s})$. Since I_{Δ} is also an unmixed ideal with the same degree, the two ideals must be equal, completing the argument for (2).

Finally, we show Claim (3). Let us say that the polynomials f_i satisfy property (P_m) if any subset if at most m + 1 of them forms an *R*-regular sequence. If the f_i satisfy property (P_s) , then Claim (3) is a consequence of Lemma 3.1.

Let s > c + 1. We use induction on the difference between s and the number c + 1, as determined by the assumption on the forms f_i . The idea is to replace the given forms f_i by new forms, satisfying a stronger condition. By induction, we know that Claim (3) is true if we substitute for the y_i forms in any polynomial ring such that any subset of at most c + 2 of these polynomials forms a regular sequence. So let z be a new variable and define a polynomial ring T = R[z]. For each $i \in [s]$, let $f'_i \in (f_i, z)T$ be a general polynomial of degree deg f_i . Now consider the homomorphism

$$\gamma: S \to T, \ y_i \mapsto f'_i.$$

Observe that any subset of at most c + 2 of the polynomials f'_i forms a *T*-regular sequence. Thus, the induction hypothesis gives that $\mathbb{F}_{k[\Delta]} \otimes_S T$ is a graded minimal free resolution of $T/\gamma_*(I_{\Delta})$ over *T*. We have the following graded isomorphism

$$T/(\gamma_*(I_\Delta), z) \cong R/\varphi_*(I_\Delta).$$

Since $T/\gamma_*(I_{\Delta})$ is Cohen-Macaulay and $\dim T/\gamma_*(I_{\Delta}) = 1 + \dim R/\varphi_*(I_{\Delta})$, z is not a zerodivisor of $T/\gamma_*(I_{\Delta})$. It follows that $\mathbb{F}_{k[\Delta]} \otimes_S T \otimes_T T/zT \cong \mathbb{F}_{k[\Delta]} \otimes_S R$ is a graded minimal free resolution of $k[\Delta]$ over R, as claimed. \Box

Example 3.4. Consider the ideal of S

$$I_{s,c} = \bigcap_{1 \le i_1 < i_2 < \dots < i_c \le s} (y_{i_1}, y_{i_2}, \dots, y_{i_c}),$$

generated by all products of s - c + 1 distinct variables in $\{y_1, \ldots, y_s\}$. It is the Stanley-Reisner ideal of a uniform matroid on [s] whose facets are all the cardinality s - c subsets of [s]. Hence, with the hypotheses of Theorem 3.3, every specialization $\varphi_*(I_{s,c})$ is again Cohen-Macaulay of codimension c and

$$\varphi_*(I_{s,c}) = \bigcap_{1 \le i_1 < i_2 < \dots < i_c \le s} (f_{i_1}, f_{i_2}, \dots, f_{i_c}).$$

Note that $\varphi_*(I_{s,c})$ is the ideal of a hypersurface configuration in \mathbb{P}^n and that any hypersurface configuration arises in this way.

Observe that the Alexander dual of $I_{s,c}$ is $I_{s,s-c+1}$. Since each is the dual of the other and both are Cohen-Macaulay, both ideals have a linear free resolution (see [13]). This also follows from the fact that $I_{s,c}$ is a squarefree strongly stable ideal. Extending results in [11], it was shown in [26] that all squarefree strongly stable ideals that are generated in one degree have a linear cellular minimal free resolution that can be explicitly described using a complex of boxes. It turns out that in the case of the ideal $I_{s,c}$, this complex of boxes can be realized as a subdivision of a simplex on c vertices.

Now, applying Theorem 3.3, we obtain the following result about hypersurface configurations.

Corollary 3.5. Each specialization $\varphi_*(I_{s,c})$ admits an explicit graded minimal free resolution, including a description of the maps, that stems from a cellular resolution of $I_{s,c}$.

The graded Betti numbers in the resolution of $\varphi_*(I_{s,c})$ (but not the maps) have been determined in [29].

Theorem 3.3 can be extended to symbolic powers.

Theorem 3.6. Adopt the notation and assumptions of Theorem 3.3. Then the following facts are true for each positive integer m:

(1)
$$\varphi_*(I_{\Delta})^{(m)} = \bigcap_{i=1}^t \varphi_*(P_i)^m = \varphi_*(I_{\Delta}^{(m)})$$

In particular, $\varphi_*(I_{\Delta})^{(m)}$ is generated by monomials in the f_i and has codimension c.

(2) If \mathbb{F} is a graded minimal free resolution of $R/I_{\Delta}^{(m)}$ over S, then $\mathbb{F} \otimes_S R$ is a graded minimal free resolution of $R/\varphi_*(I_{\Delta})^{(m)}$ over R. In particular, $R/\varphi_*(I_{\Delta})^{(m)}$ is Cohen-Macaulay.

Proof. We begin by showing $\varphi_*(I_{\Delta})^{(m)} = \bigcap_{i=1}^t \varphi_*(P_i)^m$. The assumption on the polynomials f_i and Theorem 3.3(2) give that a prime ideal P of R is an associated prime of $R/\varphi_*(I_{\Delta})$ if and only if P is an associated prime ideal of $R/\varphi_*(P_i)$ for exactly one $i \in [t]$. Using that $\varphi_*(P_i)$ is a complete intersection, and so $\varphi_*(P_i)^m$ is Cohen-Macaulay, we get $\varphi_*(I_{\Delta})^m R_P = \varphi_*(P_i)^m R_P$. This implies $\varphi_*(I_{\Delta})^{(m)} = \bigcap_{i=1}^t \varphi_*(P_i)^m$, as desired.

Assume now that $s \leq c+1$. It was shown independently in [33] and [25] that, for each positive integer m, the ideal

$$I_{\Delta}^{(m)} = \bigcap_{j=1}^{t} P_j^m$$

is Cohen-Macaulay. Hence Lemma 3.1 gives that $\varphi_*(I_{\Delta}^{(m)})$ is Cohen-Macaulay and that its resolution can be obtained from the resolution of $S/I_{\Delta}^{(m)}$ over S. Recall that in the case $s \leq c+1$, the homomorphism φ is flat. Thus, using the identity established above and [22, Theorem 7.4(ii)], we get

$$\varphi_*(I_{\Delta}^{(m)}) = \bigcap_{j=1}^t \varphi_*(P_j^m) = \bigcap_{j=1}^t (\varphi_*(P_j))^m = \varphi_*(I_{\Delta})^{(m)}.$$

Let s > c+1. We use induction on the difference between s and the number c+1 as in the proof of Theorem 3.3 to show the remaining claims. Adopt the notation employed in the proof of Theorem 3.3. The induction hypothesis gives that

$$\gamma_*(I_{\Delta}^{(m)}) = \bigcap_{i=1}^t \gamma_*(P_i)^m$$

is Cohen-Macaulay. By the choice of the f'_i , the variable z is not a zerodivisor of any $T/\gamma_*(P_i)$. Hence, all the ideals $(z, \gamma_*(I^{(m)}_{\Delta}))$ and $(z, \gamma_*(P_i)^m)$ are Cohen-Macaulay, and

$$(z, \gamma_*(I_\Delta^{(m)})) \subset \bigcap_{i=1}^t (z, \gamma_*(P_i)^m).$$

Since both ideals are unmixed of codimension c+1 and have the same degree, they must be equal. It follows that

$$\varphi_*(I_\Delta^{(m)}) = \bigcap_{i=1}^t \varphi_*(P_i)^m,$$

as desired.

Finally, using the isomorphism $T/(\gamma_*(I_\Delta^{(m)}), z) \cong R/\varphi_*(I_\Delta^{(m)})$ and the fact that z is not a zerodivisor of $T/\gamma_*(I_\Delta^{(m)})$ gives Claim (3).

The above result applies to λ -configurations.

Corollary 3.7. Let $\lambda = [d_1, \ldots, d_s]$ and $\mathcal{H} = \{F_1, \ldots, F_s\}$, where F_i is a hypersurface of degree d_i in \mathbb{P}^n (not necessarily reduced), defined by the form f_i . Let c be an integer such that $1 \leq c \leq \min(n, s)$. Assume that the intersection of any c+1 of these hypersurfaces has codimension c+1. Let $V_{\lambda,c}$ be the corresponding λ -configuration in codimension c. Then every symbolic power of $I_{V_{\lambda,c}}$ is Cohen-Macaulay. Furthermore, the minimal generators of each $I_{V_{\lambda,c}}^{(m)}$ are monomials in the f_i .

Proof. As pointed out in Example 3.4, the ideal $I_{s,c}$ is the Stanley-Reisner ideal of a uniform matroid. Hence Theorem 3.6, gives that, for each positive integer m,

$$I_{V_{\lambda,c}}^{(m)} = \varphi_*(I_{s,c})^{(m)} = \varphi_*(I_{s,c}^{(m)}) = \bigcap_{1 \le i_1 < i_2 < \dots < i_c \le s} (f_{i_1}, f_{i_2}, \dots, f_{i_c})^m$$

is Cohen-Macaulay of codimension c.

In the special case, where all the forms f_i are linear, the ideal $\varphi_*(I_{s,c})^{(m)}$ is a symbolic power of the ideal of a star configuration. For this case, Corollary 3.7 has been shown previously in [16].

For an application in the next section we note the following result.

$$\square$$

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Proposition 3.8. Let Δ be a matroid on [s] of dimension s - 1 - c. Assume $n \geq c$ and that $f_1, \ldots, f_s \in R = k[x_0, \ldots, x_n]$ are homogeneous polynomials such that any subset of at most c + 1 of them forms an R-regular sequence. Consider the ring homomorphism $\varphi: S = k[y_1, \ldots, y_s] \to R$, defined by $y_i \mapsto f_i$. Whenever m and r are positive integers, we have the following facts:

- (1) $I_{\Delta}^{(m)} \subseteq I_{\Delta}^{r}$ implies $\varphi_{*}(I_{\Delta})^{(m)} \subseteq \varphi_{*}(I_{\Delta})^{r}$. (2) If f_{1}, \ldots, f_{s} is an *R*-regular sequence, then

$$I_{\Delta}^{(m)} \subseteq I_{\Delta}^r$$
 if and only if $\varphi_*(I_{\Delta})^{(m)} \subseteq \varphi_*(I_{\Delta})^r$.

Proof. Assume first $I_{\Delta}^{(m)} \subseteq I_{\Delta}^r$. Using Theorem 3.6, we get

$$\varphi_*(I_{\Delta})^{(m)} = \varphi_*(I_{\Delta}^{(m)}) \subseteq \varphi_*(I_{\Delta}^r) = \varphi_*(I_{\Delta})^r.$$

To show the second claim, it remains to show the reverse implication. Our assumption on the f_i gives that φ is a faithfully flat homomorphism. Hence $I_{\Delta}^{(m)} \not\subseteq$ I_{Δ}^{r} implies $\varphi_{*}(I_{\Delta}^{(m)}) \nsubseteq \varphi_{*}(I_{\Delta}^{r})$, and we are done.

We conclude this section by noting a partial converse to Theorem 3.6(2).

Proposition 3.9. Let Δ be a positive-dimensional simplicial complex on [s], and let $f_1, \ldots, f_s \in R$ be an R-regular sequence. If, for some integer $m \geq 3$, the ideal $\varphi_*(I^{(m)}_{\Delta})$ is Cohen-Macaulay, then Δ is a matroid.

Proof. By Lemma 3.1, the assumption gives that $I_{\Delta}^{(m)}$ is Cohen-Macaulay. Notice that this implies that $I_{\Delta}^{(m)}$ is unmixed. First suppose that dim $\Delta = 1$. Since $I_{\Delta}^{(m)}$ is unmixed, we can apply [24, Theorem 2.4] to obtain that every pair of disjoint edges of Δ is contained in a cycle of length 4. It then follows from the exchange condition in the definition of a matroid given above that Δ is a matroid. Finally, if dim $\Delta \geq 2$, then the result follows from [31, Theorem 1.1]. \square

It would be interesting to decide whether the above result remains true if one relaxes the assumption on the forms f_1, \ldots, f_s to the condition used in Theorems 3.3 and 3.6.

4. Applications

Our first application will be to construct an ideal coming in a natural way from a multipartite hypergraph, and recognize it as also coming from our construction. Thus it and its symbolic powers will be Cohen-Macaulay. Its minimal free resolution will also be known.

Let G be a *c*-uniform complete multipartite hypergraph. More precisely, following [26, Definition 3.4], we will assume that it is a complete *s*-partite hypergraph, s > c, on a partitioned vertex set $X^{(1)} \sqcup \cdots \sqcup X^{(s)}$, consisting of all c element subsets with each element coming from a different $X^{(i)}$. Let $|X^i| = e_i$. By [26, Theorem 3.13], the ideal I_G has a linear resolution. Thus, the Alexander dual, I_G^{\vee} , of the ideal I_G of G is Cohen-Macaulay.

By definition,

$$I_G^{\vee} = \bigcap_{1 \le i_1 < i_2 < \dots < i_c \le s} \bigcap_{\substack{k=1,\dots,c \\ 1 \le j_k \le e_k}} (x_{i_1,j_1}, x_{i_2,j_2}, \dots, x_{i_c,j_c}),$$

where each variable $x_{i,j}$ corresponds to the vertex $v_{i,j}$ in $X^{(i)}$.

We will now specialize this ideal by assigning to each variable $x_{i,j}$ a homogenous polynomial $A_{i,j}$. Thus, to each face of G

$$\{v_{i_1,j_1},\ldots,v_{i_c,j_c}\}$$

we can associate an ideal of the form $(A_{i_1,j_1},\ldots,A_{i_c,j_c})$. We will focus on the intersection of all such ideals, assuming that the $A_{i,j}$ meet properly.

More formally, let $R = k[x_0, ..., x_n]$. Consider sets of homogeneous polynomials in R

$$\begin{array}{rcl} \mathcal{A}_{1} &=& \{A_{1,1}, A_{1,2}, \dots, A_{1,e_{1}}\}\\ \mathcal{A}_{2} &=& \{A_{2,1}, A_{2,2}, \dots, A_{2,e_{2}}\}\\ &\vdots\\ \mathcal{A}_{s} &=& \{A_{s,1}, A_{s,2}, \dots, A_{s,e_{s}}\} \end{array}$$

where we assume that any choice of n + 1 of them is a regular sequence, where we choose at most one $A_{i,j}$ from each subset. Now choose any codimension $1 \le c \le n$. We define a scheme W_c by constructing the following saturated ideal:

$$I_{W_c} = \bigcap_{1 \le i_1 < i_2 < \dots < i_c \le s} \bigcap_{\substack{k=1,\dots,c \\ 1 \le j_k \le e_k}} (A_{i_1,j_1}, A_{i_2,j_2}, \dots, A_{i_c,j_c}).$$

That is, thinking of the $A_{i,j}$ as hypersurfaces, we form all possible codimension c complete intersections such that no two generators within a complete intersection come from the same \mathcal{A}_i . Since any choice of n + 1 of the $A_{i,j}$ form a regular sequence, no two of these codimension c complete intersections have any common components. Hence the above construction gives the saturated ideal of an unmixed codimension c subscheme of \mathbb{P}^n , which we call W_c .

Notice that if $e_1 = \cdots = e_s = 1$ then we have a λ -configuration of codimension c (where $\lambda = [\deg A_{1,1}, \deg A_{2,1}, \ldots, \deg A_{s,1}]$). If furthermore all the $A_{i,j}$ have degree 1 then we have a linear star configuration of codimension c.

Corollary 4.1. The saturated ideal I_{W_c} can be realized as the ideal of a suitable λ -configuration. Hence its Hilbert function can be computed, all its symbolic powers are Cohen-Macaulay, and its minimal free resolution can be described as above.

Proof. For $1 \leq i \leq s$ let $f_i = \prod_{j=1}^{e_i} A_{i,j}$. Then clearly W_c is the λ -configuration of codimension c associated to $\{f_1, f_2, \ldots, f_s\}$. Thus the above ideal can be obtained by specialization, so the assertions follow from our earlier results.

For a second application of our methods, note that the *m*-th symbolic power of the ideal of a λ -configuration is the intersection of the *m*-th powers of the complete intersections that go into its construction (see for instance Theorem 3.6 (1)), regardless of whether these complete intersections are reduced or irreducible. (For instance, the *m*-th symbolic power of the ideal I_{W_c} constructed above is the intersection of the ideals $(A_{i_1,j_1},\ldots,A_{i_c,j_c})^m$.) We have seen that all such symbolic powers are Cohen-Macaulay.

By a slight abuse of notation, we will refer to these complete intersections as the components of the λ -configuration. One can ask about properties of the ideal formed by allowing the powers of the ideals of components to be different. Not much is known about this problem except in the case of fat points in \mathbb{P}^2 [10, Example 4.2.2] and of tetrahedral curves in \mathbb{P}^3 . The latter are subschemes in \mathbb{P}^3 defined by ideals of the form

$$(4.1) \qquad (x_0, x_1)^{p_1} \cap (x_0, x_2)^{p_2} \cap (x_0, x_3)^{p_3} \cap (x_1, x_2)^{p_4} \cap (x_1, x_3)^{p_5} \cap (x_2, x_3)^{p_6}.$$

In this case, combining the work in [30], [23], [15] and [14], much is known about the ideal, the minimal free resolution, the deficiency module and the even liaison class of such curves. A broad array of heavy machinery, largely based on the fact that these are monomial ideals, went into the results in these papers.

In [23, Remark 7.3], it was observed that if we replace the indeterminates x_0, x_1, x_2, x_3 by a regular sequence f_1, f_2, f_3, f_4 , then most of the results in [23] continue to hold in \mathbb{P}^3 . The argument was that the liaison approach used therein can be extended to this setting. In [23, Question 7.4 (7)] it was asked whether the same sort of program can be carried out in higher-dimensional projective space, and it was noted that now issues of local Cohen-Macaulayness will arise, even in the codimension two case.

Our observation now is that all of these results can be extended almost immediately to higher-dimensional projective space using Lemma 3.1. For instance, we have the following generalization of the main theorem of [14], which built on the work in [30], [23], [15].

Corollary 4.2. Let f_1, f_2, f_3, f_4 be a regular sequence of homogeneous polynomials in $k[x_0, \ldots, x_n]$. Let C be the codimension two scheme defined by the saturated ideal

$$(f_1, f_2)^{p_1} \cap (f_1, f_3)^{p_2} \cap (f_1, f_4)^{p_3} \cap (f_2, f_3)^{p_4} \cap (f_2, f_4)^{p_5} \cap (f_3, f_4)^{p_6}$$

Assume without loss of generality that $p_1 + p_6 = \max\{p_1 + p_6, p_2 + p_5, p_3 + p_4\}$. Then C is ACM if and only if at least one of the following conditions holds:

- (i) $p_1 = 0 \text{ or } p_6 = 0.$
- (ii) $p_1 + p_6 = \varepsilon + \max\{p_2 + p_5, p_3 + p_4\}, where \varepsilon \in \{0, 1\}.$
- (iii) $2p_1 < p_2 + p_3 + 3 p_6$ or $2p_1 < p_4 + p_5 + 3 p_6$ or $2p_6 < p_2 + p_4 + 3 p_1$ or $2p_6 < p_3 + p_5 + 3 p_1$.
- (iv) All inequalities of (iii) fail, $p_1+p_6 = 2+p_2+p_5 = 2+p_3+p_4$, and $p_1+p_3+p_5$ is even.

Proof. If $f_1 = x_0$, $f_2 = x_1$, $f_3 = x_2$, $f_4 = x_3$, and n = 3, then this is the result of [14] taken verbatim. Call the corresponding ideal J.

Now replace each x_i by f_i in the monomials generating J and denote by I the ideal in $R = k[x_0, \ldots, x_n]$ generated by these monomials in the f_i . Using again that the substitution homomorphism is flat by the assumption on the f_i , we see that J is equal to the ideal considered in the statement. Moreover, Lemma 3.1 gives that the length of its resolution over R is the same as the length of the resolution of I over $k[x_0, \ldots, x_3]$, which concludes the argument.

As a third application of our results we consider how they can be used to calculate Waldschmidt constants and resurgences. Let $(0) \neq I \subsetneq R = k[x_0, \ldots, x_n]$ be a homogeneous ideal. We denote by $\alpha(I)$ the least degree among nonzero forms in I. The Waldschmidt constant $\hat{\alpha}(I)$ of I is

$$\widehat{\alpha}(I) = \lim_{m \to \infty} \frac{\alpha(I^{(m)})}{m}.$$

This limit is known to exist and in various situations it is of interest to compute it or at least to estimate it ([5, 18, 12]). For example, the *resurgence*, defined as

$$\rho(I) = \sup \Big\{ \frac{m}{r} : I^{(m)} \not\subseteq I^r \Big\},\,$$

satisfies (by [5, Theorem 1.2.1])

(4.2)
$$\frac{\alpha(I)}{\widehat{\alpha}(I)} \le \rho(I).$$

First we consider the change of Waldschmidt constants under specializations of matroid ideals.

Corollary 4.3. Adopt the notation and assumptions of Theorem 3.3 and additionally assume that all forms f_1, \ldots, f_s have the same degree, say d. Then we have the relation

$$\widehat{\alpha}(\varphi_*(I_\Delta)) = d \cdot \widehat{\alpha}(I_\Delta).$$

Proof. It is enough to observe that, for each monomial $\pi \in k[y_1, \ldots, y_s]$, deg $\varphi(\pi) = d \cdot \deg(\pi)$.

Again, we illustrate this result using hypersurface configurations.

Example 4.4. The Stanley-Reisner ideal $I_{s,c}$ of a uniform matroid of dimension s-c-1 on s vertices has Waldschmidt constant $\hat{\alpha}(I_{s,c}) = \frac{s}{c}$ by [4] or [5, Lemma 2.4.1, Lemma 2.4.2 and the proof of Theorem 2.4.3]. Specializing it by forms f_1, \ldots, f_s of degree d, we get the ideal of a hypersurface configuration $V_{\lambda,c}$, where $\lambda = [d, \ldots, d]$. It follows that $\hat{\alpha}(I_{V_{\lambda,c}}) = \frac{ds}{c}$.

If we specialize by using forms of varying degree, things are more complicated. To compute $\alpha(\varphi_*(I_{\Delta})^{(m)})$ (and hence $\hat{\alpha}(\varphi_*(I_{\Delta}))$), we must take all monomials in the y_i which vanish on all components of the variety defined by I_{Δ} to order at least m, and then find the minimum degree among these monomials after substituting f_i in for each y_i . This is of course doable but will depend on the specific degrees of the f_i .

Example 4.5. Consider specializations of four coordinate points in \mathbb{P}^3 , that is, of the ideal $I_{4,3}$. The *m*-th symbolic power of its specialization is

$$\varphi_*(I_{4,3})^{(m)} = (f_1, f_2, f_3)^m \cap (f_1, f_2, f_4)^m \cap (f_1, f_3, f_4)^m \cap (f_2, f_3, f_4)^m$$

Assume m = 6k. As shown in Example 4.4, if all f_i have degree d, then $\widehat{\alpha}(\varphi_*(I_{4,3})) = \frac{4d}{3}$. But suppose that f_1, f_2, f_3 are linear forms and f_4 has degree $d \ge 2$. Then $(f_1f_2f_3)^{3k}$ is in $\varphi_*(I_{4,3})^{(6k)}$. In fact, $\varphi_*(I_{4,3})^{(3k)}$ has initial degree 9k in this case. Thus, the Waldschmidt constant of $\varphi_*(I_{4,3})$ is

$$\widehat{\alpha}(\varphi_*(I_{4,3})) = \frac{9k}{6k} = \frac{3}{2},$$

which is in fact $\widehat{\alpha}(I_{3,2})$ for the ideal $I_{3,2} \subseteq k[y_1, y_2, y_3]$. In particular, it is independent of the degree of f_4 whenever this degree is at least 2.

We now turn attention to the resurgence. Proposition 3.8 gives:

Corollary 4.6. Adopting the notation and assumptions of Theorem 3.3, we have:

- (1) $\rho(\varphi_*(I_\Delta)) \le \rho(I_\Delta).$
- (2) If f_1, \ldots, f_s is an *R*-regular sequence, then $\rho(\varphi_*(I_\Delta)) = \rho(I_\Delta)$.

The second part of this result raises the following question:

Question 4.7. Does the resurgence remain invariant for any specialization of a matroid ideal as considered in Theorem 3.3?

Now we determine the resurgence in many new cases, giving further affirmative evidence for Question 4.7.

Theorem 4.8. Assume that a sequence of homogeneous polynomials $f_1, \ldots, f_s \in R$ satisfies one of the following conditions:

- (1) $f_1, \ldots, f_s \in R$ is an *R*-regular sequence.
- (2) Any subset of at most c + 1 of the forms f_i forms an R-regular sequence, and all the forms f_i have the same degree.

Consider the codimension c hypersurface configuration $V_{\lambda,c} \subset \mathbb{P}^n$ determined by $f_1, \ldots, f_s \in \mathbb{R}$. Its ideal has resurgence

$$\rho(I_{V_{\lambda,c}}) = \frac{c \cdot (s - c + 1)}{s}.$$

This theorem is one of the few results which determines the resurgence of the ideal of a subscheme whose dimension is at least one and whose codimension is at least two, apart from ideals of cones [5, Proposition 2.5.1] and certain monomial ideals (see [18, Theorem 1.5] and [20, Theorem C]). In particular, the special case of Theorem 4.8, where all the forms f_i are linear, gives the resurgence of every star configuration and thus answers [16, Question 4.12] affirmatively and extends [20, Theorem C].

Proof of Theorem 4.8. Assume Condition (1) is satisfied, that is, $I_{V_{\lambda,c}}$ is obtained by specializing the matroid ideal $I_{s,c}$, using the regular sequence f_1, \ldots, f_s . Then the result is a consequence of Corollary 4.6 and $\rho(I_{s,c}) = \frac{c \cdot (s-c+1)}{s}$ [20, Theorem C].

If Condition (2) is satisfied we argue similarly. Indeed, using also Corollary 4.3, we get

$$\frac{c \cdot (s-c+1)}{s} = \frac{\alpha(I_{s,c})}{\widehat{\alpha}(I_{s,c})} = \frac{\alpha(I_{V_{\lambda,c}})}{\widehat{\alpha}(I_{V_{\lambda,c}})} \le \rho(I_{V_{\lambda,c}}) \le \rho(I_{s,c}) = \frac{c \cdot (s-c+1)}{s},$$

which yields our claim.

We now illustrate our results by considering specializations of coordinate points.

Example 4.9. If f_0, \ldots, f_n is an *R*-regular sequence, then the ideal

$$\varphi_*(I_{n+1,n}) = \bigcap_{i=0}^n (f_0, \dots, \hat{f}_i, \dots, f_n),$$

where *`*indicates omitting, satisfies according to Theorem 4.8

$$\rho(\varphi_*(I_{n+1,n})) = \frac{2n}{n+1}$$

Recall that in the case, where all the f_i have the same degree, we have seen in the proof of Theorem 4.8 that

$$\rho(\varphi_*(I_{n+1,n})) = \frac{\alpha(\varphi_*(I_{n+1,n}))}{\widehat{\alpha}(\varphi_*(I_{n+1,n}))}$$

Hence, Estimate (4.2) is sharp in this case. However, if we consider the situation in Example 4.5, that is, n = 3 and $d_1 = d_2 = d_3 = 1$ and $d_4 = d \leq 2$, then we get

$$\frac{\alpha(\varphi_*(I_{4,3}))}{\widehat{\alpha}(\varphi_*(I_{4,3}))} = \frac{2}{\frac{3}{2}} = \frac{4}{3} < \frac{3}{2} = \rho(\varphi_*(I_{4,3})).$$

As a final remark we want to draw attention to a remarkable connection between the configurations considered in this paper and a classical question in projective geometry.

To understand this connection let $\lambda = [d_1, \ldots, d_s]$ be a partition of d. The variety $\mathbb{X}_{n,\lambda} \subseteq \mathbb{P}([R]_d) = \mathbb{P}^{N-1}, N = \binom{d+n}{n}$, of λ -reducible forms of degree d is defined by:

$$\mathbb{X}_{n,d} := \{ [g] \in \mathbb{P}^{N-1} \mid g = g_1 \cdots g_s, \deg g_i = d_i \}.$$

These varieties have an interesting history and are discussed in detail in [21], [8] and [9].

One is interested in calculating the dimension of the (higher) secant varieties of this variety. The famous Terracini Lemma explains that to do this one has to calculate the span of tangent spaces at general points of the variety. So, it is important to know the tangent space at a general point of this variety. The remarkable fact is that if $P = [f_1 \cdots f_s]$ is a general point of $\mathbb{X}_{n,\lambda}$ then the projectivized tangent space at P is the projectivization of the degree d component of the ideal I which defines the codimension 2 λ -configuration associated to the forms f_1, \ldots, f_s [6, Proposition 3.2].

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