Evaluating a PI Policy with Data

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Background/Needs

- Update language for University Architecture project & changes to employee types

- Simplify policy for understanding

- Finalize multiple stop/starts for revisions of policy
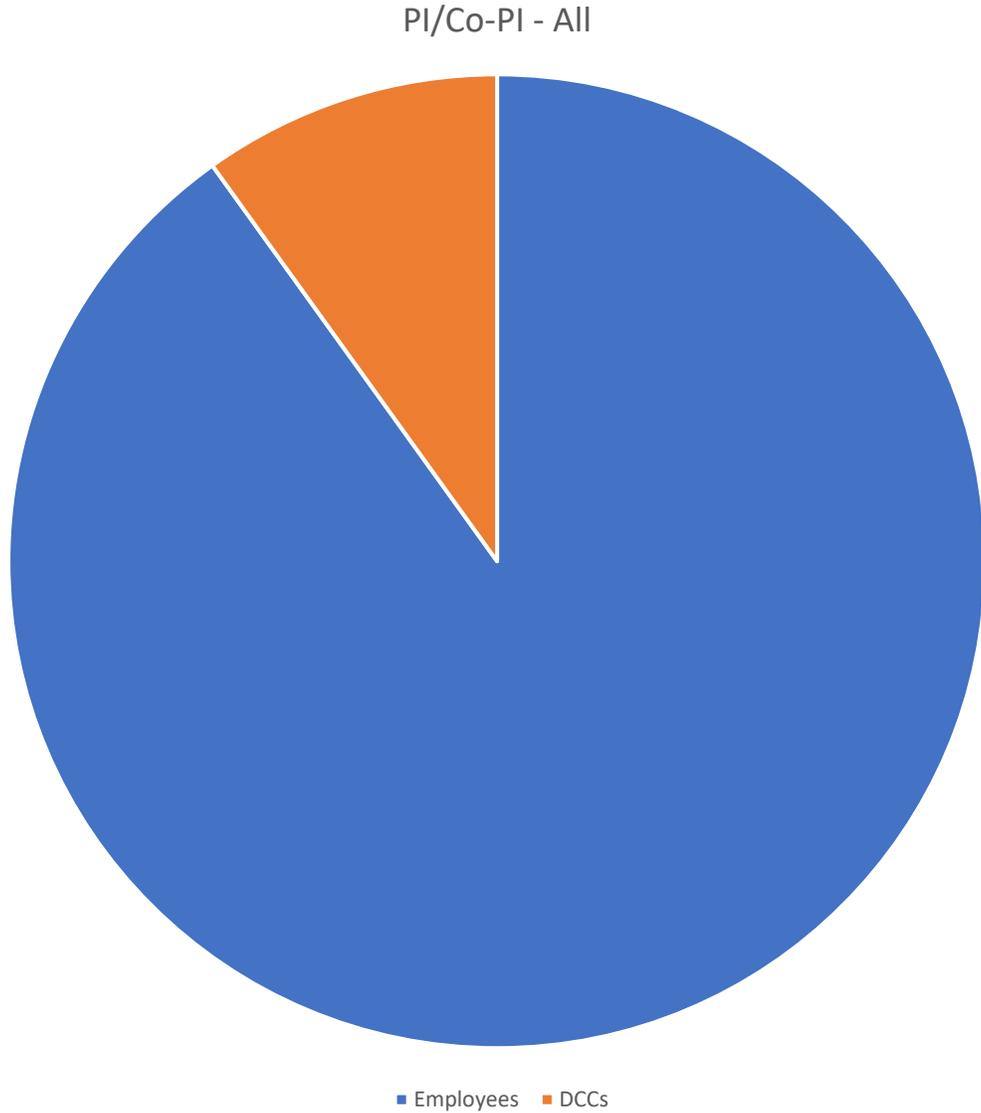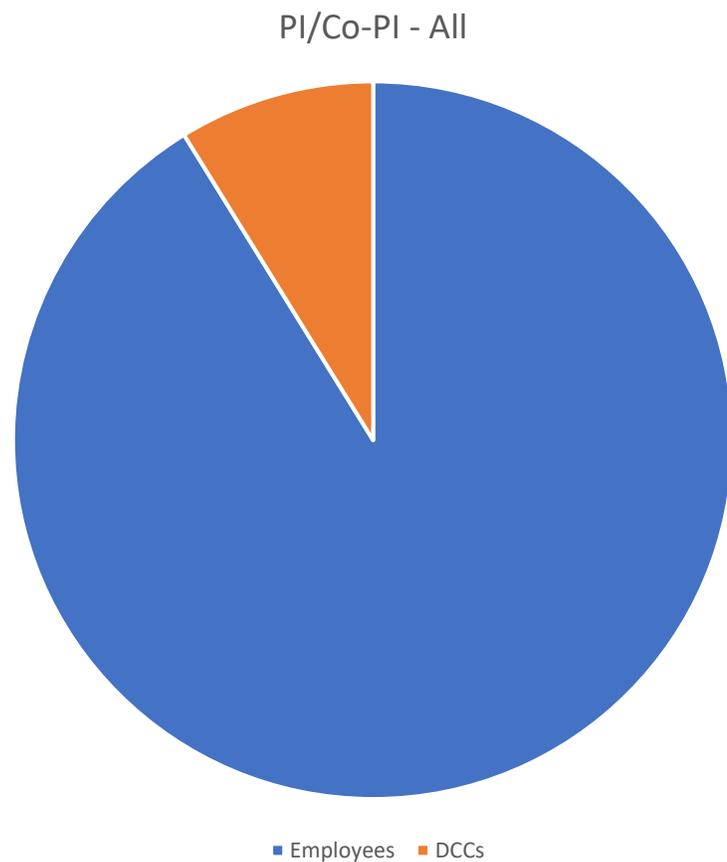
- Define exception process

Make up of PIs/CoPIs – Jan 10

- 1899 PIs/Co-PIs

  - 90% are UA employees
  - 10% are non-employees (DCCs)

PI/Co-PI - All

■ Employees ■ DCCs

Make up of PIs/Co-PIs – Jan 30

PI/Co-PI - All
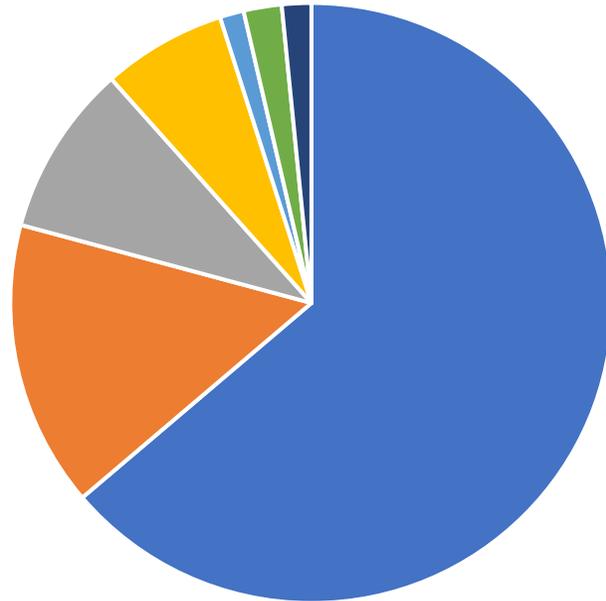

■ Employees ■ DCCs

1875 PIs/Co-PIs

91% are UA employees

9% are non-employees (DCCs)

Employee Types – Pre- Architecture Update

PIs/CoPIs - Employees

- Faculty
- Academic Professional
- Administrative Professional
- Service Professional
- Classified Staff
- Students
- Postdocs

1711 UA Employees are PIs/Co-PIs

Faculty 64%

Academic Professional 15%

Administrative 9%

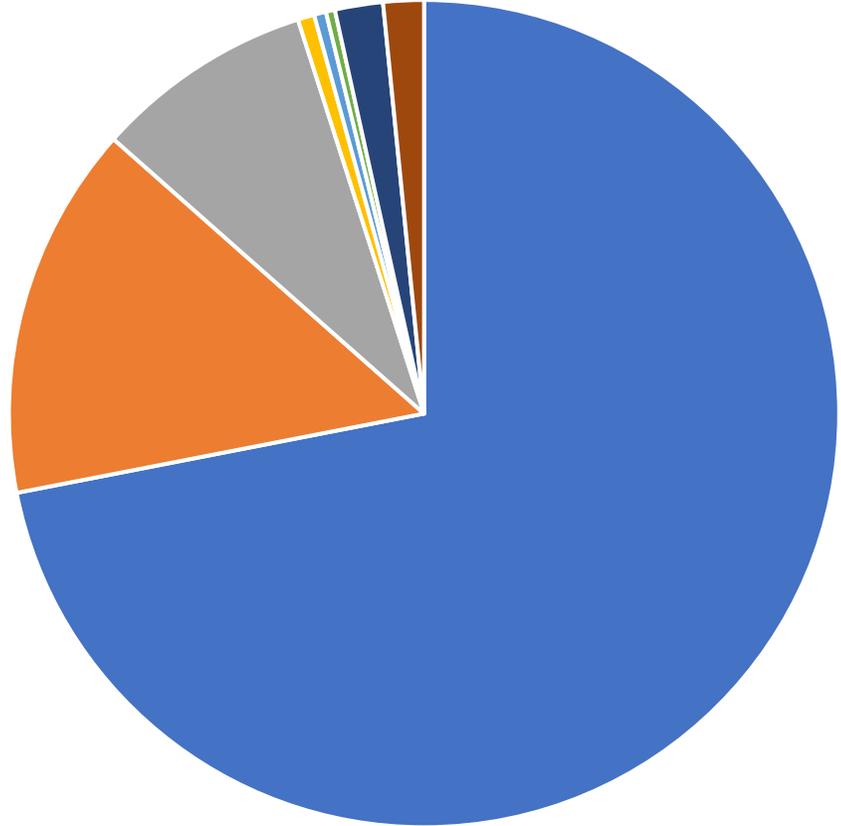Service Professional 7%

Classified Staff 1%

Students 2%

Postdocs 2%

Employee Types – post-Architecture update

- 1710 UA Employees are PIs/Co-PIs
  - Faculty 72%
  - University Staff 15%
  - Academic Professional 9%
  - Administrative 1%
  - Service Professional <1%
  - Classified Staff <1%
  - Students 2%
  - Postdocs 2%

PIs/CoPIs - Employees

- Faculty
- University Staff
- Academic Professional
- Administrative Professional
- Service Professional
- Classified Staff
- Students
- Postdocs

DCC Types – Jan 10 data

PIs/CoPIs - Employees

- Faculty
- Academic Professional
- Administrative Professional
- Service Professional
- Classified Staff
- Students
- Postdocs
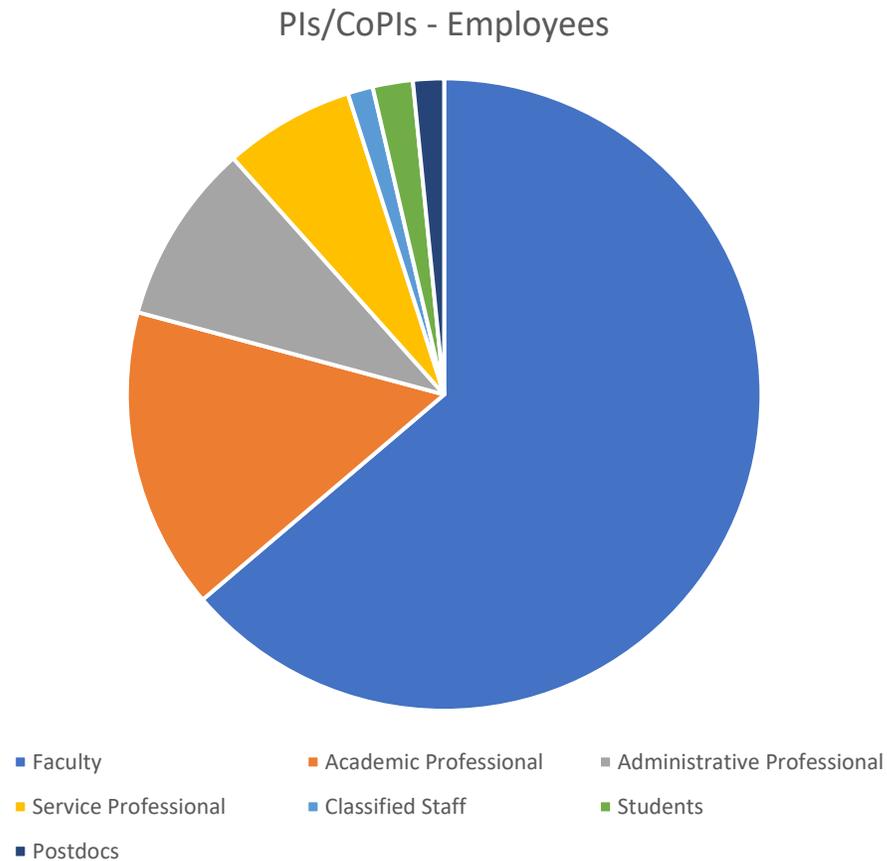
188 DCCs are PIs/CoPIs

BUMG 36%

Dignity 4%

PCH 2%

USGS 3%

 VA 1%

Emerit(a/us) 10%

Retired Non-Faculty 6%

Students/Postdocs 7%
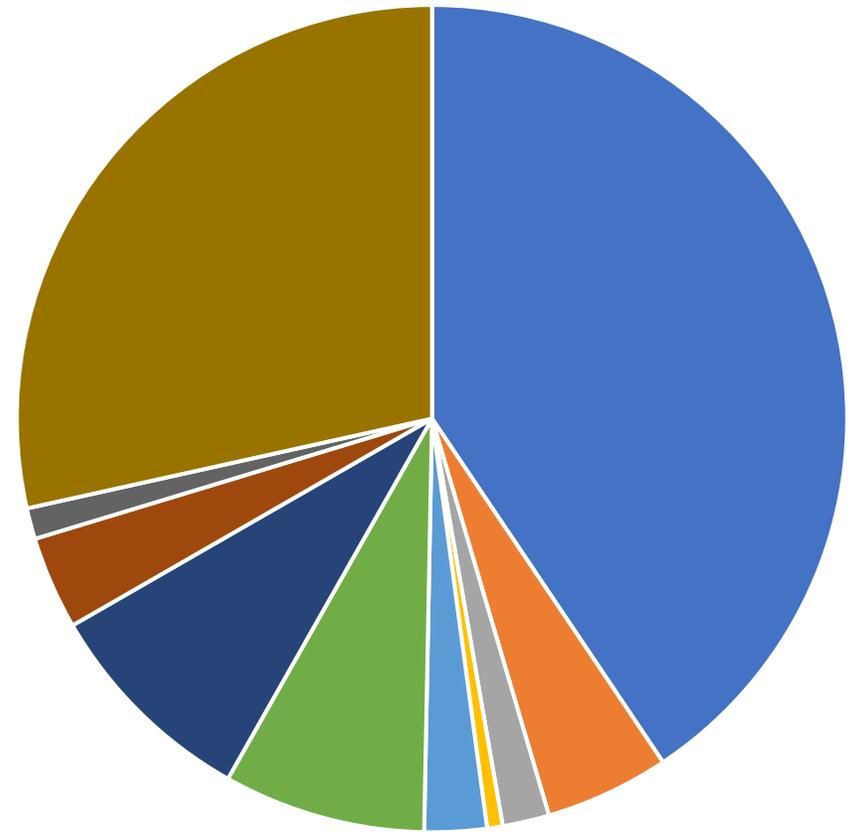
Visiting Scholars 1%

Other 30%

DCC Types – Jan 30 data

- 165 DCCs are PIs/CoPIs
  - BUMG 41%
  - Dignity 5%
  - PCH 2%
  - USGS 2%
  - VA 1%
  - Emerit(a/us) 8%
  - Retired Non-Faculty 4%
  - Students/Postdocs 8%
  - Visiting Scholars 1%
  - Other 28%

DCC Types

Legend: BUMG, Dignity, PHC, VA, USGS, Students/Postdocs, Emerita/us, Retired Non-Faculty, Visiting, Other

Proposed

- Allow all UA employees to be a PI/Co-PI with the approval of the department head/dean (common model)

- Allow non-UA employees who are students or postdocs the ability to be PI when the program requires it (must have UA employee PI)

- Document arrangements with non-UA entities where PI status is allowed: BUMG, Dignity, PCH, USGS

- Document stricter policies for IRB and IACUC protocols, if any

- Evaluate outlier scenarios not included in the above

- Define an exception process

Outliers

VA PI: The arrangement with the VA does not include research, like others (BUMG, etc)

Visiting Scholars

Retired non-faculty

Courtesy appointments

Temp appointments when a PI leaves the UA (to finish work)

College/Department wishes to allow non-employee PIs more broadly