Using public policy citation data in university decision making

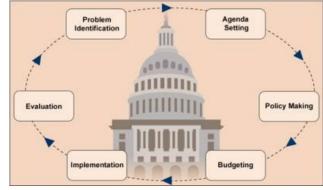
Understanding, sharing and responsible use

To clarify: this kind of policy

We mean public policy - government legislation and policymaking







About Overton, about me

- Moved from research into journal publishing, got into bibliometrics & alternative metrics
- Founded altmetric.com, was at Digital Science until 2016
- Then left to start overton.io
- Our mission is to making public policy more transparent
- About 20 staff, based in London & DC
- Like WoS or Scopus, but for public policy
- Work with ~ 150 institutions, funders, IGOs and gov agencies worldwide



Euan Adie https://overton.io euan@overton.io

② OpenAlex

Quick tangent – OpenAlex

- We've worked on / with a lot of scholarly infrastructure over the years
- We use OpenAlex as a source of scholarly metadata, specifically affiliation data
- It is amazing and if you're a fan of open infrastructure & innovation in this space then you should get your institution to support them

In this talk

. . .

- Policy engagement data what is it
- What can we do with it, what questions can we get answered?
- How do you get started in practice
- As we go along will suggest some approaches & rules of thumb

In general – I hope you get a flavor of what this data is, where it can be useful and where to be careful

Places to get policy citation data

overton

SciVal Impact Module provides a new way to measure challenging metrics



BMJ Impact Analytics

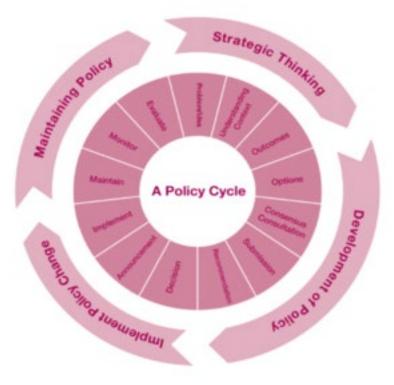


Dimensions & Altmetric

What is it?

Public policy draws on evidence and academic expertise

- "Evidence based / led" policy means a lot of things to different people
- But generally both government and the public like the idea
- Disagreement on political lines about who are the experts and what good evidence is*



* Partisan Disparities in the Use of Science in Policy, Furnas et al. https://osf.io/preprints/socarxiv/aep9v

Two (and a half) sides to the story

Supply





Knowledge brokers

Uni policy office Think tanks Legislative research office NGOs & non-profits IGOs



Two (and a half) sides to the story



Quick tangent



How much research gets cited in public policy?

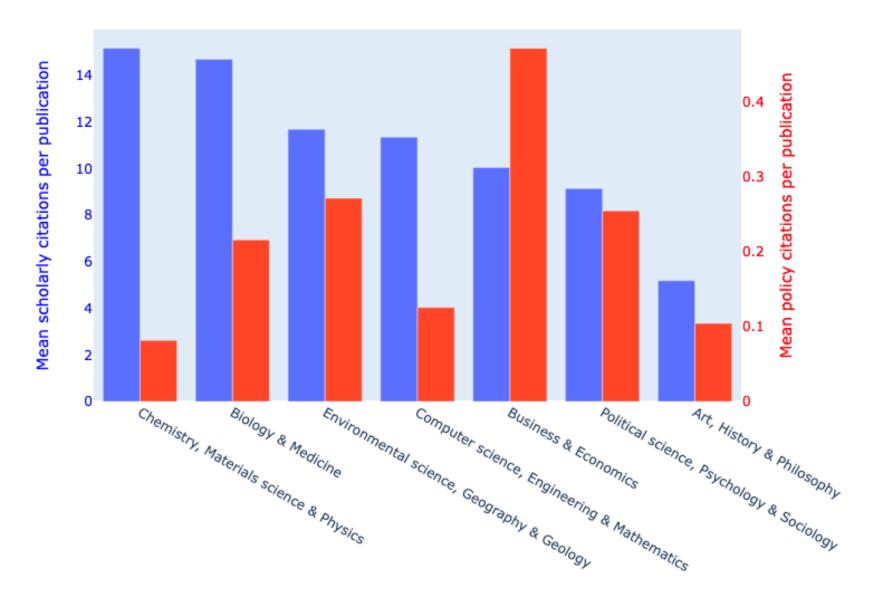
- (CWTS) 3.9% of all articles in WoS database
- (Pinherio) 5.8% of all articles in Scopus database between 2008 and 2016
 - 47% of random sample in Development Studies
 - 0.1% of random sample in Drama & Theatre

Though actually....

 The closer you get to legislation the less likely it is that you'll see citations of primary research – most research goes through translation steps



Mean citations per publication (2011 - 2020)





Policy citation counts

Institution	Citing policy documents
University of Michigan	94,238
University of New Mexico	15,837
University of Arizona	33,208
Harvard	140,509
Florida State University	16,226
Rutgers	42,599
University of Idaho	6,515

What can we do with it?

Discover

Understand the policy landscape

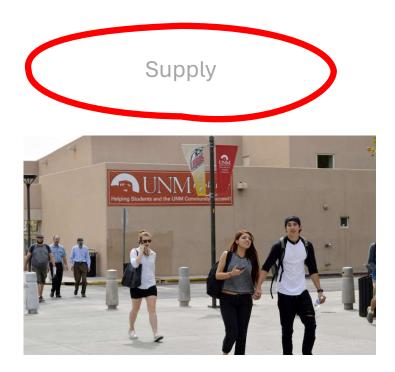
Evaluate

Measure, collect evidence for existing policy engagement activity

Engage

Find new opportunities that researchers could engage with

What questions can we ask?



What are we doing already, and is it working? Where are our strengths? Who is promising & may benefit from support? Are there any compelling stories?

Knowledge brokers

Uni policy office Think tanks Legislative research office NGOs & non-profits IGOs



Demand

What questions can we ask?

Supply



What opportunities exist that that overlap with our strengths? What can we learn that might be useful context when dealing with federal funders?

Knowledge brokers

Uni policy office Think tanks Legislative research office NGOs & non-profits IGOs



... what questions <u>can't</u> we ask?

- Purely quantitative ones about individual researchers
 - Too much engagement is hidden, 0 policy citations may not mean 0 engagement
 - Context and timing is everything 1 brief engagement may have been more impactful than 10 longer ones
- Ones that rely on an objective measure of impact the definition of impact is subjective!
- It helps to have some idea going in of what success looks like this might be tied to your institution's mission statement, or to the goals for a program or department
 - e.g. do we care more about state level or international policy impact?
 - are there any important policy organizations we particularly care about?
 - who are we benchmarking ourselves against, if anybody?

Getting started: Assess what's already happening

What kind of outputs might we want?

- Narratives mix the quantitative with the qualitative, look for interesting stories in the data that are backed up by evidence
- Indicators find some queries that you can keep running over time in the app to see direction of travel
- Datasets that we can combine with others to get a fuller picture of research impact (by department, by career stage, by funder...), maybe fed into dashboards



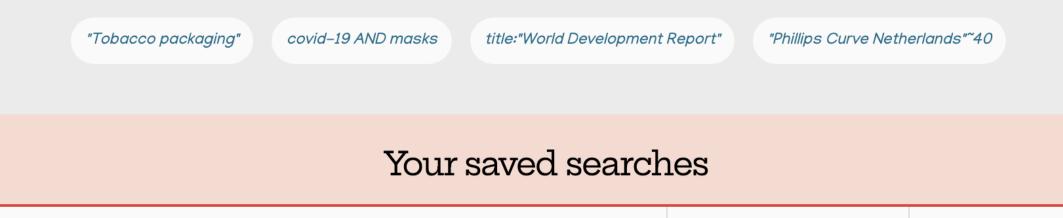
Search 12,420,421 policy documents

e.g. universal credit

Search policy

We'll search the titles and full text of the policy documents we index. You can use booleans (AND, OR, NOT), "phrases in quotes", the ~ operator and a number after phrases to allow word gaps, and parentheses. See our help page on advanced searches for more.

Example searches:



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publisher See policy citing scholarly work published by University of Arizona Libraries

funder See policy citing work funded by University of Arizona

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People cited or mentioned			On page 195			

Citations aren't everything

Policy document

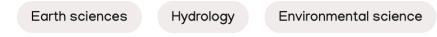


Methodology for the GEF Transboundary Waters Assessment Programme Volume 2: Methodology for the Assessment of Transboundary Aquifers •

United Nations Environment Programme on January 1st 2011 Publication

and secondly, bringing the major issues, concerns and hotspots of these transboundary aquifer systems and SIDS aquifers to global attention, and catalyse action.

Cited by 10 other policy documents (inc. same source)





Sharon B. Megdal at University of Arizona This name and affiliation were mentioned in this document.

Sharon Megdal

On page 99 (or quick view this section)

Policy document



Methodology for the GEF Assessment of Transbo

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Earth sciences Hydrology Tag this Download PDF Sharon B. Megdal This name and affiliat Sharon Megdal On page 99 (or quick view this sec

ANNEX 1 TWAP UNESCO GROUNDWATER EXPERT GROUP

(in alphabetical order)

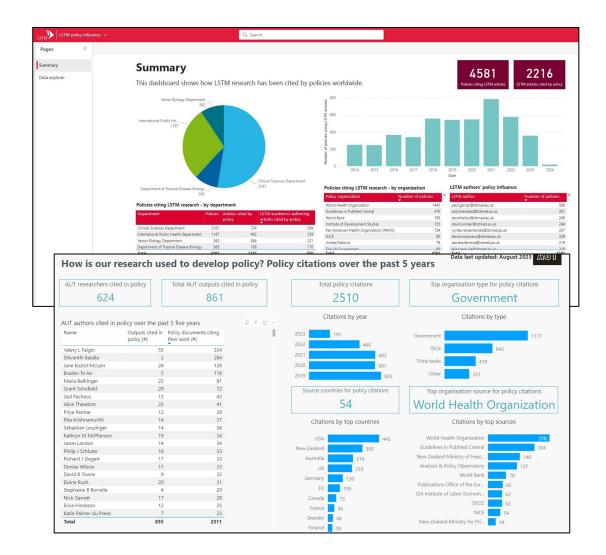
	Name	Affiliation	Mail
1	Abou Amani	UNESCO IHP Accra Office	a.amani@unesco.org
2	Bo Appelgren	Senior Consultant, UNESCO IHP	appelgrenbo@yahoo.it
3	Alice Aureli	UNESCO-IHP	a.aureli@unesco.org
4	Eberhard Braune	UNESCO Chair in South Africa	ebraune@uwc.ac.za
5	Stefano Burchi	AIDA	stefano.burchi@gmail.com
6	Jake Burke	FAO	Jacob.Burke@fao.org
7	Nelson da Franca	ISARM of the Americas	nelsondafranca@yahoo.com.br
8	Jac van der Gun	Senior Consultant, UNESCO IHP	j.vandergun@home.nl
9	Zaisheng Han	Geological Survey of China	hanzaish@hotmail.com
10	Sharon Megdal	University of Arizona	smegdal@cals.arizona.edu
11	Andrea Merla	UNESCO-IHP Senior Consultant	merla.andrea@gmail.com
12	Michaela Miletto	WWAP, Deputy Coordinator	m.miletto@unesco.org
13	Shammy Puri	IAH	ShammyPuri@aol.com
14	Jorge Rucks	OAS	jorge.rucks@gmail.com
15	Fritz Stauffer	ETH Zürich	fritz.stauffer@ifu.baug.ethz.ch
16	Raya Marina Stephan	UNESCO-IHP	r.stephan@unesco.org
17	Willi Struckmeier	IAH-BGR-WHYMAP	Wilhelm.Struckmeier@bgr.de
18	Holger Treidel	UNESCO-IHP	h.treidel@unesco.ora

Volume 2

Overton START	SEARCH	BROWSE DATA	HELP			euan+godemo@overton.id LOG OUT
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People sited or mentioned			On page 195			

Exporting data via CSV, API or snapshot

- For detailed analysis or to merge with other datasets you'll want to export the data out of the app
- Everything you see on the platform is available through a REST API
- And rest via CSV, Excel and RIS
- Always interested in ways we can make this easier, and for ideas of new tools to support (SQL dumps? Google Big Query?)



sal credit			

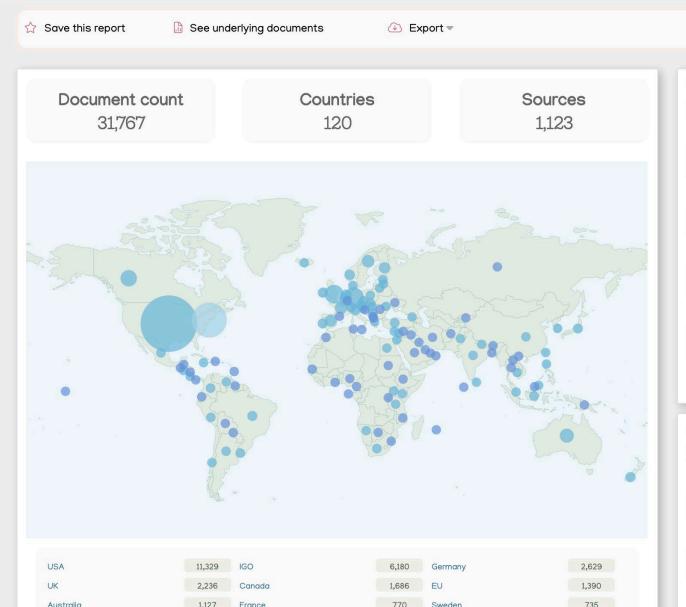
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e of Labor Economics	1,128								

Documents connected to University of Arizona

Summary report for 31,767 policy documents from 1,123 different sources

START

Ξ



Document types	
NAME	DOCUMENTS
Publication	23,063
Clinical guidance	4,805
Working paper	4,582
Scholarly article	431
Blog post	133
Legal documents	119
Transcript	41
Periodical	40
🖸 Copy as CSV	

Source specific tags				
NAME				
Environment and climate change				
SUSTAINABLE DEVELOPMENT				
CLIMATE CHANGE				

SUSTAINABLE DEVELOPMENT	107
CLIMATE CHANGE	102
116th Congress (2019-2020)	90
RAND National Security Research Division	79

DOCUMENTS

Policy SDG categories

NAME	DOCUMENTS
SDG 3: Good Health and Well-being	6,503
SDG 13: Climate Action	1,640
SDG 15: Life on Land	1,480
SDG 6: Clean Water and Sanitation	1,085
SDG 4: Quality Education	805
SDG 8: Decent Work and Economic Growth	662
SDG 2: Zero Hunger	501
SDG 5: Gender Equality	478
SDG 7: Affordable and Clean Energy	413
SDG 11: Sustainable Cities and Communities	295
+ Show more	

Let's investigate SDG 13: Climate Action

- Who is doing the citing (what sources)?
- Which academics are being cited, and what kind of policy is citing them?
- What topics are they publishing on?
- Where is their funding coming from?

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SEARCH POLICY DOCUMENTS SEARCH P	PEOPLE SEARCH SCHOLARLY ARTICLES
e.g. universal credit	Search policy or Reset search
■ Click to hide search filters	DATA NOTES Some notes on limitations and potential biases are available for these results, click this bar to see them.
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Cites or mentions (Remove X)	
University of Arizona Edit selection 🖍 Related to SDG beta	Save search See report Export * Export * Explore * Showing 1,421 results 1 2 2 29
SDG 13: Climate Action	Earth sciences Nature Natural environment
Source type	
think tank 571	Trajectories of the Earth System in the Anthropocene Will Steffen et al. (2018) Proceedings of the National Academy of Sciences
igo 519	Author from University of Arizona is Diana Liverman
government 493	88. W. Steffen et al, Trajectories of the Earth System in the Anthropocene 2018 14 Proceedings of the National Academy of Sciences 8252, 8254.
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From Source	
Tour key sources24	
The UK Government 18	Policy document Climate whiplash: wild swings between weather extremes Climate whiplash: whipla
	Ciamo y Climate Council from Analysis & Policy Observatory on February 8th 2024 Publication

Notes, caveats & biases

DATA NOTES Some notes on limitations and potential biases are available for these results, click this bar to see them.

- At the bottom of every page
- Traffic light system red is important caveat or bias, green is for background
- Expanded by default if any red or yellow notes

DATA NOTES

We work hard to make the data in Overton as accurate and complete as possible, but limitations and possible biases still exist. You can read more about ho some notes on responsible metrics on our help pages, but we've also collected some notes specific to your search below.

Beta functionality

You're using the SDG filter

- We use a machine learning approach to classify policy documents as being relevant to one or more SDGs
- It uses the OSDG Community Dataset as training data. This is a collection of text snippets that height relevant to one or more SDGs
- This approach works well for clearly defined goals like gender equality and climate change, and much less well for broader, more vague goals like "Li
- Overton is unable to classify documents as related to three of the SDGs: 9 (Industry, Innovation and Infrastructure), 12 (Responsible Consumption of (Partnerships to achieve the Goals) as we think the accuracy is too low.

Related links

• About the SDG categories in Overton

Use of OpenAlex

You're using an Cites or Mentions affiliation filter

- Scholarly articles are linked to institutions using data from a third party source called OpenAlex.
- OpenAlex doesn't have affiliation data for all articles. As a general rule of thumb we'd expect searches by affiliation to 'miss' up to 20% of an institu
- · You will still be able to get a good picture of things but alternatives include searching by DOIs directly or sending Overton a feed from an institutional

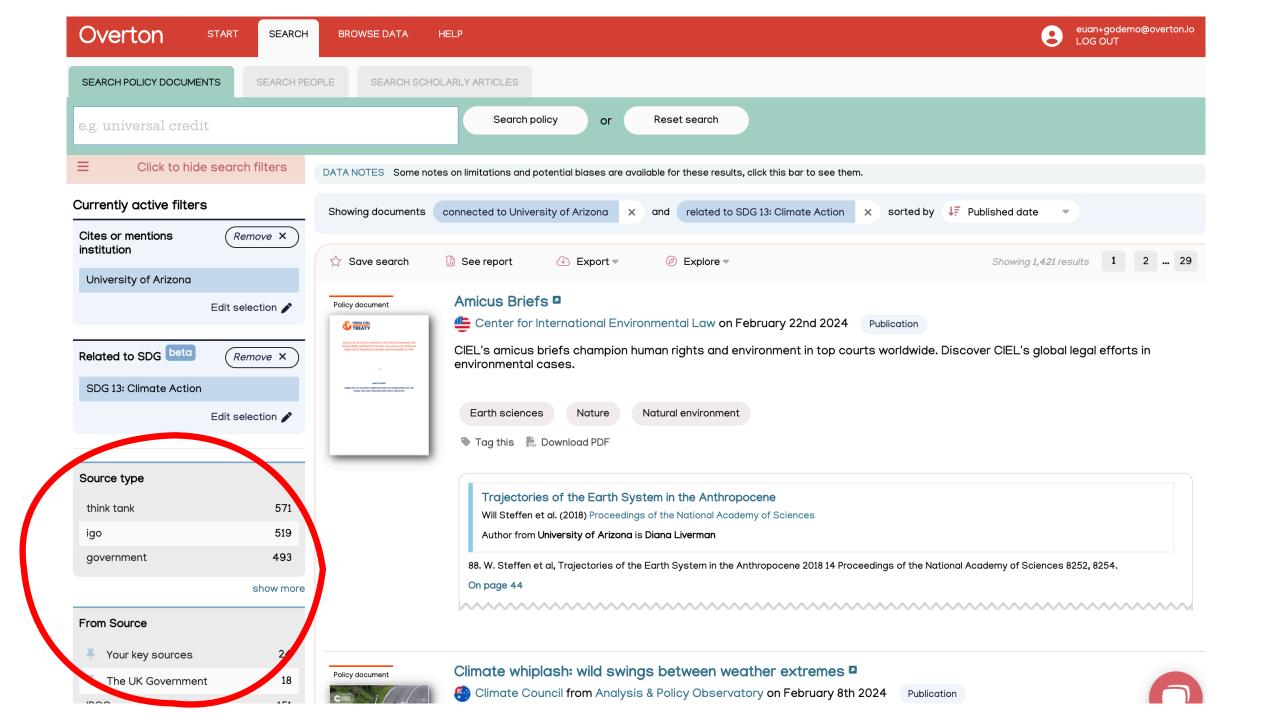
Related links

How does Overton know about author affiliations?

Use of OpenAlex

You're using a filter that uses authorship data from OpenAlex

- Scholarly articles are linked to author & amp; institution pairs using data from a third party source called OpenAlex.
- The filter you're using only lists authors for whom we have an affiliation, but OpenAlex doesn't have affiliation data for every article (as a rule of thur them). This means some authors may have lower publication counts than expected, or may not appear in the filter at all.
- The filter can help you explore which authors are appearing the most frequently in any given document set, but to see all articles associated with a



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IPCC	18		I The LIK Covernment	3		Center for American Progress	1		Centre for Policy Dialogue				
World N	101		IPCC	3		Center for Global Environmental Research	1		DEval				
United Program	63		World Meteorological Organization	3		City of San Diego	1		De Nederlandsche Bank				
	60		United Nations Environment Programme	3		Copenhagen Consensus	1		New Zealand Department of Conservation				
	72		United Nations	3		EASAC	1		DOC Research Institute				
People c	57		World David	3		EU Commission	1		EFSA				
See all	56		Analysis & Policy Observatory	3		Federal Register	1		Environmental Law Institute				
	48		Government Publishing Office (GPO)	3		IDDRI	1		Environmental Defence				
Docume	39		House Committees	3		RSIS	1		Economic Research Forum	2			
Publica	39		ESRC Centre for Climate Change Economics and Policy	3		Institute for Security Studies	1		European Investment Bank				
Working	36		State of California	3		Norad	1		EUR-Lex				
Schola	33		Government of Canada	3		Province of Alberta	1		European Parliament Plenary				
Blog pc	27		OECD	3		SIPRI	1		Frontier Centre for Public Policy	~~~			
Clinical	25		Publications Office of the European Union	3		State of North Carolina	1		Federal Reserve				
Periodi	22		Irish Environmental Protection Agency	3		State of New South Wales	1		Federal Reserve Bank of New York				
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United Program	63		World Meteorological Organization	3		City of San Diego	1		De Nederlandsche Bank				
	60		United Nations Environment Programme	3		Copennagen Consensus	1		New Zealand Department of Conservation				
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People c	57		World Bank	3		EU Commission	1		EFSA				
See all	56		Analysis & Policy Observatory	3		Federal Register	1		Environmental Law Institute				
	48		Government Publishing Office (GPO)	3		IDDRI	1		Environmental Defence				
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Publica	39		ESRC Centre for Climate Change Economics and Policy	3		Institute for Security Studies	1		European Investment Bank				
Working	36		State of California	3		Norad	1		EUR-Lex				
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"share of voice" indicators

- Of all the evidence being cited by the IPCC (in any report), how much of it comes from us?
- In this case, UoA is #51 out of > 2,500 institutions whose work has been cited by the IPCC
- (lots of caveats with this indicator!)

472	Woods Hole Oceanographic Institution
468	Japan Agency for Marine-Earth Science and Technology
468	Stockholm University
468	University of Cambridge
463	University of Alaska Fairbanks
457	Institute of Atmospheric Physics
454	University of Arizona
450	University of Melbourne
447	Royal Netherlands Meteorological Institute
447	University of California, Los Angeles
446	University of British Columbia
443	Spanish National Research Council
442	Cooperative Institute for Research in Environmental Sciences

"share of voice" over time

• Of all institutions globally cited by policy related to SDG 13, ordered by citing policy document count, where does the UoA rank?

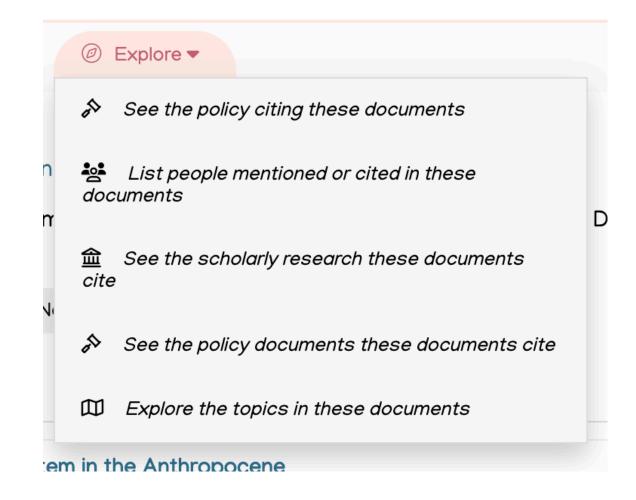
Year	Rank
2016	#87
2018	#78
2020	#100
2022	#91

(in case you're wondering who the top 10 are)

4,957	University of Oxford
4,638	Stanford University
4,479	University of California, Berkeley
4,127	Columbia University
4,068	University of East Anglia
4,040	Potsdam Institute for Climate Impact Research
3,914	Massachusetts Institute of Technology
3,684	International Institute for Applied Systems Analysis
3,683	Wageningen University & Research
3,469	Harvard University

Switching contexts

- For the next part of the analysis we want to look at researchers, research articles and topics rather than the citing policy documents themselves
- In Overton you do this with the "Explore" menu



Topic map

This is a map of the topics that Overton thinks are most often associated with the documents in your results set. Topics with darker backgrounds appear more frequently than we'd expect.

ALL SUBJECT AREAS 1,640 DOCUMENTS	ENVIRONMENT 1,525 DOCUMENTS	ECONOMY, BUSINESS AND FINANCE 731 DOCUMENTS	WEATHER 710 DOCUMENTS
Climate change	Physical geography	Market (economics)	Meteorology ····
Natural environment Nature	Sea level rise Biodiversity	Carbon neutrality	Atmospheric sciences Weather
Greenhouse gas Earth sciences	Ecology	Emissions trading	El Niño-Southern Oscillation
Climate change mitigation	General circulation model	Electricity generation Energy	Climatology
Climate ···· Physical geography ····	Greenhouse effect Hydrology	Energy development	IPCC Fourth Assessment Report
Intergovernmental Panel on Climate Chan	Sea ···· Earth phenomena ····	Sustainable development Food	Snow Hydrography
Sustainability	Water resources	Subsidy Biomass	Sea surface temperature
			Greenland ice sheet
EXPLORE MORE	EXPLORE MORE	EXPLORE MORE	EXPLORE MORE

Overton start search	BROWSE DATA HELP				8	euan+godemo@overton.io LOG OUT
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With affiliation (Remove ×) University of Arizona	☆ Save search ④	Export 🔹 🖉 Explor	e 🔻	Showing 1,024	results	1 2 3 41
	PERSON	DOCUMENTS ARTICLES	TOP SUBJECT	TOPICS & HIGHLIGHTS	BY YEAR	R FROM SOURCES
Type Only people cited	UNIVERSITY OF ARIZONA Diana Liverman	245 23	environment	Tipping points in the climate system National Energy Guarantee	2024 20	014 22% Gov 11% 29% IGO 41% Think
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United States 1,024	UNIVERSITY OF ARIZONA Jonathan T Overpeck	130 45	environment	Mountain gorilla Greenland ice sheet	adda	26% Gov 36% 47% IGO 21% Think
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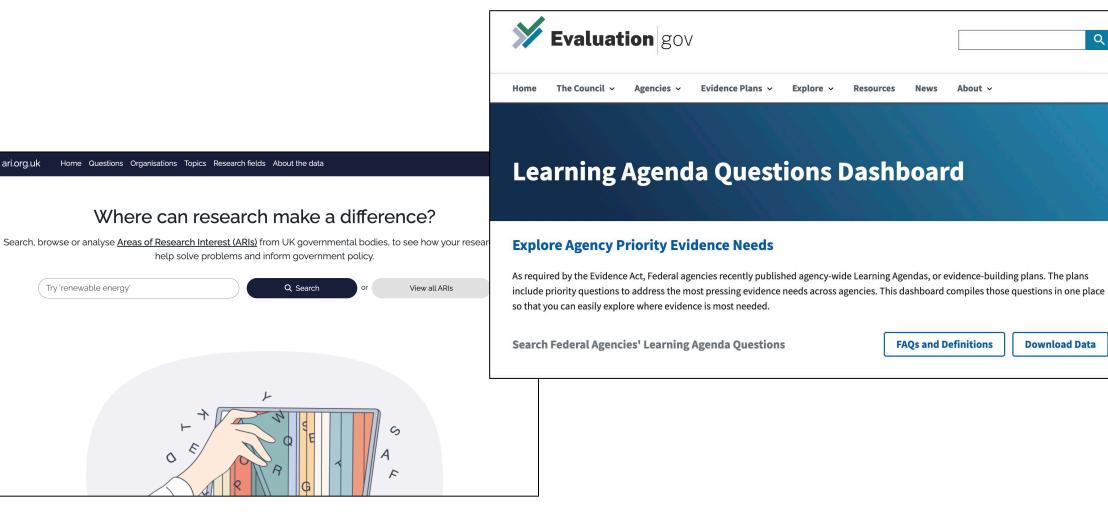
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Springe Wiley	86		FUNDREF National Science Foundation	1		FUNDREF American Association of Petroleum Geologists' Grants-In-Aid program	1		FUNDREF National Institute for Environmental Studies				
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NERC	13		FUNDREF U.S. Department of Energy	1		FUNDREF Audubon through an Apacheria Fellowship	1		National Institute of Standards and Technology				
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Demand side

Engaging with new opportunities

- Governments are rapidly getting better at articulating what they would like from researchers
- Traditionally this has happened:
 - Via funders slow, broad
 - Via knowledge brokers can have political aspect
 - Via informal networks "it's who you know"
- This means expertise supplied is not always representative
- Govs increasingly now going direct

Opportunities for direct engagement



What approaches have proven most effective in helping coastal communities and ecosystems prepare for and recover from extreme weather events, climate hazards, and changing ocean conditions?

Description:

If current trends continue, \$66 to \$106 billion dollars of existing U.S. coastal property could be below sea level by 2050. Furthermore, the United States could see a 1 percent reduction in its gross domestic product (GDP) by 2100 due to coastal flooding. Given these projections, NOAA will address the increasing demand for the science and services needed to enhance coastal resilience to climate change. The natural and economic resilience of oceans and coasts will be advanced using state-of-the-art risk-based tools and actionable information, strengthening on-the-ground partnerships, and improving place-based conservation efforts. To deliver on these goals, NOAA must understand the effectiveness of various approaches in supporting coastal resilience and preparedness for both extreme events and gradually changing environmental conditions.

Organisation: USA Government Organisation department: Department of Commerce Country: USA Region: Published date: 01/04/2022 Expiry date: 31/03/2026 Contact info:tom.diliberto@noaa.gov Link: https://www.commerce.gov/sites/default/files/2022-03/DOC-Learning-Agenda-2022%E2%80%932026.pdf Oswald: View Oswald data

What challenges are Tribes facing in their ability to reclaim remaining abandoned mine lands?

Description:

The Department is a multi-faceted agency, and part of the Department's goals are to promote equity and justice for Tribes, American Indians, Alaska Natives, Native Hawaiians, and insular communities. The scope of the Department's responsibilities includes fulfilling fiduciary trust responsibilities, supporting Tribal self-governance and self-determination, and strengthening the government-to-government relationship between the Federal Government and Tribal nations. Additionally, the Department funds 33 Tribal colleges, universities, technical colleges, and post-secondary schools that provide quality education for students to equip them to meet the demands of the future. The Department also provides technical assistance through partnerships with Native Hawaiian and insular communities to efficiently and effectively secure and manage Federal funds through planning and program activities. Organisation: USA Government Organisation department: Department of the Interior Country: USA Region: Published date: 01/04/2022 Expiry date: 31/03/2026 Contact info:https://www.doi.gov/contact-us Link: https://www.doi.gov/sites/doi.gov/files/doi-fy-2022-2026-learningagenda.pdf Oswald: View Oswald data

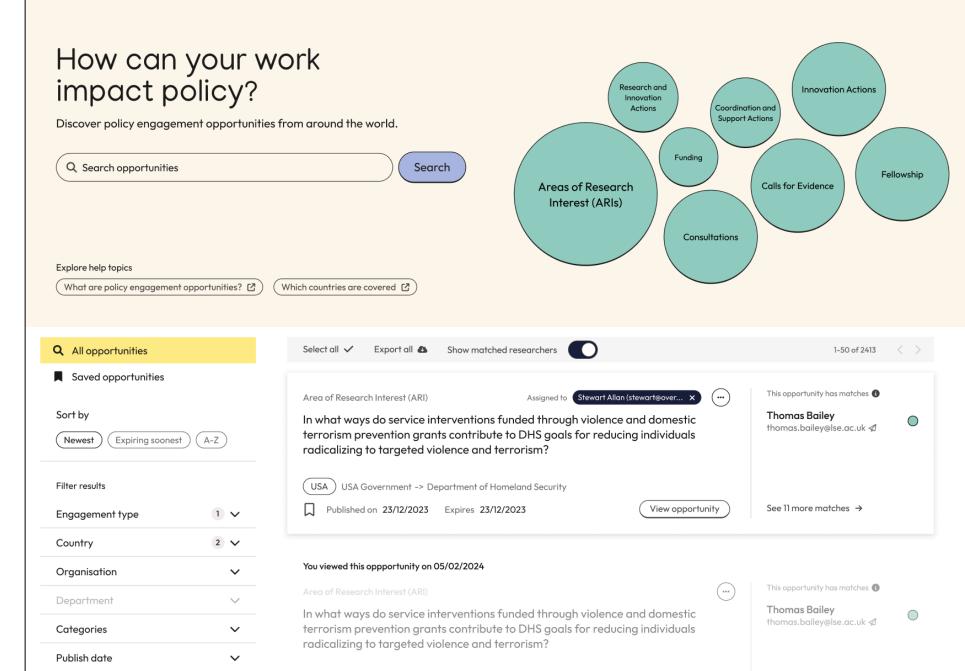
How effective are drug-impairment detection technologies in identifying drug-impaired drivers?

Description:

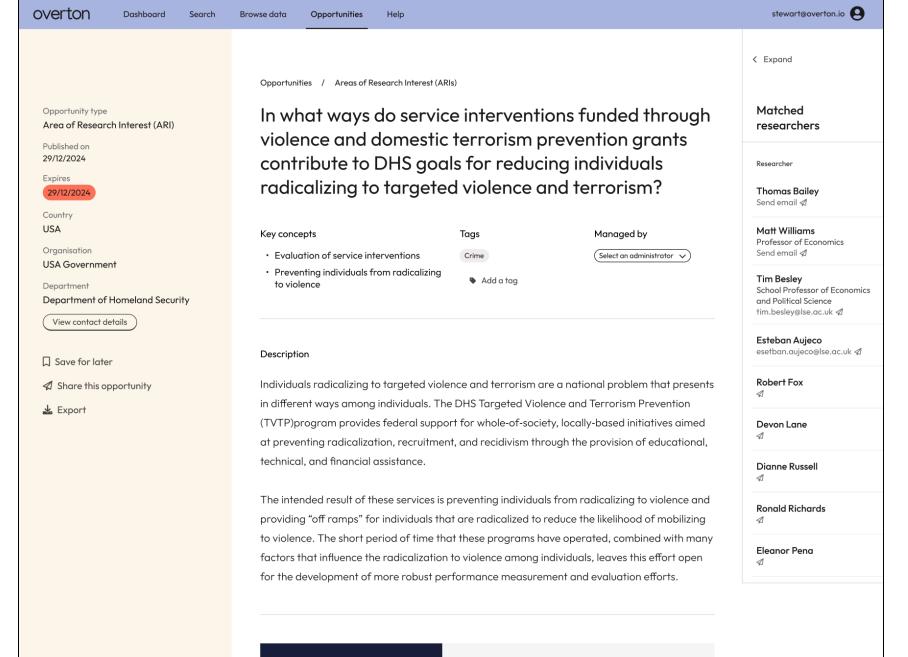
Many substances can impair driving, including some over-the-counter and prescription drugs and illegal drugs. The most recent National Roadside Survey, conducted during 2013 and 2014, found a 20% weekend nighttime prevalence of drug presence in drivers, a statistically significant increase from the 16% found in the 2007 national survey. 1 A recent study of five Level-1 trauma centers found that 29% of drivers involved in serious injury and fatal crashes tested positive for at least one drug other than alcohol prior to the COVID-19 pandemic; this increased to 36% in the first four months of the pandemic. 2 The 2020 National Survey on Drug Use and Health estimated that 12,661,000 people aged 16 and older drove under the influence of drugs during the 2020 calendar year. 3 The presence of drugs is inconsistently tested after traffic events occur. There are challenges in determining whether the presence of drugs other than alcohol indicates impairment during an incident or crash because, unlike alcohol, measurements of other drug levels cannot reliably be used to determine impairment. Drug impairment is also severely underreported in police accident reports, including fatal traffic crashes. Data guality and availability vary widely between States, and cut-off levels used in laboratories to determine drug presence also vary widely.

Organisation: USA Government Organisation department: Department of Transportation Country: USA Region: Published date: 01/04/2022 Expiry date: 31/03/2026 Contact info:pressoffice@dot.gov Link: https://www.transportation.gov/sites/dot.gov/files/2022-03/DOT_Learning_Agenda.pdf Oswald: View Oswald data

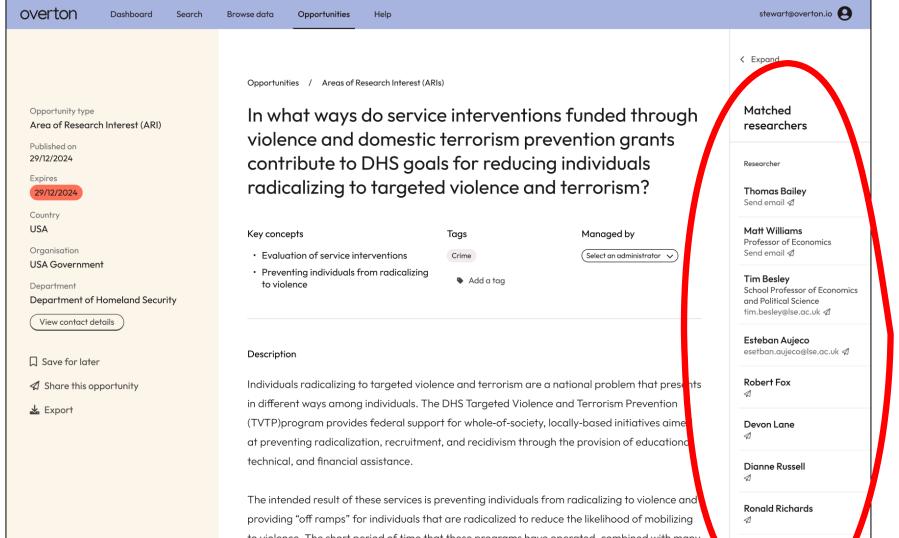
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- New database launching this summer
- Open to browse, pay to get matches, API
- Integrates with existing app – knows your researchers & their levels of policy experience



stewart@overton.io 🤒



Are you interested in engaging with this opportunity? Contact **Department of Homeland Security** for more information dhslearningagenda@hq.dhs.gov



to violence. The short period of time that these programs have operated, combined with many factors that influence the radicalization to violence among individuals, leaves this effort open for the development of more robust performance measurement and evaluation efforts.

Are you interested in engaging with this opportunity?

Contact **Department of Homeland Security** for more information dhslearningagenda@hq.dhs.gov

Eleanor Pena

Thanks!

- Enjoy the rest of your time in New Mexico!
- Feel free to email afterwards or connect on LinkedIn
- And reach out if you'd like trial access

euan@overton.io