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Buprenorphine physician supply: Relationship with state-level prescription opioid mortality

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\textbf{A B S T R A C T}

\textbf{Background:} Buprenorphine is an effective treatment for opioid use disorder but the supply of buprenorphine physicians is currently inadequate to address the nation’s prescription opioid crisis. Perception of need due to rising opioid overdose rates is one possible reason for physicians to adopt buprenorphine. This study examined associations between rates of growth in buprenorphine physicians and prescription opioid overdose mortality rates in US states.

\textbf{Methods:} The total buprenorphine physician supply and number of physicians approved to treat 100 patients (per 100,000 population) were measured from June 2013 to January 2016. States were divided into two groups: those with rates of prescription opioid overdose mortality in 2013 at or above the median (>5.5 deaths per 100,000 population) and those with rates below the median. State-level growth curves were estimated using mixed-effects regression to compare rates of growth between high and low overdose states.

\textbf{Results:} The total supply and the supply of 100-patient buprenorphine physicians grew significantly (total supply from 7.7 to 9.9 per 100,000 population, \(p<0.01\); 100-patient supply from 2.2 to 3.4 per 100,000 population, \(p<0.001\)). Rates of growth were significantly greater in high overdose states when compared to low overdose states (total supply \(b=0.033, p=0.01\); 100-patient \(b=0.022, p<0.01\)).

\textbf{Conclusions:} The magnitude of the US prescription opioid crisis, as measured by the rate of prescription opioid overdose mortality, is associated with growth in the number of buprenorphine physicians. Because this observational design cannot establish causality, further research is needed to elucidate the factors influencing physicians’ decisions to begin prescribing buprenorphine.

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1. Introduction

The United States is now in the second decade of a prescription opioid epidemic (Compton et al., 2015; Compton and Volkow, 2006) that has seen a rapid escalation of non-medical use (Han et al., 2015) and prescription opioid use disorder rates that are second only to marijuana in the most recent National Survey on Drug Use and Health (Center for Behavioral Health Statistics and Quality, 2015). Opioid use disorder has well-documented negative consequences including premature mortality and family disruption, as well as acquisition and transmission of HIV and hepatitis C (Mechanic, 2014; Paulozzi and Xi, 2008; Volkow et al., 2014). Moreover, in recent years, many of those abusing prescription opioids have transitioned to using heroin (Cerda et al., 2015; Jones, 2013), underscoring the potential negative outcomes associated with abusing prescription opioids. Perhaps the most alarming trend is that of prescription opioid-associated fatal overdoses. The cur-

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rent opioid abuse epidemic has given rise to significantly greater numbers of prescription-opioid associated fatal overdoses nationwide (Jones et al., 2013; Paulozzi et al., 2014; Rudd et al., 2016).

Concurrent with the dramatic rise in prescription opioid use disorder and subsequent fatal overdose rates has been the emergence and expansion of buprenorphine as a treatment option. Buprenorphine is an effective treatment (Fiellin et al., 2008; Fudula et al., 2003), although methadone may be more effective than buprenorphine in retaining individuals in treatment (Mattick et al., 2014). There has been a steady increase in buprenorphine’s diffusion, particularly in office-based practice (Altice et al., 2011; Dick et al., 2015; Stein et al., 2015b). Buprenorphine is primarily delivered in physicians’ offices, which is notable because of the historical segregation of substance use disorder (SUD) treatment to organizations outside of mainstream medicine (McLellan and Woodsworth, 2014; Roman et al., 2011).

The regulatory system enacted under the US Drug Addiction Treatment Act (DATA) of 2000 requires physicians who intend to prescribe Schedule III controlled substances to treat opioid dependence to submit a notification of intent to the Substance Abuse and Mental Health Services Administration (SAMSHA); currently, buprenorphine is the only medication that is included under this designation (Center for Substance Abuse Treatment, 2004). As of spring 2016, physicians initially can only treat up to 30 patients concurrently in their first year. In subsequent years, physicians can expand their treatment capacity up to 100 patients at any given time, but to do so, they must submit a second notification of intent. Information about whether physicians can treat up to 30 patients at any given time or up to 100 patients is maintained in the Controlled Substances Act (CSA) Active Registrants database.

This aspect of buprenorphine’s regulation allows for measurement of buprenorphine physician supply, which is a population-adjusted measure of the number of physicians in a given geographic area (Cooper, 2009). The current study defines total buprenorphine physician supply as the number of physicians who hold the buprenorphine waiver per 100,000 residents within a state, and we also measure the number of physicians who can treat up to 100 patients. Conceptually, growth in the total physician supply is largely driven by physicians initially seeking the buprenorphine waiver, and therefore, may reflect physicians who are responding to the scope of the opioid epidemic. Submitting a notification to treat up to 100 patients, because it can only occur after at least one year of treating patients, suggests that physicians are directly experiencing sufficient demand for treatment to warrant this larger capacity.

Five recent studies have examined buprenorphine physician supply, but none have measured the extent to which growth in supply is associated with the prescription opioid crisis within states. Prior studies have examined the relationships between state policies and the supply of buprenorphine physicians within counties (Stein et al., 2015a) as well as the rates of growth in buprenorphine physician supply from 2002 to 2011 (Dick et al., 2015). Another study of US counties found greater supplies in counties on the East and West coasts and differences between rural and urban counties (Rosenblatt et al., 2015). A cross-sectional analysis of states also reported significant regional variation as well as correlations between buprenorphine physician supply and the availability of other SUD treatment, the percentage of residents insured by Medicaid, and the rate of overdose mortality from heroin and other opioids (Knudsen, 2015).

The present study builds upon our prior work, which examined buprenorphine physician supply over a 24-month period and its associations with states’ implementation of the Affordable Care Act (Knudsen et al., 2015). We integrated information about states’ decisions regarding the expansion of Medicaid and the building of state-based health insurance exchanges (Blumenthal and Collins, 2014; Buttorff et al., 2015; Gluck, 2014). Compared to states that both expanded Medicaid and established a state-based health insurance exchange, growth in the total buprenorphine physician supply was significantly lower in states that had only adopted one of these elements of ACA and lower in states that adopted neither of these elements. These differences in growth were confined to 30-patient and total physician supply; there were not significant differences in the supply of the more experienced 100-patient physicians by ACA implementation.

This paper extends our work by considering two additional state characteristics while controlling for this ACA typology. The magnitude of the prescription opioid crisis within states has not been tested for its potential impact on the rates of growth in buprenorphine physicians. Prior work has identified that the rate of overdose mortality from heroin and prescription opioids combined is positively correlated with the average number of 100-patient physicians (Knudsen et al., 2015), but the association with prescription opioid mortality alone has not been examined.

Conceptually, the extent of a state’s prescription opioid crisis may represent an important element of the outer context, or environment, in which physicians’ decisions about pursuing the buprenorphine waiver are made. Major theories of innovation implementation suggest the outer context can affect decisions to adopt and implement a novel intervention (Aarons et al., 2011; Damschroder et al., 2009; Damschroder and Hagedorn, 2011; Fixsen et al., 2005). As noted by Rogers (2003) in his classic work, Diffusion of Innovations, innovations are more likely to spread when there is a perceived need for change. Media attention and public awareness regarding the prescription opioid crisis has increased over time (Barry et al., 2016; McGinty et al., 2016), which may increase the perceived need for solutions among physicians in states with greater rates of overdose mortality. These implementation frameworks offer one rationale for why the rates of growth in buprenorphine physicians may be greater in states with high rates of prescription opioid overdose mortality.

Region of the country has been examined in cross-sectional analyses of buprenorphine physician supply and controlled in growth models for its relationship with the intercept (i.e., states’ baseline levels), but region has not been tested for its impact on growth rates (Knudsen, 2015; Knudsen et al., 2015). Large mean differences have been documented between the Northeast and the South, Midwest, and West. From a public health perspective, it is important to consider whether the Northeast is also advantaged in its rate of growth in buprenorphine physicians because that would widen the gap between the Northeast and other regions over time.

It is hypothesized that states with a more pronounced prescription opioid problem, as measured by the rate of prescription opioid-related overdose mortality, have experienced greater growth in buprenorphine physician supply than states with a less pronounced prescription opioid problem. We also hypothesize that states outside the Northeast will have significantly lower rates of growth than Northeastern states. This study tests these hypotheses by examining data on buprenorphine physician supply from June 2013 to January 2016.

2. Methods

2.1. Study design

Growth in the supply of buprenorphine physicians at the state-level was measured using an observational design that integrated data from several sources. The study team purchased a database to extract information about buprenorphine physicians and collated other state characteristics from publicly available data sources.
2.2. Outcome variables

Two outcomes at the state-level were measured monthly using information from the DEA’s CSA Active Registrants database: total buprenorphine physician supply and 100-patient physician supply. For total buprenorphine physician supply, we counted the number of civilian physicians per 100,000 state residents in all 50 states and the District of Columbia that had been designated with activity codes C1 and C4 (which indicate those physicians with approved notifications of intent). We used US Census data regarding state population as the denominator (United States Census Bureau, 2015b). A similar method was applied to measure the supply of physicians holding the 100-patient waivers.

2.3. Independent variables

The primary independent variable was a dichotomous indicator of state-level prescription opioid overdose mortality in 2013. State-level rates of prescription opioid overdose mortality were extracted from the Centers for Disease Control and Prevention’s WONDER Multiple Cause of Death database (2015) using a methodology similar to Bachhuber et al. (2014). This database is based on death certificates of US residents, which are coded by states or CDC’s National Center for Health Statistics and entered into the National Vital Statistics System (Centers for Disease Control and Prevention, 2016). Our search parameters included intentional and unintentional deaths (International Statistical Classification of Diseases, 10th revision [ICD-10], codes X40–X44, X60–X64, and Y10–Y14) where prescription opioids were coded (T40.2–T40.4). Our search parameters did not include deaths coded for heroin or opium (i.e., T40.0 and T40.1). We then used a median split to create two groups: high overdose states (those with opioid overdose mortality at or above 5.5 deaths per 100,000 residents in 2013; n = 26) and low overdose states (defined as those with overdose mortality below 5.5 per 100,000 residents in 2013; n = 25). The coding of states is presented in Fig. 1. Generating an interaction term (month-by-group) allowed for testing whether these two groups had differential rates of growth in the two outcomes.

2.4. Other variables

To measure ACA implementation, we used the variable constructed for our prior study that combined information about states’ approaches to the Medicaid expansion and insurance exchanges in May 2013 (Henry J. Kaiser Family Foundation, 2013a,b). ACA-supportive states, the reference group, were those that expanded Medicaid and established a state-based health insurance exchange (n = 16, 31.4%). ACA-hybrid states implemented either the Medicaid expansion or a state-based exchange, but not both (n = 11, 21.6%); this group primarily consisted of states that expanded Medicaid. States that declined the Medicaid expansion and did not set up a state-based exchange were coded as ACA-resistant states (n = 24, 31.4%). An ACA typology-by-month interaction controlled for the associations of ACA implementation with the growth rates.

Region was defined by the US Census Bureau (2015a) categories of Northeast (reference: n = 9, 17.7% of states), Midwest (n = 12, 23.5%), South (n = 18, 35.3%), and West (n = 12, 23.5%). To test for regional differences in growth, region was interacted with month, and the Northeast served as the reference group.

Finally, we controlled three state characteristics that were significantly associated with the baseline measures of buprenorphine physicians supply in our previous work (Knudsen et al., 2015). Insurance coverage was measured by the percentage of the state population, averaged for 2012–2013, who were covered by Medicaid (Henry J. Kaiser Family Foundation, 2014); as we previously reported, the average state had 16.0% of its residents covered by Medicaid (SD = 3.8). The number of OTPs offering methadone per 100,000 residents in mid-2013 was calculated using SAMHSA’s Treatment Locator (Substance Abuse and Mental Health Services Administration, 2013), and averaged 0.4 OTPs per 100,000 residents (SD = 0.3). The number of substance use disorder (SUD) treatment facilities was also constructed using data from SAMHSA’s Treatment Locator, which, as we previously reported, averaged 4.7 programs per 100,000 residents (SD = 2.3).

2.5. Statistical analysis

As a preliminary test of growth, paired t-tests compared the June 2013 and January 2016 values of buprenorphine physician supply. Mixed-effects regression was then used to estimate growth curve models for the two outcomes. This approach estimates within-state change over time, such that each state has its own growth curve. It tests for the associations of state-level characteristics on the intercept (i.e., baseline of June 2013) and interactions for group differences in growth (Rabe-Hesketh and Skrondal, 2012). We used the “mixed” command in Stata 13.1 (StataCorp, 2013) with an unstructured covariance matrix and maximum likelihood estimation. Each outcome was estimated through a series of four models. First, the model only included the time variable. Models 2 and 3 examined the associations between growth in buprenorphine physicians with prescription opioid overdose mortality rates and region, respectively. Finally, Model 4 included all study variables. After estimating Model 4, the commands “margins” and “marginplot” were implemented to graph the growth rates by overdose mortality and region, while adjusting for the other variables in the final model (Mitchell, 2012).

3. Results

At baseline in June 2013, there were 22,572 buprenorphine physicians in the US, and the average state-level total physician supply was 7.7 buprenorphine physicians per 100,000 residents (SD = 5.0). By January 2016, the number had rise to 28,711 physicians, and the average total supply had increased to 9.9 (SD = 6.4), which was a statistically significant increase (t = −9.5, df = 50, p < 0.001). Similarly, there was a significant increase in 100-patient physicians from 2.2 (SD = 1.5) to 3.4 (SD = 2.3) physicians per 100,000 residents (t = −9.1, df = 50, p < 0.001). Nationally, the number of physicians holding the 100-patient waiver increased from 6316 to 9483 over the study period.

Four mixed-effects regression models of total buprenorphine physician supply are presented in Table 1. Model 1 only included a parameter for time. There was a significant positive coefficient for month, indicating significant growth in total buprenorphine physician supply. Model 2 added the dichotomous variable for high prescription opioid overdose states as well as its interaction with time. This dichotomous variable, which represented the difference between high overdose and low overdose states in June 2013, approached but did not achieve statistical significance (p = 0.055, two-tailed test). However, the interaction term was significant, indicating that states in the higher prescription opioid overdose mortality group experienced greater growth in total buprenorphine physicians than states in the lower overdose group. The coefficient for month in Model 2 was somewhat smaller than Model 1 because its meaning shifted with the inclusion of the interaction term; in Model 2, the coefficient for month represented the average rate of growth for states in the lower overdose group, a rate that still differed significantly from zero as indicated by its p-value.

The third model in Table 1 focused on region and its interaction with time. In Model 3, the coefficient for month represented the average rate of growth in the referent category, meaning the rate
for Northeastern states. This positive rate of growth in Northeastern states significantly differed from zero ($p < 0.001$). The three other region-by-time interactions were statistically significant and negative in direction, indicating that compared to Northeastern states, states in the South, Midwest, and West experienced slower rates of growth in total buprenorphine physicians over the 32-month study period. In addition, these three regions had significantly lower numbers of buprenorphine physicians at the start of the study, as indicated by the significant coefficients for these variables on the intercept.

Model 4 presents the full model. The coefficient for month represented the average rate of growth when all of the interaction terms were equal to zero, which translates to the group of states that were in the Northeast and were ACA-supportive but had lower prescription opioid mortality. The month coefficient was positive, indicating an average rate of growth in such states that was significantly greater than zero.

The full model supported both of our study hypotheses. Consistent with Model 2 and our first hypothesis, states in the higher prescription opioid mortality group had a significantly greater rate of growth in total buprenorphine physicians, even after controlling for ACA implementation and region. These differences in growth, while adjusting for all other variables in the model, are presented in Fig. 2a. Similarly, states in the South, Midwest, and West had significantly lower rates of growth than Northeastern states, which supported our second hypothesis (Fig. 2b). In addition, ACA-resistant states had a significantly lower rate of growth when compared to ACA-supportive states in this final model. The difference between ACA-hybrid and ACA-supportive states did not achieve statistical significance ($p = 0.065$). Region was negatively correlated with the intercept, while the associations for Medicaid coverage, supply of OTPs, and supply of other SUD treatment facilities were positive, indicating that these three variables were associated with greater buprenorphine physician supply at the starting point of the growth curve (i.e., June 2013).

Table 2 presents the results from applying the same model-building strategy to the supply of 100-patient physicians. As seen in Model 1, there was significant growth in the 100-patient physician supply over the 32-month study period. States with higher prescription opioid overdose death rates experienced significantly greater growth in the supply of 100-patient physicians, relative to states with lower overdose death rates, and also had a greater supply of 100-patient physicians at baseline (Model 2). Similar to total physician supply, states in the South, Midwest, and West had significantly lower rates of growth than Northeastern states, and these states outside the Northeast also had significantly fewer 100-patient physicians at the start of the observation period (Model 3).

In Model 4, which included all study variables, the differences identified in Models 2 and 3 for 100-patient buprenorphine physician supply remained statistically significant, providing further support for our two hypotheses. States with higher rates of prescription opioid mortality experienced significantly greater growth in 100-patient physicians, even after controlling for the other variables (Fig. 3a). The regional differences in growth rates remained statistically significant (Fig. 3b). The ACA implementation typology was not associated with growth in the supply of physicians approved to treat 100 patients. The associations between the remaining state characteristics and the intercept for the 100-patient buprenorphine physician supply were consistent with the results from the model of total physician supply.

4. Discussion

This study extends prior research on the supply of physicians able to prescribe buprenorphine by considering whether growth at the state-level was associated with the magnitude of the states' prescription opioid overdose rates and region. Over a 32-month observation period, states with higher rates of prescription opioid overdose mortality (i.e., those with ≥5.5 deaths per 100,000 residents) experienced greater growth in total buprenorphine physician supply and 100-patient physician supply than states with lower rates of prescription opioid overdose mortality. Furthermore, states in the South, Midwest, and West had significantly lower rates of growth than states in the Northeast.

The greater growth of buprenorphine physicians in states with higher rates of prescription opioid-related mortality could suggest that physicians are responding to the magnitude of the prescription opioid crisis. In a sense, overdose deaths represent the public face of the prescription opioid crisis. Previous research has docu-
Table 1
Growth curve model estimates of total buprenorphine physicians.

<table>
<thead>
<tr>
<th>Model</th>
<th>Unstandardized Coefficient (95% CI)</th>
<th>Model 2</th>
<th>Unstandardized Coefficient (95% CI)</th>
<th>Model 3</th>
<th>Unstandardized Coefficient (95% CI)</th>
<th>Model 4</th>
<th>Unstandardized Coefficient (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Month</td>
<td>0.072* (0.057, 0.087)</td>
<td>0.052*  (0.032, 0.072)</td>
<td>0.131** (0.102, 0.161)</td>
<td>0.131** (0.100, 0.162)</td>
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<tr>
<td>Prescription overdose mortality and region on the growth rate</td>
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</tr>
<tr>
<td>Month-by-high prescription opioid overdose state interaction</td>
<td>0.040* (0.012, 0.068)</td>
<td></td>
<td>0.033* (0.010, 0.055)</td>
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<tr>
<td>Month-by-Midwestern state interaction</td>
<td>−0.097* (−0.136, −0.058)</td>
<td>−0.071** (−0.108, −0.033)</td>
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<tr>
<td>Month-by-Southern state interaction</td>
<td>−0.062* (−0.098, −0.026)</td>
<td>−0.049* (−0.082, −0.015)</td>
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<tr>
<td>Month-by-Western state interaction</td>
<td>−0.061* (−0.100, −0.022)</td>
<td>−0.064* (−0.098, −0.030)</td>
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<tr>
<td>Month-by-ACA-hybrid state interaction</td>
<td></td>
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<td>−0.031 (−0.064, 0.002)</td>
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<tr>
<td>Month-by-ACA-resistant state interaction</td>
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<td>−0.041* (−0.069, −0.013)</td>
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<td>Time-invariant characteristics on the intercept</td>
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<tr>
<td>High prescription opioid overdose mortality (vs. low)</td>
<td>2.513 (−0.056, 5.082)</td>
<td>2.513 (−0.056, 5.082)</td>
<td>2.513 (−0.056, 5.082)</td>
<td>2.513 (−0.056, 5.082)</td>
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</tr>
</tbody>
</table>

Region
- Northeast: Reference
- Midwest: −10.524* (−13.440, −7.608)
- South: −8.188 (−10.888, −5.488)
- West: −7.406 (−10.322, −4.490)

Typology of Affordable Care Act implementation
- ACA-supportive states: Reference
- ACA-hybrid states: −1.039 (−2.813, 0.735)
- ACA-resistant states: −0.984 (−2.598, 0.630)

- % Medicaid-insured residents: 0.356* (0.203, 0.509)
- Number of OTPs per 100,000 residents: 5.964* (3.151, 8.777)
- Number of SUD treatment facilities per 100,000 residents: 0.288* (0.045, 0.531)

- Intercept: 7.540 (6.210, 8.870)
- Random-Effects Parameters
  - State: Unstructured
  - Variance(Month): 0.003 (0.002, 0.004)
  - Variance(Intercept): 23.476 (15.923, 34.610)
  - Covariance(Month, Intercept): 0.028 (0.115, 0.300)
  - Variance(Residual): 0.035 (0.032, 0.037)
  - Log likelihood: 58.669

Notes. CI = confidence interval. The interpretation of the month coefficient and intercept varies based on the variables included in the model. In Model 1, the month coefficient represents the estimate for the average growth rate across all states, while the intercept represents the estimate for the average total buprenorphine physician supply at the start of the study. In subsequent models, the month coefficient represents the average growth rate for states in the lower prescription opioid overdose mortality group (Model 2), for states in the Northeast (Model 3), and for Northeastern states that are ACA-supportive and in the low prescription opioid overdose mortality group (Model 4). In Models 2 and 3, the intercept represents the estimate for the average buprenorphine physician supply at the start of the study for the lower prescription mortality group (Model 2) and for Northeastern states (Model 3); in Model 4, the intercept represents the average buprenorphine physician supply at the start of the study for Northeastern states that are ACA-supportive and in the low prescription opioid overdose mortality group if the other time-invariant state characteristics are set at zero. The Random Effects Parameters provide estimates of the variability between states in their intercepts and slopes (i.e., growth curves).

\* \( p < 0.05 \)
\** \( p < 0.01 \)
\*** \( p < 0.001 \) (two-tailed tests).

mented greater media coverage over time as rates of opioid-related mortality have increased (Dasgupta et al., 2009; McGinty et al., 2016). In such an environment, physicians may perceive that there will be sufficient patients to warrant obtaining a buprenorphine waiver. However, the current study design could not directly test this aspect of physician behavior. Although previous large-scale surveys of buprenorphine physicians have documented some of the professional characteristics of buprenorphine prescribers (Arifken et al., 2010; Kissin et al., 2006; Netherland et al., 2009), there are scant data on the motivations of physicians for submitting their notification of intent to prescribe. Future studies should seek to expand our understanding of why physicians, particularly those in non-addiction specialties, adopt buprenorphine.

Regional differences, coupled with substantial differences at baseline, point to disparities faced by residents in the South, Midwest and West. To consider whether these differences reflect states’ variability in treatment need, we compared the rates of prescription opioid overdose mortality by these four US Census regions and found no significant differences. We also compared state-specific estimates of OUD in 2012, as reported by Jones et al. (2015), by
these four regions. Although Midwestern states had a lower rate of OUD than Northeastern states, other pairwise regional comparisons were not significant (analyses available by request). Regional differences in buprenorphine physician supply do not appear to reflect major regional differences in the prevalence of OUD or the prescription opioid overdose crisis. Future research should continue to explore the mechanisms that are driving these regional differences. It is unknown whether regions vary in the amount of public funding available for OUD treatment, cultural and political norms about the causes and treatment of OUD, and the density of medical training programs that include SUD treatment in the curriculum. Testing whether these mechanisms account for some of the regional differences in buprenorphine physician supply is an important direction for future research.

These regional differences do suggest that efforts to support the diffusion of buprenorphine are still needed, particularly in states outside the Northeast. The Physician Clinical Support System–Buprenorphine (PCSS-B), now the Physician Clinical Support System for Medication-Assisted Treatment (http://pcssmat.org), has been one important mechanism for providing support to physicians (Egan et al., 2010). Recent work to expand buprenorphine in Massachusetts through technical assistance and the employment of nurse care managers in community health centers has shown promise (LaBelle et al., 2016) and may be beneficial in other states. Research on implementation strategies to increase the likelihood that physicians begin prescribing buprenorphine to opioid-dependent patients is still needed throughout the US (Ducharme et al., 2016; Molfenter et al., 2015; Ober et al., 2015), but our findings suggest the need for strategies to promote buprenorphine adoption is particularly acute outside the Northeast.

Continued growth in the supply of 100-patient physicians is significant because such physicians are particularly important for increasing access to treatment. Growth in 100-patient physicians has been a critical driver in explaining the increases in the amount of buprenorphine prescribed within US states (Stein et al., 2015b). However, it is important to acknowledge that even with significant growth in the number of buprenorphine physicians in the US, there remains a gap between the capacity of the buprenorphine treatment system and the number of Americans in need of OUD treatment (Jones et al., 2015). In 2014, NSDUH data indicated that 1.9 million Americans had pain reliever use disorder and 500,000 had heroin use disorder (Center for Behavioral Health Statistics and Quality, 2015). Our data from January 2016 indicated that 19,228 physicians could treat up to 30 patients and 9483 were approved to treat up to 100 patients, yielding a treatment capacity of approximately 1.5 million patients. Clearly, the supply of buprenorphine
Table 2
Growth curve model estimates of physicians approved to treat up to 100 patients with buprenorphine.

<table>
<thead>
<tr>
<th></th>
<th>Model 1 Unstandardized Coefficient (95% CI)</th>
<th>Model 2 Unstandardized Coefficient (95% CI)</th>
<th>Model 3 Unstandardized Coefficient (95% CI)</th>
<th>Model 4 Unstandardized Coefficient (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Month</td>
<td>0.039(0.031, 0.047)</td>
<td>0.026(0.015, 0.037)</td>
<td>0.070(0.054, 0.086)</td>
<td>0.061(0.043, 0.078)</td>
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<tr>
<td>Prescription overdose</td>
<td></td>
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<tr>
<td>mortality and region on</td>
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<td>the growth rate</td>
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<tr>
<td>Month-by-high prescription opioid overdose state</td>
<td>0.025(0.010, 0.040)</td>
<td></td>
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<tr>
<td>interaction</td>
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<tr>
<td>Month-by-Midwestern state interaction</td>
<td>−0.050(−0.071, −0.028)</td>
<td>−0.041(−0.062, −0.020)</td>
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<tr>
<td>Month-by-Southern state interaction</td>
<td>−0.027(−0.047, −0.008)</td>
<td>−0.026(−0.045, −0.007)</td>
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<tr>
<td>Month-by-Western state interaction</td>
<td>−0.041(−0.062, −0.020)</td>
<td>−0.043(−0.062, −0.023)</td>
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<tr>
<td>Month-by-ACA-hybrid state interaction</td>
<td>0.001(−0.020, 0.017)</td>
<td></td>
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<tr>
<td>Month-by-ACA-resistant state interaction</td>
<td>−0.008(−0.024, 0.008)</td>
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<tr>
<td>Time-invariant characteristics on the intercept</td>
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<tr>
<td>High prescription opioid overdose mortality (vs. low)</td>
<td>0.982(0.225, 1.739)</td>
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<tr>
<td>Region</td>
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<tr>
<td>Northeast</td>
<td>−3.194(−4.064, −2.325)</td>
<td>−2.194(−2.914, −1.368)</td>
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<td></td>
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<tr>
<td>Midwest</td>
<td>−2.192(−2.996, −1.387)</td>
<td>−1.542(−2.217, −0.866)</td>
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<tr>
<td>South</td>
<td>−2.655(−3.524, −1.786)</td>
<td>−2.039(−2.776, −1.301)</td>
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<tr>
<td>West</td>
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<tr>
<td>Typology of Affordable Care Act implementation</td>
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<tr>
<td>ACA-supportive states</td>
<td>0.180(−0.442, 0.802)</td>
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<tr>
<td>ACA-hybrid states</td>
<td>−0.106(−0.664, 0.452)</td>
<td></td>
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<tr>
<td>ACA-resistant states</td>
<td>0.076(0.028, 0.125)</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>% Medicaid-insured residents</td>
<td>1.200(0.036, 2.093)</td>
<td></td>
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<tr>
<td>Number of OTPs per 100,000 residents</td>
<td>0.083(0.006, 0.160)</td>
<td></td>
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</tr>
<tr>
<td>Number of SUD treatment facilities per 100,000 residents</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Intercept</td>
<td>2.178(1.776, 2.580)</td>
<td>1.677(1.137, 2.218)</td>
<td>4.328(3.671, 4.985)</td>
<td>1.283(0.019, 2.548)</td>
</tr>
<tr>
<td>Random-Effects Parameters</td>
<td></td>
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<tr>
<td>State: Unstructured</td>
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<tr>
<td>Variance(Month)</td>
<td>0.0009(0.0006, 0.0013)</td>
<td>0.0007(0.0005, 0.0011)</td>
<td>0.0006(0.0004, 0.0009)</td>
<td>0.0005(0.0003, 0.0007)</td>
</tr>
<tr>
<td>Variance(Intercept)</td>
<td>2.141(1.454, 3.160)</td>
<td>1.902(1.290, 2.805)</td>
<td>1.010(0.685, 1.490)</td>
<td>0.517(0.345, 0.775)</td>
</tr>
<tr>
<td>Covariance(Month, Intercept)</td>
<td>0.035(0.020, 0.051)</td>
<td>0.029(0.016, 0.042)</td>
<td>0.018(0.009, 0.026)</td>
<td>0.010(0.004, 0.015)</td>
</tr>
<tr>
<td>Variance(Residual)</td>
<td>0.009(0.008, 0.009)</td>
<td>0.009(0.008, 0.009)</td>
<td>0.009(0.008, 0.009)</td>
<td>0.009(0.008, 0.009)</td>
</tr>
<tr>
<td>Log likelihood</td>
<td>1220.816</td>
<td>1225.627</td>
<td>1241.030</td>
<td>1256.989</td>
</tr>
</tbody>
</table>

Notes. CI = confidence interval. The interpretation of the month coefficient and intercept varies based on the variables included in the model. In Model 1, the month coefficient represents the estimate for the average growth rate across all states, while the intercept represents the estimate for the average 100-patient buprenorphine physician supply at the start of the study. In subsequent models, the month coefficient represents the average growth rate for states in the lower prescription opioid overdose mortality group (Model 2), for states in the Northeast (Model 3), and for Northeastern states that are ACA-supportive and in the low prescription opioid overdose mortality group (Model 4). In Models 2 and 3, the intercept represents the estimate for the average buprenorphine physician supply at the start of the study for the lower prescription mortality group (Model 2) and for Northeastern states (Model 3); in Model 4, the intercept represents the average buprenorphine physician supply at the start of the study for Northeastern states that are ACA-supportive and in the low prescription opioid overdose mortality group if the other time-invariant state characteristics are set at zero. The Random Effects Parameters provide estimates of the variability between states in their intercepts and slopes (i.e., growth curves).

* p < 0.05.
** p < 0.01.
*** p < 0.001 (two-tailed tests).

physicians is not increasing at a pace fast enough to fully address the size of the potential patient population in the US.

A recently released final rule, to be enacted in August 2016, indicates that a new tier of patient limits will be added to the US’s regulatory system for buprenorphine treatment (Department of Health and Human Services, 2016). Physicians who have been approved to treat 100 patients for at least a year will be able to submit a third notification of intent to SAMHSA, which if approved, will allow them to treat up to 275 concurrent patients. To be approved for this higher limit, physicians are required to either meet standards of additional credentialing (e.g., board certification in an addiction specialty or certification from the American Society of Addiction Medicine, American Osteopathic Academy of Addiction Medicine, or the American Board of Addiction Medicine) or to provide buprenorphine in a qualified practice setting. Such settings must provide coverage for medical emergencies when practices are closed, provide access to case management (directly or through referral), use health information technology, be registered in the prescription drug monitoring program in their state, and accept
third-party payments. Physicians must re-apply every three years to maintain their ability to treat up to 275 patients.

In describing the likely impact of this policy change, DHHS (2016) included projections that this policy change would result in approximately 40,000 additional patients receiving treatment in the first year and that about 2000 physicians would likely apply for the 275-patient limit over a five-year period. Given the number of Americans in need of treatment as indicated by Jones et al. (2015), this increased capacity, while important, will still not fully address the treatment gap. Future research is needed to monitor the observed impacts of this policy change as well as the utility of other strategies for increasing the nation’s capacity for delivering medication-assisted treatment.

4.1. Limitations

This study has a number of limitations that warrant acknowledgement. First, this research relies upon an observational methodology that cannot firmly establish causality. Other unmeasured state characteristics may be associated with the two outcomes. Unmeasured efforts by key stakeholders, such as SAMHSA, the American Society of Addiction Medicine (ASAM), the American Academy of Addiction Psychiatry (AAAP), and others may have prompted some physicians to obtain the waiver, and such efforts cannot be controlled in the present study. Furthermore, state-level data cannot explain the motivations of physicians who have sought the buprenorphine waiver.

There are notable challenges when conducting analyses with secondary data. For example, there are not monthly state-level data available on prescription opioid overdose mortality, so this variable cannot be measured with the same timing as our measure of buprenorphine physician supply. Although the measures of prescription opioid overdose mortality from the CDC WONDER database draw from the National Vital Statistics System, which is the most comprehensive source of US mortality data, there are known limitations to these data. As noted by Rudd et al. (2016), state-level data on drug overdose mortality is subject to limitations, such as variability in the toxicological testing performed at autopsy and missing information about drug types on death certificates. In addition, we are unable to access data regarding physician supply before June 2013; given that the prescription opioid epidemic began considerably earlier, this is another limitation of our design.

Also, our findings are specific to buprenorphine, which is regulated very differently in the US than the methadone dispensing system and the other SUD treatment medications (e.g., naltrexone) that can be prescribed in physician offices. This unique regulatory context, coupled with the distinct features of the US health care system, means that our findings are unlikely to generalize to other countries. In some countries, buprenorphine can be prescribed by any physician (Auriacombe et al., 2004; Fatseas and Auriacombe, 2007; Strang et al., 2007) or by pharmacists (Nielsen et al., 2007), which differs substantially from the US’s approach to this evidence-based treatment.

Our measure of buprenorphine physician supply also has substantial limitations in measuring the availability of buprenorphine treatment. Prior research has shown that many physicians hold the waiver but do not actually prescribe this medication to patients (Arfken et al., 2010), and this has been our experience in recruiting a nationally representative sample of prescribers who have at least one patient receiving buprenorphine for OUD.

5. Conclusions

The prescription opioid crisis in the United States is entrenched and, thus far, has shown little evidence of abating. Greater access to pharmacotherapy, such as buprenorphine, is greatly needed. The current study found that the supply of buprenorphine physicians has continued to grow, and that the rate of growth has been greater in states that have experienced higher rates of prescription opioid mortality. However, there are also substantial and persistent differences in growth between the Northeast and other regions of the country. Continued growth in the supply of buprenorphine physicians is critically important as part of a larger national strategy to address the prescription opioid epidemic.

Conflict of interest

H. Knudsen and J. Studts have no conflicts of interest. M. Lofwall has consulted for Orexo, received contract research funding from Braeburn Pharmaceuticals, and received honoraria from PCM Scientific, who receives unrestricted educational grant funds from Reckitt Benckiser (which manufactures the buprenorphine product, Suboxone®), for developing and giving educational talks on opioid dependence. Dr. Havens has received honoraria from Pinney Associates for serving on an external advisory board examining abuse and diversion of generic buprenorphine. S. Walsh has received research support for a project sponsored by Braeburn Pharmaceuticals, consulting fees from Camurus and Braeburn Pharmaceuticals, and honoraria from PCM Scientific through an arm’s-length unrestricted educational grant from Reckitt Benckiser as a speaker and organizer of conferences.

Contributors

All authors are responsible for this reported research. H. Knudsen designed the study, built the dataset, estimated the statistical models, and drafted the initial manuscript. M. Lofwall, S. Walsh, and J. Studts helped draft the manuscript and interpret the findings. J. Havens provided consultation on the statistical analyses and helped draft the manuscript. All authors have reviewed the manuscript, revised its content, and have approved the final manuscript as submitted.

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References


