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# What Have We Learnt from Quantitative Case Reports of Acute Lateral Ankle Sprains Injuries and Episodes of 'Giving-Way' of the Ankle Joint, and What Shall We Further Investigate?

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# What have we learnt from quantitative case reports of acute lateral ankle sprains injuries and episodes of 'giving-way' of the ankle joint, and what shall we further investigate?

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#### ABSTRACT

Lateral ankle sprains are a commonly incurred injury in sports. They have a high recurrence rate and can lead to the development of persistent injury associated symptoms. We performed a quantitative synthesis of published case reports documenting the kinematics of acute lateral ankle sprains and episodes of 'giving-way' of the ankle joint to provide a comprehensive description of the mechanisms. A systematic literature search was conducted to screen records within MEDLINE® and EMBASE®. Additional strategies included manual search of specific journals, as well as contacting researchers in relevant communities to retrieve unpublished data. Twenty-four cases were included in the quantitative synthesis, 11 from individual case reports and 13 from four separate case series. Two authors independently reviewed all the articles and extracted ankle joint kinematic data. Excessive ankle inversion was the most pronounced kinematic pattern observed across all included cases, with a mean peak inversion angle of 67.5° (range 2.0 to 142) and a mean peak inversion velocity of 974°/s (range 468 to 1752). This was followed by internal rotation and plantar flexion, respectively. A homogeneous linear function revealed a mean inversion velocity across all cases of  $337^{\circ}$ /s (range 117 to 1400; R<sup>2</sup> = 0.78; p < 0.0001).

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Supplemental data for this article can be accessed here.

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#### Introduction

Lateral ankle sprains are the most common injury incurred by individuals who participate in sports (Fong et al., 2007). Although often considered as innocuous injuries (Doherty et al., 2014), lateral ankle sprains have a high recurrence rate, are often compounded by the development of persistent injury-associated symptoms, and ultimately result in high annual health care costs (Gribble et al., 2016). It is thus not surprising that the International Ankle Consortium (IAC) has highlighted the prevention of lateral ankle sprains as one of its eight research priorities (Gribble et al., 2016).

The ability to optimise injury prevention/risk-reduction programmes may be limited by an incomplete understanding of the mechanisms of injuries (Delahunt & Remus, 2019). To this end, Bahr and Krosshaug (2005) developed a comprehensive injurycausation model. In this model, they propose that quantification of the biomechanical properties of the mechanisms of injuries can be used to guide the development of injury prevention/risk-reduction initiatives and the design of protective equipment (Bahr & Krosshaug, 2005). With recent advances in sports biomechanics techniques, numerous approaches have emerged to quantify the mechanisms of common sports-related injuries (Krosshaug et al., 2005).

It is obvious that the observation of real injury incidents is critically important for the understanding of how injuries occur (Krosshaug et al., 2005). However, it remains highly unethical and practically impossible to perform experiments during which test participants are purposefully injured (Fong et al., 2009). Lateral ankle sprains are, however, particularly common in indoor sports settings (Doherty et al., 2014; Fong et al., 2007; Vuurberg et al., 2018), where this injury most often occurs without any distorting object between the injured foot and floor (Herzog et al., 2019). Therefore, it is only natural that this common injury has occasionally occurred in biomechanical laboratories with calibrated motion analysis equipment (Fong et al., 2009; Gehring et al., 2013; Kristianslund et al., 2011; Terada & Gribble, 2015). While some ankle distortions lead to injury of the lateral ankle ligaments, others do not. These latter incidents have also been observed during laboratory experiments (Kosik et al., 2019; Y. Li et al., 2019; Remus et al., 2018). Some of these have, in recent times, been referred to as episodes of 'giving-way' of the ankle joint and with a mechanism that seemingly closely resembles that of an acute lateral ankle sprain (Gribble et al., 2014). These have more specifically been defined as: 'the regular occurrence of uncontrolled and unpredictable episodes of excessive inversion of the rear foot (usually experienced during initial contact during walking or running), which do not result in an acute lateral ankle sprain' (Delahunt et al., 2010).

Far more injury incidents are naturally captured (unintentionally) during televised sports events and competitions than during controlled laboratory experiments. Recent technologies have enabled the quantitative analysis of injuries captured during televised sports events. This is achieved through a Model-Based Image-Matching (MBIM) forensic motion analysis technique that allows for 3-dimensional kinematic analyses of human motion from uncalibrated video sequences (Krosshaug & Bahr, 2005). Although originally used to analyse mechanisms of anterior cruciate ligament (ACL) injuries (Krosshaug et al., 2007), this technique has since been used to quantify the mechanisms of televised lateral ankle sprain injury cases (Fong et al., 2012; Mok et al., 2011a).

Until now, no study has consolidated the kinematic outcomes of published quantitative case reports of lateral ankle sprains and episodes of 'giving-way'. As a result, the aim of this review was to identify and quantitatively synthesise published case reports documenting the kinematics of acute lateral ankle twists resulting in sprains and episodes of 'giving-way' of the ankle joint.

# **Materials and methods**

The impetus for this review was developed following a scientific session ('Quantitative analysis of the mechanism of ankle inversion sprain injury'), which was presented at the 2019 International Ankle Symposium (Biennial meeting of the International Ankle Consortium) on 4 October 2019 in Amsterdam, The Netherlands. In this symposium, authors of some previously published quantitative case reports presented their cases and analyses. Some of these cases described the kinematics of acute lateral ankle sprains, while others reported upon the kinematics of 'giving-way' episodes of the ankle joint. We hope that this state-of-the-art review will enhance understandings of the mechanisms of acute lateral ankle sprains and 'giving-way' episodes of the ankle joint. This information is integral to the development of efficacious injury prevention/risk-reduction initiatives and the design of protective equipment.

# Literature search

The authorship group already had a good knowledge of the existing literature within this area, by virtue of being the authors of most of the published case reports. However, to reduce the risk of missing other published cases, a literature search was conducted. EMBASE and OVID search tools were used to systematically screen records within MEDLINE<sup>\*</sup> and EMBASE<sup>\*</sup> including the following possible status besides MEDLINE and EMBASE: Epub Ahead of Print, In-Process & Other Non-Indexed Citations, Daily and Versions(R) 1946 to present, with a final search being conducted on 7 October 2021 using the following search string in MEDLINE: (ankle[Title] AND sprain[Title] OR giving-way[Title]) AND (case[Title] OR cases[Title] OR episode[Title] OR episodes[Title] OR accident[Title] OR accidents[Title] OR accidental[Title] OR recorded[Title] OR captured[Title] OR televised[Title]), and (ankle:ti AND sprain:ti OR 'giving way':ti) AND (case:ti OR cases:ti OR episode:ti OR episodes:ti OR accident:ti OR accidents:ti OR accidental:ti OR recorded:ti OR captured:ti OR televised:ti) in EMBASE. Additional non-systematic searches were conducted in Google Scholar and other general search engines. Unpublished laboratory-recorded lateral ankle sprains, twists, and episodes of giving-way were invited for inclusion in this review by informally asking around in scientific communities.

# Criteria for article and case selection

A priori selection criteria were determined for limiting articles to quantitative reports of lateral ankle distortions, whether resulting in injury or not, as well as cases of 'giving-way' of the ankle joint. Any studies describing more than one lateral ankle twist, or 'giving-way' episode had their episodes included as separate cases.

#### Article assessment

Two authors (FGL and YW) independently reviewed all articles to determine eligibility for inclusion in this review. Same two authors extracted all information from the included cases, such as individual's information and anthropometrics, event scenario, event severity, as well as all a priori agreed upon quantitative kinematic and kinetic outcomes, including initial ankle joint angles, peak ankle joint angles, peak ankle joint angular velocities, average ankle joint angular velocities, and ankle joint moments (Supplementary Appendix 2). All outcomes were determined by consensus between the two reviewers.

#### Data analysis and statistics

Individuals' characteristics in the form of age, height, and body mass were retrieved directly from the case reports. In cases where this information has not been provided in the published article, we retrieved this information via the individuals' respective sports association/federation (Association of Tennis Professionals, Women's Tennis Association, Badminton World Federation, and International Association of Athletics Federations).

Event scenario was retrieved directly from the case reports or from re-watching available videos (Supplementary Video 1). In some cases where the severity of a televised event was not available in the case reports, this was retrieved via Google Search, as well as by re-watching the game/competition, to assess whether they resumed their activity or were forced to withdraw. Additionally, the location of contact between shoe and floor at initial contact was retrieved via visual inspection whenever possible.

Ankle joint kinematics and kinetics (when available) were reported following the recommendations of the International Society of Biomechanics (Wu et al., 2002), and retrieved directly from the case reports. In some reports, these outcomes were only graphed but not reported in exact numbers. Here, the data points of interest were estimated digitally by using a y-axis-calibrated ruler in Kinovea (v. 0.9.5, www.kinovea.org).

Descriptive statistics were conducted in Microsoft Excel and used to summarise the quantitative outcomes from the included case reports. Means were used to describe the measures of central tendency, while the variability between the cases was described using standard deviation (SD) (Barde & Barde, 2012). Linear regression analyses were conducted on the total change in joint displacement ( $\Delta\theta$ ), and time elapsed from initial contact to peak displacement (i.e., average joint angular velocity, as calculated via Equation 1), using Microsoft Excel Analysis ToolPak (Microsoft Corporation, Washington, USA).

$$\bar{\omega} = \frac{\theta_{max} - \theta_0}{t_{max} - t_0} \tag{1}$$

#### Results

The systematic search process (Supplementary Appendix 1) produced a total of 81 potentially eligible articles after duplicates were removed (Figure 1). Of these, 51 were directly excluded in the title and abstract screening, while additional four reports could not be retrieved. Of the 26 reports assessed for eligibility, 13 were excluded: Ten reports

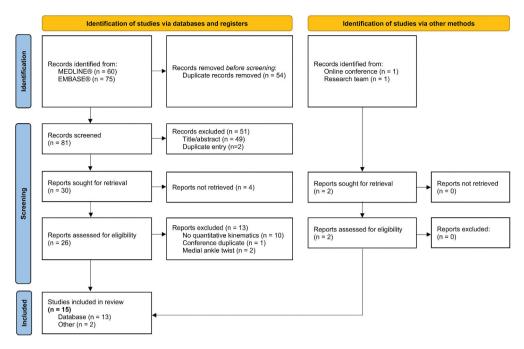


Figure 1. PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) flow diagram.

did not contain kinematic or kinetic outcomes of the described event, two for being medial ankle sprain analyses (X. Li et al., 2016; Wade et al., 2018), and one for being a conference abstract of an included case report (Chin et al., 2020). The 13 included studies were accompanied by two additional reports retrieved via other methods: One case from within the authorship group (Lysdal et al., 2018), and one case presented at the 38th Conference of the International Society of Biomechanics in Sports, and retrieved from the conference proceedings (Trejo Ramírez et al., 2020).

#### Individuals' characteristics

The 15 included studies produced at total of 24 separate quantitative case reports incurred by 24 individuals (Table 1). Most of the incidents were sustained by men (63%). Fourteen were elite athletes, and 10 recreationally active individuals. The mean age at the time of the incidents was 24 years (SD 4.4; range 18 to 33). The mean height and body mass of the individuals were 1.81 m (SD 0.13; range 1.60 to 2.11), and 76.0 kg (SD 17.0; range 57.0 to 111.1), respectively.

#### Chronological case description

The first quantitative case report of a lateral ankle twist was published in 2009. Here, a recreationally active man, with no previous ankle sprain history, accidentally sustained a mild lateral ankle sprain during a laboratory test while performing a 180-degree pivot turn (Supplementary Table 1) (Fong et al., 2009).

Stud	у	Case#	Event setting	Sex	Age [years]	Height [m]	Mass [kg]
a)	Fong et al., 2009	1	Laboratory	Male	23	1.75	62.6
b)	Mok et al., 2011a #1	2	Competition	Female	29	1.85	57.6
	Mok et al., 2011a #2	3	Competition	Male	24	1.72	72.0
c)	Kristianslund et al., 2011	4	Laboratory	Female	22	1.73	63.7
d)	Fong et al., 2012 #1	5	Competition	Male	27	1.93	79.0
	Fong et al., 2012 #2	6	Competition	Male	23	1.83	81.6
	Fong et al., 2012 #3	7	Competition	Female	18	1.73	58.0
	Fong et al., 2012 #4	8	Competition	Female	20	1.77	58.0
	Fong et al., 2012 #5	9	Competition	Female	26	1.75	60.0
e)	Gehring et al., 2013	10	Laboratory	Male	23	1.83	75.0
f)	Terada & Gribble, 2015	11	Laboratory	Male	20	1.80	80.0
g)	Panagiotakis et al., 2017 #1	12	Competition	Male	33	2.11	111.1
-	Panagiotakis et al., 2017 #2	13	Competition	Male	32	2.03	102.1
	Panagiotakis et al., 2017 #3	14	Competition	Male	24	1.91	94.3
	Panagiotakis et al., 2017 #4	15	Competition	Male	27	2.11	105.2
h)	Lysdal et al., 2018	16	Laboratory	Male	26	1.74	75.5
i)	Remus et al., 2018	17	Laboratory	Male	22	1.78	97.0
j)	Kosik et al., 2019	18	Laboratory	Male	23	1.88	97.7
k)	Li et al., 2019 #1	19	Laboratory	Female	20	1.71	70.0
	Li et al., 2019 #2	20	Laboratory	Female	19	1.66	63.0
I)	Trejo Ramírez et al., 2020	21	Laboratory	Male	31	1.83	84.0
m)	Chin et al., 2021	22	Laboratory	Female	18	1.60	57.0
n)	Fong et al., 2021	23	Competition	Male	29	1.72	68.0
o)	Takeda et al., 2021	24	Laboratory	Female	18	1.61	51.3
Mea	n (SD)				24.0 (4.4)	1.81 (0.13)	76.0 (17.0

Table 1. Chronological list of studies, case numbers and individual's characteristics.

Cases 2 and 3 occurred during the Olympic Games in Beijing in 2008 and were caught on camera during competition, and had since been quantified using MBIM analysis. The first of these incidents was a lateral ankle sprain sustained during the High Jump competition in the final take-off step. The second case was a lateral ankle sprain injury sustained in Field Hockey by stepping onto the opponent's foot (after initial heel contact on the turf) while fighting for the ball. Both athletes were forced to withdraw from their competition (Mok et al., 2011a).

Case 4 was a laboratory accident in which a healthy (no previous ankle injury) elite female handball player sustained a lateral ankle sprain while performing a 40-degree sidestep cut (Kristianslund et al., 2011).

Cases 5–9 were published as a quantitative case series study using MBIM analysis on five lateral ankle sprain injuries that were captured on TV during professional tennis competitions between 1995 and 2010 (Fong et al., 2012). All five televised tennis injuries occurred in singles matches, by two men and three women. Three of the incidents forced the affected player to retire from the match, while two were able to continue playing, of which one of them won the match. Three injuries were sustained on an outdoor clay surface, of which two cases (#6 and #8) occurred after a sudden stop while sliding, and one during a lateral shuffle after a backhand return (#7). The remaining two injuries were sustained on indoor carpet and hardcourt surfaces, both in the context of a backhand return, one while running to the short backhand corner (#5), the other during a lateral shuffle landing (#9) (Supplementary Table 1).

Case 10 was an accidental mild lateral ankle sprain sustained in the laboratory, by an individual with recurring ankle problems, during a 180-degree pivot turn while testing different pairs of studded football boots on artificial grass (Gehring et al., 2013).

Case 11 was sustained in a biomechanics laboratory during a bilateral stop-jump task by an individual with chronic ankle instability (Terada & Gribble, 2015). This incident resulted in a mild lateral ankle sprain injury and stopped the testing (Supplementary Table 1).

Case 12–15 all occurred during Basketball match play in the National Basketball Association (NBA) and quantified using MBIM. All four incidents occurred following a step onto an opponent's foot. Three of the incidents forced the player to withdraw from the match, while one could continue playing (Panagiotakis et al., 2017).

Case 16 was a mild lateral ankle sprain that occurred in a biomechanics laboratory during a 40-degree sidestep cut (Lysdal et al., 2018). The incident occurred during the only control condition, while the participant was testing Spraino, an ankle sprain preventive device (Lysdal et al., 2021).

The next four cases (17–20) all occurred during laboratory testing, and all incidents were classified as episodes of 'giving-way' sustained by four individuals diagnosed with chronic ankle instability (CAI). Here, none of the participants suffered any injury and continued testing.

In Case 17, the incident occurred during a 90-degree side-cut in the run-to-shuffle transition in the agility t-test (Remus et al., 2018).

Case 18 occurred during a single-leg landing task (Kosik et al., 2019).

Case 19 and 20 both occurred in the same laboratory as part of the same study while performing drop-landing tasks onto a 25-degree-tilted force platform (Y. Li et al., 2019).

Case 21 was an accidental ankle twist sustained in a laboratory during a 90-degree cut while testing stud configurations for football boots. The participant was uninjured and testing continued (Trejo Ramírez et al., 2020).

Case 22 was a laboratory accident where a female elite ice hockey player twisted her ankle during a 180-degree pivot turn. There was only minor local soreness associated with this incident and full (unrestricted) sports participation the following day (Chin et al., 2021).

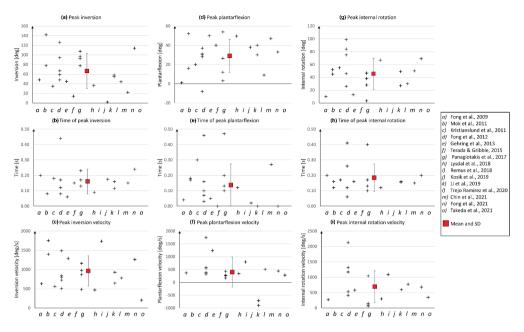
Case 23 was an MBIM analysis of a severe lateral ankle sprain injury that occurred during the 2012 Thomas Cup in badminton, while the player was performing a lateral backwards step towards the long forehand corner (Fong et al., 2021).

Case 24 was a 'giving-way' episode recorded in the laboratory, while a participant with CAI was walking in unstable footwear (Takeda et al., 2021).

# **Kinematics**

Twelve cases occurred during dynamic movement analyses in a laboratory setting, of which 10 cases were recorded using calibrated marker-based motion capture systems, and two by inertial measurement units. The other 12 cases were kinematically quantified using MBIM analysis. Twenty-two cases reported plantarflexion and inversion angles, of which 20 cases also reported on internal rotation angle (Figure 2). The remaining two cases recorded using inertial measurement units only reported joint velocities (Remus et al., 2018; Takeda et al., 2021).

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**Figure 2.** Peak ankle joint angle (a, d, g), time to peak ankle joint angle (b, e, h), and peak ankle joint velocity (c, f, i) of the case reports with respect to each study. Adapted and updated based on (Fong et al., 2021).

#### Initial contact ankle joint kinematics

The 22 cases reporting ankle inversion and plantarflexion angles had an average initial contact inversion angle of 8.2 degrees (SD 10.7; range -17.0 to 30.0) and initial plantar-flexion angle of 9.3 degrees (SD 21.1; range -41.0 to 41.0) (Table 2). The average initial internal rotation angle from the 20 cases with this information was 6.5 degrees (SD 13.9; range -15.5 to 40.0) (Table 2).

#### Peak ankle joint kinematics

The 22 cases reporting ankle inversion angle reached an average peak inversion of 66.5 degrees (SD 36.0; range 2.0 to 142.0) (Table 3(a); Figure 2(a)) after 0.20 seconds (SD 0.08; range 0.06 to 0.44) following initial contact (Table 3(b); Figure 2(b)). The 21 cases with information on inversion velocity reported an average peak inversion velocity of 928 deg/ s (SD 418; range 204 to 1752) (Table 3(c); Figure 2(c)).

The 22 cases reporting plantarflexion angle reached an average peak plantarflexion of 29.1 degrees (SD 17.2; range -8.0 to 52.0) (Table 3(d); Figure 2(d)) after 0.10 seconds (SD 0.14; range 0.00 to 0.47) following initial contact (Table 3(e); Figure 2(e)). The 18 cases with information on plantarflexion velocity reported an average peak plantarflexion velocity of 394 deg/s (SD 567; range -900 to 1748) (Table 3(f); Figure 2(f)).

The 22 cases reporting ankle internal rotation angle reached an average peak internal rotation of 45.7 degrees (SD 24.3; range 3.4 to 99.0) (Table 3(g); Figure 2(g)) after 0.20 seconds (SD 0.09; range 0.06 to 0.41) following initial contact (Table 3(h); Figure 2(h)). The 21 cases with information on internal rotation velocity reported an average peak internal rotation velocity of 674 deg/s (SD 504; range 66 to 2124).

Stu	dv	Case#	Initial inversion [deg]	Initial plantarflexion [deg]	Initial internal rotation [deg]	Initial shoe-floor contact location
	Fong et al., 2009	1	15.0		-14.0	Medial heel
а) b)	Mok et al., 2009	2	30.0	-11.0 5.0	28.0	Lateral heel
0)	#1					
	Mok et al., 2011a #2	3	7.0	-41.0	4.0	Heel
c)	Kristianslund et al., 2011	4	3.0	-14.0	0.0	-
d)	Fong et al., 2012 #1	5	10.0	-18.0	10.0	Heel
	Fong et al., 2012 #2	6	18.5	12.0	7.0	Flat
	Fong et al., 2012 #3	7	18.5	15.0	-6.0	Тір
	Fong et al., 2012 #4	8	17.0	16.0	4.0	Flat
	Fong et al., 2012 #5	9	2.0	-10.0	40.0	Lateral forefoot
e)	Gehring et al., 2013	10	-2.0	10.0	-3.5	Medial heel
f)	Terada & Gribble, 2015	11	-3.5	41.0	-	-
g)	Panagiotakis et al., 2017 #1	12	4.0	1.0	-5.0	-
	Panagiotakis et al., 2017 #2	13	2.0	17.5	9.0	-
	Panagiotakis et al., 2017 #3	14	16.0	-10.0	3.0	-
	Panagiotakis et al., 2017 #4	15	8.0	19.0	4.5	-
h)	Lysdal et al., 2018	16	26.0	35.0	33.5	Lateral forefoot
i)	Remus et al., 2018	17	-	-	-	Heel
j)	Kosik et al., 2019	18	-17.0	37.0	-	-
k)	Li et al., 2019 #1	19	8.5	30.0	3.5	-
	Li et al., 2019 #2	20	16.0	35.0	9.5	-
1)	Trejo Ramírez et al., 2020	21	8.0	-10.0	0.0	Heel
m)	Chin et al., 2021	22	-5.0	12.0	-15.5	Forefoot
n)	Fong et al., 2021	23	-2.0	33.0	18.0	Medial forefoot
o)	Takeda et al., 2021	24	-	-	-	Heel
Me	an (SD)		8.2 (10.7)	9.3 (21.1)	6.5 (13.9)	

Table 2. Summarising table of initial contact ankle joint angles and shoe-surface contact location.

#### **Case correlation**

Linear regression analyses on total inversion motion and time from initial contact until the occurrence of peak inversion (Eq. 1), revealed an average inversion velocity of 336.8 deg/s with a correlation coefficient (R-squared) of 0.78 (p < 0.001), an average plantar flexion velocity of 106.7 deg/s (R-squared = 0.60, p < 0.001), and an average internal rotation velocity of 179.9 deg/s (R-squared = 0.65, p < 0.001) (Figure 3).

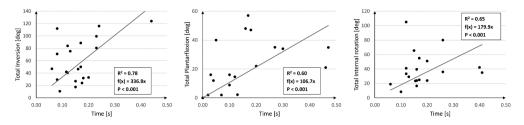
#### The 'two peaks' of the lateral ankle sprain

Four laboratory cases (Case 1, 4, 10 and 16; Fong et al., 2009; Gehring et al., 2013; Kristianslund et al., 2011; Lysdal et al., 2018), and one match case from tennis (Case 6; Fong et al., 2012), reported two distinct peaks in ankle inversion angle

			(B) Time of	(C) Peak		(E) Time of	(F) Peak			
			peak	inversion	(D) Peak	peak	plantarflexion	internal	(H) Time of	(I) Peak internal
		(A) Peak inversion	inversion	velocity	plantarflexion	plantarflexion	velocity	rotation	peak internal	rotation
Study	Case#	[deg]	[s]	[deg/s]	[deg]	[s]	[deg/s]	[deg]	rotation [s]	velocity [deg/s]
<i>a</i> ) Fong et al., 2009	1	48.0	0.20	632	1.0	0.04	370.0	10.0	0.20	271.0
<i>b)</i> Mok et al., 2011a #1	7	142.0	0.08	1752	52.0	0.18		52.0	0.16	
Mok et al., 2011a #2	m	78.0	0.08	1397	16.0	0.17		45.0	0.12	
	4	35.0	0.18	559	20.0	0.30		55.0	0.17	
<i>d</i> ) Fong et al., 2012 #1	Ś	94.0	0.12	1488	30.0	0.16	1748.0	46.0	0.26	1170.0
Fong et al., 2012 #2	9	48.0	0.08	506	28.0	0.10	381.0	26.0	0.06	412.0
Fong et al., 2012 #3	7	59.0	0.12	837	31.0	0.03	561.0	0.66	0.12	2124.0
Fong et al., 2012 #4	8	67.0	0.17	724	37.0	0.46	571.0	84.0	0.26	1312.0
Fong et al., 2012 #5	9	126.0	0.44	800	-8.0	0.07	325.0	75.0	0.41	530.0
-	10	45.0	0.06	1290	50.0	0.05	1240.0	13.0	0.16	580.0
f) Terada & Gribble, 2015	11	14.0	0.15		40.0	0.00				
<ol> <li>Panagiotakis et al., 2017 #1</li> </ol>	12	92.7	0.17	1161	3.2	0.13	168.6	3.4	0.10	66.3
Panagiotakis et al., 2017 #2	13	77.4	0.13	975	26.4	0.10	433.0	38.2	0.13	149.1
Panagiotakis et al., 2017 #3	14	96.6	0.23	482	12.0	0.20	281.7	28.0	0.17	98.0
Panagiotakis et al., 2017 #4	15	107.5	0.23	873	53.9	0.47	252.5	46.6	0.40	1039.1
h) Lysdal et al., 2018	16	36.7	0.09	468	49.6	0.12	341.0	60.9	0.12	299.0
i) Remus et al., 2018	17		,	1734		,	797.0	·		1088.0
) Kosik et al., 2019	18	2.0	0.18		38.0	0.02				
	19	55.0	0.16	927	30.0	0.00	-710.0	27.0	0.16	600.0
Li et al., 2019 #2	20	58.0	0.12	647	40.0	0.00	-900.0	49.0	0.16	600.0
<ol> <li>Trejo Ramírez et al., 2020</li> </ol>	21	44.0		780	9.0		510.0	30.0		770.0
~	22	22.0	0.15		47.0	0.27		50.0	0.15	
<i>n)</i> Fong et al., 2021	23	114.0	0.24	1262	33.0	0.00	440.0	69.0	0.20	677.0
o) Takeda et al., 2021	24			204			280.0			346.0
Mean (SD)		66.5 (36.0)	0.20 (0.08)	928 (418)	29.1 (17.2)	0.10 (0.14)	394 (567)	45.7 (24.3)	0.20 (0.09)	674 (504)

Table 3. Summarising table of peak ankle joint kinematics.

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**Figure 3.** Scatterplot of total ankle joint displacement angle (y-axis) and time to peak displacement (x-axis). The homogenous linear function illustrates the correlation between total joint displacement and time from initial contact to peak joint displacement.

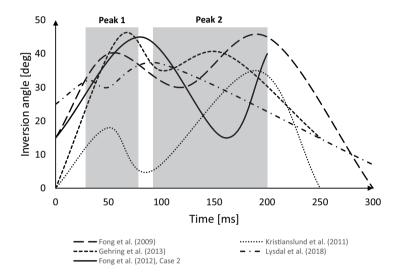


Figure 4. The two phases of the non-contact ankle inversion twist.

(Figure 4). The first peak occurred between 30 and 80 milliseconds after foot strike, and the second peak between 100 and 200 milliseconds (Figure 4). When looking at inversion velocity, this prominent two-peak process in ankle joint inversion is also seen in Case 21 (Trejo Ramírez et al., 2020) and Case 23 (Fong et al., 2021).

A two-step process was also apparent in the internal rotation of the ankle joint with seven separate cases (Case 2, 4, 7, 9 15, 16, 21) reporting two distinct peaks in the internal rotation angle (Fong et al., 2009; Fong et al., 2012; Kristianslund et al., 2011; Lysdal et al., 2018; Mok et al., 2011a; Panagiotakis et al., 2017; Trejo Ramírez et al., 2020). When including internal rotation moment, this two-peak process was evident in eight cases with the addition of Case 10 (Gehring et al., 2013).

#### The opposing motions of the 'giving-way' episode

Five cases (Case 17, 18, 19, 20, 24) of giving-way of the ankle joint reported distinct counteracting movements during the episodes (Kosik et al., 2019; Y. Li et al., 2019; Remus et al., 2018; Takeda et al., 2021). In Case 17, 18 and 24, the inversion phase of the ankle joint was followed up by a faster and more significant correcting eversion

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Study	Case#	Inversion moment [Nm]	Plantarflexion moment [Nm]	Internal rotation moment [Nm]
c) Kristianslund et al., 2011	4	79	175	64
e) Gehring et al., 2013	10	175	30	220
j) Kosik et al., 2019	18	54	264	-
k) Li et al., 2019 #1	19	-85	70	-36
Li et al., 2019 #2	20	-95	112	-33
<i>m</i> ) Chin et al., 2021	22	51	-177	23
Mean (SD)		30 (94)	79 (137)	48 (94)

Table 4. Summarising table of peak ankle joint kinetics.

movement of the ankle (Kosik et al., 2019; Remus et al., 2018; Takeda et al., 2021). In the two drop-jump cases (Case 19 and 20), the internal rotation was corrected to be an excessive external rotation movement (Y. Li et al., 2019).

## **Kinetics**

Five studies and six cases reported kinetics of the ankle joint during the stance of the events (Table 4). The inversion moment ranged from -95 to -85 Nm in the two dropjump cases to 175 Nm in case 10, which also presented the highest internal rotation moment of 220 Nm (Table 4). The two cases (4 and 10) with the highest inversion and internal rotation moments resulted in time-loss injury for the affected participants (Supplementary Table 1).

Compared to the cases' respective control trials, Case 4 reported similar initial peak in plantarflexion moment but a lower second peak and pronounced inversion and internal rotation moments—instead of eversion and external rotation moments observed in control trials (Kristianslund et al., 2011). Case 10 reported a plantarflexion moment instead of dorsiflexion moment in control trials, and much higher inversion and internal rotation moments (Gehring et al., 2013). Case 18 reported higher plantarflexion and inversion moments than control trials (Kosik et al., 2019). Case 19 reported a lower plantarflexion moment, albeit shifted following a brief period of less external rotation moment in the early landing phase (Y. Li et al., 2019). Case 20 reported higher plantarflexion, eversion and external rotation moment in the early landing phase (Y. Li et al., 2019). Case 22 reported higher dorsiflexion moment, but lower inversion and internal rotation moments than in control trials (Chin et al., 2021).

#### **Discussion and implications**

Our review quantitatively synthesised published case reports documenting the kinematics of acute lateral ankle sprains and episodes of 'giving-way' of the ankle joint; and as such, provides a comprehensive description of the most commonly reported mechanisms of acute lateral ankle sprain injuries and 'giving-way' episodes of the ankle joint.

#### **Classification of quantitative events**

Half of the cases retrieved in this review occurred during laboratory testing. The other half were game and competition events recorded on TV. Apart from this distinctive parameter, it can be difficult to distinguish between cases, as evident in Case 22, being first published as a (non-time-loss) mild lateral ankle sprain injury in a conference abstract (Chin et al., 2020), and later as a 'giving-way' episode in the published article (Chin et al., 2021). It could be argued that this case should simply have been labelled as a 'non-injury lateral ankle distortion'. This was the only quantitative 'giving-way' episode reported in a participant without CAI. Coupled with the fact that this episode did not exhibit the excessive 'rescue' eversion/external rotation pattern found in previous 'giving-way' episodes (Kosik et al., 2019; Y. Li et al., 2019; Remus et al., 2018). Particularly 'giving-way' is a characteristic feature reported by individuals with CAI (Delahunt et al., 2010). It was also the only 'giving-way' case that did provoke some level of localised soreness (Supplementary Table 1).

Based on the non-negligible discrepancy between some cases retrieved for this review, we consequently suggest the following four-way classification of quantitative events (Table 5), where one simply has to ask two questions: First, in what setting did the injury occur? A) laboratory/test event, or B) competition/game event. Secondly, did the event result in injury? 1) A musculoskeletal injury with some level of time-loss from activity/ test, or 2) No injury or an episode of 'giving-way'. This could potentially help make future comparisons between case reports easier, as we expect considerably more quantitative forensic analyses to carried out in the coming years, while one cannot rule out the occasional laboratory incident.

In this study, 14 out of 24 cases resulted in immediate restriction from further testing or sports participation. This was the case for 5 out of 12 events that occurred in a laboratory setting (Table 5, A1), while seven of the laboratory events did not result in any time-loss injury or were considered episodes of 'giving-way' (Table 5, A2). Nine of the game/competition events resulted in an immediate time-loss from sports participation (Table 5, B1), while three cases did not cause any time-loss (Table 5, B2).

Laboratory/test event (A)	Game/competition event (B)	
1. Fong et al., 2009	2. Mok et al., 2011a (#1)	Time-loss injury (1)
4. Kristianslund et al., 2011	3. Mok et al., 2011b (#2)	
10. Gehring et al., 2013	5. Fong et al., 2012 (#1)	
11. Terada & Gribble, 2015	6. Fong et al., 2012 (#2)	
16. Lysdal et al., 2018	8. Fong et al., 2012 (#4)	
	12. Panagiotakis et al., 2017 (#1)	
	14. Panagiotakis et al., 2017 (#3)	
	15. Panagiotakis et al., 2017 (#4)	
	23. Fong et al., 2021	
17. Remus et al., 2018	7. Fong et al., 2012 (#3)	No Time-loss or giving-way (2)
18. Kosik et al., 2019	9. Fong et al., 2012 (#5)	
<b>19.</b> Li et al., 2019 (#1)	13. Panagiotakis et al., 2017 (#2)	
20. Li et al., 2019 (#2)		
21. Trejo Ramírez et al., 2020		
22. Chin et al., 2021		
24. Takeda et al., 2021		

Table 5. Case distinction.

Bold italic numbers reflect the chronological case numbers reported in Table 1. (A) and (B) denote the event setting, and (1) and (2) denote whether the event resulted in injury.

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All cases, apart from one 'giving-way' episode (Takeda et al., 2021), exceeded the previously proposed 300 degrees per second-threshold in inversion velocity for detection of ankle sprain motion (Chu et al., 2010). The five laboratory events that yielded time-loss from activity were by far and large considered mild (i.e., Jackson Grade I). In contrast, time lost from sports participation was the direct outcome in 9 of the 12 competitive cases that also displayed significantly higher inversion and internal rotation velocities, and with some of these events resulting in substantial time-loss. This difference is, however, likely explained by the less vigorous intensity also observed in training compared to match play (Dawson et al., 2004; Hartwig et al., 2011) while also acknowledging that the competitive events analysed are more likely to be a worst-case-scenario.

Overall, the difference in clinical outcome reported among the different cases might be explained by different structural preconditions between the 24 individuals. Differences in laxity and joint stiffness may well contribute to the fact that the same kinematics might result in injury to one individual and no harm to another.

#### **Kinematics**

An inverted position of the ankle joint at initial contact is considered a particularly vulnerable position (Wright et al., 2000), which has been identified as a key characteristic feature of lateral ankle sprain injury mechanisms (Delahunt & Remus, 2019). Initial ankle inversion was indeed present among the majority of the 24 reported cases, and nine cases even reported an initial ankle inversion of  $\geq 10$  degrees at first ground contact (Table 2). A recent study compared similar non-injury movements, performed by that same tennis player of Case 8, to the injury she sustained, and found that the inverted foot position was an inciting moment for her ankle sprain injury (Mok et al., 2021).

It is, however, also worth noting that multiple cases in this review occurred despite the ankle joint being in an initially everted position (Chin et al., 2021; Fong et al., 2021; Gehring et al., 2013; Kosik et al., 2019; Terada & Gribble, 2015). This could suggest that the very first instance of initial contact might not be as critical as the first few milliseconds that follow immediately after initial ground contact.

Multiple cases exhibited two peaks in inversion and internal rotation angles, intertwined by what appears to be an unsuccessful/incomplete joint realignment (Fong et al., 2009; Gehring et al., 2013; Kristianslund et al., 2011; Lysdal et al., 2018). This two-peak process was naturally more prominent when also looking at joint velocities (Fong et al., 2021; Trejo Ramírez et al., 2020), by the virtue of being an integral of the positional data. In some of these cases it seemed that the injury was initiated close to (or even before) initial contact, where the athlete probably senses the problem and tries to unload. However, the injury progression is already past a point-of-no-return, and a further load/angular excursion develops (Kristianslund et al., 2011).

The cases with two peaks in the inversion angle had a 32–50% lower inversion velocity than the average of all cases combined, apart from Case 10 with a higher inversion velocity. This case, however, also saw its first peak exceed the excursion of the second peak (Gehring et al., 2013).

An early unloading is probably less likely to occur during the five direct contact injury cases identified. However, in the remaining six televised cases, it remains possible that the injury sequences simply happened too fast for the cameras to record this early unloading mechanism, whereby they were smoothed out in the interpolation between data points.

The retrieved cases suggest that the lateral ankle sprain can probably occur at any time during the phases of stance. Although an incident might be most likely to occur during absorption (Medina McKeon & Hoch, 2019) (i.e., Case 23), it also seems to occur during propulsion (i.e., Case 1), as well as during the transition in-between (i.e., Case 2) (Supplementary Video 1). This highlights a potentially informative kinematic classification of events, where the use of whole-body kinematics could be a helpful tool to define these phases with high precision over the course of the injury.

A high degree of plantar flexion at initial contact was previously branded a risk factor for lateral ankle sprain injuries, due to the increased moment about the subtalar joint axis (Wright et al., 2000), as well as the proposed mechanical 'locking' of the subtalar joint when the foot is in dorsiflexion, while loaded on the forefoot due to the conical shape of the talus (Hamel et al., 2004). This fell well in line with what used to be a common understanding of lateral ankle sprain injury mechanisms, which was described as a combination of excessive inversion and plantar flexion (Andersen et al., 2004). More recent studies, however, now confirm that inversion of the ankle joint can proceed irrespective of sagittal plane motion (Fong et al., 2012), and that plantar flexion is not required for a lateral ankle sprain injury to occur (Fong et al., 2009; Kristianslund et al., 2011; Mok et al., 2011a; Skazalski et al., 2018).

However, while plantar flexion might not play a crucial role in the occurrence of a lateral ankle sprain, cadaver and simulation studies suggest that plantar flexion has a direct influence on the loading of the specific structures that comprise the lateral ligament complex (Bennett, 1994). Inversion alone only results in tissue damage to the calcaneofibular ligament. Inversion in combination with internal rotation strains both the calcaneofibular ligament and anterior talofibular ligament (Panagiotakis et al., 2017). However, when the foot is inverted while in plantarflexion, the anterior talofibular ligament is typically the first to be injured (Bennett, 1994; Khor & Tan, 2013; Panagiotakis et al., 2017). Inversion alone strains ATFL to 10% and CFL to 12%. Adding plantarflexion and/or internal rotation further increased the strain to 16–20% (Wei et al., 2014).

The homogenous linear function that we applied to the kinematic parameters supports this notion that plantarflexion is less essential in lateral ankle sprain injuries. With a larger variance in pattern, and low correlation with the time at which plantarflexion occurred in the excursion sequence. In some of the included injury cases, further plantar flexion never occurred after initial contact, while in other cases, it preceded or exceeded the primary injury mechanism (Fong et al., 2012). Internal rotation seemingly plays a greater role, while inversion of the ankle was the only joint movement exclusive to all quantitative cases. This was also reflected in the joint excursion velocities, with inversion velocity being 337°/s on average, followed by an internal rotation at 180°/s and plantar-flexion 107°/s.

Unfortunately, we do not have ligament-specific injury information for these cases. Hence, we cannot confirm whether the differences in plantarflexion resulted in specific ligament injury patterns, compared to inversion and internal rotation.

#### Study limitations

This review is not without limitations. One of the major limitations is that, despite being the most common musculoskeletal injury, only 24 quantitative case reports of an ankle twist could be retrieved to this date, of which only 14 resulted in musculoskeletal injury.

We acknowledge the risk of potentially confounding results by consolidating the kinematic outcomes from both incidences of lateral ankle sprains and episodes of 'giving-way'. The 'giving-way' episodes are, however, largely within the range of the sprain cases. It might still be beneficial to distinguish between case type, as the number of respective cases increase, i.e., by our proposed classification.

Half of the included case reports were subsequent quantitative analyses using MBIM software. This system has previously been validated but is known to be less accurate than a marker-based motion capture system (Mok et al., 2011b). However, when considering the very limited number of laboratory injuries, these analyses are essential for our understanding of the injury mechanism. Importantly, the difference between televised injuries and laboratory cases is much greater than the method error, so there is without doubt a real difference between incidents. Unfortunately, only five studies and six cases have reported ankle joint kinetics from laboratory incidents, and only two cases where the incident caused injury. Ankle joint kinetics is important for our understanding, and the lack of especially injury kinetics is a major limitation to an in-depth understanding of the injury mechanism.

Employing a homogenous linear function on total plantarflexion with respect to time before peak plantarflexion is also a major limitation, since this analysis does not take dorsiflexion into account. This would be exemplified in cases where maximum plantarflexion occurred at initial contact (i.e., a forefoot landing from a jump). Here, the foot naturally dorsiflexes during load acceptance, but this negative plantarflexion velocity would be presented as zero. This might distort the regression analysis and/or the potential importance of plantarflexion.

Finally, we did not conduct a formal risk of bias assessment (Boutron et al., 2021) of the individual case reports/series. This is not considered a major limitation of the present review, since all included studies are descriptive in nature, and thus do not consider the effect of an intervention. Instead, the transparent and chronological presentation of cases allows the reader to draw their own conclusions. We are, however, authors of most of the previous laboratory and televised incidents, and we acknowledge the obvious risk of selection bias in this review. In our effort towards minimising this risk, we adopted a systematic approach in both search strategy, data extraction and quantitative data analysis, as well as having two separate authors independently reviewing the articles, before finding a common ground.

#### Implications for practice and future research

Despite being a commonly incurred injury (Fong et al., 2007), documented/published cases of lateral ankle sprains sustained during controlled testing in biomechanical laboratories are rare. We should, however, of course not 'expect' or warrant more injury cases to occur during laboratory experiments, despite the invaluable information they might provide. However, the ever-increasing media coverage of sporting events (i.e., in

the NBA), coupled with the use of multiple high-quality cameras with higher framerate currently used in the production of sports entertainment means the chance of capturing injury incidents and their inciting events remain greater than ever. This, coupled with advances in computer processing capabilities, allows for many more quantitative case reports of already captured lateral ankle sprains (e.g., via MBIM analysis). This would present a valuable tool to further enhance our understanding of the mechanism of sports injuries. Here, we specifically suggest that future quantitative analyses should also investigate the relationship between the centre of mass and the position of the foot around the event (i.e., was the foot directly under the centre of mass or located away?). Mapping the centre of mass position (and velocity) could open the opportunity to further explore the relationship of the entire kinetic chain to meet absorption demands in various high-risk movement tasks. A deeper understanding hereof could potentially help clinicians shape functional task environments for rehabilitation and prevention strategies (Gokeler et al., 2020).

We also welcome a deeper understanding of whether a correlation might exist between the degree of joint distortion, velocity, and the amount of structural damage (i.e., injury severity). Future forensic analyses should also assess whether the early unloading phase presented in this review is also detectable in high-intensity sprain situations during match play.

It is widely acknowledged in the literature that an incorrect foot position at touchdown is the highest risk factor for lateral ankle sprain injury (Delahunt & Remus, 2019). Some of this literature is, however, based on simulation studies (Wright et al., 2000), and multiple cases in this review demonstrate that injuries still occur despite a correct landing at initial foot strike. The importance of having a 'correct' joint position at initial contact in relation to the occurrence of injury should be further investigated. More research is therefore needed on actual competitive injury cases to investigate the role of foot positioning at initial contact on actual injury outcomes, as well as the protective role of having proper muscle activation and increased joint stiffness prior to landing.

Greater knee varus angles after landings have recently been demonstrated to increase the risk of non-contact lateral ankle sprains in a prospective cohort (Mineta et al., 2021). Future research should therefore target a deeper understanding of whole-body biomechanics, and proximal joint position, in relation to both injury and episodes of 'givingway', and how this might deviate from non-injury trials.

Analysing the ankle sprain injury mechanism in relation to the type of sport, injury scenario and/or playing position should be the natural next step, especially when considering the large variety of injury mechanism (Andersen et al., 2004; Skazalski et al., 2018). This would increase the relevance and could possibly help tailor sport-specific preventive strategies.

At present, the outcomes of this review suggest that inversion is the most important movement of the ankle joint in relation to the injury mechanism, and present across all cases. This also highlights that injury prevention should most likely have a primary focus on preventing excessive inversion, compared to both internal rotation and plantar flexion. However, this ought to be interpreted with caution, due to the limited number of reported quantitative case reports. 18 🕒 F. G. LYSDAL ET AL.

#### **Disclosure statement**

No authors have any financial interests to declare in relation to this study. The team of authors are, however, independently affiliated with most of the published case reports within the scope of this review, and our findings are thus subjected to confirmation bias and self-citation incentives.

ED is Co-Executive Director, and DTPF is an Executive Committee member of the International Ankle Consortium. DTPF is Editor-in-Chief of *Sports Biomechanics* and KMM is an Associate Editor of *Sports Biomechanics*.

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#### Data availability statement

The authors are happy to share the data upon reasonable request.

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