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Title: Barriers and Facilitators of Parent Engagement with Health Promotion in Child Care: A Mixed Methods Evaluation

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The authors declare no conflict of interest.

Title: Barriers and Facilitators of Parent Engagement with Health Promotion in Child Care: A Mixed Methods Evaluation

Abstract:

Background. Early care and education (ECE) providers cite lack of parent engagement as a central barrier to promoting healthy behaviors among young children. However, little research exists about factors influencing parent engagement with promoting healthy eating and activity behaviors in the ECE setting.

Aims. This study aimed to address this gap by examining low and high parent engagement with the *Healthy Me, Healthy We* (HMHW) campaign to identify barriers and facilitators of parent engagement with the intervention.

Methods. This comparative case study used an explanatory sequential mixed methods approach. We created center-level parent engagement scores using process evaluation data from the effectiveness trial of HMHW. Recruitment focused on centers with the five lowest and five highest scores. Twenty-eight adults (n=7 directors, n=9 teachers, n=12 parents) from seven centers (n=3 low engagement, n=4 high engagement) completed semi-structured interviews and the Family and Provider/Teacher Relationship Quality measure. Analytic approaches included descriptive statistical analyses for surveys and a framework-informed thematic analysis for interviews.

Results. Prominent contrasts between low and high engagement groups involved center culture for parent engagement and health promotion, practices for fostering networks and communication within centers, and communication between centers and parents. Personal

attributes of providers (e.g., attitudes) also differentially influenced practices for engaging parents.

Discussion and Conclusion. Organizational characteristics and individual practices can facilitate or impede parent engagement with health promotion efforts. Assessing organizational context, gaining input from all stakeholders, and conducting capacity-building interventions may be critical for laying the foundation for positive relationships that support parent engagement in implementation of health promotion programs and beyond.

Keywords: family; early care and education; nutrition; physical activity; implementation; mixed methods

Introduction

Dietary and physical activity behaviors in early childhood create a foundation for short- and long-term growth, development, habits, academic achievement, and overall health (Birch & Fisher, 1998; Institute of Medicine, 2000; Janz, Dawson, & Mahoney, 2000). However, a majority of young children do not meet recommendations for healthy lifestyle patterns (Beets, Bornstein, Dowda, & Pate, 2011; Fox et al., 2016). Parents or other adults who serve as primary caregivers have significant influence on young children's behaviors (Savage, Fisher, & Birch, 2007; Zecevic, Tremblay, Lovsin, & Michel, 2010). Yet, approximately one in three children under age six spends an average of 30 hours per week in early care and education (ECE) settings (i.e., preschool, child care, day care) (Corcoran, Steinley, & Grady, 2016). Therefore, ECE providers also have significant influence on children's dietary and physical activity behaviors (Larson, Ward, Neelon, & Story, 2011). For the more than seven million children who attend ECE settings in the United States, synergy among parents and ECE providers is essential for supporting the development of healthy behaviors (Nixon et al., 2012; Ward et al., 2017). However, ECE providers often cite lack of parent engagement as a central barrier to promoting healthier habits among children (Chow & Humbert, 2011; Lyn, Evers, Davis, Maalouf, & Griffin, 2014; Taveras, LaPelle, Gupta, & Finkelstein, 2006).

Limited research is available regarding barriers or facilitators for parent engagement with health promotion efforts in ECE settings (Dev et al., 2017; Jayasuriya, Williams, Edwards, & Tandon, 2016; Johnson et al., 2013; Taveras et al., 2006). Communication about children's nutrition or eating behaviors is a significant barrier to parent engagement (Dev et al., 2017; Johnson et al., 2013). ECE providers are unsure whether parents are interested in nutrition education, how to communicate without offending parents and feel time is a limiting factor.

Jayasuriya et al. (2016) reported potential barriers in communication regarding outdoor play in that parents generally support outdoor play but were uninformed about outdoor playtime or related policies. Finally, in planning for health promotion efforts in ECE settings serving families with limited resources, Taveras et al. (2006) identified potential barriers related to ECE providers' perceptions of parents' attitudes about health promotion, whether ECE providers felt it was their role to lead health promotion activities, and concerns about language, literacy, and cultural barriers.

Previous investigations have identified barriers for narrow topics, and no inquiries have applied determinant or practical frameworks to evaluate contextual factors influencing parent engagement around health promotion. Determinant frameworks include classes of factors hypothesized or found to impede or facilitate implementation outcomes, whereas practical frameworks offer a "how-to" guide (Nilsen, 2015). This presents an important gap in that frameworks yield more thorough investigation and findings can contribute to a broader evidence base (Gardner, Whittington, McAteer, Eccles, & Michie, 2010). Additionally, application of these types of frameworks could facilitate translation of research to practice. Finally, investigations to barriers typically occur prior to the development or implementation of an intervention to gain insight to what people think they need or want (Taveras et al., 2006). While an important part of the planning process (Bartholomew, Parcel, & Kok, 1998), much could be learned about what works and what could be done differently by exploring barriers and facilitators actually experienced during implementation of ECE center-based health promotion programs (Dehar, Casswell, & Duignan, 1993). However, to the authors' knowledge, no such research has been conducted.

Healthy Me, Healthy We (HMHW) is an 8-month social marketing campaign designed to improve the diet quality and increase minutes of physical activity of 3-4-year old children attending ECE centers (Hennink-Kaminski et al., 2018). The HMHW campaign included kick-off and celebration events as well as four units of branded educational materials and activities designed to help ECE providers and parents partner to promote healthier behaviors for children at the ECE center and at home (Vaughn, Bartlett, Luecking, Hennink-Kaminski, & Ward, 2018). Despite efforts to facilitate partnerships for health promotion, ECE providers offered mixed feedback about whether desired levels of parent engagement were achieved. These diverse impressions about the campaign presented a pragmatic opportunity to explore experiences of those who achieved higher levels of parent engagement and those who had lower engagement (Creswell, 2014). The purpose of this mixed methods study was to: 1) identify extreme cases of low and high parent engagement with HMHW using quantitative process evaluation data from the cluster randomized control trial and 2) characterize barriers and facilitators of parent engagement based on qualitative interviews and quantitative surveys with ECE directors, teachers, and parents affiliated with the extreme cases.

Methods

Study Design

This comparative case study used an explanatory sequential mixed methods approach (quan → QUAL + QUAN) (Creswell & Plano Clark, 2011) to identify and subsequently compare and contrast ECE centers that demonstrated low or high parent engagement within the HMHW campaign. This approach was selected based on the underlying principles of synergy from combining quantitative and qualitative methods and the ability to triangulate findings and elaborate on notable discrepancies between low and high engagement cases (Creswell & Plano

Clark, 2011; Palinkas et al., 2011; Wensing & Grol, 2005). Reporting is in accordance with the Journal Article Reporting Standards for mixed method research (Levitt et al., 2018).

To identify ECE centers eligible for this study, process evaluation data from the second of two waves of a cluster randomized control trial evaluating the effect of HMHW (Hennink-Kaminski et al., 2018) were used to create a center-level, quantitative indicator of parent engagement with the campaign. Data collection occurred in suburban and rural settings in central North Carolina of the United States during the 2016 – 2017 school year. After parent engagement scores were generated, an extreme case, purposeful sampling approach (Palinkas et al., 2015) was used to identify and recruit a total of 10 ECE centers that demonstrated the five lowest and five highest scores of parent engagement. The goal was to have at least three low engagement and three high engagement centers participate (Guest, Bunce, & Johnson, 2006).

Directors were contacted via phone and e-mail. Once they expressed interest, they facilitated connection with teachers and parents who participated in HMHW. Directors, teachers, and parents completed quantitative and qualitative measures. Surveys collected information about the quality of relationships between ECE providers and parents as well as demographic characteristics. All participants completed a semi-structured interview with a single, experienced member of the research team regarding the context of their experience collaborating to promote healthy habits through the HMHW campaign. Recruitment and data collection occurred in July and August 2017. Participants received \$30 compensation for time and effort to complete all measures. The Institutional Review Board at the University approved all study protocols.

Data Collection/Measures

Quantitative.

Parent Engagement. We developed a 53-item indicator of parent engagement (Table 1) based on previously collected process evaluation data (Hennink-Kaminski et al., 2018). Items were pulled from multiple sources and represented distinct perspectives – data collectors, directors, teachers, parents, and study interventionist – to create a single, inclusive assessment of parent engagement with HMHW. Seven items from the Environment and Policy Assessment and Observation (EPAO) document review tool, shown to have strong inter-observer agreement, 79.29% (SD=7.43), (Ward et al., 2008) captured centers’ policies and structured practices regarding parent engagement around health promotion. Fifteen items from director and teacher responses to structured interview questions captured implementation of the intervention. Twenty items from parent surveys captured exposure to and implementation of intervention materials. Lastly, the study’s interventionist completed an 11-item survey regarding organizational context that would theoretically influence implementation at the ECE center and parent engagement with the campaign (e.g., staff expressing heavy workloads). Items demonstrated good internal consistency (Cronbach’s alpha = 0.8) and were summed to create a center-level score (possible range 11 – 139).

Relationship Quality. The Family and Provider/Teacher Relationship Quality (FPTRQ) measure (Kim et al., 2015) was selected to triangulate results from interviews and evaluate the construct validity of the parent engagement indicator. The FPTRQ takes less than 10 minutes to complete, is designed for use across different types of ECE centers for children birth to 5 years of age and captures the perspective of both parents and providers regarding important elements of parent-provider relationships. The director survey (23 items, response range 0 – 17) measures environmental features regarding atmosphere, organizational climate, and resources for families. The teacher (16 items with subcomponents, response range 51 – 204) and parent (19 items with

subcomponents, response range 66 – 264) surveys measure dimensions of professional practice, including knowledge about families, attitudes, and practices surrounding parent-provider interactions. The FPTRQ includes constructs theoretically relevant to parent engagement (i.e., practices surrounding parent-provider interactions) and has demonstrated good to excellent internal reliability (Cronbach's alpha range: 0.63 – 0.97) (Kim et al., 2015).

Qualitative. Two frameworks, the Consolidated Framework for Implementation Research (CFIR) (Damschroder et al., 2009) and Epstein's framework for school-family-community partnerships (Epstein, 2010), were used to structure interview guides and exploration into underlying approaches to parent engagement. The CFIR is a determinant framework that provides pragmatic structure for identification of complex, multilevel factors influencing implementation of interventions. For this study, emphasis was placed on identification of barriers and facilitators of the organizational context (e.g., culture, implementation climate) within which HMHW was implemented (i.e., the inner setting) and the strategies and tactics (e.g., planning, executing) to implement HMHW (i.e., implementation process). The Epstein framework is a practical framework that provides guidance for developing partnerships between educational organizations and families. Specifically, the framework identifies six types of involvement and suggested practices – parenting, communicating, volunteering, learning at home, decision-making, and collaborating with community. Application of these frameworks would allow for identification of contextual and practical elements that impede or facilitate parent engagement with health promotion.

The principal investigator (PI) developed separate yet similar interview guides for directors, teachers, and parents. Director interview guides were based on the CFIR and developed using the associated interview guide tool (The Consolidated Framework for

Implementation Research, n.d.). Questions focused on inner setting constructs regarding structural characteristics, organizational culture, implementation climate, and networks and communication, as well as process constructs related to the planning and execution of HMHW, the involvement of key stakeholders, and reflection and evaluation on the process. Parent interview guides included questions to explore whether or how the six elements of Epstein's framework were used to promote parent engagement, and teacher interview guides were a hybrid of director and parent guides. Interview guides were reviewed by members of the research team specializing in ECE and implementation science, pilot tested with directors, teachers, and parents who participated in wave one of the randomized trial, and modified to reduce the length and improve clarity. The study PI, an experienced researcher, conducted all semi-structured interviews over the telephone. Interviews lasted an average of 55 minutes (range 34 – 87 minutes). Interviews were audio recorded for later transcription by members of the research team.

Analysis

Quantitative. Descriptive statistical analyses were conducted for all quantitative measures. Pearson correlation was used to evaluate convergent validity of the parent engagement measure with the FPTRQ measure. All analyses were performed in SAS version 9.4 (SAS Institute, Inc., Cary, NC).

Qualitative. Transcripts were analyzed in MAXQDA Analytics Pro – Student (VERBI Software, 2016, Berlin: Germany) software. Thematic analysis was conducted through iterative cycles of applying deductively and inductively derived codes to segments of text in response to interview questions (Saldaña, 2013). The initial coding framework included deductively defined constructs from the CFIR and Epstein frameworks used to develop interview guides and general

barrier and facilitator codes that were further specified through an inductive process. The analysis team included the PI, experienced in qualitative research, and two research assistants trained by the PI. After training, each member of the analysis team independently coded three interviews – one director, one teacher, and one parent. The team met to review consistency, discuss discrepancies or uncertainties about applying codes, and identify deficiencies in the current coding framework. To enhance rigor, the PI and a research assistant independently coded each transcript. Kappa coefficients were generated for each interview by MAXQDA Analytics Pro – Student. The research team demonstrated moderate inter-rater reliability (kappa >0.7) by the fifth interview (McHugh, 2012). The analysis team continued to meet regularly to review consistency and accuracy of applying codes, to reconcile discrepancies, and to revise the codebook as needed. This led to modification of codes to clarify pre-specified constructs and create new codes to capture sub-themes within the original constructs (e.g., barrier – time, family characteristics) (Saldaña, 2013).

Survey results were imported to MAXQDA Analytics Pro – Student. To facilitate organization and interpretation of data across low and high cases, matrices of survey and thematic data were generated based upon parent engagement group (Yin, 2009). The PI wrote memos to summarize each matrix. Memos included demonstrative quotes and numerical data to highlight similarities and differences of data sources within cases (e.g., low engagement group), participant roles (i.e., parent, teacher, director), and across low and high cases. The research team met to review memos and come to consensus about organization, interpretation, and implication of findings.

Results

Identification of Extreme Cases of Parent Engagement

Parent engagement scores. Eligible ECE centers had an average parent engagement score of 69.3 ± 11.7 (range 47 – 104) points. Centers invited to this study included those with the five lowest (range 47 – 58) and five highest scores (range 82 – 104). Pearson correlations between the center-level parent engagement scores and FPTRQ Total scores ($r(15)=0.49$, $p=0.054$) and theoretically relevant FPTRQ *Practices* construct ($r(15)=0.55$, $p=0.03$), provide moderately positive, statistically significant support that the parent engagement score appropriately identified extreme cases of parent engagement.

Participants. Seven centers agreed to participate – three representing low engagement and four representing high engagement (Table 2). There were no differences in demographic characteristics between groups. ECE programs represented prekindergarten, Head Start, and faith-based organizations. All programs accepted childcare subsidies and participated in the Child and Adult Care Food Program, indicating at least 25% of families within each center qualified for free or reduced-price meals. Only one center, a low engagement center, had national accreditation signifying a high quality ECE program. More high engagement than low engagement programs used health promotion curriculum. Among these centers, seven directors, nine teachers, and 12 parents consented to participate (Table 3). Most of this sample identified as female (96%), African American or black (86%), having less than a college degree (64%), and an annual family household income less than \$60,000 (57%).

Barriers and Facilitators of Parent Engagement

There were notable differences between the low and high engagement groups regarding center culture for parent engagement and health promotion and networks and communications (i.e., communication amongst ECE providers), both inner setting constructs of CFIR. There were also differences regarding approaches for communicating (i.e., between ECE programs and

home) and parenting (i.e., supporting healthy habits at home), constructs from Epstein's framework. Although not explicitly asked about, knowledge, beliefs and other personal attributes (i.e., CFIR constructs for characteristics of individuals) were identified as important factors that facilitate or impede parent engagement. Table 4 depicts an overview of differences and demonstrative quotes.

Organizational Context (i.e., Inner Setting from CFIR)

Center culture. Providers at high engagement centers consistently alluded to a priority of teamwork with parents – “We really see ourselves all connected in a school family. So every family...wherever that family structure is, that the family is also part of the school” (director, high engagement). However, except for one teacher, this sentiment was absent from the low engagement group. High engagement centers unanimously discussed the priority of actively working towards building rapport and relationships with parents as a way to promote children's development through parent engagement – “If you have parent rapport, if you have a good relationship with your parents, then it will be much easier to implement anything you wanna implement” (director). In contrast, the low engagement group expressed tension between wanting to implement new programs to support parent engagement and feeling previous attempts to eliminate perceived barriers to participation (e.g., food, incentives, child care, transportation) have failed – “You know we always need to get the parents involved more. But I feel like, we kind of do the best we can” (director).

Networks & communication. Director FPTRQ scores suggest the low engagement group (16 ± 1.0) slightly surpassed the high engagement group (14.3 ± 2.2) in efforts to create a welcoming environment that supports families and the formation of strong relationships. However, parents in the low engagement group expressed desire for providers to “communicate

a little more amongst each other so they can communicate better with parents.” Parents felt there was insufficient transition of information between shifts and/or differing expectations or roles for different teachers, particularly when afternoon teachers seemed uninformed about HMHW.

Parent Engagement Practices (i.e., Types of Involvement from Epstein’s Framework)

Communicating. Caregivers consistently stated “communication is key” to parent engagement. However, parents in both groups had inconsistent feelings about the need to communicate about children’s eating or physical activity behaviors, thus confirming some providers’ perceptions that parents are not interested in these topics. Some felt it was helpful to learn about foods children ate at the center, but others felt either “it wouldn’t be one of the things where I might need to talk to the teacher” (low engagement) or “truthfully I guess that’s something (physical activity) that I’m not too concerned about at the moment” (high engagement).

Communication subscale scores from the FPTRQ *Practices* construct (Figure 1) indicate differences in approaches to foster bidirectional communication. Remarkably, instead of thinking about communication as an opportunity for ongoing, two-way interactions, it was often described as one-way delivery of information. The low engagement group spoke more about providing reminders or general updates, and providers acknowledged they were less direct in asking parents to be involved – “But I will say we didn’t ask for any parents to volunteer” (teacher). The high engagement group spoke more about candid interactions regarding (re)evaluating children’s progress, direct invitations to participate, or specific requests for support. They also communicated in a manner that let parents know, “we sure would like to have you involved” (director). While parents in both groups felt like their voices are heard – “we come in with concerns or a problem and they take care of it” (low engagement) – communication is often in

response to problems, not an ongoing process that involves positive feedback or general suggestions.

Parenting. Parents need to feel empowered and have confidence about their role in supporting healthy behaviors at home, but groups described different approaches for this. Every participant in the high engagement group provided examples of support to achieve healthier habits at home (e.g., connection to resources, encouragement to “do better”). Whereas, most providers at low engagement centers acknowledged “(laugh) I don’t think we do anything for that connection” (teacher). Parents at low engagement centers confirmed, “these are the types of conversations that have never been had,” thus emphasizing an important missed opportunity to enable parents to “do their part” at home.

Attitudes and Capacity of ECE Providers to Engage Parents (i.e., Characteristics of Individuals from CFIR)

Family-specific knowledge. The value providers place on the family as a unit, as opposed to the individual child, can influence the type of information they seek. Providers agreed, “you really have to tailor things” (director, low engagement), which often meant taking steps to meet parents where they are regarding language and level of education. However, scores consistently below the median reference for the *Knowledge* construct of the FPTRQ (Figure 1) indicate teachers and parents do not frequently share information about their needs, goals, or circumstances within which the family is living. Providers in the high engagement group reflected, “It’s hard for us sometimes as teachers to realize that families are their (children’s) primary influence, not us. And so, we need to know as much about them as we can and value and respect them” (director). In turn, they demonstrated the ability to personalize interactions with families based on their culture or home environment. Providers in the low engagement group did

not share this sentiment and instead communicated a preference for focusing on children – “The children I love, it’s the parents I can’t do anything with” (teacher).

Other personal attributes of ECE providers. Teachers’ attitudes about caring for children and working with families were more positive in the high engagement group than the low engagement group (*Attitudes* construct, Figure 1). Teachers in the high engagement group expressed “it can’t be us against them” and gratitude for any time or commitment parents had to offer, even if it was not ideal. Contrary to these views, providers at every low engagement center felt disrespected, noting “they look at us as a babysitting service versus the people who are trying to do better about their kid” (teacher) and voiced exasperation about trying different things to get parents involved but feeling “it’s still not working” (teacher). As such, they often interpreted parents’ lack of participation as “you don’t want to help me” (teacher) or a having a chip on their shoulder. A counter interpretation from the high engagement group is that there is a lack of trust or “we just haven’t found the right connection yet” (teacher). Regardless, previous experiences have left teachers, particularly in the low engagement group, feeling depleted – “to be honest, I really don’t want them to be involved because it’s like a challenge all the time.”

Discussion

This comparative case study utilized a mixed methods approach to identify extreme cases of parent engagement with the HMHW campaign and characterize barriers and facilitators of parent engagement. The juxtaposition of directors, teachers, and parents who had varying experiences and the application of frameworks led to the identification of organizational characteristics and individual practices that either facilitated or impeded parent engagement. The most prominent contrasts between low and high engagement groups included center culture for parent engagement and health promotion, practices for fostering networks and communication

within ECE centers, and communication between ECE centers and parents. Additionally, personal attributes of ECE providers influenced practices for engaging parents.

The difference of high engagement centers striving to work *with* families and low engagement centers feeling like they work *for* families highlights the impact an organization's context has on the quality or degree of parent engagement. High engagement centers spoke of a culture that prioritized collaborating with parents and thinking "outside the box" to identify new or different ways for families to be involved. Low engagement centers spoke of feeling like a "babysitting service" and wanting more parent engagement, yet examples of efforts to involve parents comprised one-way delivery of information rather than invitations or actions to promote collaboration. Similarly, previous research into the quality of partnerships between family and ECE providers evaluated through an organizational systems lens suggest key factors for supporting high quality engagement. These include priorities of teamwork from front-line staff and administrators and an overarching culture that values and supports creating and sustaining caring and responsive relationships (Douglass, 2011). A lack of structure and processes to support relationships and shared power between ECE providers and parents could be an important barrier to address to support parent engagement with health promotion and more broadly.

ECE providers frequently state lack of parent engagement hinders building healthier habits (Chow & Humbert, 2011; Lyn, Evers, Davis, Maalouf, & Griffin, 2014; Taveras, LaPelle, Gupta, & Finkelstein, 2006). Results from this study indicate organizational factors like turnover and communication amongst staff may be important influencers of parent engagement. Staff turnover is a recognized barrier to program implementation in ECE settings and beyond (Swindle et al., 2019). Results from the present study invite consideration of effects of turnover beyond

program implementation. Staff turnover disrupts attachment between children and their ECE provider and creates additional stress for other staff (Hale-Jinks, Knopf, & Knopf, 2006). It may also affect communication and relationship building processes that are fundamental for high quality parent engagement. Workforce development and administrative support may be important strategies for reducing staff turnover (Hale-Jinks et al., 2006; Swindle et al., 2019).

Previous inquiries of barriers to parent engagement with health promotion have yielded important insights about communication (Barnes, Guin, Allen, & Jolly, 2016; Dev et al., 2017; Johnson et al., 2013). Similar to previous findings, ECE providers in this sample acknowledged they undertake a “trial and error” process to identify effective methods and styles of communication (Barnes et al., 2016). In addition, they expressed a range of comfort in talking about nutrition or physical activity (Dev et al., 2017; Johnson et al., 2013). Providers want to avoid offending parents or are unsure how to address general nutrition topics when parents are only interested if their child is experiencing specific food issues. In this study, parents’ attitudes and priorities about healthy eating and physical activity varied, perhaps validating providers’ concerns, but there were no stark contrasts between groups. Other studies have identified that parents’ attitudes, personal habits, physical environments and limited time influence their ability to support healthy eating and physical activity at home and thus could affect parent engagement around these topics (Dwyer, Needham, Simpson, & Heeney, 2008; Jarvis, Harrington, & Manson, 2017). Relational practices that enable providers to learn about a family’s culture, circumstances, goals, and values regarding health promotion can help providers frame messaging for these potentially uncomfortable topics (Slater et al., 2010). Communication is an essential element of parent engagement (Halgunseth et al., 2009) and ongoing professional development strategies, such as training and coaching, are needed to support ECE providers to

engage in ongoing, positive, two-way communication that invites parents to participate (Forry, Moodie, Simkin, & Rothenberg, 2011).

Responses from participants resoundingly identified an important gap in previous research and the frameworks used to structure these investigations. Relationships between ECE providers and parents were named a *key precursor* for parent engagement, suggesting processes that initiate and nurture these relationships are important for achieving the ultimate goal of engaging parents in health promotion or otherwise. In fact, strong partnerships between ECE providers and parents can build mutual trust (Karakuş & Savaş, 2012) that facilitates candid conversations between caregivers, even for sensitive topics (Reedy & McGrath, 2010). As such, more attention may be needed prior to implementing new health promotion activities (Walker, Mwaria, Coppola, & Chen, 2014). Assessing organizational context, gaining input from all stakeholders, and conducting capacity-building interventions may be critical for laying the groundwork for implementing health promotion programs and successfully engaging parents in the process (Huynh et al., 2018; Leeman et al., 2015; Vaughn et al., 2019).

This study is not without limitations. Parent engagement scores were generated at a center-level using a measure created specifically for this intervention from process evaluation data. While the organization at-large influences approaches for parent engagement, individual teachers and classroom-level factors greatly influence parent engagement. Still, center-level parent engagement scores agreed with an existing measure about relationship quality between parents and teachers (Kim et al., 2015), suggesting the center-level score was adequate to identify extreme examples of parent engagement. Of additional consideration is the fact parents volunteered for this study, and the type of parents that frequently frustrate ECE providers may not be represented. Finally, the small sample size and context within which this study was

conducted limits the generalizability of results. Evaluating a single health promotion intervention delivered through ECE centers in central North Carolina with a small sample of mostly female, African American individuals may not be applicable to other settings. In spite of these limitations, this study had several strengths. First, this study expanded upon previous investigations of barriers and facilitators by soliciting actual experiences of all stakeholders who recently completed a health promotion program designed to link ECE and home. This provided a concrete, less frequently reported, opportunity to identify what worked and what could be better (Dehar, Casswell, & Duignan, 1993). Second, applying a comprehensive indicator of parent engagement with a health promotion intervention allowed for purposeful identification and comparison of ECE centers with different experiences. Finally, the application of two frameworks, one practical and one determinant, allowed for more thorough investigation and identification of barriers and facilitators for parent engagement that allows results to be evaluated beyond the ECE setting.

Implications for research and practice

The findings from this study indicate organizational characteristics and individual practices may facilitate or impede parent engagement with health promotion efforts. The underlying importance of relationships between ECE providers and parents holds important implications for research and practice. This is an understudied area and it is evident some ECE providers will need more support in developing positive partnerships. In order to develop solutions for increasing parent engagement, specifically with health promotion and more generally, the organizational context of ECE centers must be evaluated through views of all stakeholders (Douglass, 2011). Potential strategies identified in this study include policies and procedures to establish a culture that supports relationships and shared power and ongoing

professional development for positive, two-way communication about health promotion.

Capacity-building interventions that respond to individual ECE center's needs may be critical for laying the foundation for positive relationships that support parent engagement in implementation of health promotion programs and beyond, and the evaluation of the impact of such efforts is needed.

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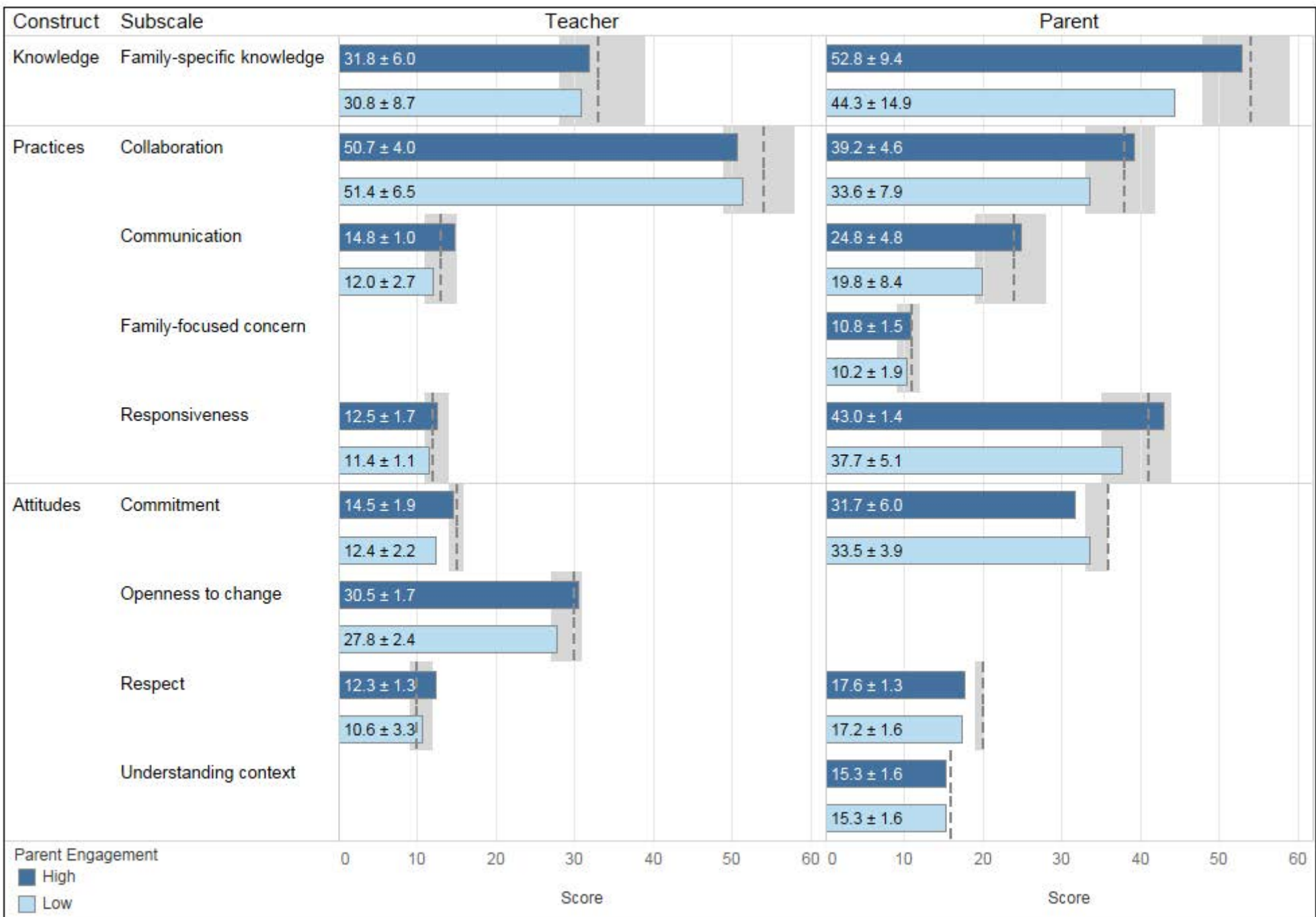
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BARRIERS AND FACILITATORS OF PARENT ENGAGEMENT

Table 1. Overview of indicator of parent engagement with the Healthy Me, Healthy We (HMHW) campaign

Measure	Data Source	Timepoint(s)	Content	Sample Item	Number of Items	Scoring
Environment and Policy Assessment and Observation document review (Ward et al., 2008)	Research team	Prior to HMHW campaign	Policies and structured practices related to parent engagement around nutrition, physical activity, screen time, and outdoor play and learning	Documentation of nutrition or physical activity education offered to parents in the past year	2	No (0), Yes (1)
				Policy about guidelines for families about food brought in for holidays and celebrations	5	3-point scale: topic not mentioned (0), topic is fully covered (2)
Structured interview	Directors	Months 2 and 6 technical assistance visits	Implementation of the campaign: Carrying out HMHW at the center; communication between center and home; parent participation and feedback	Felt strength of doing HMHW is that it connects to the home	2	No (0), Yes (1)
				Expressed difficulty in communication between teachers and parents or parent participation	7	3-point scale: A lot of difficulty (0), No difficulty (2)
Structured interview	Teachers	Months 2 and 6 technical assistance visits	Implementation of the campaign: Carrying out HMHW in classroom; communication with parents; parent participation and feedback	Expressed difficulty carrying out HMHW	6	3-point scale: A lot of difficulty (0), No difficulty (2)

BARRIERS AND FACILITATORS OF PARENT ENGAGEMENT

Survey	Parents	End of HMHW campaign	Exposure to intervention materials	Received Unit 1 Family Guide	11	3-point scale: No (0), Unsure (1), Yes (2)
			Dose received	Number of home activities tried	2	6-point scale: Unsure (0), 25 or more (5)
			Enactment: Application of knowledge and skills promoted by HMHW	I tried "Just Try It" suggestions	5	2-point scale: Disagree/neutral or no (0), Agree or yes (1)
			Understanding of program and role	I understand the HMHW program	2	5-point scale: Very poorly (0), Very well (4)
Survey	Study Interventionist	End of HMHW campaign	Organizational context: Work load, turnover, communication within and outside of center	Staff aware of and supported each other's efforts to deliver HMHW and connect with parents	11	5-point scale: Not at all (1), Fully (5)

BARRIERS AND FACILITATORS OF PARENT ENGAGEMENT

Table 2. Characteristics of early care and education centers representing low and high parent engagement with the *Healthy Me, Healthy We* campaign (n=7)^a

<i>Criteria</i>	<i>Low Parent Engagement (n=3)</i>	<i>High Parent Engagement (n=4)</i>
Parent engagement score, mean (range)	53 (47-57)	89 (82-104)
Accepts child care subsidies	3	4
Participates in the Child and Adult Care Food Program	3	4
Accredited by the National Association for the Education of Young Children ^b	1	0
Other program affiliations ^c		
NC Pre-K ^d or other prekindergarten	1	2
Head Start and/or Early Head Start	1	2
Faith-based	1	1
Use health promotion curriculum	1	3
Total child enrollment, mean (range)	99 (54-125)	80 (30-118)
Weekly enrollment fees for 3-4-year-old children, mean	\$108	\$114

^a All data presented as absolute numbers unless otherwise indicated

^b Data missing for 1 high engagement center

^c Could select all that apply

^d North Carolina Prekindergarten program

BARRIERS AND FACILITATORS OF PARENT ENGAGEMENT

Table 3. Demographic characteristics of early care and education directors (n=7), teachers (n=9), and parents (n=12) representing low and high parent engagement with the *Healthy Me, Healthy We* campaign^a

<i>Characteristics</i>	<i>Low Parent Engagement</i>			<i>High Parent Engagement</i>		
	<i>Directors (n=3)</i>	<i>Teachers (n=5)</i>	<i>Parents (n=6)</i>	<i>Directors (n=4)</i>	<i>Teachers (n=4)</i>	<i>Parents (n=6)</i>
Sex, female	3	5	5	4	4	6
Age, years (mean ± sd ^b)	45 ± 13.6	32 ± 3.6	36 ± 8.4	56 ± 9.0	46 ± 10.9	30 ± 6.2
Race						
African American or black	3	3	6	3	4	5
White	-	2	-	1	-	1
Hispanic or Latino	-	-	-	-	-	1
Highest level of education completed						
Some college or lower	1	2	3	-	2	4
Associate degree	-	1	2	1	2	-
College degree	-	2	1	2	-	-
Masters/doctoral degree	2	-	-	1	-	2
Annual family household income						
Less than \$30,000	1	4	1	-	1	2
\$30,000 - \$59,999	1	1	1	2	-	2
More than \$60,000	1	-	1	1	1	1
Prefer not to answer	-	-	3	1	2	1
Time at center, years (mean ± sd)	9 ± 5.5	1 ± 0.9	4 ± 3.3	5 ± 6.2	9 ± 9.0	5 ± 6.5
Work experience, years (mean ± sd)	14 ± 7.8	5 ± 4.3		16 ± 10.6	12 ± 7.2	

^a All data presented as absolute numbers unless otherwise indicated

^b sd: standard deviation

BARRIERS AND FACILITATORS OF PARENT ENGAGEMENT

Table 4. Prominent differences between low and high parent engagement centers that serve as barriers or facilitators of parent engagement

Barrier/Facilitator (<i>Framework - Construct</i>)	Low Parent Engagement	High Parent Engagement
Center culture (<i>CFIR^a – culture</i>)	“Reach the entire family” More focus on doing things <i>for</i> the parents rather than <i>with</i> the parents	“Connected in a school family” Strong sense of promoting collaboration with parents
Internal communication (<i>CFIR – networks & communication</i>)	“More amongst each other” Parents perceive breakdown in communication amongst staff limits the information they receive	“Always in the know” Work flow design supports communication about children amongst staff that is then passed along to parents
Communication across caregivers (<i>Epstein’s^b – communicating</i>)	“I will say we didn’t ask” Emphasis on one-way delivery of information and less on inviting to participate or soliciting feedback	“We sure would like to have you” Efforts to promote two-way communication include invitations to be involved or provide feedback
Supporting healthy habits at home (<i>Epstein’s – parenting</i>)	“I don’t think we do anything” Lack of conversation, resources, or other support for healthy eating and physical activity	“So that they can do better” Parents received encouragement, conversations, resources, and activities to support healthy eating and physical activity
Family-specific knowledge (<i>CFIR – knowledge and beliefs</i>)	“It’s like a challenge all the time” Providers concentrate on soliciting information solely about, or even from, the children	“Families are their primary influence” Providers get to know families and tailor interactions to their specific culture and context
Providers’ attitudes (<i>CFIR – other personal attributes</i>)	“It’s still not working” Failed attempts to get parents involved leave providers feeling discouraged and less interested or willing to try again	“Think outside the box” Instead of blaming parents for busy lifestyles, providers work to identify novel ways that meet needs of family unit

^a CFIR: Consolidated Framework for Implementation Research (Damschroder et al., 2009)

^b School-Family-Community Partnerships framework (Epstein, 2010)